Knuth-Morris-Pratt Algorithm

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Definition:

- Best known for linear time for exact matching.
- Compares from left to right.
- Shifts more than one position.
- Preprocessing approach of Pattern to avoid trivial comparisions.
- Avoids recomputing matches.

History:

- This algorithm was conceived by Donald Knuth and Vaughan Pratt and independently by James H.Morris in 1977.

History:

- Knuth, Morris and Pratt discovered first linear time string-matching algorithm by analysis of the naive algorithm.
- It keeps the information that naive approach wasted gathered during the scan of the text. By avoiding this waste of information, it achieves a running time of $O(m + n)$.
- The implementation of Knuth-Morris-Pratt algorithm is efficient because it minimizes the total number of comparisons of the pattern against the input string.

Components of KMP:

- The prefix-function $\sqcap$:
  ⋆ It preprocesses the pattern to find matches of prefixes of the pattern with the pattern itself.
  ⋆ It is defined as the size of the largest prefix of $P[0..j-1]$ that is also a suffix of $P[1..j]$.
  ⋆ It also indicates how much of the last comparison can be reused if it fails.
  ⋆ It enables avoiding backtracking on the string 'S'.

$m \leftarrow length[p]$
$a[1] \leftarrow 0$
$k \leftarrow 0$
for $q \leftarrow 2$ *to* m **do**
  while $k > 0$ *and* $p[k+1] \neq p[q]$ **do**
    $k \leftarrow a[k]$
  end while
  if $p[k+1] = p[q]$ **then**
    $k \leftarrow k + 1$
  end if
  $a[q] \leftarrow k$
end for

return $\sqcap$

Here a = $\sqcap$

Computation of Prefix-function with example:

- Let us consider an example of how to compute $\sqcap$ for the pattern '*p*'.

Pattern	a	b	a	b	a	c	a

Initially : m = length[p]= 7
$\sqcap$[1]= 0
k=0

where m, $\sqcap$[1], and k are the length of the pattern, prefix function and initial potential value respectively.

Step 1: q = 2, k = 0

$\sqcap[2] = 0$

q	1	2	3	4	5	6	7
p	a	b	a	b	a	c	a
$\sqcap$	0	0					

Step 2: q = 3, k = 0

$\sqcap[3] = 1$

q	1	2	3	4	5	6	7
p	a	b	a	b	a	c	a
$\sqcap$	0	0	1				

Step 3: q = 4, k = 1

$\sqcap[4] = 2$

q	1	2	3	4	5	6	7
p	a	b	a	b	a	c	a
$\sqcap$	0	0	1	2			

Step 4: q = 5, k = 2

$\sqcap[5] = 3$

q	1	2	3	4	5	6	7
p	a	b	a	b	a	c	a
$\sqcap$	0	0	1	2	3		

Step 5: q = 6, k = 3

$\sqcap[6] = 1$

q	1	2	3	4	5	6	7
p	a	b	a	b	a	c	a
$\sqcap$	0	0	1	2	3	1	

Step 6: q = 7, k = 1

$\sqcap[7] = 1$

q	1	2	3	4	5	6	7
p	a	b	a	b	a	c	a
$\sqcap$	0	0	1	2	3	1	1

After iterating 6 times, the prefix function computations is complete :

q	1	2	3	4	5	6	7
p	a	b	A	b	a	c	a
$\sqcap$	0	0	1	2	3	1	1

The running time of the prefix function is $O(m)$.

Algorithm

Step 1: Initialize the input variables:
n = Length of the Text.
m = Length of the Pattern.
$\sqcap$ = Prefix−function of pattern (p).
q = Number of characters matched.

Step 2: Define the variable:
q=0, the beginning of the match.

Step 3: Compare the first character of the pattern with first character of text.
If match is not found, substitute the value of $\sqcap$[q] to q.
If match is found, then increment the value of q by 1.
Step 4: Check whether all the pattern elements are matched with the text elements.
If not, repeat the search process.
If yes, print the number of shifts taken by the pattern.
Step 5: look for the next match.

$n \leftarrow length[S]$
$m \leftarrow length[p]$
$a \leftarrow Compute\ Prefix\ function$
$q \leftarrow 0$
for $i \leftarrow 1$ *to* n **do**
  while $q > 0$ *and* $p[q+1] \neq S[i]$ **do**
    $q \leftarrow a[q]$
    if $p[q+1] = S[i]$ **then**
      $q \leftarrow q+1$
    end if
    if $q == m$ **then**
      $q \leftarrow a[q]$
    end if
  end while
end for

Here a = ⊓

Example of KMP algorithm:

Now let us consider an example so that the algorithm can be clearly understood.

String	b	a	c	b	a	b	a	b	a	b	a	c	a	a	b

Pattern	a	b	a	b	a	c	a

Let us execute the KMP algorithm to find whether '*p*' occurs in '*S*'.

```
Initially: n = size of S = 15;
           m = size of p=7

Step 1: i = 1, q = 0
     comparing p[1] with S[1]
```

String	**b**	a	c	b	a	b	a	b	a	b	a	c	a	a	b

Pattern	**a**	b	a	b	a	c	a

P[1] does not match with *S*[1]. '*p*' will be shifted one position to the right.

```
Step 2: i = 2, q = 0
     comparing p[1] with S[2]
```

String	b	**a**	c	b	a	b	a	b	a	b	a	c	a	a	b

Pattern	**a**	b	a	b	a	c	a

Step 3: i = 3, q = 1
comparing p[2] with S[3] p[2] does not match with S[3]

String	b	a	c	b	a	b	a	b	a	b	a	c	a	a	b

Pattern	a	b	a	b	a	c	a

Backtracking on p, comparing p[1] and S[3]
Step 4: i = 4, q = 0
comparing p[1] with S[4] p[1] does not match with S[4]

String	b	a	c	b	a	b	a	b	a	b	a	c	a	a	b

Pattern	a	b	a	b	a	c	a

Step 5: i = 5, q = 0
comparing p[1] with S[5]

String	b	a	c	b	**a**	b	a	b	a	b	a	c	a	a	b

Pattern	**a**	b	a	b	a	c	a

Step 6: i = 6, q = 1
comparing p[2] with S[6] p[2] matches with S[6]

String	b	a	c	b	**a**	b	a	b	a	b	a	c	a	a	b

Pattern	**a**	b	a	b	a	c	a

Step 7: i = 7, q = 2
comparing p[3] with S[7] p[3] matches with S[7]

String	b	a	c	b	**a**	**b**	a	b	a	b	a	c	a	a	b

Pattern	**a**	**b**	a	b	a	c	a

Step 8: i = 8, q = 3
comparing p[4] with S[8] p[4] matches with S[8]

String	b	a	c	b	**a**	**b**	**a**	b	a	b	a	c	a	a	b

Pattern	**a**	**b**	**a**	b	a	c	a

Step 9: i = 9, q = 4
comparing p[5] with S[9] p[5] matches with S[9]

String	b	a	c	b	**a**	**b**	**a**	**b**	a	b	a	c	a	a	b

Pattern	**a**	**b**	**a**	**b**	a	c	a

Step 10: i = 10, q = 5
comparing p[6] with S[10] p[6] doesn't matches with S[10]

String	b	a	c	b	**a**	**b**	**a**	**b**	**a**	**b**	a	c	a	a	b

Pattern	**a**	**b**	**a**	**b**	**a**	**c**	a

Backtracking on p,comparing p[4] with S[10] because after mismatch q = $\sqcap$[5] = 3

Step 11: i = 11, q = 4
comparing p[5] with S[11]

String	b	a	c	b	a	b	**a**	**b**	**a**	**b**	**a**	c	a	a	b

Pattern	**a**	**b**	**a**	**b**	**a**	c	a

Step 12: i = 12, q = 5
comparing p[6] with S[12] p[6] matches with S[12]

String	b	a	c	b	a	b	**a**	**b**	**a**	**b**	**a**	**c**	**a**	a	b

Pattern	**a**	**b**	**a**	**b**	**a**	c	a

```
Step 13: i = 13, q = 6
     comparing p[7] with S[13]          p[7] matches with S[13]
```

String	b	a	c	b	a	b	**a**	**b**	**a**	**b**	**a**	**c**	**a**	a	b

Pattern	**a**	**b**	**a**	**b**	**a**	**c**	**a**

pattern '*p*' has been found to completely occur in string '*S*'. The total number of shifts that took place for the match to be found are: $i - m = 13\text{-}7 = 6$ shifts.

Run-Time analysis:

- $O(m)$ - It is to compute the prefix function values.
- $O(n)$ - It is to compare the pattern to the text.
- Total of $O(n + m)$ run time.

Advantages and Disadvantages:

- Advantages:

  ⋆ The running time of the KMP algorithm is optimal ($O(m+n)$), which is very fast.

  ⋆ The algorithm never needs to move backwards in the input text T. It makes the algorithm good for processing very large files.

Advantages and Disadvantages:

- Disadvantages:

  ⋆ Doesn't work so well as the size of the alphabets increases. By which more chances of mismatch occurs.

 Graham A.Stephen, “String Searching Algorithms”, year = 1994.

 Donald Knuth, James H. Morris, Jr, Vaughan Pratt, “Fast pattern matching in strings”, year = 1977.

 Thomas H.Cormen; Charles E.Leiserson., Introduction to algorithms second edition , “The Knuth-Morris-Pratt Algorithm”, year = 2001.