SAT/SMT by Example
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\textsuperscript{6} Also Known As
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Chapter 1

Introduction

The SAT problem is evidently a killer app, because it is key to the solution of so many other problems.

Donald Knuth, The Art of Computer Programming, section 7.2.2.2

The practical solving of SAT is a key technology for computer science in the 21st century

Edmund Clarke

Major progresses in logic may come from SAT

Moshe Vardi

1.1 What this is all about?

SAT/SMT solvers can be viewed as solvers of huge systems of equations. The difference is that SMT solvers takes systems in arbitrary format, while SAT solvers are limited to boolean equations in CNF\(^1\) form.

A lot of real world problems can be represented as problems of solving system of equations.

1.2 Praise


1.3 As recommended reading at several universities

For a list, see: https://yurichev.com/SAT_SMT.html.

1.4 Thanks

Armin Biere has patiently answered to my endless and boring questions.

Leonardo Mendonça de Moura\(^2\), Nikolaj Bjørner\(^3\) and Mate Soos\(^4\) have also helped.

Masahiro Sakai\(^5\) has helped with numberlink puzzle: 8.8.

\(^1\)Conjunctive normal form
\(^2\)https://www.microsoft.com/en-us/research/people/leonardo/
\(^3\)https://www.microsoft.com/en-us/research/people/nbjorner/
\(^4\)https://www.msoos.org/
\(^5\)https://twitter.com/masahiro_sakai
Chad Brewbaker, Evan Wallace, Ben Gardiner and Wojciech Niedbala fixed bugs and made improvements.
Alex “clayrat” Gryzlov, @mztropics on twitter, Xing Shi Cai, Arseny Nerinovsky, Raphael Wimmer and Jason Bucata found couple of bugs.
English grammar fixes: Priyanshu Jain.

1.5 Disclaimer

This collection is a non-academic reading for “end-users”, i.e., programmers, etc.
The author of these lines is no expert in SAT/SMT, by any means. This is not a book, rather a student’s notes. Take it with grain of salt...
Despite the fact there are many examples for Z3 SMT-solver, the author of these lines is not affiliated with the Z3 team in any way...

1.6 Python

Majority of code in the book is written in Python.

1.7 Latest versions

Latest version is always available at http://yurichev.com/writings/SAT_SMT_by_example.pdf.
Russian version has been dropped – it’s too hard for me to maintain two versions. Sorry.
New parts are appearing here from time to time, see: https://yurichev.com/SAT_SMT_tree/ChangeLog.

1.8 Proofreaders wanted!

You see how horrible my English is? Please do not hesitate to drop me an email about my mistakes: dennis@yurichev.com.

1.9 The source code

Some people find it inconvenient to copy&paste source code from this PDF. You can get the source code here: https://yurichev.com/SAT_SMT.html.

1.10 Is it a hype? Yet another fad?

Some people say, this is just another hype. No, SAT is old enough and fundamental to CS\(^6\). The reason for increased interest to it is that computers got faster over the last couple of decades, so there are attempts to solve old problems using SAT/SMT, which were inaccessible in past.
One significant step is GRASP SAT solver (1996)\(^7\), known as CDCL\(^8\), next is Chaff (2001)\(^9\).
In 1999, a new paper proposed using SAT instead of BDD for symbolic model checking: Armin Biere, Alessandro Cimatti, Edmund Clarke, Yunshan Zhu – Symbolic Model Checking without BDDs\(^10\). See also: http://fmv.jku.at/biere/talks/Biere-CAV18Award-talk.pdf.
SMT-LIB mailing list was created in 2002\(^11\).
Also, SAT/SMT are not special or unique. There are adjacent area like ASP: Answer Set Programming. CSP: Constraint Satisfaction Problems. Also, Prolog programming language.

---

\(^6\)Computer science

\(^7\)https://www.cs.cmu.edu/~emc/15-820A/reading/grasp_iccad96.pdf

\(^8\)Conflict-driven clause learning


\(^11\)https://cs.nyu.edu/pipermail/smt-lib/2002/
Chapter 2

Basics

2.1 One-hot encoding

Throughout this book, we’ll often use so-called “one-hot encoding”. In short, this is:

<table>
<thead>
<tr>
<th>Decimal</th>
<th>One-hot</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>00000001</td>
</tr>
<tr>
<td>1</td>
<td>00000010</td>
</tr>
<tr>
<td>2</td>
<td>00000100</td>
</tr>
<tr>
<td>3</td>
<td>00001000</td>
</tr>
<tr>
<td>4</td>
<td>00010000</td>
</tr>
<tr>
<td>5</td>
<td>00100000</td>
</tr>
<tr>
<td>6</td>
<td>01000000</td>
</tr>
<tr>
<td>7</td>
<td>10000000</td>
</tr>
</tbody>
</table>

Or in reversed form:

<table>
<thead>
<tr>
<th>Decimal</th>
<th>One-hot</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>10000000</td>
</tr>
<tr>
<td>1</td>
<td>01000000</td>
</tr>
<tr>
<td>2</td>
<td>00100000</td>
</tr>
<tr>
<td>3</td>
<td>00010000</td>
</tr>
<tr>
<td>4</td>
<td>00001000</td>
</tr>
<tr>
<td>5</td>
<td>00000100</td>
</tr>
<tr>
<td>6</td>
<td>00000010</td>
</tr>
<tr>
<td>7</td>
<td>00000001</td>
</tr>
</tbody>
</table>

It has several advantages and disadvantages as well. See also: https://en.wikipedia.org/wiki/One-hot.
It’s worth noting that one-hot encoding is also called “unitary code” in Russian literature.

2.2 SMT-solvers

2.2.1 School-level system of equations

This is school-level system of equations copy-pasted from Wikipedia\(^1\):

\(^1\)https://en.wikipedia.org/wiki/System_of_linear_equations
Will it be possible to solve it using Z3? Here it is:

```python
#!/usr/bin/python
from z3 import *

x = Real('x')
y = Real('y')
z = Real('z')
s = Solver()
s.add(3*x + 2*y - z == 1)
s.add(2*x - 2*y + 4*z == -2)
s.add(-x + 0.5*y - z == 0)
print s.check()
print s.model()
```

We see this after run:

```
sat
[z = -2, y = -2, x = 1]
```

If we change any equation in some way so it will have no solution, s.check() will return “unsat”.

I’ve used “Real” sort (some kind of data type in SMT-solvers) because the last expression equals to 1\( \frac{1}{2} \), which is, of course, a real number. For the integer system of equations, “Int” sort would work fine.

Python (and other high-level PL\(^2\)s like C#) interface is highly popular, because it’s practical, but in fact, there is a standard language for SMT-solvers called SMT-LIB \(^3\).

Our example rewritten to it looks like this:

```lisp
(declare-const x Real)
(declare-const y Real)
(declare-const z Real)
(assert (=(-(+(* 3 x) (* 2 y)) z) 1))
(assert (=(-(+(* 2 x) (* 2 y)) (* 4 z)) -2))
(assert (=(-(+ (- 0 x) (* 0.5 y)) z) 0))
(check-sat)
(get-model)
```

This language is very close to LISP, but is somewhat hard to read for untrained eyes.

Now we run it:

```bash
% z3 -smt2 example.smt
sat
(model
 (define-fun z () Real
  (- 2.0))
 (define-fun y () Real
  (- 2.0))
 (define-fun x () Real
  1.0))
```

\(^2\)Programming Language

So when you look back to my Python code, you may feel that these 3 expressions could be executed. This is not true: Z3Py API offers overloaded operators, so expressions are constructed and passed into the guts of Z3 without any execution⁴. I would call it “embedded DSL⁵”.

Same thing for Z3 C++ API, you may find there “operator+” declarations and many more ⁶. Z3 API⁷’s for Java, ML and .NET are also exist ⁸.

Z3Py tutorial: https://github.com/ericpony/z3py-tutorial.

### 2.2.2 Another school-level system of equations

I’ve found this somewhere at Facebook:

![Figure 2.1: System of equations](image.png)

It’s that easy to solve it in Z3:

```python
#!/usr/bin/python
from z3 import *

circle, square, triangle = Ints('circle square triangle')
s = Solver()
s.add(circle+circle==10)
s.add(circle*square+square==12)
s.add(circle*square-triangle*circle==circle)
print s.check()
print s.model()  
sat
[triangle = 1, square = 2, circle = 5]
```

### 2.2.3 Why variables are declared using declare-fun?

They mean this is nullary function, only returning a constant, nothing else.

---

⁴[https://github.com/Z3Prover/z3/blob/6e852762baf568af2aad1e35019f64f41189e4e12/src/api/python/z3.py](https://github.com/Z3Prover/z3/blob/6e852762baf568af2aad1e35019f64f41189e4e12/src/api/python/z3.py)
⁵Domain-specific language
⁷Application programming interface
⁸[https://github.com/Z3Prover/z3/tree/6e852762baf568af2aad1e35019f64f41189e4e12/src/api](https://github.com/Z3Prover/z3/tree/6e852762baf568af2aad1e35019f64f41189e4e12/src/api)
2.2.4 Connection between SAT and SMT solvers

SMT-solvers are frontends to SAT solvers, i.e., they translate inputted SMT expressions into CNF and feed SAT-solver with it. Translation process is sometimes called “bit blasting”. Some SMT-solvers uses external SAT-solver: STP uses MiniSAT or CryptoMiniSAT as backend. Some other SMT-solvers (like Z3) has their own SAT solver.

2.2.5 Referential transparency

This is important – a variable can be assigned only once. Like in Verilog/VHDL, Haskell.

Like in SSA:

One important property that holds for all varieties of SSA, including the simplest definition above, is referential transparency: i.e., since there is only a single definition for each variable in the program text, a variable’s value is independent of its position in the program.

( Static Single Assignment Book )

This is like immutability.

Hence, the order of variable assignments doesn’t matter at all. You see, how I do this in my MK85 toy solver: 23.3.1 (add_FA() function).

Hence, an expression like \( x = x + 1 \) wouldn’t work. How can you synthesize it?

```
+--------+  +--------+
| const 1|  --> |      |
|--------|  | adder |  ---+---> x
| x      |  --> |    |  |
|        |  +----+  |
+-----------------+
```

You see, such a digital circuit would be senseless.

However, you still can feed this expression to my MK85 toy solver (which is dumb enough to process such an expression) and a circuit like this would be synthesized, but the result would be UNSAT.

2.2.6 List of SMT-solvers

- Yices\(^9\), created by Bruno Dutertre et al.
- Z3\(^10\), developed by Leonardo de Moura, Nikolaj Bjorner, Christoph M. Wintersteiger, Lev Nachmanson.

Many examples here uses Python 2.x API for Z3 (AKA Z3Py). Installation instructions (Ubuntu):

```
sudo apt-get install python3-pip
sudo pip3 install z3-solver
```

Or compile the latest on Ubuntu:

```
git clone https://github.com/Z3Prover/z3.git
cd z3
git tag
git checkout z3-4.8.7  # or newer version
python scripts/mk_make.py --python
cd build
make
sudo make install
```

\(^9\)http://yices.csl.sri.com/
\(^10\)https://github.com/Z3Prover/z3
(Unofficial) bindings: Haskell\textsuperscript{11}, Racket\textsuperscript{12}, Ruby\textsuperscript{13}.

Fun story: SMT Solving on an iPhone.

- STP\textsuperscript{14}, used in KLEE.
- CVC3/CVC4\textsuperscript{15}.
- Boolector\textsuperscript{16}, developed by Aina Niemetz, Mathias Preiner and Armin Biere. Known to be fastest bitvector solver.
- Alt-Ergo\textsuperscript{17}, used in Frama-C.
- MathSAT\textsuperscript{18}. Developed by Alberto Griggio, Alessandro Cimatti and Roberto Sebastiani.
- veriT\textsuperscript{19}. Developed by David Déharbe, Pascal Fontaine, Haniel Barbosa. Lacks bitvectors.
- toysolver\textsuperscript{20} by Masahiro Sakai, written in Haskell.
- MK85\textsuperscript{21}. Created by Dennis Yurichev, as a toy bit-blaster, supports booleans and bitvectors.
- dReal: “An SMT Solver for Nonlinear Theories of the Reals” \textsuperscript{22}.

Something else:

- PySMT: unified Python interface to many SMT solvers \textsuperscript{23}.
- JavaSMT – Unified Java API for SMT solvers: https://github.com/sosy-lab/java-smt
- jSMTLIB – Another Java API for SMT solvers: http://smtlib.github.io/jSMTLIB/
- SBV: SMT Based Verification in Haskell: http://leventerkok.github.io/sbv/

2.2.7 Z3 specific

The output is not guaranteed to be random. You can randomize it by:

```python
import time
...
s=Solver()
set_param("smt.random_seed", int(time.time()))
```

Or conversely, you may want to reproduce its result each time the same:

```python
set_param("smt.random_seed", 1234)
```

\textsuperscript{12}https://github.com/philnguyen/z3-rkt, https://github.com/sunshowers/z3.rkt
\textsuperscript{13}https://github.com/prove-rs/z3.rs
\textsuperscript{14}https://github.com/stp/stp
\textsuperscript{15}http://cvc4.stanford.edu/
\textsuperscript{16}http://fmv.jku.at/boolector/
\textsuperscript{17}https://alt-ergo.ocamlpro.com/
\textsuperscript{18}http://mathsat.fbk.eu/
\textsuperscript{19}http://www.verit-solver.org/
\textsuperscript{20}https://github.com/msakai/toysolver
\textsuperscript{21}https://yurichev.com/MK85/
\textsuperscript{22}http://dreal.cs.cmu.edu, https://github.com/dreal
2.3 SAT-solvers

SMT vs. SAT is like high level PL vs. assembly language. The latter can be much more efficient, but it’s hard to program in it.

SAT is abbreviation of “Boolean satisfiability problem”. The problem is to find such a set of variables, which, if plugged into boolean expression, will result in “true”.

2.3.1 CNF form

CNF\(^\text{24}\) is a normal form.

Any boolean expression can be converted to normal form and CNF is one of them. The CNF expression is a bunch of clauses (sub-expressions) consisting of terms (variables), ORs and NOTs, all of which are then glued together with AND into a full expression. There is a way to memorize it: CNF is “AND of ORs” (or “product of sums”) and DNF\(^\text{25}\) is “OR of ANDs” (or “sum of products”).

Example is: \((\neg A \lor B) \land (C \lor \neg D)\).

\(\lor\) stands for OR (logical disjunction\(^\text{26}\)), “+” sign is also sometimes used for OR.

\(\land\) stands for AND (logical conjunction\(^\text{27}\)). It is easy to memorize: \(\land\) looks like “A” letter. “.” is also sometimes used for AND.

\(\neg\) is negation (NOT).

2.3.2 Example: 2-bit adder

SAT-solver is merely a solver of huge boolean equations in CNF form. It just gives the answer, if there is a set of input values which can satisfy CNF expression, and what input values must be.

Here is a 2-bit adder for example:

![2-bit adder circuit](https://en.wikipedia.org/wiki/Conjunctive_normal_form)

The adder in its simplest form: it has no carry-in and carry-out, and it has 3 XOR gates and one AND gate. Let’s try to figure out, which sets of input values will force adder to set both two output bits? By doing quick memory calculation, we can see that there are 4 ways to do so: \(0 + 3 = 3\), \(1 + 2 = 3\), \(2 + 1 = 3\), \(3 + 0 = 3\). Here is also truth table, with these rows highlighted:

\(^{24}\)https://en.wikipedia.org/wiki/Conjunctive_normal_form

\(^{25}\)Disjunctive normal form

\(^{26}\)https://en.wikipedia.org/wiki/Logical_disjunction

\(^{27}\)https://en.wikipedia.org/wiki/Logical_conjunction
Let’s find, what SAT-solver can say about it?

First, we should represent our 2-bit adder as CNF expression.

Using Wolfram Mathematica, we can express 1-bit expression for both adder outputs:

\[
\text{AdderQ0}[aL_,bL_] = \text{Xor}[aL,bL]
\]

\[
\text{AdderQ1}[aL_,aH_,bL_,bH_] = \text{Xor}[\text{And}[aL,bL],\text{Xor}[aH,bH]]
\]

We need such expression, where both parts will generate 1’s. Let’s use Wolfram Mathematica find all instances of such expression (I glued both parts with And):

\[
\text{Boole}[\text{SatisfiabilityInstances}[\text{And}[\text{AdderQ0}[aL,bL],\text{AdderQ1}[aL,aH,bL,bH]],\{aL,aH,bL,bH\},4]]
\]

\[
\text{Out}[] := \{1,1,0,0\}, \{1,0,0,1\}, \{0,1,1,0\}, \{0,0,1,1\}
\]

Yes, indeed, Mathematica says, there are 4 inputs which will lead to the result we need. So, Mathematica can also be used as SAT solver.

Nevertheless, let’s proceed to CNF form. Using Mathematica again, let’s convert our expression to CNF form:

\[
\text{cnf} = \text{BooleanConvert}[\text{And}[\text{AdderQ0}[aL,bL],\text{AdderQ1}[aL,aH,bL,bH]],`\text{CNF'}]\]

\[
\text{Out}[] := (\text{!aH} \&\& \text{!bH}) \&\& (\text{aH} \&\& \text{bH}) \&\& (\text{!aL} \&\& \text{!bL}) \&\& (\text{aL} \&\& \text{bL})
\]

Looks more complex. The reason of such verbosity is that CNF form doesn’t allow XOR operations.
MiniSat

For starters, we can try MiniSat\(^{28}\). The standard way to encode CNF expression for MiniSat is to enumerate all OR parts at each line. Also, MiniSat doesn’t support variable names, just numbers. Let’s enumerate our variables: 1 will be aH, 2 – aL, 3 – bH, 4 – bL.

Here is what I’ve got when I converted Mathematica expression to the MiniSat input file:

```
p cnf 4 4
-1 -3 0
1 3 0
-2 -4 0
2 4 0
```

Two 4’s at the first lines are number of variables and number of clauses respectively. There are 4 lines then, each for each OR clause. Minus before variable number meaning that the variable is negated. Absence of minus – not negated. Zero at the end is just terminating zero, meaning end of the clause.

In other words, each line is OR-clause with optional negations, and the task of MiniSat is to find such set of input, which can satisfy all lines in the input file.

That file I named as `adder.cnf` and now let’s try MiniSat:

```
% minisat -verb=0 adder.cnf results.txt
SATISFIABLE
```

The results are in `results.txt` file:

```
SAT
-1 -2 3 4 0
```

This means, if the first two variables (aH and aL) will be false, and the last two variables (bH and bL) will be set to true, the whole CNF expression is satisfiable. Seems to be true: if bH and bL are the only inputs set to true, both resulting bits are also has true states.

Now how to get other instances? SAT-solvers, like SMT solvers, produce only one solution (or instance). MiniSat uses PRNG\(^{29}\) and its initial seed can be set explicitly. I tried different values, but result is still the same. Nevertheless, CryptoMiniSat in this case was able to show all possible 4 instances, in chaotic order, though. So this is not very robust way.

Perhaps, the only known way is to negate solution clause and add it to the input expression. We’ve got -1 -2 3 4, now we can negate all values in it (just toggle minuses: 1 2 -3 -4) and add it to the end of the input file:

```
p cnf 4 5
-1 -3 0
1 3 0
-2 -4 0
2 4 0
1 2 -3 -4
```

Now we’ve got another result:

```
SAT
1 2 -3 -4 0
```

This means, aH and aL must be both true and bH and bL must be false, to satisfy the input expression. Let’s negate this clause and add it again:

```
p cnf 4 6
-1 -3 0
1 3 0
-2 -4 0
2 4 0
1 2 -3 -4
-1 -2 3 4 0
```

---

\(^{28}\)http://minisat.se/MiniSat.html

\(^{29}\)Pseudorandom number generator
The result is:

```
SAT
-1 2 3 -4 0
```

\(aH=false, aL=true, bH=true, bL=false\). This is also correct, according to our truth table.

Let’s add it again:

```
p cnf 4 7
-1 -3 0
1 3 0
-2 -4 0
2 4 0
1 2 -3 -4
-1 -2 3 4 0
1 -2 -3 4 0
```

```
SAT
1 -2 -3 4 0
```

\(aH=true, aL=false, bH=false, bL=true\). This is also correct.

This is fourth result. There shouldn’t be more. What if to add it?

```
p cnf 4 8
-1 -3 0
1 3 0
-2 -4 0
2 4 0
1 2 -3 -4
-1 -2 3 4 0
1 -2 -3 4 0
-1 2 3 -4 0
```

Now MiniSat just says “UNSATISFIABLE” without any additional information in the resulting file.

Our example is tiny, but MiniSat can work with huge CNF expressions.

**CryptoMiniSat**

XOR operation is absent in CNF form, but crucial in cryptographical algorithms. Simplest possible way to represent single XOR operation in CNF form is: \((\neg x \lor \neg y) \land (x \lor y)\) – not that small expression, though, many XOR operations in single expression can be optimized better.

One significant difference between MiniSat and CryptoMiniSat is that the latter supports clauses with XOR operations instead of ORs, because CryptoMiniSat has aim to analyze crypto algorithms\(^\text{30}\). XOR clauses are handled by CryptoMiniSat in a special way without translating to OR clauses.

You need just to prepend a clause with “x” in CNF file and OR clause is then treated as XOR clause by CryptoMiniSat. As of 2-bit adder, this smallest possible XOR-CNF expression can be used to find all inputs where both output adder bits are set:

\[(aH \oplus bH) \land (aL \oplus bL)\]

This is .cnf file for CryptoMiniSat:

```
p cnf 4 2
x1 3 0
x2 4 0
```

Now I run CryptoMiniSat with various random values to initialize its PRNG …

```
% cryptominisat4 --verb 0 --random 0 XOR_adder.cnf
s SATISFIABLE
```

\(^{30}\text{http://www.msoos.org/xor-clauses/}\)
Nevertheless, all 4 possible solutions are:

v 1 2 -3 -4 0
v -1 -2 3 4 0
v 1 -2 -3 4 0
v 1 2 -3 -4 0

...the same as reported by MiniSat.

### 2.3.3 Picosat

At least Picosat can enumerate all possible solutions without crutches I just shown:

% picosat --all adder.cnf
s SATISFIABLE
v -1 -2 3 4 0
v -1 2 3 -4 0
v 1 -2 -3 4 0
v 1 2 -3 -4 0

### 2.3.4 MaxSAT

MaxSAT problem is a problem where as many clauses should be satisfied, as possible, but maybe not all.

(Usual) clauses which must be satisfied, called hard clauses. Clauses which should be satisfied, called soft clauses.

MaxSAT solver tries to satisfy all hard clauses and as much soft clauses, as possible.
*.wcnf files are used, the format is almost the same as in DIMACS files, like:

```
p wcnf 207 796 208
208 1 0
208 2 0
208 3 0
208 4 0
...
1 -152 0
1 -153 0
1 -154 0
1 -155 0
1 -156 0
1 -157 0
```

Each clause is written as in DIMACS file, but the first number if weight. MaxSAT solver tries to maximize clauses with bigger weights first.

If the weight has top weight, the clause is hard clause and must always be satisfied. Top weight is set in header. In our case, it’s 208.

Some well-known MaxSAT solvers are Open-WBO\(^\text{31}\), etc.

### 2.3.5 List of SAT-solvers

- **MiniSat\(^\text{32}\)** by Niklas Een and Niklas Sörensson, serving as a base for some others. minisat Ubuntu package.

- **PicoSat, PrecoSat, Lingeling, CaDiCaL\(^\text{33}\)**. All created by Armin Biere. Plingeling supports multithreading. picosat Ubuntu package is available.

- **CryptoMiniSat\(^\text{34}\)**. Created by Mate Soos for cryptographical problems exploration. Supports XOR clauses, multithreading. Has Python API.
  
  See also: Mate Soos, Karsten Nohl, Claude Castelluccia – Extending SAT Solvers to Cryptographic Problems (LNCS, volume 5584)\(^\text{35}\).

- The Glucose SAT Solver, based on Minisat\(^\text{36}\).

- **gophersat**, a SAT solver in Go\(^\text{37}\).

- **microsat\(^\text{38}\)** by Marijn Heule, smallest known CDCL solver, (238 SLOC of pure C).

- Donald Knuth has written several SAT solvers for his TAOCP book, used in section 7.2.2.2.

MaxSAT solvers:

- **Open-WBO\(^\text{39}\)**, by Ruben Martins, Vasco Manquinho, Inês Lynce.

Something else:

- [http://www.satcompetition.org/](http://www.satcompetition.org/) — benchmarks, etc.

- PySAT: unified interface to many SAT solvers, in Python\(^\text{40}\).

---

\(^{31}\)[http://sat.inesc-id.pt/open-wbo]

\(^{32}\)[http://minisat.se/]

\(^{33}\)[https://github.com/arminbiere/cadical]

\(^{34}\)[https://github.com/msoos/cryptominisat](https://github.com/msoos/cryptominisat)

\(^{35}\)[https://doi.org/10.1007/978-3-642-02777-2_24]

\(^{36}\)[https://www.labri.fr/perso/lsimon/glucose/]

\(^{37}\)[https://github.com/crillab/gophersat]

\(^{38}\)[https://github.com/marijnheule/microsat/]

\(^{39}\)[http://sat.inesc-id.pt/open-wbo/]

\(^{40}\)[https://github.com/pysathq/pysat]
2.4 Yet another explanation of NP-problems

Various algorithms work "slow" or "fast" depending on the size of the input.

2.4.1 Constant time, O(1)

Time isn't dependent on size of input. This is like `string.size()` in C++ STL, given the fact that the implementation stores current string's size somewhere. Good: `.size()` method is fast, O(1), but during any modification of the string, a method(s) must update "size" field.

2.4.2 Linear time, O(n)

Time is dependent on size of input, linearly. (Linear functions are functions which looks as lines on graph plot.) This is search algorithms on linked lists, strings. `strlen()` in C.

2.4.3 Hash tables and binary trees

These are used in associative arrays implementations. Using binary trees (`std::map, std::set` in C++ STL) is somewhat wasteful: you need too much memory, for each tree node. Also, search speed is a binary logarithm of the size of tree: O(log(n)) (because depth of binary tree is log_2(size_of_tree)). Good: keys are stored in sorted order, and you can retrieve them sorted while enumeration, by depth-first search of the binary tree.

Hash tables (`std::unordered_map` and `std::unordered_set` in C++ STL), on contrary, have constant access/insertion/deletion time (O(1)), and uses much less memory. This is because all key/value pairs are stored in a (big) table, and a hashed key is an index inside the table. Hash function should be good to make keys which are close to each other (100,101,102...) distributed uniformly across the table. However, you can't retrieve all keys from hash table in sorted form. It's a good idea to use hash tables instead of binary trees, if you don't need keys sorted.

2.4.4 EXPTIME

Time is dependent exponentially on size of input, O(2^n) or just O(2^n). This is bruteforce. When you try to break some cryptoalgorithm by bruteforcing and trying all possible inputs. For 8 bits of input, there are 2^8 = 256 possible values, etc. Bruteforce can crack any cryptoalgorithm and solve almost any problem, but this is not very interesting, because it's extremely slow.

2.4.5 NP-problems

Finding correct inputs for CNF formula is an NP-problem, but you can also find them using simple bruteforce. In fact, SAT-solvers also do bruteforce, but the resulting search tree is extremely big. And to make search faster, SAT-solvers prune search tree as early as possible, but in unpredictable ways. This makes execution time of SAT-solvers also unpredictable. In fact, this is a problem, to predict how much time it will take to find a solution for CNF formula. No SAT/SMT solvers can say you ETA\textsuperscript{41} of their work.

NP problems has no O-notation, meaning, there is no link between size (and contents) of input and execution time.

In contrast to other problems listed here (constant, linear, logarithmical, exponential), you can predict time of work by observing input values. You can predict, how long it will take to sort items by looking at a list of items to be sorted. This is a problem for NP-problems: you can’t predict it.

Probably, you could devise faster algorithm to solve NP-problems, maybe working 1000 times faster, but still, it will work unpredictably.

Also, both SAT and SMT solvers are very capricious to input data. This is a rare case, when shotgun debugging \textsuperscript{42} is justified!

2.4.6 P=NP?

One of the famous problem asking, if it’s possible to solve NP-problems fast. In other words, a scientist (or anyone else) who will find a way to solve NP-problems in predictable time (but faster than bruteforce/EXPTIME), will make a significant or revolutionary step in computer science.

\textsuperscript{41}Estimated time of arrival

In popular opinion, this will crack all cryptoalgorithms we currently use. In fact, this is not true. Some scientists, like Donald Knuth, believe, that there may be a way to solve NP-problems in polynomial time, but still too slow to be practical.

However, majority of scientists believe the humankind is not ready for such problems.
A list of many attempts to solve this problem: https://www.win.tue.nl/~gwoegi/P-versus-NP.htm.

2.4.7 What are SAT/SMT solvers?
To my taste, these are like APIs to NP-problems. Maybe not the best, but good enough. (Other APIs are, at least, CSPLIB, Prolog programming language...)
Because all NP-problems can be reduced (or converted) to SAT problems, or represented as SAT problems.
This is what I do in this book: I'm solving many well-known NP-problems using SAT/SMT solvers.
Chapter 3

Equations

3.1 Solving XKCD 287

The problem is to solve the following equation:

\[2.15a + 2.75b + 3.35c + 3.55d + 4.20e + 5.80f = 15.05\]

where \(a, f\) are integers. So this is a linear diophantine equation.

```python
from MK85 import *

s = MK85()

a = s.BitVec("a", 16)
b = s.BitVec("b", 16)
c = s.BitVec("c", 16)
d = s.BitVec("d", 16)
e = s.BitVec("e", 16)
f = s.BitVec("f", 16)
```

(https://www.xkcd.com/287/)

The problem is to solve the following equation: \(2.15a + 2.75b + 3.35c + 3.55d + 4.20e + 5.80f = 15.05\), where \(a, f\) are integers. So this is a linear diophantine equation.
add(a <= 10)
add(b <= 10)
add(c <= 10)
add(d <= 10)
add(e <= 10)
add(f <= 10)

add(a*215 + b*275 + c*335 + d*355 + e*420 + f*580 == 1505)

while s.check():
    m = s.model()
    print m
    # block current solution and solve again:
    s.add(expr.Not(And(a==m['a'], b==m['b'], c==m['c'], d==m['d'], e==m['e'], f==m['f'])))

( The source code: https://yurichev.com/SAT_SMT_tree/equations/xkcd287/xkcd287_MK85.py )
There are just 2 solutions:

{'a': 7, 'c': 0, 'b': 0, 'e': 0, 'd': 0, 'f': 0}
{'a': 1, 'c': 0, 'b': 0, 'e': 0, 'd': 2, 'f': 1}

Wolfram Mathematica can solve the equation as well:

In[]:= FindInstance[2.15 a + 2.75 b + 3.35 c + 3.55 d + 4.20 e + 5.80 f == 15.05 &&
    a >= 0 && b >= 0 && c >= 0 && d >= 0 && e >= 0 && f >= 0,
    {a, b, c, d, e, f}, Integers, 1000]
Out[]= {{a -> 1, b -> 0, c -> 0, d -> 2, e -> 0, f -> 1},
    {a -> 7, b -> 0, c -> 0, d -> 0, e -> 0, f -> 0}}

1000 means “find at most 1000 solutions”, but only 2 are found. See also: http://reference.wolfram.com/language/ref/FindInstance.html.

Other ways to solve it: https://stackoverflow.com/questions/141779/solving-the-np-complete-problem-in-xkcd,
The solution using Z3: https://yurichev.com/SAT_SMT_tree/equations/xkcd287/xkcd287_Z3.py )

3.2 XKCD 287 in SMT-LIB 2.x format

; tested using MK85
; would work for Z3 if you uncomment "check-sat" and "get-model" and comment "get-all models"

(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun a () (_ BitVec 16))
(declare-fun b () (_ BitVec 16))
(declare-fun c () (_ BitVec 16))
(declare-fun d () (_ BitVec 16))
(declare-fun e () (_ BitVec 16))
(declare-fun f () (_ BitVec 16))

(assert (bvult a #x0010))
(assert (bvult b #x0010))
(assert (bvult c #x0010))
(assert (bvult d #x0010))
(assert (bvult e #x0010))
(assert (bvult f #x0010))

(assert
  (=
    (bvadd
      (bvmul (_ bv215 16) a)
      (bvmul (_ bv275 16) b)
      (bvmul (_ bv335 16) c)
      (bvmul (_ bv355 16) d)
      (bvmul (_ bv420 16) e)
      (bvmul (_ bv580 16) f)
    )
    (_ bv1505 16)
  ))

;(check-sat)
;(get-model)
(get-all-models)

; correct answer:

;(model
  (define-fun a () (_ BitVec 16) (_ bv7 16)) ; 0x7
  (define-fun b () (_ BitVec 16) (_ bv0 16)) ; 0x0
  (define-fun c () (_ BitVec 16) (_ bv0 16)) ; 0x0
  (define-fun d () (_ BitVec 16) (_ bv0 16)) ; 0x0
  (define-fun e () (_ BitVec 16) (_ bv0 16)) ; 0x0
  (define-fun f () (_ BitVec 16) (_ bv0 16)) ; 0x0
)
;(model
  (define-fun a () (_ BitVec 16) (_ bv1 16)) ; 0x1
  (define-fun b () (_ BitVec 16) (_ bv0 16)) ; 0x0
  (define-fun c () (_ BitVec 16) (_ bv0 16)) ; 0x0
  (define-fun d () (_ BitVec 16) (_ bv2 16)) ; 0x2
  (define-fun e () (_ BitVec 16) (_ bv0 16)) ; 0x0
  (define-fun f () (_ BitVec 16) (_ bv1 16)) ; 0x1
)
;Model count: 2

3.3 Other solutions

Some other solutions, including the one using Prolog: https://stackoverflow.com/q/141779.

3.4 Wood workshop, linear programming and Leonid Kantorovich

Let’s say, you work for a wood workshop. You have a supply of rectangular wood workpieces, 6*13 inches, or meters, or whatever unit you use:

A workpiece (6*13 inches):
You want to produce 800 rectangles of 4*5 size and 400 rectangles of 2*3 size:

(a) Output A (4*5, we want 800 of these)
(b) Output B (2*3, we want 400 of these)

To cut a piece as A/B rectangles, you can cut a 6*13 workpiece in 4 ways. Or, to put it in another way, you can place A/B rectangles on 6*13 rectangle in 4 ways:

(a) Cut A (Output A: 3, Output B: 1)
(b) Cut B (Output A: 2, Output B: 6)
(c) Cut C (Output A: 1, Output B: 9)
(d) Cut D (Output A: 0, Output B: 13)

Now the problem. Which cuts are most efficient? You want to consume as little workpieces as possible. This is optimization problem and I can solve it with Z3:

```
from z3 import *
s=Optimize()
workpieces_total=Int('workpieces_total')
cut_A, cut_B, cut_C, cut_D=Ints('cut_A cut_B cut_C cut_D')
out_A, out_B=Ints('out_A out_B')
s.add(workpieces_total==cut_A+cut_B+cut_C+cut_D)
s.add(cut_A>=0)
s.add(cut_B>=0)
```
s.add(cut_C>=0)
s.add(cut_D>=0)

s.add(out_A==cut_A*3 + cut_B*2 + cut_C*1)
s.add(out_B==cut_A*1 + cut_B*6 + cut_C*9 + cut_D*13)

s.add(out_A==800)
s.add(out_B==400)

s.minimize(workpieces_total)

print s.check()
print s.model()

sat
[cut_B = 25,
cut_D = 0,
cut_A = 250,
out_B = 400,
out_A = 800,
workpieces_total = 275,
cut_C = 0]

So you want to cut 250 workpieces in A’s way and 25 pieces in B’s way, this is the most optimal way.

Also, the problem is small enough to be solved by my toy bit-blaster MK85, (thanks to the Open-WBO MaxSAT solver):

(declare-fun workpieces_total () (_ BitVec 16))
(declare-fun cut_A () (_ BitVec 16))
(declare-fun cut_B () (_ BitVec 16))
(declare-fun cut_C () (_ BitVec 16))
(declare-fun cut_D () (_ BitVec 16))
(declare-fun out_A () (_ BitVec 16))
(declare-fun out_B () (_ BitVec 16))

(assert (bvuge cut_A (_ bv0 16)))
(assert (bvuge cut_B (_ bv0 16)))
(assert (bvuge cut_C (_ bv0 16)))
(assert (bvuge cut_D (_ bv0 16)))

(assert (bvuge out_A (_ bv800 16)))
(assert (bvuge out_B (_ bv400 16)))

(assert (= workpieces_total (bvadd cut_A cut_B cut_C cut_D)))

(assert (= out_A (bvadd
    (bvmul_no_overflow cut_A (_ bv3 16))
    (bvmul_no_overflow cut_B (_ bv2 16))
    cut_C
  )
)

(assert (= out_B (bvadd
  cut_A
  (bvmul_no_overflow cut_B (_ bv6 16))
  (bvmul_no_overflow cut_C (_ bv9 16))

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(bvmul_no_overflow cut_D (_ bv13 16))
)
)

(minimize workpieces_total)
(check-sat)
(get-model)

The result:

sat
(model
  (define-fun cut_A () (_ BitVec 16) (_ bv250 16)) ; 0xfa
  (define-fun cut_B () (_ BitVec 16) (_ bv25 16)) ; 0x19
  (define-fun cut_C () (_ BitVec 16) (_ bv0 16)) ; 0x0
  (define-fun cut_D () (_ BitVec 16) (_ bv0 16)) ; 0x0
  (define-fun out_A () (_ BitVec 16) (_ bv800 16)) ; 0x320
  (define-fun out_B () (_ BitVec 16) (_ bv400 16)) ; 0x190
  (define-fun workpieces_total () (_ BitVec 16) (_ bv275 16)) ; 0x113
)

The task I solved I’ve found in Leonid Kantorovich’s book "The Best Uses of Economic Resources" (1959). And these are 5 pages with the task and solution \(^1\) (in Russian).

Leonid Kantorovich was indeed consulting plywood factory in 1939 about optimal use of materials. And this is how linear programming (LP) and ILP\(^2\) has emerged.

### 3.5 Subset sum

In computer science, the subset sum problem is an important problem in complexity theory and cryptography. The problem is this: given a set (or multiset) of integers, is there a non-empty subset whose sum is zero? For example, given the set \{-7, -3, -2, 5, 8\}, the answer is yes because the subset \{-3, -2, 5\} sums to zero.

\(^1\) [https://yurichev.com/SAT_SMT_tree/equations/kantorovich/from_book](https://yurichev.com/SAT_SMT_tree/equations/kantorovich/from_book)
\(^2\) Integer Linear Programming


It’s expressible easily as 0-1 ILP problem:

```python
from z3 import *

set=[-7, -3, -2, 5, 8]
set_len=len(set)
vars=[Int('vars_%d' % i) for i in range(set_len)]
s=Solver()
rt=[]

for i in range(set_len):
    rt.append(vary[i]*set[i])
    s.add(Or(vary[i]==0, vary[i]==1)) # like bools
```
3.6 Art of problem solving


The sum of two nonzero real numbers is 4 times their product. What is the sum of the reciprocals of the two numbers?

We’re going to solve this over real numbers:

```python
code
from z3 import *
x, y = Reals('x y')
s = Solver()
s.add(x > 0)
s.add(y > 0)
s.add(x + y == 4 * x * y)
print(s.check())
m = s.model()
p = m.evaluate(1 / x + 1 / y)
```

Instead of pulling values from the model and then compute the final result on Python’s side, we can evaluate an expression \( \left( \frac{1}{x} + \frac{1}{y} \right) \) inside the model we’ve got:

```
sat
the model:
[x = 1, y = 1/3]
the answer: 4
```
3.7 Yet another explanation of modulo inverse using SMT-solvers

Mathematics for Programmers\(^3\) has a part about modulo arithmetics and modulo inverse.

By which constant we must multiply a random number, so that the result would be as if we divided them by 3?

```python
from z3 import *
m=BitVec('m', 32)
s=Solver()
# wouldn't work for 10, etc
divisor=3
# random constant, must be divisible by divisor:
const=(0x1234567*divisor)
s.add(const*m == const/divisor)
print s.check()
print "%x" % s.model()[m].as_long()
```

The magic number is:

```
is:

sat

aaaaaabb
```

Indeed, this is modulo inverse of 3 modulo \(2^{32}\): [https://www.wolframalpha.com/input/?i=PowerMod%5B3,-1,2%5E32%5D](https://www.wolframalpha.com/input/?i=PowerMod%5B3,-1,2%5E32%5D).

Let’s check using my calculator:

```
[3] 123456*0xaaaaaab
[3] (unsigned) 353492988371136 0x141800000a0c0 0
    b10100000110000000000000000000000101000011000000
[4] 123456/3
[4] (unsigned) 41152 0xa0c0 0b1010000011000000
```

The problem is simple enough to be solved using MK85:

```plaintext
; find modulo inverse
; checked with Z3 and MK85
(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun m () (_ BitVec 16))
(declare-fun a () (_ BitVec 16))
(declare-fun b () (_ BitVec 16))

(assert (= a (bvudiv #x1236 #x0003)))
(assert (= b (bvmul #x1236 m)))

(assert (= a b))

; without this constraint, two results would be generated (with MSB=1 and MSB=0),
; but we need only one indeed, MSB of m has no effect of multiplication here
; and SMT-solver offers two solutions
(assert (= (bvand m #x8000) #x0000))
```

\(^3\)[https://yurichev.com/writings/Math-for-programmers.pdf]
(check-sat) (get-model) (get-all-models)

SAT (model
  (define-fun m () (_ BitVec 16) (_ bv10923 16)) ; 0x2aab
  (define-fun a () (_ BitVec 16) (_ bv1554 16)) ; 0x612
  (define-fun b () (_ BitVec 16) (_ bv1554 16)) ; 0x612
)

However, it wouldn’t work for 10, because there are no modulo inverse of 10 modulo $2^{32}$, SMT solver would give "unsat".

### 3.8 School-level equation

Let’s revisit school-level system of equations from (2.2.2).

We will force KLEE to find a path, where all the constraints are satisfied:

```c
int main()
{
    int circle, square, triangle;

    klee_make_symbolic(&circle, sizeof circle, "circle");
    klee_make_symbolic(&square, sizeof square, "square");
    klee_make_symbolic(&triangle, sizeof triangle, "triangle");

    if (circle + circle != 10) return 0;
    if (circle * square + square != 12) return 0;
    if (circle * square - triangle * circle != circle) return 0;

    // all constraints should be satisfied at this point
    // force KLEE to produce .err file:
    klee_assert(0);
}
```

Let’s find out, where `klee_assert()` has been triggered:

```bash
% ls klee-last | grep err
test000001.external.err
% ktest-tool --write-ints klee-last/test000001.ktest
ktest file: 'klee-last/test000001.ktest'
```
This is indeed correct solution to the system of equations. KLEE has intrinsic \texttt{klee_assume()} which tells KLEE to cut path if some constraint is not satisfied. So we can rewrite our example in such cleaner way:

```c
int main()
{
    int circle, square, triangle;

    klee_make_symbolic(&circle, sizeof circle, "circle");
    klee_make_symbolic(&square, sizeof square, "square");
    klee_make_symbolic(&triangle, sizeof triangle, "triangle");

    klee_assume (circle+circle==10);
    klee_assume (circle*square+square==12);
    klee_assume (circle*square-triangle*circle==circle);

    // all constraints should be satisfied at this point
    // force KLEE to produce .err file:
    klee_assert(0);
}
```

### 3.9 Minesweeper

#### 3.9.1 Cracking Minesweeper with SMT solver

For those who are not very good at playing Minesweeper (like me), it’s possible to predict bombs’ placement without touching debugger.

Here is a clicked somewhere and I see revealed empty cells and cells with known number of “neighbours”:
What we have here, actually? Hidden cells, empty cells (where bombs are not present), and empty cells with numbers, which shows how many bombs are placed nearby.

The method

Unlike many other examples, where our goal is to find a solution, here we use the fact that an instance is unsolvable (unsat).

Here is what we can do: we will try to place a bomb to all possible hidden cells and ask Z3 SMT solver, if it can disprove the very fact that the bomb can be placed there.

Take a look at this fragment. "?" mark is for hidden cell, "." is for empty cell, number is a number of neighbours.

<table>
<thead>
<tr>
<th></th>
<th>C1</th>
<th>C2</th>
<th>C3</th>
</tr>
</thead>
<tbody>
<tr>
<td>R1</td>
<td>?</td>
<td>?</td>
<td>?</td>
</tr>
<tr>
<td>R2</td>
<td>?</td>
<td>3</td>
<td>.</td>
</tr>
<tr>
<td>R3</td>
<td>?</td>
<td>1</td>
<td>.</td>
</tr>
</tbody>
</table>

So there are 5 hidden cells. We will check each hidden cell by placing a bomb there. Let’s first pick top/left cell:

<table>
<thead>
<tr>
<th></th>
<th>C1</th>
<th>C2</th>
<th>C3</th>
</tr>
</thead>
<tbody>
<tr>
<td>R1</td>
<td>*</td>
<td>?</td>
<td>?</td>
</tr>
<tr>
<td>R2</td>
<td>?</td>
<td>3</td>
<td>.</td>
</tr>
<tr>
<td>R3</td>
<td>?</td>
<td>1</td>
<td>.</td>
</tr>
</tbody>
</table>

Then we will try to solve the following system of equations ($RrCc$ is cell of row $r$ and column $c$):

- $R1C1=1$ (because we placed bomb at R1C1)
- $R2C1+R2C2+R2C3+R3C1+R3C2+R3C3=1$ (because we have "1" at R3C2)
- $R1C1+R1C2+R1C3+R2C1+R2C2+R2C3+R3C1+R3C2+R3C3=3$ (because we have "3" at R2C2)
- $R1C2+R1C3+R2C2+R2C3+R3C2+R3C3=0$ (because we have "." at R2C3)
- $R2C2+R2C3+R3C2+R3C3=0$ (because we have "." at R3C3)

As it turns out, this system of equations is satisfiable, so there could be a bomb at this cell. But this information is not interesting to us, since we want to find cells we can freely click on. And we will try another one. And if the equation will be unsatisfiable, that would imply that a bomb cannot be there and we can click on it.

(This is so called “0-1 integer linear programming”, since unknown variables are limited to 0/1.)

The code

```python
#!/usr/bin/python

known=[
  "01?10001?",
  "01?100011",
  "011100000",
  "000000000",
  "111110011",
  "????1001?",
  "????3101?",
  "????211?",
  "?????????"
]

from z3 import *
import sys

WIDTH=len(known[0])
```
HEIGHT=len(known)

print "WIDTH=" , WIDTH , "HEIGHT=" , HEIGHT

def chk_bomb(row, col):
    s=Solver()
    cells=[[Int('r%d_c%d' % (r,c)) for c in range(WIDTH+2)] for r in range(HEIGHT+2)]

    # make border
    for c in range(WIDTH+2):
        s.add(cells[0][c]==0)
        s.add(cells[HEIGHT+1][c]==0)
    for r in range(HEIGHT+2):
        s.add(cells[r][0]==0)
        s.add(cells[r][WIDTH+1]==0)

    for r in range(1,HEIGHT+1):
        for c in range(1,WIDTH+1):
            # otherwise -1 is possible, etc:
            s.add(Or(cells[r][c]==0, cells[r][c]==1))
    t=known[r-1][c-1]
    if t in "012345678":
        s.add(cells[r][c]==0)
        # we need empty border so the following expression would be able to work
        # for all possible cases:
        expr=cells[r-1][c-1] + cells[r-1][c] + cells[r-1][c+1] + cells[r][c-1] +
            cells[r][c+1] + cells[r+1][c-1] + cells[r+1][c] + cells[r+1][c+1]==
        int(t)
        if False:
            print expr
            s.add(expr)

    # place bomb:
    s.add(cells[row][col]==1)

    if s.check()==unsat:
        print "row=%d col=%d, unsat!" % (row, col)

    # enumerate all hidden cells:
    for r in range(1,HEIGHT+1):
        for c in range(1,WIDTH+1):
            if known[r-1][c-1]=="1":
                chk_bomb(r, c)

The code is almost self-explanatory. We need border for the same reason, why Conway's "Game of Life" implementations also has border (to make calculation function simpler). Whenever we know that the cell is free of bomb, we put zero there. Whenever we know number of neighbours, we add a constraint, again, just like in "Game of Life": number of neighbours must be equal to the number we have seen in the Minesweeper. Then we place bomb somewhere and check.

Based on map in the example, my script first tries to put a bomb at row=1 col=3 (first "?"). And this system of equations being generated:

```
0_r0_c0 + 0_r0_c1 + 0_r0_c2 + r1_c0 + r1_c2 + r2_c0 + r2_c1 + r2_c2 == 0
0_r0_c1 + 0_r0_c2 + 0_r0_c3 + r1_c1 + r1_c3 + r2_c1 + r2_c2 + r2_c3 == 1
0_r0_c3 + 0_r0_c4 + 0_r0_c5 + r1_c3 + r1_c5 + r2_c3 + r2_c4 + r2_c5 == 1
0_r0_c4 + 0_r0_c5 + 0_r0_c6 + r1_c4 + r1_c6 + r2_c4 + r2_c5 + r2_c6 == 0
```
row=1 col=3, unsat!
row=6 col=2, unsat!
row=6 col=3, unsat!
row=7 col=4, unsat!

My script tries to solve the equation with no luck (unsat). That means, no bomb can be at that cell.
Now let’s run it for all cells:

row=1 col=3, unsat!
row=6 col=2, unsat!
row=6 col=3, unsat!
row=7 col=4, unsat!

row=1 col=3, unsat!
row=6 col=2, unsat!
row=6 col=3, unsat!
row=7 col=4, unsat!
row=1 col=3, unsat!
These are cells where I can click safely, so I did:

![Minesweeper game board]

Now we have more information, so we update input:

```python
known=[
    "01110001?",
    "01?100011",
    "011100000",
    "000000000",
    "111110011",
    "?11?1001?",
    "??331011",
    "?????2110",
    "??????10"
]
```

I run it again:

```plaintext
row=7 col=1, unsat!
row=7 col=2, unsat!
row=7 col=3, unsat!
row=8 col=3, unsat!
row=9 col=5, unsat!
row=9 col=6, unsat!
```

I click on these cells again:
I update it again:

```plaintext
known=[
  "01110001?",
  "01?100011",
  "011100000",
  "000000000",
  "111110011",
  "?11?1001?",
  "222331011",
  "??2??2110",
  "????22?10",
]
```

row=8 col=2, unsat!
row=9 col=4, unsat!

This is last update:

```plaintext
known=[
  "01110001?",
  "01?100011",
  "011100000",
  "000000000",
  "111110011",
  "?11?1001?",
  "222331011",
  "??2??2110",
  "????22?10",
]```
...last result:

row=9  col=1, unsat!
row=9  col=2, unsat!

Voila!

The discussion at HN: https://news.ycombinator.com/item?id=13797375.

More theory


What he is tried to do, is to find faster/better way to solve this game, by finding better methods to solve NP-problems in general.


Our way to solve it is also called "Minesweeper Consistency Problem". We did it using system of integer equation. And solving system of integer equation is NP-complete as well.

Find better way to solve Minesweeper, and you probably will be able to solve other NP-problems!

Further reading


The files

https://yurichev.com/SAT_SMT_tree/equations/minesweeper/1_SMT.

3.9.2 Cracking Minesweeper with SAT solver

Simple population count function

First of all, somehow we need to count neighbour bombs. The counting function is similar to population count function.

---

4https://www.claymath.org/sites/default/files/minesweeper.pdf
We can try to make CNF expression using Wolfram Mathematica. This will be a function, returning True if any of 2 bits of 8 inputs bits are True and others are False. First, we make truth table of such function:

In[1]:= tbl2 = Table[PadLeft[IntegerDigits[i, 2], 8] -> If[DigitCount[i, 2][[1]] == 2, 1, 0], {i, 0, 255}]

Out[1]= {{0, 0, 0, 0, 0, 0, 0, 0} -> 0, {0, 0, 0, 0, 0, 0, 0, 1} -> 0, {0, 0, 0, 0, 0, 0, 1, 0} -> 0, {0, 0, 0, 0, 0, 0, 1, 1} -> 0, {0, 0, 0, 0, 0, 1, 0, 0} -> 0, {0, 0, 0, 0, 0, 1, 0, 1} -> 0, {0, 0, 0, 0, 0, 1, 1, 0} -> 0, {0, 0, 0, 0, 0, 1, 1, 1} -> 0, {0, 0, 0, 0, 1, 0, 0, 0} -> 0, {0, 0, 0, 0, 1, 0, 0, 1} -> 0, {0, 0, 0, 0, 1, 0, 1, 0} -> 0, {0, 0, 0, 0, 1, 0, 1, 1} -> 0, {0, 0, 0, 0, 1, 1, 0, 0} -> 0, {0, 0, 0, 0, 1, 1, 0, 1} -> 0, {0, 0, 0, 0, 1, 1, 1, 0} -> 0, {0, 0, 0, 0, 1, 1, 1, 1} -> 0,

Now we can make CNF expression using this truth table:

In[2]:= BooleanConvert[BooleanFunction[tbl2, {a, b, c, d, e, f, g, h}], "CNF"]

Out[2]= (! a || ! b || ! d) || (! a || ! b || ! g) || (! c || ! d || ! e) || (! c || ! f || ! g) || (! c || ! d || ! h) || (! d || ! e || ! f) || (! d || ! e || ! g) || (! b || ! c || ! f) || (! b || ! c || ! g) || (! b || ! c || ! h) || (! b || ! d || ! e) || (! b || ! d || ! f) || (! b || ! d || ! g) || (! b || ! d || ! h) || (! b || ! e || ! f) || (! b || ! e || ! g) || (! b || ! e || ! h) || (! b || ! f || ! e) || (! b || ! f || ! g) || (! b || ! f || ! h) || (! b || ! g || ! e) || (! b || ! g || ! f) || (! b || ! g || ! h) || (! b || ! h || ! e) || (! b || ! h || ! f) || (! b || ! h || ! g) || (! b || ! h || ! h) || (! c || ! d || ! e) || (! c || ! d || ! f) || (! c || ! d || ! g) || (! c || ! d || ! h) || (! c || ! e || ! f) || (! c || ! e || ! g) || (! c || ! e || ! h) || (! c || ! f || ! e) || (! c || ! f || ! g) || (! c || ! f || ! h) || (! c || ! g || ! e) || (! c || ! g || ! f) || (! c || ! g || ! h) || (! d || ! e || ! f) || (! d || ! e || ! g) || (! d || ! e || ! h) || (! d || ! f || ! e) || (! d || ! f || ! g) || (! d || ! f || ! h) || (! d || ! g || ! e) || (! d || ! g || ! f) || (! d || ! g || ! h) || (! e || ! f || ! g) || (! e || ! f || ! h) || (! e || ! g || ! h)

The syntax is similar to C/C++. Let’s check it.
I wrote a Python function to convert Mathematica’s output into CNF file which can be fed to SAT solver:

#!/usr/bin/python
import subprocess
def mathematica_to_CNF (s, a):
It replaces a/b/c/... variables to the variable names passed (1/2/3...), reworks syntax, etc. Here is a result:

```
| clauses=POPcnt2("1", "2", "3", "4", "5", "6", "7", "8") |
| f=open("tmp.cnf", "w") |
| f.write("p cnf 8 64") |
| for c in clauses: |
|   f.write(c + " 0\n") |
| f.close() |
```

```
p cnf 8 64
-1 -2 -3 0
-1 -2 -4 0
-1 -2 -5 0
-1 -2 -6 0
-1 -2 -7 0
-1 -2 -8 0
-1 -3 -4 0
-1 -3 -5 0
-1 -3 -6 0
-1 -3 -7 0
-1 -3 -8 0
-1 -4 -5 0
-1 -4 -6 0
-1 -4 -7 0
-1 -4 -8 0
-1 -5 -6 0
-1 -5 -7 0
-1 -5 -8 0
```
I can run it:

```
% minisat -verb=0 tst1.cnf results.txt
SATISFIABLE
% cat results.txt
SAT
1 -2 -3 -4 -5 -6 -7 8 0
```

The variable name in results lacking minus sign is True. Variable name with minus sign is False. We see there are just two variables are True: 1 and 8. This is indeed correct: MiniSat solver found a condition, for which our function returns
True. Zero at the end is just a terminal symbol which means nothing.

We can ask MiniSat for another solution, by adding current solution to the input CNF file, but with all variables negated:

```
... -5 -6 -8 0
-5 -7 -8 0
-6 -7 -8 0
-1 2 3 4 5 6 7 -8 0
```

In plain English language, this means “give me ANY solution which can satisfy all clauses, but also not equal to the last clause we’ve just added”.

MiniSat, indeed, found another solution, again, with only 2 variables equal to True:

```
% minisat -verb=0 tst2.cnf results.txt
SATISFIABLE
% cat results.txt
SAT
1 2 -3 -4 -5 -6 -7 -8 0
```

By the way, population count function for 8 neighbours (POPCNT8) in CNF form is simplest:

```
abbbakkckdkdkekkfkkgkkh
```

Indeed: it’s true if all 8 input bits are True.

The function for 0 neighbours (POPCNT0) is also simple:

```
!a&b&!c&!d&!e&!f&fkk&g&!h
```

It means, it will return True, if all input variables are False.

By the way, POPCNT1 function is also simple:

```
(!a||!b)&(&(!a||!c)&(&(!a||!d)&(&(!a||!e)&(&(!a||!f)&(&(!a||!g)&(&(a||b||c||d||e||f
||g||h)&&
((b||!c)&(&(!b||!d)&(&(!b||!e)&(&(!b||!f)&(&(!b||!g)&(&(!b||!h)&(&(c||!d)&(&(c||!e)&(&(c||!f)
&(&(c||!g)&&
((c||!h)&(&(d||!e)&(&(d||!f)&(&(d||!g)&(&(d||!h)&(&(e||!f)&(&(e||!g)&(&(e||!h)&(&(f||!g)
&(&(f||!h)&&(g||!h))
```

There is just enumeration of all possible pairs of 8 variables (a/b, a/c, a/d, etc), which implies: no two bits must be present simultaneously in each possible pair. And there is another clause: “(a||b||c||d||e||f||g||h)”, which implies: at least one bit must be present among 8 variables.

And yes, you can ask Mathematica for finding CNF expressions for any other truth table.

**Minesweeper**

Now we can use Mathematica to generate all population count functions for 0..8 neighbours.

For 9 · 9 Minesweeper matrix including invisible border, there will be 11 · 11 = 121 variables, mapped to Minesweeper matrix like this:

```
1 2 3 4 5 6 7 8 9 10 11
12 13 14 15 16 17 18 19 20 21 22
23 24 25 26 27 28 29 30 31 32 33
34 35 36 37 38 39 40 41 42 43 44
...
100 101 102 103 104 105 106 107 108 109 110
111 112 113 114 115 116 117 118 119 120 121
```
Then we write a Python script which stacks all population count functions: each function for each known number of neighbours (digit on Minesweeper field). Each POPCNTx() function takes list of variable numbers and outputs list of clauses to be added to the final CNF file.

As of empty cells, we also add them as clauses, but with minus sign, which means, the variable must be False. Whenever we try to place bomb, we add its variable as clause without minus sign, this means the variable must be True.

Then we execute external minisat process. The only thing we need from it is exit code. If an input CNF is UNSAT, it returns 20:

We use here the information from the previous solving of Minesweeper: 3.9.1.

```python
#!/usr/bin/python

import subprocess

WIDTH=9
HEIGHT=9
VARS_TOTAL=(WIDTH+2)*(HEIGHT+2)

known=[
"01?10001?", "01?100011", "011100000", "000000000", "111110011", "????1001?", "????3101?", "????1211?", "?????????"]

def mathematica_to_CNF (s, a):
  s=s.replace("a", a[0]).replace("b", a[1]).replace("c", a[2]).replace("d", a[3])
  s=s.replace("e", a[4]).replace("f", a[5]).replace("g", a[6]).replace("h", a[7])
  s=s.replace("!", "-"").replace("||", " ").replace(""," ").replace("", "")
  return s

def POPCNT0 (a):
  s="!a&&b&&c&&!d&&!e&&!f&&!g&&!h"
  return mathematica_to_CNF(s, a)

def POPCNT1 (a):
  s="!(a||b)&&!(a||c)&&!(a||d)&!(a||e)&!(a||f)&!(a||g)&!(a||h)&(a||b||c||d 
||e||f||g||h)"

  s="!(a||c)&&!(a||d)&!(a||e)&!(a||f)&!(a||g)&!(a||h)&(a||c||d)"

  s="!(a||h)&&!(a||e)&!(a||f)&!(a||g)&!(a||h)&(a||e||f)&!(a||g)&!(a||h)"

  return mathematica_to_CNF(s, a)

def POPCNT2 (a):
  s="!(a||b||c)||!(a||b||d)&!(a||b||e)&!(a||b||f)&!(a||b||g)&!(a||b||h)"

  return mathematica_to_CNF(s, a)
```
def mathematica_to_CNF(s, a):
    return mathematica_to_CNF(s, a)
s = "(!a!!b!!c!!d!!e)\&\&(!a!!b!!c!!d!!f)\&\&(!a!!b!!c!!d!!g)\&\&(!a!!b!!c!!d!!h)\&\&"
"(!a!!b!!c!!d!!e!!f)\&\&(!a!!b!!c!!d!!e!!g)\&\&(!a!!b!!c!!d!!e!!h)\&\&(!a!!b!!c!!d!!f)\&\&"
"(!a!!b!!c!!d!!f!!h)\&\&(!a!!b!!c!!d!!g!!h)\&\&(!a!!b!!d!!e!!f)\&\&(!a!!b!!d!!e!!g)\&\&"
"(!a!!b!!d!!e!!h)\&\&(!a!!b!!d!!f!!g)\&\&(!a!!b!!d!!f!!h)\&\&(!a!!b!!d!!g!!h)\&\&(!a!!b!!d!!h!!g)\&\&"
"(!a!!b!!d!!e!!f!!g)\&\&(!a!!b!!d!!e!!f!!h)\&\&(!a!!b!!d!!e!!g!!h)\&\&(!a!!b!!d!!f!!g!!h)\&\&(!a!!b!!d!!f!!h!!g)\&\&"
"(!a!!b!!c!!d!!f!!g)\&\&(!a!!b!!c!!d!!f!!h)\&\&(!a!!b!!c!!d!!g!!h)\&\&(!a!!b!!c!!e!!f!!g)\&\&(!a!!b!!c!!e!!f!!h)\&\&!

return mathematica_to_CNF(s, a)

def POPCNT5 (a):
s = "(!a!!b!!c!!d!!e!!f)\&\&(!a!!b!!c!!d!!e!!g)\&\&(!a!!b!!c!!d!!e!!h)\&\&(!a!!b!!c!!d!!f!!g)\&\&(!a!!b!!c!!d!!f!!h)\&\&(!a!!b!!c!!d!!g!!h)\&\&(!a!!b!!c!!d!!h!!g)\&\&"
"(!a!!b!!c!!d!!e!!f!!g)\&\&(!a!!b!!c!!d!!e!!f!!h)\&\&(!a!!b!!c!!d!!e!!g!!h)\&\&(!a!!b!!c!!d!!f!!g!!h)\&\&(!a!!b!!c!!d!!f!!h!!g)\&\&!

return mathematica_to_CNF(s, a)
"(a|b|c|d)&&(a|b|c|e)&&(a|b|c|f)&&(a|b|c|g)&&(a|b|c|h)&&(a|b|d|e)"
"(a|b|d|f)&&(a|b|d|g)&&(a|b|d|h)&&(a|b|e|f)&&(a|b|e|g)&&(a|b|e|h)"
"(a|b|f|g)&&(a|b|f|h)&&(a|b|g|h)&&(a|c|d|e)&&(a|c|d|f)&&(a|c|d|g)"
"(a|c|d|h)&&(a|c|e|f)&&(a|c|e|g)&&(a|c|e|h)&&(a|c|f|g)&&(a|c|f|h)"
"(a|c|g|h)&&(a|d|e|f)&&(a|d|e|g)&&(a|d|e|h)&&(a|d|f|g)&&(a|d|f|h)"
"(a|d|g|h)&&(a|e|f|g)&&(a|e|f|h)&&(a|e|g|h)&&(a|e|f|g|h)&&(b|c|d|e)"
"(b|c|d|f)&&(b|c|d|g)&&(b|c|d|h)&&(b|c|e|f)&&(b|c|e|g)&&(b|c|e|h)"
"(b|d|f|h)&&(b|d|g|h)&&(b|d|e|f)&&(b|d|e|g)&&(b|d|e|h)&&(b|d|h)|
"(!c|d|e|f|g|h)\&&(c|d|e|f|g)&&(c|d|e|g)&&(c|d|e|h)&&(c|d|h|g)"
"(d|e|f|g)&&(d|e|f|h)&&(d|e|g|h)&&(d|e|h|g)&&(e|f|g|h)|
return mathematica_to_CNF(s, a)

def POPCNT6 (a):
 s="(!a|!b|!c|!d|!e|!f|!g)\&&(a|!b|!c|!d|!e|!f|!h)\&&(a|!b|!c|!d|!e|!f|!g)"
"(!a|b|!c|!d|!e|!f|!g|!h)\&&(a|b|!c|!d|!e|!f|!g|!h)\&&(a|b|!c|!d|!e|!f|!g|!h)
"(!a|c|!d|!e|!f|!g|!h)\&&(a|b|c)&&(a|b|d)&&(a|b|e)&&(a|b|f)|\&&(a|b|g)\&&(a|b|h)"
"(a|c|d)|&(a|c|e|&a|c|f|&a|c|g)&&(a|c|h)&&(a|d|e)&&(a|d|f)&&(a|d|g)"
"(a|d|h)&&(a|e|f)&&(a|e|g)&&(a|e|h)&&(a|f|g)&&(a|f|h)&&(a|g|h)|&
"(!b|c|d|e|f|g|!h)\&&(b|c|d|e|f|g|!h)\&&(b|c|d|e|f|g|!h)\&&(b|c|d|e|f|g|!h)
"(!c|d|e|f|g|h)\&&(c|d|e|f|g|h)\&&(c|d|e|f|g|h)\&&(c|d|e|f|g|h)"
"(c|d|f|h)&&(c|d|g|h)&&(c|d|e|f|g)&&(c|d|e|f|h)&&(c|d|e|h|g)&&(c|d|h|g)"
"(d|e|f|g)&&(d|e|f|h)&&(d|e|h|g)&&(d|f|g|h)|&(e|f|g|h)
return mathematica_to_CNF(s, a)

def POPCNT7 (a):
 s="(!a|!b|!c|!d|!e|!f|!g|!h)\&&(a|!b|!c|!d|!e|!f|!g|!h)\&&(a|!b|!c|!d|!e|!f|!g|!h)"
"(!b|!c|!d|!e|!f|!g|!h)\&&(b|!c|!d|!e|!f|!g|!h)\&&(b|!c|!d|!e|!f|!g|!h)
"(!b|!c|!d|!e|!f|!g|!h)\&&(b|!c|!d|!e|!f|!g|!h)\&&(b|!c|!d|!e|!f|!g|!h)
"(!c|!d|!e|!f|!g|!h)\&&(c|!d|!e|!f|!g|!h)\&&(c|!d|!e|!f|!g|!h)\&&(c|!d|!e|!f|!g|!h)
"(!c|!d|!e|!f|!g|!h)\&&(c|!d|!e|!f|!g|!h)\&&(c|!d|!e|!f|!g|!h)\&&(c|!d|!e|!f|!g|!h)
return mathematica_to_CNF(s, a)

def POPCNT8 (a):

s=a&&b&&c&&d&&e&&f&&g&&h
return mathematica_to_CNF(s, a)

POPCNT_functions=[POPCNT0, POPCNT1, POPCNT2, POPCNT3, POPCNT4, POPCNT5, POPCNT6, POPCNT7, POPCNT8]

def coords_to_var (row, col):
    # we always use SAT variables as strings, anyway.
    # the 1st variables is 1, not 0
    return str(row*(WIDTH+2)+col+1)

def chk_bomb(row, col):
    clauses=[]
    # make empty border
    # all variables are negated (because they must be False)
    for c in range(WIDTH+2):
        clauses.append ("-"+coords_to_var(0,c))
        clauses.append ("-"+coords_to_var(HEIGHT+1,c))
    for r in range(HEIGHT+2):
        clauses.append ("-"+coords_to_var(r,0))
        clauses.append ("-"+coords_to_var(r,WIDTH+1))
    for r in range(1,HEIGHT+1):
        for c in range(1,WIDTH+1):
            t=known[r-1][c-1]
            if t in "012345678":  # cell at r, c is empty (False):
                clauses.append ("-"+coords_to_var(r,c))
                # we need an empty border so the following expression would work for all
                # possible cells:
                neighbours=[coords_to_var(r-1, c-1), coords_to_var(r-1, c),
                            coords_to_var(r-1, c+1), coords_to_var(r, c-1),
                            coords_to_var(r, c+1), coords_to_var(r+1, c-1), coords_to_var(r+
                             1, c), coords_to_var(r+1, c+1)]
                clauses=clauses+POPCNT_functions[int(t)](neighbours)
    # place a bomb
    clauses.append (coords_to_var(row,col))

    f=open("tmp.cnf", "w")
    f.write ("p cnf \"+str(VARS_TOTAL)+\" +str(len(clauses))+\"n\")
    for c in clauses:
        f.write(c+\" 0\n")
    f.close()

    child = subprocess.Popen(["minisat", "tmp.cnf"], stdout=subprocess.PIPE)
    child.wait()
    # 10 is SAT, 20 is UNSAT
    if child.returncode==20:
        print "%d, %d, unsat!" % (row, col)

    for r in range(1,HEIGHT+1):
        for c in range(1,WIDTH+1):
            if known[r-1][c-1]==":?":
                chk_bomb(r, c)
The output CNF file can be large, up to ≈ 2000 clauses, or more, here is an example: https://yurichev.com/SAT_SMT_tree/equations/minesweeper/2_SAT/sample.cnf.

Anyway, it works just like my previous Z3Py script:

```python
row=1, col=3, unsat!
row=6, col=2, unsat!
row=6, col=3, unsat!
row=7, col=4, unsat!
row=7, col=9, unsat!
row=8, col=9, unsat!
```

…but it runs way faster, even considering overhead of executing external program. Perhaps, Z3Py version could be optimized better?

The files, including Wolfram Mathematica notebook: https://yurichev.com/SAT_SMT_tree/equations/minesweeper/2_SAT.

### 3.9.3 Optimization

A simple observation can help us: there is no need to probe hidden cells in the middle of other hidden cells. In fact, only those hidden cells surrounding digits (known number of bombs) is to be probed: It speeds up everything a little.

```python
... def get_from_known_or_empty(known, r, c):
    # I'm lazy to do overflow checks
    try:
        return known[r][c]
    except IndexError:
        return ""

def have_neighbour_digit(known, r, c):
    # returns True if neighbour is digit, False otherwise
digits="0123456789"
    t=[]
    t.append(get_from_known_or_empty(known, r-1, c-1) in digits)
    t.append(get_from_known_or_empty(known, r-1, c) in digits)
    t.append(get_from_known_or_empty(known, r-1, c+1) in digits)
    t.append(get_from_known_or_empty(known, r, c-1) in digits)
    t.append(get_from_known_or_empty(known, r, c+1) in digits)
    t.append(get_from_known_or_empty(known, r+1, c-1) in digits)
    t.append(get_from_known_or_empty(known, r+1, c) in digits)
    t.append(get_from_known_or_empty(known, r+1, c+1) in digits)
    return any(t)

# enumerate all hidden cells:
rt=[]
for r in range(1,HEIGHT+1):
    for c in range(1,WIDTH+1):
        if known[r-1][c-1]=="?" and have_neighbour_digit(known, r-1, c-1):
            print "checking", r, c
            rt.append(chk_bomb(r, c))
rt=filter(None, rt)
...
```
3.9.4 Cracking Minesweeper: Donald Knuth’s version

From Donald Knuth’s TAOCP\(^5\) Section 7.2.2.2:

114. [27] Each cell \((i, j)\) of a given rectangular grid either contains a land mine \((x_{i,j} = 1)\) or is safe \((x_{i,j} = 0)\). In the game of Minesweeper, you are supposed to identify all of the hidden mines, by probing locations that you hope are safe: If you decide to probe a cell with \(x_{i,j} = 1\), the mine explodes and you die (at least virtually). But if \(x_{i,j} = 0\) you’re told the number \(n_{i,j}\) of neighboring cells that contain mines, \(0 \leq n_{i,j} \leq 8\), and you live to make another probe. By carefully considering these numeric clues, you can often continue with completely safe probes, eventually touching every mine-free cell.

For example, suppose the hidden mines happen to match the \(25 \times 30\) pattern of the Cheshire cat (Fig. 36), and you start by probing the upper right corner. That cell turns out to be safe, and you learn that \(n_{1,30} = 0\); hence it’s safe to probe all three neighbors of \((1, 30)\). Continuing in this vein soon leads to illustration \((\alpha)\) below, which depicts information about cells \((i, j)\) for \(1 \leq i \leq 9\) and \(21 \leq j \leq 30\); unprobed cells are shown in gray, otherwise the value of \(n_{i,j}\) appears. From this data it’s easy to deduce that \(x_{1,24} = x_{2,24} = x_{3,25} = x_{4,25} = \cdots = x_{9,26} = 1\); you’ll never want to probe in those places, so you can mark such cells with X, arriving at state \((\beta)\) since \(n_{3,24} = n_{5,25} = 4\). Further progress downward to row 17, then leftward and up, leads without difficulty to state \((\gamma)\). (Notice that this process is analogous to digital tomography, because you’re trying to reconstruct a binary array from information about partial sums.)

\[
\begin{array}{cccc}
\text{(\alpha)} & \begin{array}{cccc}
200000 \\
310000 \\
220000 \\
300000 \\
31000 \\
\end{array} & \begin{array}{cccc}
X200000 \\
X310000 \\
4X20000 \\
X30000 \\
X3100 \\
\end{array} & 01X200000 \\
\end{array}
\]

\[
\begin{array}{cccc}
\text{(\beta)} & \begin{array}{cccc}
200000 \\
310000 \\
220000 \\
300000 \\
31000 \\
\end{array} & \begin{array}{cccc}
X200000 \\
X310000 \\
4X20000 \\
X30000 \\
X3100 \\
\end{array} & 12X200000 \\
\end{array}
\]

\[
\begin{array}{cccc}
\text{(\gamma)} & \begin{array}{cccc}
200000 \\
310000 \\
220000 \\
300000 \\
31000 \\
\end{array} & \begin{array}{cccc}
X200000 \\
X310000 \\
4X20000 \\
X30000 \\
X3100 \\
\end{array} & 01X200000 \\
\end{array}
\]

a) Now find safe probes for all thirteen of the cells that remain gray in \((\gamma)\).

b) Exactly how much of the Cheshire cat can be revealed without making any unsafe guesses, if you’re told in advance that (i) \(x_{1,1} = 0\)? (ii) \(x_{1,30} = 0\)? (iii) \(x_{25,1} = 0\)? (iv) \(x_{25,30} = 0\)? (v) all four corners are safe? \textit{Hint:} A SAT solver can help.

And solution:

\(^{5}\)The Art Of Computer Programming (Donald Knuth’s book)
3.9.5 Cracking Minesweeper with SAT solver and sorting network

(a) From $x_{7,23} + x_{7,24} = x_{7,23} + x_{7,24} + x_{7,25} = x_{7,24} + x_{7,25} = 1$ we deduce $x_{7,23} = x_{7,25} = 0$ and $x_{7,24} = 1$, revealing $n_{7,23} = n_{7,25} = 5$. Now $x_{6,23} + x_{6,24} = x_{6,24} + x_{6,25} = x_{4,24} + x_{5,24} + x_{6,24} + x_{6,25} = 1$; hence $x_{4,24} = x_{5,24} = 0$, revealing $n_{4,24} = n_{5,24} = 2$. So $x_{6,23} = x_{6,25} = 0$, and the rest is easy.

(b) Let $y_{i,j}$ mean “cell $(i,j)$ has been probed safely, revealing $n_{i,j}$.” Consider the clauses $C$ obtained by appending $y_{i,j}$ to each clause of the symmetric function

$$\sum_{i=0}^{N-1} \sum_{j=0}^{M-1} x_{i,j} y_{i,j} = n_{i,j},$$

for all $i,j$ with $x_{i,j} = 0$. Also include $(\bar{x}_{i,j} \lor \bar{y}_{i,j})$, as well as clauses for the symmetric function $S_N(x)$ if we’re told the total number $N$ of mines.

Given any subset $F$ of mine-free cells, the clauses $C_F = C \land \bigwedge \{ y_{i,j} \mid (i,j) \in F \}$ are satisfiable precisely by the configurations of mines that are consistent with the data $\{ n_{i,j} \mid (i,j) \in F \}$. Therefore cell $(i,j)$ is safe if and only if $C_F \land x_{i,j}$ is unsatisfiable.

A simple modification of Algorithm C can be used to “grow” $F$ until no further safe cells can be added: Given a solution to $C_F$ for which neither $x_{i,j}$ nor $\bar{x}_{i,j}$ was obtained at root level (level 0), we can try to find a “flipped” solution by using the complemented value as the decision at level 1. Such a solution will be found if and only if the flipped value is consistent; otherwise the unflipped value will have been forced at level 0. By changing default polarities we can favor solutions that flip many variables at once. Whenever a literal $\bar{x}_{i,j}$ is newly deduced at root level, we can force $y_{i,j}$ to be true, thus adding $(i,j)$ to $F$. We reach an impasse when a set of solutions has been obtained for $C_F$ that covers both settings of every unforced $x_{i,j}$.

For problem (i) we start with $F = \{(1,1)\}$, etc. Case (iv) by itself uncovers only 56 cells in the lower right corner. The other results, each obtained in $< 6$ GiB, are:

\begin{itemize}
  \item[(i), (ii)]
  \begin{center}
    \includegraphics[width=0.3\textwidth]{image1}
  \end{center}

  \item[(iii)]
  \begin{center}
    \includegraphics[width=0.3\textwidth]{image2}
  \end{center}

  \item[(v)]
  \begin{center}
    \includegraphics[width=0.3\textwidth]{image3}
  \end{center}
\end{itemize}

Notice that the Cheshire cat’s famous smile defies logic and requires much guesswork!


3.9.5 Cracking Minesweeper with SAT solver and sorting network

The SAT version of this program used Mathematica-generated POPCNT functions: 3.9.2.

Now what if I locked on a desert island again, with no Internet and Wolfram Mathematica?

Here is another way of solving it using SAT solver. The main problem is to count bits around a cell.

Here (4.7) I described sorting networks shortly.

They can be used for sorting boolean values. 01101 will become 00111, 10001 -> 00011, etc. We will count bits using sorting network.

My implementation is a simplest “bubble sort” and not the one the most optimized I described earlier. It’s created
recursively, as shown in Wikipedia⁶.

The resulting 6-wire network is:

Now the comparator/swapper. How do we compare/swap two boolean variables, A and B?

<table>
<thead>
<tr>
<th>A</th>
<th>B</th>
<th>out1</th>
<th>out2</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>1</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
</tbody>
</table>

As you can deduce effortlessly, out1 is just an AND, out2 is OR.

And here is all functions creating sorting network in my SAT_lib Python library:

```python
def sort_unit(self, a, b):
    return self.OR_list([a, b]), self.AND(a, b)

def sorting_network_make_ladder(self, lst):
    if len(lst)==2:
        return list(self.sort_unit(lst[0], lst[1]))
    tmp=self.sorting_network_make_ladder(lst[1:])  # lst without head
    first, second=self.sort_unit(lst[0], tmp[0])
    return [first, second] + tmp[1:]
```

def sorting_network(self, lst):
    # simplest possible, bubble sort
    if len(lst) == 2:
        return self.sorting_network_make_ladder(lst)
    tmp = self.sorting_network_make_ladder(lst)
    return self.sorting_network(tmp[:-1]) + [tmp[-1]]

(https://yurichev.com/SAT_SMT_tree/libs/SAT_lib.py)

Now if you will look closely on the output of sorting network, it looks like a thermometer, isn’t it? This is indeed “unary coding”, or “thermometer code”, where 1 is encoded as 1, 2 as 11... 4 as 1111, etc. Who need such a wasteful code? For 9 inputs/outputs, we can afford it so far.

In other words, sorting network is a device counting input bits and giving output in unary coding.

Also, we don’t need to add 9 constraints for each variable. Only two will suffice, one False and one True, because we are only interesting in the ”level” of a thermometer.

def POPCNT(s, n, vars):
    sorted = s.sorting_network(vars)
    s.fix_always_false(sorted[n])
    if n != 0:
        s.fix_always_true(sorted[n-1])

And the whole source code:

#!/usr/bin/python3

import SAT_lib
from typing import List

WIDTH = 9
HEIGHT = 9

known = [
"01?10001?",
"01?100011",
"011100000",
"000000000",
"111110011",
"????1001?",
"????3101?",
"?????211?",
"?????????"
]

def POPCNT(s, n:int, vars:List[str]):
    sorted = s.sorting_network(vars)
    s.fix_always_false(sorted[n])
    if n != 0:
        s.fix_always_true(sorted[n-1])

def chk_bomb(row:int, col:int):
    s = SAT_lib.SAT_lib()
    vars = [[s.create_var() for c in range(WIDTH+2)] for r in range(HEIGHT+2)]

    # make empty border
    # all variables are negated (because they must be False)
    for c in range(WIDTH+2):
        s.fix_always_false(vars[0][c])
s.fix_always_false(vars[HEIGHT+1][c])
for r in range(HEIGHT+2):
    s.fix_always_false(vars[r][0])
    s.fix_always_false(vars[r][WIDTH+1])

for r in range(1,HEIGHT+1):
    for c in range(1,WIDTH+1):
        t=known[r-1][c-1]
        if t in "012345678":
            # cell at r, c is empty (False):
            s.fix_always_false(vars[r][c])
        # we need an empty border so the following expression would work for all possible cells:
        neighbours=[vars[r-1][c-1], vars[r-1][c], vars[r-1][c+1], vars[r][c-1], vars[r][c+1], vars[r+1][c-1], vars[r+1][c], vars[r+1][c+1]]
        POPCNT(s, int(t), neighbours)

    # place a bomb
    s.fix_always_true (vars[row][col])

if s.solve()==False:
    print ("row=%d, col=%d, unsat!" % (row, col))

for r in range(1,HEIGHT+1):
    for c in range(1,WIDTH+1):
        if known[r-1][c-1]=="?":
            chk_bomb(r, c)

As before, this is a list of Minesweeper cells you can safely click on:

<table>
<thead>
<tr>
<th>row</th>
<th>col</th>
<th>status</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>3</td>
<td>unsat!</td>
</tr>
<tr>
<td>6</td>
<td>2</td>
<td>unsat!</td>
</tr>
<tr>
<td>6</td>
<td>3</td>
<td>unsat!</td>
</tr>
<tr>
<td>7</td>
<td>4</td>
<td>unsat!</td>
</tr>
<tr>
<td>7</td>
<td>9</td>
<td>unsat!</td>
</tr>
<tr>
<td>8</td>
<td>9</td>
<td>unsat!</td>
</tr>
</tbody>
</table>

However, it performs several times slower than the version with Mathematica-generated POPCNT functions, which is the fastest version so far...

Nevertheless, sorting networks has important place in SAT/SMT world. By fixing a "level" of a thermometer using a single constraint, it’s possible to add PB (pseudo-boolean) constraints, like, "x>=10" (you need just to force a "level" to be always higher or equal than 10).

3.9.6 Cracking Minesweeper: by bruteforce

Now here is a bruteforce solver I wrote for fun: [https://yurichev.com/SAT_SMT_tree/equations/minesweeper/6_brute/MS.py](https://yurichev.com/SAT_SMT_tree/equations/minesweeper/6_brute/MS.py).

I doesn’t use any external library or solver. However, it’s painfully slow, it takes several minutes to find safe cells on 9*9 field. Still, it can serve as a demonstration.

This is where SAT/SMT solvers excels: they can find faster ways than bruteforce...

3.10 Cracking LCG with Z3

There are well-known weaknesses of LCG, but let’s see, if it would be possible to crack it straightforwardly, without any special knowledge. We will define all relations between LCG states in terms of Z3. Here is a test program:

#include <stdlib.h>
#include <stdio.h>
#include <time.h>

int main()
{
    int i;
    srand(time(NULL));
    for (i=0; i<10; i++)
    {
        printf("%d\n", rand()%100);
    }
};

It is printing 10 pseudorandom numbers in 0..99 range:

37
29
74
95
98
40
23
58
61
17

Let's say we are observing only 8 of these numbers (from 29 to 61) and we need to predict next one (17) and/or previous one (37).

The program is compiled using MSVC 2013 (I choose it because its LCG is simpler than that in Glib):

.Ltext:0040112E rand proc near
.Ltext:0040112E call __getptd
.Ltext:00401133 imul ecx, [eax+0x14], 214013
.Ltext:0040113A add ecx, 2531011
.Ltext:00401140 mov [eax+14h], ecx
.Ltext:00401143 shr ecx, 16
.Ltext:00401146 and ecx, 7FFFh
.Ltext:0040114C mov eax, ecx
.Ltext:0040114E retn
.Ltext:0040114E rand endp

Let's define LCG in Z3Py:

#!/usr/bin/python
from z3 import *

output_prev = BitVec('output_prev', 32)
state1 = BitVec('state1', 32)
state2 = BitVec('state2', 32)
state3 = BitVec('state3', 32)
state4 = BitVec('state4', 32)
state5 = BitVec('state5', 32)
state6 = BitVec('state6', 32)
state7 = BitVec('state7', 32)
state8 = BitVec('state8', 32)
state9 = BitVec('state9', 32)
state10 = BitVec('state10', 32)
output_next = BitVec('output_next', 32)

s = Solver()

s.add(state2 == state1*214013+2531011)
s.add(state3 == state2*214013+2531011)
s.add(state4 == state3*214013+2531011)
s.add(state5 == state4*214013+2531011)
s.add(state6 == state5*214013+2531011)
s.add(state7 == state6*214013+2531011)
s.add(state8 == state7*214013+2531011)
s.add(state9 == state8*214013+2531011)
s.add(state10 == state9*214013+2531011)

s.add(output_prev==URem((state1>>16)&0x7FFF,100))
s.add(URem((state2>>16)&0x7FFF,100)==29)
s.add(URem((state3>>16)&0x7FFF,100)==74)
s.add(URem((state4>>16)&0x7FFF,100)==95)
s.add(URem((state5>>16)&0x7FFF,100)==98)
s.add(URem((state6>>16)&0x7FFF,100)==40)
s.add(URem((state7>>16)&0x7FFF,100)==23)
s.add(URem((state8>>16)&0x7FFF,100)==58)
s.add(URem((state9>>16)&0x7FFF,100)==61)
s.add(output_next==URem((state10>>16)&0x7FFF,100))

print(s.check())
print(s.model())

**URem** states for *unsigned remainder*. It works for some time and gave us correct result!

sat

[state3 = 2276903645,
state4 = 1467740716,
state5 = 3163191359,
state7 = 4108542129,
state8 = 2839445680,
state2 = 998088354,
state6 = 4214551046,
state1 = 1791599627,
state9 = 548002995,
output_next = 17,
output_prev = 37,
state10 = 1390515370]

I added ≈ 10 states to be sure result will be correct. It may be not in case of smaller set of information.

That is the reason why **LCG** is not suitable for any security-related task. This is why cryptographically secure pseudorandom number generators exist: they are designed to be protected against such simple attack. Well, at least if **NSA** don’t get involved.

Security tokens like “RSA SecurID” can be viewed just as **CPRNG** with a secret seed. It shows new pseudorandom number each minute, and the server can predict it, because it knows the seed. Imagine if such token would implement **LCG**—it would be much easier to break!

---

8National Security Agency
10Cryptographically Secure Pseudorandom Number Generator
3.11 Can rand() generate 10 consecutive zeroes?

I’ve always been wondering, if it’s possible or not. As of simplest linear congruential generator from MSVC’s rand(), I could get a state at which rand() will output 8 zeroes modulo 10:

```python
#!/usr/bin/python
from z3 import *

state1 = BitVec('state1', 32)
state2 = BitVec('state2', 32)
state3 = BitVec('state3', 32)
state4 = BitVec('state4', 32)
state5 = BitVec('state5', 32)
state6 = BitVec('state6', 32)
state7 = BitVec('state7', 32)
state8 = BitVec('state8', 32)
state9 = BitVec('state9', 32)

s = Solver()
s.add(state2 == state1*214013+2531011)
s.add(state3 == state2*214013+2531011)
s.add(state4 == state3*214013+2531011)
s.add(state5 == state4*214013+2531011)
s.add(state6 == state5*214013+2531011)
s.add(state7 == state6*214013+2531011)
s.add(state8 == state7*214013+2531011)
s.add(state9 == state8*214013+2531011)

s.add(URem((state2>>16)&0x7FFF,10)==0)
s.add(URem((state3>>16)&0x7FFF,10)==0)
s.add(URem((state4>>16)&0x7FFF,10)==0)
s.add(URem((state5>>16)&0x7FFF,10)==0)
s.add(URem((state6>>16)&0x7FFF,10)==0)
s.add(URem((state7>>16)&0x7FFF,10)==0)
s.add(URem((state8>>16)&0x7FFF,10)==0)
s.add(URem((state9>>16)&0x7FFF,10)==0)

print(s.check())
print(s.model())
```

This is a case if, in some video game, you’ll find a code:

```c
for (int i=0; i<8; i++)
    printf ("%d\n", rand() % 10);
```

... and at some point, this piece of code can generate 8 zeroes in row, if the state will be 286227003 (decimal).
Just checked this piece of code in MSVC 2015:

```c
// MSVC 2015 x86
#include <stdio.h>

int main()
{
    srand(286227003);
    for (int i=0; i<8; i++)
        printf ("%d\n", rand() % 10);
};
```

Yes, its output is 8 zeroes!
What about other modulos?
I can get 4 consecutive zeroes modulo 100:

```python
#!/usr/bin/python
from z3 import *

state1 = BitVec('state1', 32)
state2 = BitVec('state2', 32)
state3 = BitVec('state3', 32)
state4 = BitVec('state4', 32)
state5 = BitVec('state5', 32)

s = Solver()

s.add(state2 == state1*214013+2531011)
s.add(state3 == state2*214013+2531011)
s.add(state4 == state3*214013+2531011)
s.add(state5 == state4*214013+2531011)

s.add(URem((state2>>16)&0x7FFF,100)==0)
s.add(URem((state3>>16)&0x7FFF,100)==0)
s.add(URem((state4>>16)&0x7FFF,100)==0)
s.add(URem((state5>>16)&0x7FFF,100)==0)

print(s.check())
print(s.model())

sat
[state3  = 635704497,
state4  = 1644979376,
state2  = 1055176198,
state1  = 3865742399,
state5  = 1389375667]
```

However, 4 consecutive zeroes modulo 100 is impossible (given these constants at least), this gives “unsat”: https://yurichev.com/SAT_SMT_tree/equations/LCG/LCG100_v1.py.

... and 3 consecutive zeroes modulo 1000:

```python
#!/usr/bin/python
from z3 import *

state1 = BitVec('state1', 32)
state2 = BitVec('state2', 32)
```
3.11.1 UNIX time and srand(time(NULL))

Given the fact that it's highly popular to initialize LCG PRNG with UNIX time (i.e., `srand(time(NULL))`), you can probably calculate a moment in time so that LCG PRNG will be initialized as you want to.

For example, can we get a moment in time from now (5-Dec-2017) till 12-Dec-2017 (that is one week from now), when, if initialized by UNIX time, `rand()` will output as many similar numbers (modulo 10), as possible?
\[
\text{state2} = \text{BitVec('state2', 32)}
\]
\[
\text{state3} = \text{BitVec('state3', 32)}
\]
\[
\text{state4} = \text{BitVec('state4', 32)}
\]
\[
\text{state5} = \text{BitVec('state5', 32)}
\]
\[
\text{state6} = \text{BitVec('state6', 32)}
\]
\[
\text{state7} = \text{BitVec('state7', 32)}
\]

\[
s = \text{Solver()}
\]
\[
s.\text{add(state1} \geq 1512499124) \# \text{Tue Dec 5 20:38:44 EET 2017}
\]
\[
s.\text{add(state1} \leq 1513036800) \# \text{Tue Dec 12 02:00:00 EET 2017}
\]
\[
s.\text{add(state2} = \text{state1} \times 214013 + 2531011)
\]
\[
s.\text{add(state3} = \text{state2} \times 214013 + 2531011)
\]
\[
s.\text{add(state4} = \text{state3} \times 214013 + 2531011)
\]
\[
s.\text{add(state5} = \text{state4} \times 214013 + 2531011)
\]
\[
s.\text{add(state6} = \text{state5} \times 214013 + 2531011)
\]
\[
s.\text{add(state7} = \text{state6} \times 214013 + 2531011)
\]
\[
c = \text{BitVec('c', 32)}
\]
\[
s.\text{add(URem((state2} >> 16) \& 0x7FFF, 10) == c)
\]
\[
s.\text{add(URem((state3} >> 16) \& 0x7FFF, 10) == c)
\]
\[
s.\text{add(URem((state4} >> 16) \& 0x7FFF, 10) == c)
\]
\[
s.\text{add(URem((state5} >> 16) \& 0x7FFF, 10) == c)
\]
\[
s.\text{add(URem((state6} >> 16) \& 0x7FFF, 10) == c)
\]
\[
s.\text{add(URem((state7} >> 16) \& 0x7FFF, 10) == c)
\]

\[
\text{print}(s.\text{check()})
\]
\[
\text{print}(s.\text{model()})
\]

Yes:

sat
[ state3 = 2234253076,
  state4 = 497021319,
  state5 = 4160988719,
  c = 3,
  state2 = 333151205,
  state6 = 46785593,
  state1 = 1512500810,
  state7 = 1158878744 ]

If srand(time(NULL)) will be executed at Tue Dec 5 21:06:50 EET 2017 (this precise second, UNIX time=1512500810),
a next 6 rand() % 10 lines will output six numbers of 3 in a row. Don’t know if it useful or not, but you’ve got the idea.

3.11.2 etc:
The files: https://yurichev.com/SAT_SMT_tree/equations/LCG.
Further work: check glibc’s rand(), Mersenne Twister, etc. Simple 32-bit LCG as described can be checked using simple brute-force, I think.

3.11.3 Fun story
The software checked protection key (dongle) randomly, from time to time. This code snippet is from a real one:

```c
void init_all()
{
  ...
}
```
```python
srand(time(NULL));
...
};
...

void check_protection_thread()
{
    // get in 0..9 range
    int t=(int)((double)rand()/3276);
    if (t==5)
    {
        check protection
    }
};

Perhaps, we can find the most optimal UNIX time to start the software, so the protection will not be checked as long as possible...

3.11.4 Further reading

Breaking JavaScript’s PRNG (XorShift128+): https://blog.securityevaluators.com/hacking-the-javascript-lottery-80cc437e3b7f

3.12 Integer factorization using Z3 SMT solver

Integer factorization is method of breaking a composite (non-prime number) into prime factors. Like 12345 = 3*4*823.
Though for small numbers, this task can be accomplished by Z3:

```bash
#!/usr/bin/env python

import random
from z3 import *
from operator import mul

def factor(n):
    print "factorising",n

    in1,in2,out=Ints('in1 in2 out')

    s=Solver()
    s.add(out==n)
    s.add(in1*in2==out)
    # inputs cannot be negative and must be non-1:
    s.add(in1>1)
    s.add(in2>1)

    if s.check()==unsat:
        print n,"is prime (unsat)"
        return [n]
    if s.check()==unknown:
        print n,"is probably prime (unknown)"
        return [n]

    m=s.model()
    # get inputs of multiplier:
```
in1_n=m[in1].as_long()
in2_n=m[in2].as_long()

print "factors of", n, "are", in1_n, "and", in2_n
# factor factors recursively:
rt=sorted(factor (in1_n) + factor (in2_n))
# self-test:
assert reduce(mul, rt, 1)==n
return rt

# infinite test:
def test():
    while True:
        print factor (random.randrange(1000000000))
#test()

print factor(1234567890)

( The source code: https://yurichev.com/SAT_SMT_tree/equations/factor_SMT/factor_z3.py )
When factoring 1234567890 recursively:

% time python z.py
factoring 1234567890
factors of 1234567890 are 342270 and 3607
factoring 342270
factors of 342270 are 2 and 171135
factoring 2
2 is prime (unsat)
factoring 171135
factors of 171135 are 3803 and 45
factoring 3803
3803 is prime (unsat)
factoring 45
factors of 45 are 3 and 15
factoring 3
3 is prime (unsat)
factoring 15
factors of 15 are 5 and 3
factoring 5
5 is prime (unsat)
factoring 3
3 is prime (unsat)
factoring 3607
3607 is prime (unsat)
[2, 3, 3, 5, 3607, 3803]
python z.py 19.30s user 0.02s system 99% cpu 19.443 total

So, 1234567890 = 2*3*3*5*3607*3803.

One important note: there is no primality test, no lookup tables, etc. Prime number is a number for which "x*y=prime" (where x>1 and y>1) diophantine equation (which allows only integers in solution) has no solutions. It can be solved for real numbers, though.

Z3 is not yet good enough for non-linear integer arithmetic and sometimes returns "unknown" instead of "unsat", but, as Leonardo de Moura (one of Z3’s author) commented about this:

...Z3 will solve the problem as a real problem. If no real solution is found, we know there is no integer solution.
If a solution is found, Z3 will check if the solution is really assigning integer values to integer variables.
If that is not the case, it will return unknown to indicate it failed to solve the problem.


Probably, this is the case: we getting "unknown" in the case when a number cannot be factored, i.e., it’s prime. It’s also very slow. Wolfram Mathematica can factor number around $2^{80}$ in a matter of seconds. Still, I’ve written this for demonstration.

The problem of breaking RSA is a problem of factorization of very large numbers, up to $2^{4096}$. It’s currently not possible to do this in practice.

3.13 Integer factorization using SAT solver

In place of epigraph:

It’s somewhat mind-boggling to realize that numbers can be factored without using any number theory! No greatest common divisors, no applications of Fermat’s theorems, etc., are anywhere in sight. We’re providing no hints to the solver except for a bunch of Boolean formulas that operate almost blindly at the bit level. Yet factors are found.

Of course we can’t expect this method to compete with the sophisticated factorization algorithms of Section 4.5.4. But the problem of factoring does demonstrate the great versatility of clauses. And its clauses can be combined with other constraints that go well beyond any of the problems we’ve studied before.

( Donald Knuth, The Art of Computer Programming, section 7.2.2.2, page 10 )
See also: integer factorization using Z3 SMT solver (3.12).

We are going to simulate electronic circuit of binary multiplier in SAT and then ask solver, what multiplier’s inputs must be so the output will be a desired number? If this situation is impossible, the desired number is prime.

First we should build multiplier out of adders.

3.13.1 Binary adder in SAT

Simple binary adder usually constists of full-adders and one half-adder. These are basic elements of adders.

A
B
S
C

Figure 3.5: A half-adder. (The image has been taken from Wikipedia.)

A
B
C_in
S

Figure 3.6: A full-adder. (The image has been taken from Wikipedia.)
The ripple-carry adder can be used for most tasks.

What carries are? 4-bit adder can sum up two numbers up to 0b1111 (15). 15+15=30 and this is 0b11110, i.e., 5 bits. Lowest 4 bits is a sum. 5th most significant bit is not a part of sum, but is a carry bit.

If you sum two numbers on x86 CPU, CF flag is a carry bit connected to ALU\textsuperscript{11}. It is set if a resulting sum is bigger than it can be fit into result.

Now you can also need carry-in. Again, x86 CPU has ADC instruction, it takes CF flag state. It can be said, CF flag is connected to adder’s carry-in input. Hence, combining two ADD and ADC instructions you can sum up 128 bits on 64-bit CPU.

By the way, this is a good explanation of "carry-ripple". The very first full-adder’s result is depending on the carry-out of the previous full-adder. Hence, adders cannot work in parallel. This is a problem of simplest possible adder, other adders can solve this.

To represent full-adders in CNF form, we can use Wolfram Mathematica.

In Mathematica, I’m setting “
\textasciitilde>1” if row is correct and “
\textasciitilde>0” if not correct.

\begin{verbatim}
In[59]:= FaTbl = {{0, 0, 0, 0, 0} \textasciitilde 1, {0, 0, 0, 0, 1} \textasciitilde 0,
{0, 0, 0, 1, 0} \textasciitilde 0, {0, 0, 0, 1, 1} \textasciitilde 0,
{0, 0, 1, 0, 0} \textasciitilde 0, {0, 0, 1, 0, 1} \textasciitilde 1,
{0, 0, 1, 1, 0} \textasciitilde 0, {0, 0, 1, 1, 1} \textasciitilde 0,
{0, 1, 0, 0, 0} \textasciitilde 0, {0, 1, 0, 0, 1} \textasciitilde 1,
{0, 1, 0, 1, 0} \textasciitilde 0, {0, 1, 0, 1, 1} \textasciitilde 0,
{0, 1, 1, 0, 0} \textasciitilde 0, {0, 1, 1, 0, 1} \textasciitilde 1,
{0, 1, 1, 1, 0} \textasciitilde 0, {0, 1, 1, 1, 1} \textasciitilde 0,
{1, 0, 0, 0, 0} \textasciitilde 0, {1, 0, 0, 0, 1} \textasciitilde 1,
{1, 0, 0, 1, 0} \textasciitilde 0, {1, 0, 0, 1, 1} \textasciitilde 0,
{1, 0, 1, 0, 0} \textasciitilde 0, {1, 0, 1, 0, 1} \textasciitilde 0,
{1, 0, 1, 1, 0} \textasciitilde 1, {1, 0, 1, 1, 1} \textasciitilde 0,
{1, 1, 0, 0, 0} \textasciitilde 0, {1, 1, 0, 0, 1} \textasciitilde 1,
{1, 1, 0, 1, 0} \textasciitilde 0, {1, 1, 0, 1, 1} \textasciitilde 0,
{1, 1, 1, 0, 0} \textasciitilde 0, {1, 1, 1, 0, 1} \textasciitilde 1,
{1, 1, 1, 1, 0} \textasciitilde 0, {1, 1, 1, 1, 1} \textasciitilde 0};
\end{verbatim}

\textsuperscript{11}Arithmetic logic unit
Table 3.1: The truth table for full-adder

<table>
<thead>
<tr>
<th>A</th>
<th>B</th>
<th>Cin</th>
<th>Cout</th>
<th>Sum</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>1</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
</tbody>
</table>

0, {1, 1, 0, 1, 0} -> 1, {1, 1, 0, 1, 1} -> 0, {1, 1, 1, 0, 0} -> 0, {1, 1, 1, 0, 1} -> 0, {1, 1, 1, 1, 0} -> 0, {1, 1, 1, 1, 1} -> 1

...
assert len(X) == len(Y)
# first full-adder could be half-adder
# start with lowest bits:
inputs = my_utils.rvr(list(zip(X, Y)))
carry = self.const_false
sums = []
for pair in inputs:
    # "carry" variable is replaced at each iteration.
    # so it is used in the each FA() call from the previous FA() call.
    s, carry = self.FA(pair[0], pair[1], carry)
    sums.append(s)
return my_utils.rvr(sums), carry

3.13.2 Binary multiplier in SAT

Remember school-level long division? This multiplier works in a same way, but for binary digits.

Here is example of multiplying 0b1101 (X) by 0b0111 (Y):

<table>
<thead>
<tr>
<th>LSB</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Y</td>
</tr>
<tr>
<td>1101</td>
<td>← X</td>
</tr>
<tr>
<td>-----</td>
<td>----</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LSB</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0000</td>
</tr>
<tr>
<td>1</td>
<td>1101</td>
</tr>
<tr>
<td>1</td>
<td>1101</td>
</tr>
<tr>
<td>1</td>
<td>1101</td>
</tr>
</tbody>
</table>

If bit from Y is zero, a row is zero. If bit from Y is non-zero, a row is equal to X, but shifted each time. Then you just sum up all rows (which are called "partial products").

This is 4-bit binary multiplier. It takes 4-bit inputs and produces 8-bit output:
I would build separate block, "multiply by one bit" as a latch for each partial product:

```python
def AND_Tseitin(self, v1, v2, out):
    self.add_clause([self.neg(v1), self.neg(v2), out])
    self.add_clause([v1, self.neg(out)])
    self.add_clause([v2, self.neg(out)])

def AND(self, v1, v2):
    out=self.create_var()
    self.AND_Tseitin(v1, v2, out)
    return out
```

# bit is 0 or 1.
# i.e., if it's 0, output is 0 (all bits)
# if it's 1, output=input
def mult_by_bit(self, X, bit):
    return [self.AND(i, bit) for i in X]

# bit order: [MSB..LSB]
# build multiplier using adders and mult_by_bit blocks:
def multiplier(self, X, Y):
    assert len(X) == len(Y)
    out = []
    # initial:
    prev = [self.const_false] * len(X)
    # first adder can be skipped, but I left thing "as is" to make it simpler
    for Y_bit in my_utils.rvr(Y):
        s, carry = self.adder(self.mult_by_bit(X, Y_bit), prev)
        out.append(s[-1])
        prev = [carry] + s[:-1]
    return prev + my_utils.rvr(out)

AND gate is constructed here using Tseitin transformations. This is quite popular way of encoding gates in CNF form, by adding additional variable: [https://en.wikipedia.org/wiki/Tseytin_transformation](https://en.wikipedia.org/wiki/Tseytin_transformation). In fact, full-adder can be constructed without Mathematica, using logic gates, and encoded by Tseitin transformation.

3.13.3 Glueing all together

```python
#!/usr/bin/env python3

import itertools, subprocess, os, math, random
from operator import mul
import my_utils, SAT_lib
import functools

def factor(n):
    print("factoring %d" % n)

    # size of inputs.
    # in other words, how many bits we have to allocate to store 'n'?
    input_bits = int(math.ceil(math.log(n, 2)))
    print("input_bits=%d" % input_bits)

    s = SAT_lib.SAT_lib(maxsat=False)
    factor1, factor2 = s.alloc_BV(input_bits), s.alloc_BV(input_bits)
    product = s.multiplier(factor1, factor2)

    # at least one bit in each input must be set, except lowest.
    # hence we restrict inputs to be greater than 1
    s.fix(s.OR_list(factor1[:-1]), True)
    s.fix(s.OR_list(factor2[:-1]), True)

    # output has a size twice as bigger as each input:
    s.fix_BV(product, SAT_lib.n_to_BV(n, input_bits*2))

    if s.solve() == False:
        print("%d is prime (unsat)" % n)
        return [n]

    # get inputs of multiplier:
```
factor1_n = SAT_lib.BV_to_number(s.get_BV_from_solution(factor1))
factor2_n = SAT_lib.BV_to_number(s.get_BV_from_solution(factor2))

print("factors of %d are %d and %d" % (n, factor1_n, factor2_n))
# factor factors recursively:
rt = sorted(factor(factor1_n) + factor(factor2_n))
assert functools.reduce(mul, rt, 1) == n
return rt

# infinite test:
def test():
    while True:
        print(factor(random.randrange(100000000000)))
#test()
print(factor(1234567890))

I just connect our number to output of multiplier and ask SAT solver to find inputs. If it’s UNSAT, this is prime number. Then we factor factors recursively.

Also, we want block input factors of 1, because obviously, we do not interesting in the fact that n*1=n. I’m using wide OR gates for this.

Output:

```python
% python factor_SAT.py
factoring 1234567890
input_bits=31
factors of 1234567890 are 2 and 617283945
factoring 2
input_bits=1
2 is prime (unsat)
factoring 617283945
input_bits=30
factors of 617283945 are 3 and 205761315
factoring 3
input_bits=2
3 is prime (unsat)
factoring 205761315
input_bits=28
factors of 205761315 are 3 and 68587105
factoring 3
input_bits=2
3 is prime (unsat)
factoring 68587105
input_bits=27
factors of 68587105 are 5 and 13717421
factoring 5
input_bits=3
5 is prime (unsat)
factoring 13717421
input_bits=24
factors of 13717421 are 3607 and 3803
factoring 3607
input_bits=12
3607 is prime (unsat)
factoring 3803
input_bits=12
3803 is prime (unsat)
```
So, $1234567890 = 2 \cdot 3 \cdot 3 \cdot 5 \cdot 3607 \cdot 3803$.

It works way faster than by Z3 solution, but still slow. It can factor numbers up to maybe $-2^{40}$, while Wolfram Mathematica can factor $-2^{40}$ easily.

The full source code: [https://yurichev.com/SAT_SMT_tree/equations/factor_SAT/factor_SAT.py](https://yurichev.com/SAT_SMT_tree/equations/factor_SAT/factor_SAT.py).

### 3.13.4 Division using multiplier

Hard to believe, but why we couldn’t define one of factors and ask SAT solver to find another factor? Then it will divide numbers! But, unfortunately, this is somewhat impractical, since it will work only if remainder is zero:

```python
#!/usr/bin/env python3

import itertools, subprocess, os, math, random
from operator import mul
import my Utils, SAT_lib

def div(dividend, divisor):
    # size of inputs.
    # in other words, how many bits we have to allocate to store 'n'?
    input_bits = int(math.ceil(math.log(dividend, 2)))
    print("input_bits=%d" % input_bits)

    s = SAT_lib.SAT_lib(maxsat=False)

    factor1, factor2 = s.alloc_BV(input_bits), s.alloc_BV(input_bits)
    product = s.multiplier(factor1, factor2)

    # connect divisor to one of multiplier's input:
    s.fix_BV(factor1, SAT_lib.n_to_BV(divisor, input_bits))
    # output has a size twice as bigger as each input.
    # connect dividend to multiplier's output:
    s.fix_BV(product, SAT_lib.n_to_BV(dividend, input_bits*2))

    if s.solve() == False:
        print("remainder!=0 (unsat)")
        return None

    # get 2nd input of multiplier, which is quotient:
    return SAT_lib.BV_to_number(s.get_BV_from_solution(factor2))

print(div(12345678901234567890123456789*12345, 12345))
```


It works very fast, but still, slower than conventional ways.

### 3.13.5 Breaking RSA

It’s not a problem to build multiplier with 4096 bit inputs and 8192 output, but it will not work in practice. Still, you can break toy-level demonstrational RSA problems with key less than $2^{40}$ or something like that (or larger, using Wolfram Mathematica).

### 3.13.6 Further reading

1, 2, 3.
3.14  Recalculating micro-spreadsheet using Z3Py

There is a nice exercise\(^\text{12}\): write a program to recalculate micro-spreadsheet, like this one:

<table>
<thead>
<tr>
<th></th>
<th>0</th>
<th>B0+B2</th>
<th>A0<em>B0</em>C0</th>
</tr>
</thead>
<tbody>
<tr>
<td>123</td>
<td>10</td>
<td>12</td>
<td>11</td>
</tr>
<tr>
<td>667</td>
<td>A0+B1</td>
<td>(C1*A0)*122</td>
<td>A3+C2</td>
</tr>
</tbody>
</table>

The result must be:

<table>
<thead>
<tr>
<th></th>
<th>0</th>
<th>135</th>
<th>82041</th>
</tr>
</thead>
<tbody>
<tr>
<td>123</td>
<td>10</td>
<td>12</td>
<td>11</td>
</tr>
<tr>
<td>667</td>
<td>11</td>
<td>1342</td>
<td>83383</td>
</tr>
</tbody>
</table>

As it turns out, though overkill, this can be solved using MK85 with little effort:

```python
#!/usr/bin/python

from MK85 import *
import sys, re

# MS Excel or LibreOffice style.
# first top-left cell is A0, not A1
def coord_to_name(R, C):
    return "ABCDEFGHIJKLMNOPQRSTUVWXYZ"[R]+str(C)

# open file and parse it as list of lists:
f=open(sys.argv[1],"r")
# filter(None, ...) to remove empty sublists:
ar=filter(None, [item.rstrip().split() for item in f.readlines()])
f.close()

WIDTH=len(ar[0])
HEIGHT=len(ar)

s=MK85()

cells{} is a dictionary with keys like "A0", "B9", etc:
cells=
for R in range(HEIGHT):
    for C in range(WIDTH):
        name=coord_to_name(R, C)
        cells[name]=s.BitVec(name, 32)

cur_R=0
cur_C=0

for row in ar:
    for c in row:
        # string like "A0+B2" becomes "cells["A0"]+cells["B2"]":
c=re.sub(r'(^[A-Z]{1}[0-9]+)', r'cells["\1"]', c)
st="cells[\"%s\"]\%s" % (coord_to_name(cur_R, cur_C), c)
        # evaluate string. Z3Py expression is constructed at this step:
e=eval(st)
        # add constraint:
s.add (e)
cur_C=cur_C+1
```

\(^{12}\)The blog post in Russian: [http://thesz.livejournal.com/280784.html](http://thesz.livejournal.com/280784.html)
cur_R = cur_R + 1
cur_C = 0

if s.check():
    m = s.model()
    for r in range(HEIGHT):
        for c in range(WIDTH):
            sys.stdout.write (str(m[coord_to_name(r, c)])+"\t")
    sys.stdout.write ("\n")

(https://yurichev.com/SAT_SMT_tree/equations/spreadsheet/spreadsheet_MK85.py)

All we do is just creating pack of variables for each cell, named A0, B1, etc, of integer type. All of them are stored in `cells[]` dictionary. Key is a string. Then we parse all the strings from cells, and add to list of constraints $A0=123$ (in case of number in cell) or $A0=B1+C2$ (in case of expression in cell). There is a slight preparation: string like $A0+B2$ becomes `cells["A0"]+cells["B2"]`.

Then the string is evaluated using Python `eval()` method, which is highly dangerous\(^{13}\): imagine if end-user could add a string to cell other than expression? Nevertheless, it serves our purposes well, because this is a simplest way to pass a string with expression into MK85.

3.14.1 Z3

The source code almost the same:

```python
#!/usr/bin/python

from z3 import *
import sys, re

# MS Excel or LibreOffice style.
# first top-left cell is A0, not A1
def coord_to_name(R, C):
    return "ABCDEFGHIJKLMNOPQRSTUVWXYZ"[R]+str(C)

# open file and parse it as list of lists:
f = open(sys.argv[1],"r")
# filter(None, ...) to remove empty sublists:
ar = filter(None, [item.rstrip().split() for item in f.readlines()])
f.close()

WIDTH = len(ar[0])
HEIGHT = len(ar)

cells{} is a dictionary with keys like "A0", "B9", etc:
cells ={}
for R in range(HEIGHT):
    for C in range(WIDTH):
        name = coord_to_name(R, C)
        cells[name] = Int(name)

s = Solver()

cur_R = 0
cur_C = 0

for row in ar:
    for c in row:
```

\(^{13}\)http://stackoverflow.com/questions/1832940/is-using-eval-in-python-a-bad-practice
# string like "A0+B2" becomes "cells["A0"]+cells["B2"]":
c=re.sub(r'([A-Z]\{1\}[0-9]+)', r'\1', c)
st="cells["%s"]=\%s" % (coord_to_name(cur_R, cur_C), c)
# evaluate string. Z3Py expression is constructed at this step:
e=eval(st)
# add constraint:
s.add (e)
cur_C=cur_C+1
cur_R=cur_R+1
cur_C=0

result=str(s.check())
print result
if result=="sat":
    m=s.model()
    for r in range(HEIGHT):
        for c in range(WIDTH):
            sys.stdout.write (str(m[cells[coord_to_name(r, c)]])+"\t")
    sys.stdout.write ("\n")

(https://yurichev.com/SAT_SMT_tree/equations/spreadsheet/spreadsheet_Z3_1.py)

### 3.14.2 Unsat core

Now the problem: what if there is circular dependency? Like:

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0</td>
<td>B0+B2 A0*B0</td>
</tr>
<tr>
<td>123</td>
<td>10</td>
<td>12 11</td>
</tr>
<tr>
<td>C1+123</td>
<td>C0<em>123 A0</em>122</td>
<td>A3+C2</td>
</tr>
</tbody>
</table>

Two first cells of the last row (C0 and C1) are linked to each other. Our program will just tells “unsat”, meaning, it couldn’t satisfy all constraints together. We can’t use this as error message reported to end-user, because it’s highly unfriendly.

However, we can fetch unsat core, i.e., list of variables which Z3 finds conflicting.

```python
s=Solver()
s.set(unsat_core=True)
... # add constraint:
s.assert_and_track(e, coord_to_name(cur_R, cur_C))
...
if result=="sat":
...
else:
    print s.unsat_core()
```

(https://yurichev.com/SAT_SMT_tree/equations/spreadsheet/spreadsheet_Z3_2.py)

We must explicitly turn on unsat core support and use `assert_and_track()` instead of `add()` method, because this feature slows down the whole process, and is turned off by default. That works:

```
% python 2.py test_circular
unsat
[C0, C1]
```

Perhaps, these variables could be removed from the 2D array, marked as unresolved and the whole spreadsheet could be recalculated again.
3.14.3 Stress test

How to generate large random spreadsheet? What we can do. First, create random DAG\textsuperscript{14}, like this one:

![Random DAG](image)

**Figure 3.10: Random DAG**

Arrows will represent information flow. So a vertex (node) which has no incoming arrows to it (indegree=0), can be set to a random number. Then we use topological sort to find dependencies between vertices. Then we assign spreadsheet cell names to each vertex. Then we generate random expression with random operations/numbers/cells to each cell, with the use of information from topological sorted graph.

\begin{verbatim}
(* Utility functions *)
In[1]:= findSublistBeforeElementByValue[lst_,element_]:=lst[[1;;Position[lst, element][[1]]-1]]

(* Input in ∞1.. range. 1->A0, 2->A1, etc *)
\end{verbatim}

\textsuperscript{14}Directed acyclic graph
The script generates random graphs and assigns random expressions and numbers to their vertices. It then exports the results as a tab-separated values (TSV) file. Here is an output from the `Grid[]` function:

```
<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>846</td>
<td>499</td>
<td></td>
</tr>
<tr>
<td>B4*860+D2</td>
<td>999</td>
<td>59</td>
</tr>
<tr>
<td>G6*379-C3-436-C4-289+H6</td>
<td>972</td>
<td>804</td>
</tr>
<tr>
<td>F2</td>
<td>E0</td>
<td>B6-731-D3+791+B4*92+C1</td>
</tr>
<tr>
<td>519</td>
<td>G1<em>402+D1</em>107<em>G3-458</em>A1</td>
<td>D3</td>
</tr>
<tr>
<td>F5-531+B5-222*E4</td>
<td>9</td>
<td>B5+106*B6+600-B1</td>
</tr>
<tr>
<td>C3-956*A5</td>
<td>G4<em>408-D3</em>290<em>B6-899</em>G5+400+F1</td>
<td>B2-701+H6</td>
</tr>
<tr>
<td>B4-792<em>H4</em>407+F6-425-E1</td>
<td>D2</td>
<td>D3</td>
</tr>
</tbody>
</table>
```

Using this script, I can generate random spreadsheet of 26 · 500 = 13000 cells, which seems to be processed by Z3 in couple of seconds.
### 3.14.4 The files

The files, including Mathematica notebook: [https://yurichev.com/SAT_SMT_tree/equations/spreadsheet](https://yurichev.com/SAT_SMT_tree/equations/spreadsheet).

### 3.15 Discrete tomography

How computed tomography (CT scan) actually works? A human body is bombarded by X-rays in various angles by X-ray tube in rotating torus. X-ray detectors are also located in torus, and all the information is recorded.

Here is we can simulate simple tomograph. An “i” character is rotating and will be “enlighten” at 4 angles. Let’s imagine, character is bombarded by X-ray tube at left. All asterisks in each row is then summed and sum is "received" by X-ray detector at the right.

```plaintext
WIDTH= 11 HEIGHT= 11
angle = (/4) * 0
   **  2
   **  2
   ***  3
   **  2
   **  2
   **  2
   **  2
   ****  4

[2, 2, 0, 3, 2, 2, 2, 2, 2, 4, 0] ,
angle = (/4) * 1
   0
   0
   *  1
   **  2
   *  1
   **  2
   **  2
   ****  4
   *  1
   *  1

[0, 0, 1, 2, 1, 2, 2, 4, 1, 1, 0] ,
angle = (/4) * 2
   0
   0
   *  1
   **  9
   **  9
   *  2

[0, 0, 0, 0, 1, 9, 9, 2, 0, 0, 0] ,
angle = (/4) * 3
   0
   0
   *  1
   **  2
```
All we got from our toy-level tomograph is 4 vectors, these are sums of all asterisks in rows for 4 angles:

\[
\begin{align*}
0, 1, 2, 3, 3, 2, 0, 2, 1, 0, & \\
0, 0, 1, 2, 2, 2, 2, 2, 2, 0, & \\
0, 0, 0, 0, 1, 9, 9, 2, 0, 0, & \\
0, 0, 1, 2, 3, 3, 2, 0, 2, 1, & 
\end{align*}
\]

How do we recover initial image? We are going to represent 11*11 matrix, where sum of each row must be equal to some value we already know. Then we rotate matrix, and do this again.

For the first matrix, the system of equations looks like that (we put there a values from the first vector):

\[
\begin{align*}
C_{1,1} + C_{1,2} + C_{1,3} + \ldots + C_{1,11} &= 2 \\
C_{2,1} + C_{2,2} + C_{2,3} + \ldots + C_{2,11} &= 2 \\
\vdots \\
C_{10,1} + C_{10,2} + C_{10,3} + \ldots + C_{10,11} &= 4 \\
C_{11,1} + C_{11,2} + C_{11,3} + \ldots + C_{11,11} &= 0 
\end{align*}
\]

We also build similar systems of equations for each angle.

The “rotate” function has been taken from the generation program, because, due to Python’s dynamic typization nature, it’s not important for the function to what operate on: strings, characters, or Z3 variable instances, so it works very well for all of them.

```python
#-- coding: utf-8 --#
import math, sys from z3 import *

# https://en.wikipedia.org/wiki/Rotation_matrix
def rotate(pic, angle):
    WIDTH=len(pic[0])
    HEIGHT=len(pic)
    #print WIDTH, HEIGHT
    assert WIDTH==HEIGHT
    ofs=WIDTH/2

    out = [[0 for x in range(WIDTH)] for y in range(HEIGHT)]

    for x in range(-ofs,ofs):
        for y in range(-ofs,ofs):
            newX = int(round(math.cos(angle)*x - math.sin(angle)*y,3))+ofs
            newY = int(round(math.sin(angle)*x + math.cos(angle)*y,3))+ofs
            # clip at boundaries, hence min(..., HEIGHT-1)
            out[min(newX,HEIGHT-1)][min(newY,WIDTH-1)]=pic[x+ofs][y+ofs]

    return out

vectors=[
    [2, 2, 0, 3, 2, 2, 2, 2, 2, 4, 0] ,
    [0, 0, 1, 2, 1, 2, 2, 4, 1, 1, 0] ,
    [0, 0, 0, 0, 1, 9, 9, 2, 0, 0, 0] ,
    [0, 0, 1, 2, 3, 3, 2, 0, 2, 1, 0] ,
]
```

( The source code: https://yurichev.com/SAT_SMT_tree/equations/tomo/gen.py )
[0, 0, 1, 2, 1, 2, 2, 4, 1, 1, 0],
[0, 0, 0, 0, 1, 9, 9, 2, 0, 0, 0],
[0, 0, 1, 2, 3, 3, 2, 0, 2, 1, 0]]

WIDTH = HEIGHT = len(vectors[0])

s = Solver()
cells = [[Int('cell_r=%d_c=%d' % (r, c)) for c in range(WIDTH)] for r in range(HEIGHT)]

# monochrome picture, only 0's or 1's:
for c in range(WIDTH):
    for r in range(HEIGHT):
        s.add(Or(cells[r][c] == 0, cells[r][c] == 1))

def all_zeroes_in_vector(vec):
    for v in vec:
        if v != 0:
            return False
    return True

ANGLES = len(vectors)
for a in range(ANGLES):
    angle = a * (math.pi / ANGLES)
    rows = rotate(cells, angle)
    r = 0
    for row in rows:
        # skip empty rows:
        if all_zeroes_in_vector(row) == False:
            # sum of row must be equal to the corresponding element of vector:
            s.add(Sum(*row) == vectors[a][r])
        r = r + 1

print s.check()
m = s.model()
for r in range(HEIGHT):
    for c in range(WIDTH):
        if str(m[cells[r][c]]) == "1":
            sys.stdout.write("*")
        else:
            sys.stdout.write(" ")
    print "

( The source code: https://yurichev.com/SAT_SMT_tree/equations/tomo/solve.py)
That works:

% python solve.py
sat
***
**
**
*
In other words, all SMT-solver does here is solving a system of equations. So, 4 angles are enough. What if we could use only 3 angles?

```
WIDTH= 11 HEIGHT= 11
angle = (/3)*0
 ** 2
 ** 2
 *** 3
 ** 2
 ** 2
 ** 2
 ** 2
 **** 4
0
[2, 2, 0, 3, 2, 2, 2, 2, 4, 0],
angle = (/3)*1
0
0
0
 ** 2
 ** 2
 *** 3
 **** 4
 ** 2
 * 1
0
0
[0, 0, 0, 2, 2, 3, 4, 2, 1, 0, 0],
angle = (/3)*2
0
0
0
 ** 2
 ** 2
 ***** 5
 ** 2
 ** 2
 * 1
0
0
[0, 0, 0, 2, 2, 5, 2, 2, 1, 0, 0],
```

No, it's not enough:

```
% time python solve3.py
sat
 * *
 **
 * **
 **
 * *
 **
 * *
 * *
 ****
```
However, the result is correct, but only 3 vectors allows too many possible “initial images”, and Z3 SMT-solver finds first.


3.16 Cribbage

I’ve found this problem in the Ronald L. Graham, Donald E. Knuth, Oren Patashnik – “Concrete Mathematics” book:

Cribbage players have long been aware that \(15 = 7 + 8 = 4 + 5 + 6 = 1 + 2 + 3 + 4 + 5\). Find the number of ways to represent 1050 as a sum of consecutive positive integers. (The trivial representation ‘1050’ by itself counts as one way; thus there are four, not three, ways to represent 15 as a sum of consecutive positive integers. Incidentally, a knowledge of cribbage rules is of no use in this problem.)

My solution:

```python
#!/usr/bin/env python
from z3 import *

def attempt(terms, N):
    # print "terms = %d" % terms
    cells=[Int('%d' % i) for i in range(terms)]
    s=Solver()
    for i in range(terms-1):
        s.add(cells[i]+1 == cells[i+1])
    s.add(Sum(cells)==N)
    s.add(cells[0]>0)
    if s.check()==sat:
        m=s.model()
        print "(%d terms) %d + ... + %d == %d" % (terms, m[cells[0]].as_long(), m[cells[terms-1]].as_long(), N)

#N=15
N=1050

for i in range(2,N):
    attempt(i, N)
```

The result:

- (3 terms) 349 + ... + 351 == 1050
- (4 terms) 261 + ... + 264 == 1050
- (5 terms) 208 + ... + 212 == 1050
- (7 terms) 147 + ... + 153 == 1050
- (12 terms) 82 + ... + 93 == 1050
- (15 terms) 63 + ... + 77 == 1050
- (20 terms) 43 + ... + 62 == 1050
- (21 terms) 40 + ... + 60 == 1050
3.17 Solving Problem Euler 31: “Coin sums”

In England the currency is made up of pound, £, and pence, p, and there are eight coins in general circulation:
1p, 2p, 5p, 10p, 20p, 50p, £1 (100p) and £2 (200p). It is possible to make £2 in the following way:
1£1 + 150p + 220p + 15p + 12p + 31p How many different ways can £2 be made using any number of coins?

Problem Euler 31 — Coin sums

Using Z3 for solving this is overkill, and also slow, but nevertheless, it works, showing all possible solutions as well. The piece of code for blocking already found solution and search for next, and thus, counting all solutions, was taken from Stack Overflow answer 15. This is also called “model counting”. Constraints like “a>=0” must be present, because Z3 solver will find solutions with negative numbers.

```
#!/usr/bin/python

from z3 import *

a,b,c,d,e,f,g,h = Ints('a b c d e f g h')
s = Solver()
s.add(1*a + 2*b + 5*c + 10*d + 20*e + 50*f + 100*g + 200*h == 200,
   a>=0, b>=0, c>=0, d>=0, e>=0, f>=0, g>=0, h>=0)
result=[]
while True:
   if s.check() == sat:
      m = s.model()
      print m
      result.append(m)
      # Create a new constraint the blocks the current model
      block = []
      for d in m:
         # create a constant from declaration
         c=d()
         block.append(c != m[d])
      s.add(Or(block))
   else:
      print len(result)
      break
```

Works very slow, and this is what it produces:

[h = 0, g = 0, f = 0, e = 0, d = 0, c = 0, b = 0, a = 200]
[f = 1, b = 5, a = 0, d = 1, g = 1, h = 0, c = 2, e = 1]
[f = 0, b = 1, a = 153, d = 0, g = 0, h = 0, c = 1, e = 2]
...
[f = 0, b = 31, a = 33, d = 2, g = 0, h = 0, c = 17, e = 0]

3.18 Exercise 15 from TAOCP “7.1.3 Bitwise tricks and techniques”

Page 53 from the fasc1a.ps, or: http://www.cs.utsa.edu/~wagner/knuth/fasc1a.pdf

J. H. Quick noticed that \((x+2) \oplus 3 - 2 = (x-2) \oplus 3 + 2\) for all \(x\). Find all constants \(a\) and \(b\) such that \(((x + a) \oplus b) - a = ((x - a) \oplus b) + a\) is an identity.

Figure 3.11: Page 53

Solution:

```python
from z3 import *
s=Solver()
a, b=BitVecs('a b', 4)
x, y=BitVecs('x y', 4)
s.add(ForAll(x, ForAll(y, ((x+a)^b)-a == ((x-a)^b)+a )))
# enumerate all possible solutions:
results=[]
while True:
    if s.check() == sat:
        m = s.model()
        print m

        results.append(m)
        block = []
        for d in m:
            c=d()
            block.append(c != m[d])
        s.add(Or(block))
    else:
        print "results total=", len(results)
        break
```

For 4-bit bitvectors:

```plaintext
[7, 0]
[6, 8]
[7, 8]
[6, 12]
[7, 12]
[12, 0]
[13, 0]
[12, 8]
[13, 8]
[12, 4]
```
3.19 Generating de Bruijn sequences using Z3

(Mathematics for Programmers\(^\text{16}\) has a part about de Bruijn sequences.)

The following piece of quite esoteric code calculates number of leading zero bits\(^\text{17}\):

```python
int v[64] =
{ -1,31, 8,30, -1, 7,-1,-1, 29,-1,26, 6, -1,-1, 2,-1,
  -1,28,-1,-1, -1,19,25,-1, 5,-1,17,-1, 23,14, 1,-1,
   9,-1,-1,-1, 27,-1, 3,-1, -1,-1,20,-1, 18,24,15,10,
    -1,-1, 4,-1, 21,-1,16,11, -1,22,-1,12, 13,-1, 0,-1
};

int LZCNT(uint32_t x)
{
    x |= x >> 1;
    x |= x >> 2;
    x |= x >> 4;
    x |= x >> 8;
    x |= x >> 16;
    x *= 0x4badf0d;
    return v[x >> 26];
}
```

(This is usually done using simpler algorithm, but it will contain conditional jumps, which is bad for CPUs starting at RISC. There are no conditional jumps in this algorithm.)

The magic number used here is called de Bruijn sequence, and I once used bruteforce to find it (one of the results was \texttt{0x4badf0d}, which is used here). But what if we need magic number for 64-bit values? Bruteforce is not an option here.

If you already read about these sequences in my blog or in other sources, you can see that the 32-bit magic number is a number consisting of 5-bit overlapping chunks, and all chunks must be unique, i.e., must not be repeating.

For 64-bit magic number, these are 6-bit overlapping chunks.

To find the magic number, one can find a Hamiltonian path of a de Bruijn graph. But I’ve found that Z3 is also can do this, though, overkill, but this is more illustrative.

```python
#!/usr/bin/python
from z3 import *

out = BitVec('out', 64)

tmp=[]
for i in range(64):
    tmp.append((out>>i)&0x3F)
```

\(^\text{16}\)https://yurichev.com/writings/Math-for-programmers.pdf
\(^\text{17}\)https://en.wikipedia.org/wiki/Find_first_set
We just enumerate all overlapping 6-bit chunks and tell Z3 that they must be unique (see `Distinct`). Output:

```
sat
0x79c52dd0991abf60
```

```
100000
110000
011000
101100
110110
111011
111110
111111
011111
101111
010111
101011
010101
101010
110101
011010
001101
000110
100011
010001
001000
100100
110010
011001
001100
100110
010101
001001
000100
000010
100001
010000
101000
110100
111010
011101
011101
```
Overlapping chunks are clearly visible. So the magic number is 0x79c52dd0991abf60. Let’s check:

```c
#include <stdint.h>
#include <stdio.h>
#include <assert.h>
#define MAGIC 0x79c52dd0991abf60
int magic_tbl[64];

// returns single bit position counting from LSB
// not works for i=0
int bitpos (uint64_t i)
{
    return magic_tbl[(MAGIC/i) & 0x3F];
};

// count trailing zeroes
// not works for i=0
int tzcnt (uint64_t i)
{
    uint64_t a=i & (-i);
    return magic_tbl[(MAGIC/a) & 0x3F];
};

int main()
{
    // construct magic table
    // may be omitted in production code
    for (int i=0; i<64; i++)
        magic_tbl[i] = ...;
}
```
magic_tbl[(MAGIC/(1ULL<<i)) & 0x3F]=i;

    // test
    for (int i=0; i<64; i++)
    {
        printf("input=0x%llx, result=%d\n", 1ULL<<i, bitpos(1ULL<<i));
        assert(bitpos(1ULL<<i)==i);
    }
    assert(tzcnt(0xFFFF0000)==16);
    assert(tzcnt(0xFFFF0010)==4);
    
That works!

3.20 Solving the $x^y = 19487171$ equation

Find x,y for $x^y = 19487171$. The correct result x=11, y=7. It’s like \url{http://reference.wolfram.com/language/ref/Surd.html}.

The non-standard function \texttt{bvmul_no_overflow} is used here. It behaves like \texttt{bvmul}, but high part is forced to be zero. This is not like most programming languages and CPUs do multiplication (the result there is modulo $2^n$, where $n$ is width of CPU register). However, thus it’s simpler for me to write this all without adding additional \texttt{zero_extend} function.

\begin{verbatim}
; tested with MK85
(set-logic QF_BV)
(declare-fun x () (_ BitVec 32))
(declare-fun y () (_ BitVec 4))
(declare-fun out () (_ BitVec 32))

; like switch() or if() tree:
(assert (= out
  (ite (= y #x2) (bvmul_no_overflow x x)
   (ite (= y #x3) (bvmul_no_overflow x x x)
    (ite (= y #x4) (bvmul_no_overflow x x x x)
     (ite (= y #x5) (bvmul_no_overflow x x x x x)
      (ite (= y #x6) (bvmul_no_overflow x x x x x x)
       (ite (= y #x7) (bvmul_no_overflow x x x x x x)
        (_ bv0 32))))))))))

(assert (= out (_ bv19487171 32)))
(check-sat)
(get-model)
\end{verbatim}

Listing 3.2: The solution

\begin{verbatim}
(model
  (define-fun x () (_ BitVec 32) (_ bv11 32)) ; 0xb
  (define-fun y () (_ BitVec 4) (_ bv7 4)) ; 0x7
  (define-fun out () (_ BitVec 32) (_ bv19487171 32)) ; 0x12959c3
)
\end{verbatim}

It is important to note that MK85 has no idea about Newton’s method of finding square/cubic/etc roots...
SAT/SMT solvers can’t prove correctness of something, or if the model behaves as the author wanted.
However, it can prove equivalence of two expressions or models.

4.1 Using Z3 theorem prover to prove equivalence of some weird alternative to XOR operation

(The test was first published in my blog at April 2015: http://blog.yurichev.com/node/86).
There is a “A Hacker’s Assistant” program1 (Aha!) written by Henry Warren, who is also the author of the great “Hacker’s Delight” book.

The Aha! program is essentially superoptimizer2, which blindly brute-force a list of some generic RISC CPU instructions to achieve shortest possible (and jumpless or branch-free) CPU code sequence for desired operation. For example, Aha! can find jumpless version of abs() function easily.

Compiler developers use superoptimization to find shortest possible (and/or jumpless) code, but I tried to do otherwise—to find longest code for some primitive operation. I tried Aha! to find equivalent of basic XOR operation without usage of the actual XOR instruction, and the most bizarre example Aha! gave is:

```
Found a 4-operation program:
    add r1,ry,rx
    and r2,ry,rx
    mul r3,r2,-2
    add r4,r3,r1
Expr: (((y & x)*-2) + (y + x))
```

And it’s hard to say, why/where we can use it, maybe for obfuscation, I’m not sure. I would call this suboptimization (as opposed to superoptimization). Or maybe superdeoptimization.

But my another question was also, is it possible to prove that this is correct formula at all? The Aha! checking some input/output values against XOR operation, but of course, not all the possible values. It is 32-bit code, so it may take very long time to try all possible 32-bit inputs to test it.

We can try Z3 theorem prover for the job. It’s called prover, after all.

So I wrote this:

```
#!/usr/bin/python
from z3 import *

x = BitVec('x', 32)
y = BitVec('y', 32)
output = BitVec('output', 32)
s = Solver()
s.add(x^y==output)
s.add(((y & x)*0xFFFFFFFE) + (y + x)!=output)
```

1http://www.hackersdelight.org/
2http://en.wikipedia.org/wiki/Superoptimization
In plain English language, this means “are there any case for \( x \) and \( y \) where \( x \oplus y \) doesn’t equals to \( ((y \& x) \times -2) + (y + x) \)?” …and Z3 prints “unsat”, meaning, it can’t find any counterexample to the equation. So this Aha! result is proved to be working just like XOR operation.

Oh, I also tried to extend the formula to 64 bit:

```
#!/usr/bin/python
from z3 import *

x = BitVec('x', 64)
y = BitVec('y', 64)
output = BitVec('output', 64)
s = Solver()
s.add(x^y==output)
s.add(((y & x)*0xFFFFFFFE) + (y + x)!=output)
print s.check()
```

Nope, now it says “sat”, meaning, Z3 found at least one counterexample. Oops, it’s because I forgot to extend -2 number to 64-bit value:

```
#!/usr/bin/python
from z3 import *

x = BitVec('x', 64)
y = BitVec('y', 64)
output = BitVec('output', 64)
s = Solver()
s.add(x^y==output)
s.add(((y & x)*0xFFFFFFFFFFFFFFFE) + (y + x)!=output)
print s.check()
```

Now it says “unsat”, so the formula given by Aha! works for 64-bit code as well.

**4.1.1 In SMT-LIB form**

Now we can rephrase our expression to more suitable form: \((x + y - ((x \& y) \ll 1))\). It also works well in Z3Py:

```
#!/usr/bin/python
from z3 import *

x = BitVec('x', 64)
y = BitVec('y', 64)
output = BitVec('output', 64)
s = Solver()
s.add(x^y==output)
s.add((x + y - ((x & y)<<1)) != output)
print s.check()
```

Here is how to define it in SMT-LIB way:

```
(declare-const x (_ BitVec 64))
(declare-const y (_ BitVec 64))
(assert
 (not
  (= 
    (bvsub 
      (bvadd x y)
    (bvshl (bvand x y) (_ bv1 64))
    (bvxor x y)

```

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4.1.2 Using universal quantifier

Z3 supports universal quantifier exists, which is true if at least one set of variables satisfied underlying condition:

```
(declare-const x (_ BitVec 64))
(declare-const y (_ BitVec 64))
(assert
  (exists ((x (_ BitVec 64)) (y (_ BitVec 64)))
    (not (= (bvsub (bvadd x y) (bvshl (bvand x y) (_ bv1 64))
      (bvxor x y))
  ))
)
(check-sat)
```

It returns “unsat”, meaning, Z3 couldn’t find any counterexample of the equation, i.e., it’s not exist.

This is also known as $\exists$ in mathematical logic lingo.

Z3 also supports universal quantifier forall, which is true if the equation is true for all possible values. So we can rewrite our SMT-LIB example as:

```
(declare-const x (_ BitVec 64))
(declare-const y (_ BitVec 64))
(assert
  (forall ((x (_ BitVec 64)) (y (_ BitVec 64)))
    (= (bvsub (bvadd x y) (bvshl (bvand x y) (_ bv1 64))
      (bvxor x y))
  )
)
(check-sat)
```

It returns “sat”, meaning, the equation is correct for all possible 64-bit $x$ and $y$ values, like them all were checked.

Mathematically speaking: $\forall n \in \mathbb{N} \ (x \oplus y = (x + y - ((x \& y) \ll 1))$ \(^3\)

4.1.3 How the expression works

First of all, binary addition can be viewed as binary XORing with carrying (2.3.2). Here is an example: let’s add 2 (10b) and 2 (10b). XORing these two values resulting 0, but there is a carry generated during addition of two second bits. That carry bit is propagated further and settles at the place of the 3rd bit: 100b. 4 (100b) is hence a final result of addition.

If the carry bits are not generated during addition, the addition operation is merely XORing. For example, let’s add 1 (1b) and 2 (10b). 1 + 2 equals to 3, but 1 $\oplus$ 2 is also 3.

\(^3\forall \) means equation must be true for all possible values, which are choosen from natural numbers ($\mathbb{N}$).
If the addition is XORing plus carry generation and application, we should eliminate effect of carrying somehow here. The first part of the expression \((x + y)\) is addition, the second \(((x\&y) \ll 1)\) is just calculation of every carry bit which was used during addition. If to subtract carry bits from the result of addition, the only XOR effect is left then.

It’s hard to say how Z3 proves this: maybe it just simplifies the equation down to single XOR using simple boolean algebra rewriting rules?

### 4.2 Proving bizarre XOR alternative using SAT solver

Now let’s try to prove it using SAT.

We would build an electric circuit for \(x \oplus y = -2 \ast (x\&y) + (x + y)\) like that:

And now we can implement EQ block using XOR and OR:

So it has two parts: generic XOR block and a block which must be equivalent to XOR. Then we compare its outputs using XOR and OR. If outputs of these parts are always equal to each other for all possible \(x\) and \(y\), output of the whole block must be 0.

I do otherwise, I’m trying to find such an input pair, for which output will be 1:

```python
def chk1():   
    input_bits=8
    
    s=SAT_lib.SAT_lib(False)
    
    x,y=s.alloc_BV(input_bits),s.alloc_BV(input_bits)
    step1=s.BV_AND(x,y)
    minus_2=[s.const_true]*(input_bits-1)+[s.const_false]
    product=s.multiplier(step1,minus_2)[input_bits:]
    result1=s.adder(s.adder(product, x)[0], y)[0]
```
result2 = s.BV_XOR(x, y)

s.fix(s.OR(s.BV_XOR(result1, result2)), True)

if s.solve() == False:
    print("unsat")
    return

print("sat")
print("x=%x" % SAT_lib.BV_to_number(s.get_BV_from_solution(x)))
print("y=%x" % SAT_lib.BV_to_number(s.get_BV_from_solution(y)))
print("step1=%x" % SAT_lib.BV_to_number(s.get_BV_from_solution(step1)))
print("product=%x" % SAT_lib.BV_to_number(s.get_BV_from_solution(product)))
print("result1=%x" % SAT_lib.BV_to_number(s.get_BV_from_solution(result1)))
print("result2=%x" % SAT_lib.BV_to_number(s.get_BV_from_solution(result2)))
print("minus_2=%x" % SAT_lib.BV_to_number(s.get_BV_from_solution(minus_2)))

The full source code: https://yurichev.com/SAT_SMT_tree/proofs/XOR_SAT/XOR_SAT.py.
SAT solver returns "unsat", meaning, it couldn’t find such a pair. In other words, it couldn’t find a counterexample. So the circuit always outputs 0, for all possible inputs, meaning, outputs of two parts are always the same.
Modify the circuit, and the program will find such a state, and print it.
That circuit also called "miter". According to Google translate, one meaning of the word is:

a joint made between two pieces of wood or other material at an angle of 90°, such that the line of junction bisects this angle.

It’s also slow, because multiplier block is used: so we use small 8-bit x’s and y’s.
But the whole thing can be rewritten: \(x \oplus y = x + y - (x \& y) << 1\). And subtraction is addition, but with one negated operand. So, \(x \oplus y = \neg(x \& y) << 1 + (x + y)\) or \(x \oplus y = (x \& y) * 2 - (x + y)\).

**NEG is negation block, in two’s complement system. It just inverts all bits and adds 1:**

```python
def NEG(self, x):
    # invert all bits
    tmp = self.BV_NOT(x)
    # add 1
    one = self.alloc_BV(len(tmp))
    self.fix_BV(one, n_to_BV(1, len(tmp)))
    return self.adder(tmp, one)[0]
```

Shift by one bit does nothing except rewiring.
That works way faster, and can prove correctness for 64-bit x’s and y’s, or for even bigger input values:

```python
def chk2():
    input_bits = 64
    s = SAT_lib.SAT_lib(False)
    x, y = s.alloc_BV(input_bits), s.alloc_BV(input_bits)
    step1 = s.BV_AND(x, y)
```
4.3 Dietz’s formula

One of the impressive examples of Aha! work is finding of Dietz’s formula\(^4\), which is the code of computing average number of two numbers without overflow (which is important if you want to find average number of numbers like 0xFFFFFFFF and so on, using 32-bit registers).

Taking this in input:

```c
int userfun(int x, int y) { // To find Dietz's formula for
  // the floor-average of two
  // unsigned integers.
  return ((unsigned long long)x + (unsigned long long)y) >> 1;
}
```

...the Aha! gives this:

**Found a 4-operation program:**

```
and r1,ry,rx
oxor r2,ry,rx
shrs r3,r2,1
add r4,r3,r1
Expr: (((y ^ x) >>1) + (y & x))
```

And it works correctly\(^5\). But how to prove it?

We will place Dietz’s formula on the left side of equation and \(x + y/2\) (or \(x + y >> 1\)) on the right side:

\[
\forall n \in 0..2^{64} - 1. (x \& y) + (x \oplus y) >> 1 = x + y >> 1
\]

One important thing is that we can’t operate on 64-bit values on right side, because result will overflow. So we will zero extend inputs on right side by 1 bit (in other words, we will just 1 zero bit before each value). The result of Dietz’s formula will also be extended by 1 bit. Hence, both sides of the equation will have a width of 65 bits:

```python
(declare-const x (_ BitVec 64))
(declare-const y (_ BitVec 64))
(assert

\[^4\]http://aggregate.org/MAGIC/#Average%20of%20Integers

\[^5\]For those who interesting how it works, its mechanics is closely related to the weird XOR alternative we just saw. That’s why I placed these two pieces of text one after another.
(forall ((x (_ BitVec 64)) (y (_ BitVec 64)))
    (= 
        ((_ zero_extend 1)
            (bvadd
                (bvand x y)
                (bvlshr (bvxor x y) (_ bv1 64))
            )
        )
    )
)
(check-sat)

Z3 says “sat”.

65 bits are enough, because the result of addition of two biggest 64-bit values has width of 65 bits: 
0xFF...FF + 0xFF...FF = 0x1FF...FE.

As in previous example about XOR equivalent, (not (= ... )) and exists can also be used here instead of forall.

### 4.4 XOR swapping algorithm

This is well-known XOR swap algorithm (which don’t use additional variable). How it works?

```python
#!/usr/bin/env python
from z3 import *
init_X, init_Y=BitVecs('init_X init_Y', 32)
X, Y=init_X, init_Y
X=X^Y
Y=Y^X
X=X^Y
print "X=", X
print "Y=", Y
s=Solver()
s.add(init_X^init_Y != X^Y)
print s.check()
```

Now we see a final states of X/Y variables:

```
X= init_X ^ init_Y ^ init_Y ^ init_X ^ init_Y
Y= init_Y ^ init_X ^ init_Y
unsat
```

Z3 gave "unsat", meaning, it can’t find any counterexample to the last equation (line 18). Hence, the equation is correct and so is the whole algorithm.
4.4.1 In SMT-LIB form

; tested with Z3 and MK85
; prove that XOR swap algorithm is correct.
; https://en.wikipedia.org/wiki/XOR_swap_algorithm

; initial: X1, Y1
; X2 := X1 XOR Y1
; Y3 := Y1 XOR X2
; X4 := X2 XOR Y3
; prove X1=Y3 and Y1=X4 for all

; must be unsat, of course

; needless to say that other SMT solvers may use simplification to prove this, MK85 can't do it,
; it "proves" on SAT level, by absence of counterexample to the expressions.

(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun x1 () (_ BitVec 32))
(declare-fun y1 () (_ BitVec 32))
(declare-fun x2 () (_ BitVec 32))
(declare-fun y3 () (_ BitVec 32))
(declare-fun x4 () (_ BitVec 32))

(assert (= x2 (bvxor x1 y1)))
(assert (= y3 (bvxor y1 x2)))
(assert (= x4 (bvxor x2 y3)))

(assert (not (and (= x4 y1) (= y3 x1))))

(check-sat)

; tested with Z3 and MK85
; prove that XOR swap algorithm (using addition/subtraction) is correct.
; https://en.wikipedia.org/wiki/XOR_swap_algorithm

; initial: X1, Y1
; X2 := X1 ADD Y1
; Y3 := X2 SUB Y1
; X4 := X2 SUB Y3
; prove X1=Y3 and Y1=X4 for all

; must be unsat, of course

; needless to say that other SMT solvers may use simplification to prove this, MK85 can't do it,
; it "proves" on SAT level, by absence of counterexample to the expressions.

(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun x1 () (_ BitVec 32))
4.5 Simplifying long and messy expressions using Mathematica and Z3

...which can be results of Hex-Rays and/or manual rewriting.

I’ve added to my RE4B book about Wolfram Mathematica capabilities to minimize expressions 6.

Today I stumbled upon this Hex-Rays output:

```c
if ( ( x != 7 || y!=0 ) && (x < 6 || x > 7) )
{
    ...
};
```

Both Mathematica and Z3 (using “simplify” command) can’t make it shorter, but I’ve got that gut feeling there is something redundant.

Let’s take a look at the right part of the expression. If $x$ must be less than 6 OR greater than 7, then it can hold any value except 6 AND 7, right? So I can rewrite this manually:

```c
if ( ( x != 7 || y!=0 ) && x != 6 && x != 7) )
{
    ...
};
```

And this is what Mathematica can simplify:

```
In[1]:= BooleanMinimize[(x != 7 || y != 0) && (x != 6 && x != 7)]
Out[1]:= x != 6 && x != 7
```

$y$ gets reduced.

But am I really right? And why Mathematica and Z3 didn’t simplify this at first place?

I can use Z3 to prove that these expressions are equal to each other:

```python
#!usr/bin/env python
from z3 import *
x=Int('x')
y=Int('y')
s=Solver()
exp1=And(Or(x!=7, y!=0), Or(x<6, x>7))
exp2=And(x!=6, x!=7)
s.add(exp1!=exp2)
```

print simplify(exp1)  # no luck
print s.check()
print s.model()

Z3 can't find counterexample, so it says "unsat", meaning, these expressions are equivalent to each other. So I've rewritten this expression in my code, tests has been passed, etc.

Yes, using both Mathematica and Z3 is overkill, and this is basic boolean algebra, but after ~10 hours of sitting at a front of computer you can make really dumb mistakes, and additional proof that your piece of code is correct is never unwanted.

### 4.6 Bit reverse function

This is quite popular function. Unfortunately, such a hackish code is error-prone, an unnoticed typo can easily creep in.

```c
#define __constant_bitrev32(x) 
({ 
    u32 ___x = x; 
    ___x = (__x >> 16) | (__x << 16); 
    ___x = ((__x & (u32)0xFF00FF00UL) >> 8) | ((__x & (u32)0x00FF00FFUL) << 8); 
    ___x = ((__x & (u32)0xF0F0F0F0UL) >> 4) | ((__x & (u32)0x0F0F0F0FUL) << 4); 
    ___x = ((__x & (u32)0xCCCCCCCCUL) >> 2) | ((__x & (u32)0x33333333UL) << 2); 
    ___x = ((__x & (u32)0xAAAAAAAAUL) >> 1) | ((__x & (u32)0x55555555UL) << 1); 
    ___x; 
})
```

(https://github.com/torvalds/linux/blob/master/include/linux/bitrev.h)

While you can check all possible 32-bit values in brute-force manner, this is infeasible for 64-bit function(s).

As before, I'm not proving here the function is "correct" in some sense, but I'm proving equivalence of two functions: `bitrev64()` and `bitrev64_unoptimized()`, which uses `bitrev32()`, which in turn uses `bitrev16()`, etc...

```python
#!/usr/bin/python

from z3 import *

# from Henry Warren's "Hacker's Delight", Chapter 7
# Or: https://github.com/torvalds/linux/blob/master/include/linux/bitrev.h

# default right shift in Z3 is arithmetical, so I'm using Z3's LShR() function here, which is logical shift right

def bitrev8(x):
    x = LShR(x, 4) | (x << 4)
    x = LShR(x & 0xCC, 2) | ((x & 0x33) << 2)
    x = LShR(x & 0xAA, 1) | ((x & 0x55) << 1)
    return x

# these "unoptimized" versions are constructed like a Russian doll...

def bitrev16_unoptimized(x):
    return (bitrev8(x & 0xff) << 8) | (bitrev8(LShR(x, 8)))

def bitrev32_unoptimized(x):
```

100
return (bitrev16_unoptimized(x & 0xffff) << 16) | (bitrev16_unoptimized(LShR(x, 16))
)

def bitrev32(x):
    x = LShR(x, 16) | (x << 16)
    x = LShR(x & 0xFF00FF00, 8) | ((x & 0x00FF00FF) << 8)
    x = LShR(x & 0xF0F0F0F0, 4) | ((x & 0x0F0F0F0F) << 4)
    x = LShR(x & 0xC0C0C0C0, 2) | ((x & 0x33333333) << 2)
    x = LShR(x & 0xAAAAAAAA, 1) | ((x & 0x55555555) << 1)
    return x

def bitrev64_unoptimized(x):
    # both versions must work:
    return (bitrev32_unoptimized(x & 0xffffffff) << 32) | bitrev32_unoptimized(LShR(x, 32))
    #return (bitrev32(x & 0xffffffff) << 32) | bitrev32(LShR(x, 32))

# copied from CADO-NFS 2.3.0, http://cado-nfs.gforge.inria.fr/download.html
def bitrev64(a):
    a = LShR(a, 32) ^ (a << 32)
    m = 0x0000ffff0000ffff
    a = (LShR(a, 16) & m) ^ ((a << 16) & ~m)
    m = 0x00ff00ff00ff00ff
    a = (LShR(a, 8) & m) ^ ((a << 8) & ~m)
    m = 0x0f0f0f0f0f0f0f0f
    a = (LShR(a, 4) & m) ^ ((a << 4) & ~m)
    m = 0x3333333333333333
    a = (LShR(a, 2) & m) ^ ((a << 2) & ~m)
    m = 0x5555555555555555
    a = (LShR(a, 1) & m) ^ ((a << 1) & ~m)
    return a

s=Solver()
x=BitVec('x', 64)

# tests.
# uncomment any.
# must be "unsat" in each case.
s.add(bitrev64(bitrev64_unoptimized(x))!=x)

# these are involutory functions, i.e., f(f(x))=x
#s.add(bitrev64_unoptimized(bitrev64_unoptimized(x))!=x)
#s.add(bitrev64(bitrev64(x))!=x)

# must be "unsat", no counterexample found
print s.check()

The problem is easy enough to be solved using my toy MK85 bitblaster, with only tiny modifications:

#!/usr/bin/python

from MK85 import *

# MK85 uses logical shift right for Python operator >>, so here is it as is...
def bitrev32(x):
4.7 Proving sorting network correctness

Sorting networks are highly popular in electronics, GPGPU and even in SAT encodings: https://en.wikipedia.org/wiki/Sorting_network.

Especially bitonic sorters, which are also sorting networks: https://en.wikipedia.org/wiki/Bitonic_sorter.

Its popularity is probably related to the fact they can be parallelized easily.

They are relatively easy to construct, but, finding a smallest possible is a challenge.

There is a smallest network (only 25 comparators) for 9-channel sorting network:

```python
4.7 Proving sorting network correctness

Sorting networks are highly popular in electronics, GPGPU and even in SAT encodings: https://en.wikipedia.org/wiki/Sorting_network.

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They are relatively easy to construct, but, finding a smallest possible is a challenge.

There is a smallest network (only 25 comparators) for 9-channel sorting network:

```
This is combinational circuit, each connection is a comparator+swapper, it swaps if one of input values is bigger and passes output to the next level.

I copied it from the article: Michael Codish, Luís Cruz-Filipe, Michael Frank, and Peter Schneider-Kamp – “Twenty-Five Comparators is Optimal when Sorting Nine Inputs (and Twenty-Nine for Ten)


I don’t know (yet) how they proved it, but it’s interesting, that it’s extremely easy to prove its correctness using Z3 SMT solver. We just construct network out of comparators/swappers and asking Z3 to find counterexample, for which the output of the network will not be sorted. And it can’t, meaning, output’s state is always sorted, no matter what values are plugged into inputs.

```python
from z3 import *

a, b, c, d, e, f, g, h, i = Ints('a b c d e f g h i')

def Z3_min(a, b):
    return If(a<b, a, b)
def Z3_max(a, b):
    return If(a>b, a, b)
def comparator(a, b):
    return (Z3_min(a, b), Z3_max(a, b))

def line(lst, params):
    rt = lst
    start = 0
    while start + 1 < len(params):
        try:
            first = params.index("+", start)
        except ValueError:
            # no more "+" in parameter string
            return rt
        second = params.index("+", first + 1)
        rt[first], rt[second] = comparator(lst[first], lst[second])
        start = second + 1
    # parameter string ended
    return rt

l = [i, h, g, f, e, d, c, b, a]
l = line(l, "+++++++")
l = line(l, " + + + ")
l = line(l, " + + ")
l = line(l, " + ")
l = line(l, " + + +")
```

Figure 4.1: Smallest possible
l=line(l, " + +")
l=line(l, " + + ")
l=line(l, " + + +")
l=line(l, " + + ")
l=line(l, " + + +")
l=line(l, " + + ")
l=line(l, " + + ")
l=line(l, " + + ")

# construct expression like And(..., k[2]>=k[1], k[1]>=k[0])
expr=[(l[k+1]>=l[k]) for k in range(len(l)-1)]

# True if everything works correctly:
correct=And(*expr)
s=Solver()

# we want to find inputs for which correct==False:
s.add(Not(correct))
print s.check() # must be unsat

There is also smaller 4-channel network I copypasted from Wikipedia:

... l=line(l, " + +")
l=line(l, "+ + ")
l=line(l, "++++")
l=line(l, " ++ ")
...

( The full source code: https://yurichev.com/SAT_SMT_tree/proofs/sorting_network/test4.py. )
It also proved to be correct, but it’s interesting, what Z3Py expression we’ve got at each of 4 outputs:

If(If(If(a < c, a, c) < If(b < d, b, d),
If(a < c, a, c),
If(b < d, b, d))
If(If(If(a < c, a, c) > If(b < d, b, d),
If(a < c, a, c),
If(b < d, b, d)) <
If(If(a > c, a, c) < If(b > d, b, d),
If(a > c, a, c),
If(b > d, b, d)),
If(If(a < c, a, c) > If(b < d, b, d),
If(a < c, a, c),
If(b < d, b, d)),
If(If(a > c, a, c) < If(b > d, b, d),
If(a > c, a, c),
If(b > d, b, d)))

If(If(If(a < c, a, c) > If(b < d, b, d),
If(a < c, a, c),
If(b < d, b, d)) >
If(If(a > c, a, c) < If(b > d, b, d),
If(a > c, a, c),
If(b < d, b, d)),
If(If(a < c, a, c) > If(b < d, b, d),
If(a < c, a, c),
If(b < d, b, d)) >
If(If(a > c, a, c) < If(b > d, b, d),
If(a > c, a, c),
If(b < d, b, d))

If(If(a < c, a, c) > If(b < d, b, d),
If(a < c, a, c),
If(b < d, b, d)) >
If(a > c, a, c),
If(b > d, b, d)),
If((a < c, a, c) > (b < d, b, d),
If(a < c, a, c),
If(b < d, b, d)),
If((a > c, a, c) < (b > d, b, d),
If(a > c, a, c),
If(b > d, b, d)))

If((a > c, a, c) > (b > d, b, d),
If(a > c, a, c),
If(b > d, b, d))

The first and the last are shorter than the 2nd and the 3rd, they are just $\min(\min(a, b), c)$ and $\max(\max(a, b), c)$. Another example in this book related to sorting networks: cracking minesweeper with it (3.9.5).

### 4.8 ITE example

From [Daniel Kroening and Ofer Strichman — "Decision Procedures, An Algorithmic Point of View", 2ed]:

```lisp
(declare-fun a () Bool)
(declare-fun b () Bool)
(declare-fun f () Bool)
(declare-fun g () Bool)
(declare-fun h () Bool)
(declare-fun out1 () Bool)
(declare-fun out2 () Bool)

(assert (= (ite (not (or a b)) h (ite (not (= a b)) f g)) out1))
(assert (= (ite (not (or (not a) (not b))) g (ite (and (not a) (not b)) h f)) out2))

; find counterexample:
(assert (distinct out1 out2))

; must be unsat (no counterexample):
(check-sat)
```

### 4.9 Branchless abs()

Prove that branchless abs() function from the Henry Warren 2ed, "2-4 Absolute Value Function" is correct:
4.10 Proving branchless min/max functions are correct

... from https://graphics.stanford.edu/~seander/bithacks.html#IntegerMinOrMax.

Which are, \( \min(x, y) = y \oplus ((x \oplus y) \land \neg(x < y)) \)
And \( \max(x, y) = x \oplus ((x \oplus y) \land \neg(x < y)) \)

; tested with Z3 and MK85

(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun x () (_ BitVec 32))

; result1=abs(x), my version:
(declare-fun result1 () (_ BitVec 32))

(assert (= result1 (ite (bvslt x #x00000000) (bvneg x) x)))

; from Henry Warren book.
; y = x>>s 31
; result2=(x xor y) - y
(declare-fun y () (_ BitVec 32))
(declare-fun result2 () (_ BitVec 32))

(assert (= y (bvsahr x (_ bv31 32))))
(assert (= result2 (bvsub (bvxor x y) y)))

(assert (distinct result1 result2))

; must be unsat:
(check-sat)

; tested with MK85 and Z3

; unsigned version
(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun x () (_ BitVec 32))
(declare-fun y () (_ BitVec 32))

(declare-fun min1 () (_ BitVec 32))
(declare-fun max1 () (_ BitVec 32))

; this is our min/max functions, "reference" ones:
(assert (= min1 (ite (bvule x y) x y)))
(assert (= max1 (ite (bvuuge x y) x y)))

(declare-fun min2 () (_ BitVec 32))
(declare-fun max2 () (_ BitVec 32))

; functions we will "compare" against:

; y ^ ((x ^ y) & -(x < y)); // min(x, y)
(assert (= min2

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(bvxor
   y
   (bvand
      (bvxor x y)
      (bvneg (ite (bvult x y) #x00000001 #x00000000))
    )
  )
)
)

; x ^ ((x ^ y) & -(x < y)); // max(x, y)
(assert (= max2
   (bvxor
      x
      (bvand
         (bvxor x y)
         (bvneg (ite (bvult x y) #x00000001 #x00000000))
       )
    )
  ))
)

; find any set of variables for which min1!=min2 or max1!=max2
(assert (or
   (not (= min1 min2))
   (not (= max1 max2))
))
)

; must be unsat (no counterexample)
(check-sat)

; tested with MK85 and Z3

; signed version
(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun x () (_ BitVec 32))
(declare-fun y () (_ BitVec 32))

(declare-fun min1 () (_ BitVec 32))
(declare-fun max1 () (_ BitVec 32))

; this is our min/max functions, "reference" ones:
(assert (= min1 (ite (bvsle x y) x y)))
(assert (= max1 (ite (bvsge x y) x y)))

(declare-fun min2 () (_ BitVec 32))
(declare-fun max2 () (_ BitVec 32))

; functions we will "compare" against:

; y ^ ((x ^ y) & -(x < y)); // min(x, y)
(assert (= min2
   (bvxor
      y
      (bvand
         (bvxor x y)
         (bvneg (ite (bvult x y) #x00000001 #x00000000))
       )
    )
  )
)

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4.11 Proving “Determine if a word has a zero byte” bit twiddling hack

... which is:

```c
#define haszero(v) (((v) - 0x01010101UL) & ~v & 0x80808080UL)
```

(https://graphics.stanford.edu/~seander/bithacks.html#ZeroInWord)

The expression returns zero if there are no zero bytes in 32-bit word, or non-zero, if at least one is present. Here we prove that it’s correct for all possible 32-bit words.

```c
; checked with Z3 and MK85

(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun v () (_ BitVec 32))
(declare-fun out () (_ BitVec 32))

; ; ((v) - 0x01010101UL) & ~(v) & 0x80808080UL)
(declare-fun HasZeroByte () Bool)

(assert (= HasZeroByte
   (or
       (= (bvand v #xff000000) #x00000000)
       (= (bvand v #x0ff0000) #x00000000)
       (= (bvand v #x00ff000) #x00000000)
       (= (bvand v #x000ff00) #x00000000)
       (= (bvand v #x0000ff0) #x00000000)
       (= (bvand v #x00000ff) #x00000000)
   ))
)
```
4.12 Arithmetical shift bit twiddling hack

Prove that \(((x+0x80000000) \gg u n) - (0x80000000 \gg u n)\) works like arithmetical shift (\texttt{bvashr} function in SMT-LIB or \texttt{SAR} x86 instruction).

See: Henry Warren 2ed: "2-7 Shift Right Signed from Unsigned".

Also, check if I implemented signed shift right correctly in my MK85:

```c
// direction=false for shift left
// direction=true for shift right
// arith=true is for \texttt{bvashr} (only for shifting right)

// for 8-bit left shifter, this is:
// X=ITE(cnt&1, X<<1, X)
// X=ITE((cnt>>1)&1, X<<2, X)
// X=ITE((cnt>>2)&1, X<<4, X)
// i.e., if the bit is set in cnt, shift X by that amount of bits, or do nothing otherwise

struct SMT_var* gen_shifter_real (struct ctx* ctx, struct SMT_var* X, struct SMT_var* cnt, bool direction, bool arith)
{
    int w=X->width;

    struct SMT_var* in=X;
    struct SMT_var* out;
    struct SMT_var* tmp;

    // bit vector must have width=2^x, i.e., 8, 16, 32, 64, etc
    // FIXME better func name:
    assert (popcount64c (w)==1);

    int bits_in_selector=mylog2(w);

    for (int i=0; i<bits_in_selector; i++)
    {
        if (direction==false)
            tmp=gen_shift_left(ctx, in, 1<<i);
        else
            tmp=gen_shift_right(ctx, in, 1<<i, arith ? MSB_of_SMT_var(X) : ctx->var_always_false->SAT_var);

        out=create_internal_variable(ctx, "tmp", TY_BITVEC, w);

        add_Tseitin_ITE_BV (ctx, cnt->SAT_var+i, tmp->SAT_var, in->SAT_var, out->SAT_var, w);

        in=out;
    }
}
```
};

if any bit is set in high part of "cnt" variable, result is 0
// i.e., if a 8-bit bitvector is shifted by cnt>8, give a zero
struct SMT_var *disable_shifter=create_internal_variable(ctx, "...", TY_BOOL, 1);
add_Tseitin_OR_list(ctx, cnt->SAT_var+bits_in_selector, w<bits_in_selector,
disable_shifter->SAT_var);

// 0x80 >>s cnt, where cnt>8, must be 0xff! so fill result with MSB(input)
struct SMT_var *default_val;
if (arith==false)
    default_val=gen_const(ctx, 0, w);
else
    default_val=gen_repeat_from_SAT_var(ctx, MSB_of_SMT_var(X), 1, w);
return gen_ITE(ctx, disable_shifter, default_val, in);
);

struct SMT_var* gen_shifter (struct ctx* ctx, struct SMT_var* X, struct SMT_var* cnt,
bool direction, bool arith)
{
    int w=X->width;

    // FIXME: better func name:
    if (popcount64c (w)!=1)
    {
        // X is not in 2^n form, so extend it
        // RATIONALE: input must be in $2^n$ form, so the shift count will be
        // $n$
        //printf ("%s() width=%d\n", __FUNCTION__, w);
        int new_w=1<<(mylog2(w)+1);
        //printf ("%s() extending it to width=%d\n", __FUNCTION__, new_w);
        X=gen_zero_extend(ctx, X, new_w-w);
        cnt=gen_zero_extend(ctx, cnt, new_w-w);
    }

    struct SMT_var* rt=gen_shifter_real(ctx, X, cnt, direction, arith);

    if (popcount64c (w)!=1)
    {
        // if X is not in 2^n form
        rt=gen_extract (ctx, rt, 0, w);
    }

    return rt;
};

struct SMT_var* gen_BVASHR (struct ctx* ctx, struct SMT_var* X, struct SMT_var* cnt)
{
    return gen_shifter (ctx, X, cnt, true, true);
};

(https://yurichev.com/MK85/)
In other words, we prove equivalence of the expression above and my implementation.

; tested with MK85 and Z3
(set-logic QF_BV)
4.13 Proving several floor()/ceiling() properties using Z3

I've found couple problems in [James L. Hein – Discrete Structures, Logic, and Computability]. First is:

Find numbers \(x\) and \(y\) such that \(\text{floor}(x + y) \neq \text{floor}(x) + \text{floor}(y)\) and \(\text{ceiling}(x + y) \neq \text{ceiling}(x) + \text{ceiling}(y)\).

I can emulate real numbers using fixed point arithmetic over bitvectors. In a 16-bit variable, high 8-bit part will be integer part and low 8-bit part is fractional part. This is also called Q8.87.

floor() function is simple — just zero fractional part (low 8 bits). ceiling() function — if something is present in fractional part (low 8 bits), increment high 8 bits.

```python
from z3 import *

def floor(x):
    return x&0xff00

def ceiling(x):
    return If((x&0xff)!=0, (x&0xff00)+0x100, x)

s=Solver()
x,y = BitVecs('x y', 16)
s.add(floor(x+y) != floor(x) + floor(y))
s.add(ceiling(x+y) != ceiling(x) + ceiling(y))
print s.check()
```

7https://en.wikipedia.org/wiki/Fixed-point_arithmetic
sat
x=0x03e0 or 3.875000
y=0x3f20 or 63.125000

Let's check this in Wolfram Mathematica:

Listing 4.2: Output of Wolfram Mathematica

In[1]:= x = 3.875;
In[2]:= y = 63.125;
In[3]:= {Floor[x + y], Floor[x] + Floor[y]}
Out[3]= {67, 66}
In[4]:= {Ceiling[x + y], Ceiling[x] + Ceiling[y]}
Out[4]= {67, 68}

The second problem:

from z3 import *

# Find the values of x that satisfy each of the following equations.
# a. ceiling((x - 1)/2) = floor(x/2)
# b. ceiling((x - 1)/3) = floor(x/3)

def floor(x):
    return x&0xfff00
def ceiling(x):
    return If(((x&0xfff)!=-0, (x&0xfff)+0x100), x)
s=Solver()
x = BitVec('x', 16)

# 1 in Q8.8 is just 0x0100 or 1<<8
s.add(ceiling((x-0x100)/2) == floor(x/2))
s.add(ceiling((x-0x100)/3) == floor(x/3))

print s.check()
m=s.model()
print "x=0x%04x or %f" % (m[x].as_long(), float(m[x].as_long())/0x100)

Listing 4.3: Output for (a)

sat
x=0x4000 or 64.000000

Listing 4.4: Output for (b)
sat
x=0x0000 or 0.000000

The next problem (unsat for all).

Iff ("if and only if" or $\iff$) is just $\equiv$ in Z3, so to find counterexample (if any), we just use $\neq$.

```python
from z3 import *

"""
Prove each of the following statements about inequalities with the floor and ceiling,
where x is a real number and n is an integer.
a. floor(x) < n iff x < n.
b. n < ceiling(x) iff n < x.
c. n <= floor(x) iff n <= x.
d. floor(x) <= n iff x <= n.
"""

def floor(x):
    return x&0xff00

def ceiling(x):
    return If((x&0xff)!=0, (x&0xff00)+0x100, x)

s=Solver()
x = BitVec('x', 16)
n = BitVec('n', 16)

s.add((n&0xff)==0) # n is always integer, it has no fraction

# prevent integer overflow, x and n must be positive
s.add(x<0x8000)
s.add(n<0x8000)

s.add((floor(x) < n) != (x < n)) # a
#s.add((n < ceiling(x)) != (n < x)) # b
#s.add((n <= floor(x)) != (n <= x)) # c
#s.add((floor(x) <= n) != (x <= n)) # d

# must be unsat for a/b/c/d
print s.check()

Problem 4, also unsat for all:

```python
from z3 import *

"""
Prove each statement, where n is an integer.
a. ceiling(n/2) = floor((n + 1)/2)
b. floor(n/2) = ceiling((n - 1)/2)
"""

def floor(x):
    return x&0xff00

def ceiling(x):
    return If((x&0xff)!=0, (x&0xff00)+0x100, x)
```
```python
s = Solver()

n = BitVec('n', 16)

s.add((n&0xff)==0) # n is always integer, it has no fraction

# prevent integer overflow, n is always positive
s.add(n<0x8000)

s.add(ceiling(n/2) != floor((n+0x100)/2)) # a
# s.add(floor(n/2) != ceiling((n-0x100)/2)) # b

# must be unsat for a/b
print s.check()
```
Chapter 5

Verification

5.1 Integer overflow

This is a classic bug:

```c
void allocate_data_for_some_chunks(int num)
{
    #define MAX_CHUNKS 10
    if (num>MAX_CHUNKS)
        // throw error
    
    void* chunks=malloc(num*sizeof(CHUNK));
...
};
```

Seems innocent? However, if a (remote) attacker can put a negative value into `num`, no exception is to be thrown, and `malloc()` will crash on too big input value, because `malloc()` takes unsigned `size_t` on input. `unsigned int` should be used instead of `int` for `num`, but many programmers use `int` as a generic type for everything.

5.1.1 Signed addition

First, let’s start with addition. $a + b$ also seems innocent, but it is producing incorrect result if a sum doesn’t fit into 32/64-bit register.

This is what we will do: evaluate an expression on two ALUs: 32-bit one and 64-bit one:

In other words, you want your expression to be evaluated on both ALUs correctly, for all possible inputs, right? Like if the result of 32-bit ALU is always fit into 32-bit register.

And now we can ask Z3 SMT solver to find such an a/b inputs, for which the final comparison will fail.

Needless to say, the default operations (+, -, comparisons, etc) in Z3’s Python API are signed, you can see this here\(^1\).

\(^1\)https://github.com/Z3Prover/z3/blob/master/src/api/python/z3/z3.py
Also, we can find the lower bound, or minimal possible inputs, using `minimize()`:

```python
from z3 import *

def func(a, b):
    return a + b

a32, b32, out32 = BitVecs('a32 b32 out32', 32)
out32_extended = BitVec('out32_extended', 64)
a64, b64, out64 = BitVecs('a64 b64 out64', 64)

s = Optimize()
s.add(out32 == func(a32, b32))
s.add(out64 == func(a64, b64))
s.add(a64 == SignExt(32, a32))
s.add(b64 == SignExt(32, b32))
s.add(out32_extended == SignExt(32, out32))
s.add(out64 != out32_extended)

s.minimize(a32)
s.minimize(b32)

if s.check() == unsat:
    print "unsat: everything is OK"
    exit(0)

m = s.model()

# from https://stackoverflow.com/questions/1375897/how-to-get-the-signed-integer-value-of-a-long-in-python

def toSigned32(n):
    n = n & 0xffffffff
    return n | (-n & 0x80000000)

def toSigned64(n):
    n = n & 0xffffffffffffffff
    return n | (-n & 0x8000000000000000)

print "a32=0x%08x or %d" % (m[a32].as_long(), toSigned32(m[a32].as_long()))
print "b32=0x%08x or %d" % (m[b32].as_long(), toSigned32(m[b32].as_long()))
print "out32=0x%08x or %d" % (m[out32].as_long(), toSigned32(m[out32].as_long()))

print "out32_extended=0x%08x or %d" % (m[out32].as_long(), toSigned64(m[out32].as_long()))

print "a64=0x%016x or %d" % (m[a64].as_long(), toSigned64(m[a64].as_long()))
print "b64=0x%016x or %d" % (m[b64].as_long(), toSigned64(m[b64].as_long()))
print "out64=0x%016x or %d" % (m[out64].as_long(), toSigned64(m[out64].as_long()))
```

```
a32=0x1 or 1
b32=0x7fffffff or 2147483647
out32=0x80000000 or -2147483648
out32_extended=0xffffffff80000000 or -2147483648
a64=0x1 or 1
b64=0x7fffffff or 2147483647
out64=0x8000000000000000 or 2147483648
```
Right, \(1+0x7fffffff = 0x80000000\). But the \(0x80000000\) value is negative already, because MSB\(^2\) is 1. However, add this on 64-bit ALU and the result will fit in 64-bit register.

How would we fix this problem? We can devise a special function with wrapped addition:

```c
/* Returns: a + b */
/* Effects: aborts if a + b overflows */

COMPILER_RT_ABI si_int __addvsi3(si_int a, si_int b)
{
    si_int s = (su_int)a + (su_int)b;
    if (b >= 0)
    {
        if (s < a)
            compilerrt_abort();
    } else
    {
        if (s >= a)
            compilerrt_abort();
    } return s;
}
```

(https://github.com/llvm-mirror/compiler-rt/blob/master/lib/builtins/addvsi3.c)

Now I can simulate this function using Z3Py. I’m telling it: “find a solution, where this expression will be false”:

```python
s.add(Not(If(b32>=0, a32+b32<a32, a32+b32>a32)))
```

And it gives `unsat`, meaning, there is no counterexample, so the expression can be evaluated safely on both ALUs. But is there a bug in my statement? Let’s check. Find inputs for which this piece of LLVM code will call `compilerrt_abort()`:

```python
s.add(If(b32>=0, a32+b32<a32, a32+b32>a32))
```

Safe implementations of other operations: https://wiki.sei.cmu.edu/confluence/display/java/NUM00-J.+Detect+or+prevent+integer+overflow. A popular library: https://github.com/dcleblanc/SafeInt.

### 5.1.2 Arithmetic mean

Another classic bug. This is the famous bug in binary search algorithms\(^3\). The bug itself not in binary search algorithm, but in calculating arithmetic mean:

```python
def func(a,b):
    return (a+b)/2
```

\(^2\)Most Significant Bit

We can fix this function using a seemingly esoteric Dietz formula, used to do the same, but without integer overflow:

```python
def func(a, b):
    return ((a - b) >> 1) + (a & b)
```

( Its internal workings is described here: 4.3 )

Z3 gives `unsat` for this function, because it can’t find counterexample.

### 5.1.3 Allocate memory for some chunks

Let’s return to the `allocate_data_for_some_chunks()` function at the beginning of this section.

```python
from z3 import *

def func(a):
    return a * 1024

a32, out32 = BitVecs('a32 out32', 32)
out32_extended = BitVec('out32_extended', 64)
a64, out64 = BitVecs('a64 out64', 64)

#s=Solver()
s=Optimize()

s.add(out32 == func(a32))
s.add(out64 == func(a64))

s.add(a64 == SignExt(32, a32))
s.add(out32_extended == SignExt(32, out32))
s.add(out64 != out32_extended)

s.minimize(a32)

if s.check() == unsat:
    print "unsat: everything is OK"
    exit(0)

m=s.model()

# from https://stackoverflow.com/questions/1375897/how-to-get-the-signed-integer-value-of-a-long-in-python

def toSigned32(n):
    n = n & 0xffffffff
    return n | (-n & 0x80000000)

def toSigned64(n):
    n = n & 0xffffffffffffffff
    return n | (-n & 0x8000000000000000)

print "a32=0x%1 or %d " % (m[a32].as_long(), toSigned32(m[a32].as_long()))
print "out32=0x%1 or %d " % (m[out32].as_long(), toSigned32(m[out32].as_long()))
```

```
print "out32_extended=0x%x or %d" % (m[out32_extended].as_long(), toSigned64(m[out32_extended].as_long()))
print "a64=0x%x or %d" % (m[a64].as_long(), toSigned64(m[a64].as_long()))
print "out64=0x%x or %d" % (m[out64].as_long(), toSigned64(m[out64].as_long()))

For which \(a\) values will fail the \(a*1024\) expression? This is a smallest \(a\) input:

\[
\begin{align*}
\text{a32} &= 0x2000000 \text{ or } 0x80000000 \\
\text{out32} &= 0x80000000 \text{ or } -0x147483648 \\
\text{out32}_{\text{extended}} &= 0xffffffff80000000 \text{ or } -0x147483648 \\
\text{a64} &= 0x200000 \text{ or } 0x80000000 \\
\text{out64} &= 0x80000000 \text{ or } 0x2147483648
\end{align*}
\]

OK, let’s pretend we inserted a `assert (a<100)` before `malloc()` call:

```python
s.add(a32<100)
```

\[
\begin{align*}
\text{a32} &= 0x80000000 \text{ or } -0x147483648 \\
\text{out32} &= 0x0 \text{ or } 0 \\
\text{out32}_{\text{extended}} &= 0x0 \text{ or } 0 \\
\text{a64} &= 0xffffffff80000000 \text{ or } -0x147483648 \\
\text{out64} &= 0xffffffff80000000 \text{ or } -0x19902325552
\end{align*}
\]

Still, an attacker can pass a negative \(a = -0x147483648\), and `malloc()` will fail.

Let’s pretend, we added a `assert (a>0)` before calling `malloc()`:

```python
s.add(a32>0)
```

Now Z3 can’t find any counterexample.


### 5.1.4 `abs()`

Also seemingly innocent function:

```python
def func(a):
    return If(a<0, -a, a)
```

\[
\begin{align*}
\text{a32} &= 0x80000000 \text{ or } -0x147483648 \\
\text{out32} &= 0x80000000 \text{ or } -0x147483648 \\
\text{out32}_{\text{extended}} &= 0xffffffff80000000 \text{ or } -0x147483648 \\
\text{a64} &= 0xffffffff80000000 \text{ or } -0x147483648 \\
\text{out64} &= 0x80000000 \text{ or } 0x2147483648
\end{align*}
\]

This is an artifact of two’s complement system. This is \texttt{INT\_MIN}, and \texttt{-INT\_MIN == INT\_MIN}. It can lead to nasty bugs, and classic one is a naive implementations of `itoa()` or `printf()`.

Suppose, you print a signed value. And you write something like:

```python
if (input<0)
{
    input=-input;
    printf ("-"); // print leading minus
}

// print digits in (positive) input:
...
```
If an `INT_MIN` value (0x80000000) is passed, minus sign is printed, but the input variable still contains a negative value. An additional check for `INT_MIN` is to be added to fix this.

This is also called undefined behaviour in C/C++. The problem is that C language itself is old enough to be a witness of old iron - computers which could represent signed numbers in other ways than two’s complement representation: [https://en.wikipedia.org/wiki/Signed_number_representations](https://en.wikipedia.org/wiki/Signed_number_representations).

For this reason, C standard doesn’t guarantee that \(-1\) will be 0xffffffff (all bits set) on 32-bit registers, because the standard can’t guarantee you will run on a hardware with two’s complement representation of signed numbers.

However, almost all hardware you can currently use and buy uses two’s complement.

More about the `abs()` problem:

```c
if (*p == '*')
{
    ++p;
    total_width += abs(va_arg(ap, int));
}
```

This can become a security issue. I have seen one instance in the `vasprintf` implementation of `libiberty`, which is part of gcc, binutils and some other GNU software. `vasprintf` walks over the format string and tries to estimate how much space it will need to hold the formatted result string. In format strings, there is a construct `%.*s` or `%*s`, which means that the actual value should be taken from the stack. The `libiberty` code did it like this:

This is actually two issues in one. The first issue is that `total_width` can overflow. The second issue is the one that is interesting in this context: `abs` can return a negative number, causing the code to allocate not enough space and thus cause a buffer overflow.

( [http://www.fefe.de/intof.html](http://www.fefe.de/intof.html) )

5.1.5 Games


5.1.6 Summary

What we did here, is we checked, if a result of an expression can fit in 32-bit register. Probably, you can use a narrower second ALU, than a 64-bit one.

5.1.7 Further work

If you want to catch overflows on unsigned variables, use unsigned Z3 operations instead of signed, and do zero extend instead of sign extend.

5.1.8 Some discussion

[https://news.ycombinator.com/item?id=18521769](https://news.ycombinator.com/item?id=18521769)

5.1.9 Further reading

- Understanding Integer Overflow in C/C++.
- Modular Bug-finding for Integer Overflows in the Large: Sound, Efficient, Bit-precise Static Analysis.
- C32SAT.
Chapter 6

Regular expressions

6.1 KLEE

I’ve always wanted to generate possible strings for given regular expression. This is not so hard if to dive into regular expression matcher theory and details, but can we force RE matcher to do this?

I took lightest RE engine I’ve found: https://github.com/cesanta/slre, and wrote this:

```c
int main(void)
{
    char s[6];
    klee_make_symbolic(s, sizeof s, "s");
    s[5]=0;
    if (slre_match("^-\d[a-c]+(x|y|z)\", s, 5, NULL, 0, 0)==5)
        klee_assert(0);
}
```

So I wanted a string consisting of digit, “a” or “b” or “c” (at least one character) and “x” or “y” or “z” (one character). The whole string must have size of 5 characters.

```
% klee --libc=uclibc slre.bc
...
KLEE: NOTE: now ignoring this error at this location
...
% ls klee-last | grep err
test000014.external.err
% ktest-tool --write-ints klee-last/test000014.ktest
ktest file : 'klee-last/test000014.ktest'
args : ['slre.bc']
num objects: 1
object 0: name: b's'
object 0: size: 6
object 0: data: b'5aaax\xff'
```

This is indeed correct string. “\xff” is at the place where terminal zero byte should be, but RE engine we use ignores the last zero byte, because it has buffer length as a passed parameter. Hence, KLEE doesn’t reconstruct final byte.

Can we get more? Now we add additional constraint:

```c
int main(void)
{
    char s[6];
    klee_make_symbolic(s, sizeof s, "s");
    s[5]=0;
}
```
Let's say, out of whim, we don’t like “a” at the 2nd position (starting at 0th):

```c
int main(void)
{
    char s[6];
    klee_make_symbolic(s, sizeof s, "s");
    s[5]=0;
    if (slre_match("\d[a-c]+(x|y|z)", s, 5, NULL, 0, 0)==5 &&
        strcmp(s, "5aaax")!=0 &&
        s[2]!="a")
        klee_assert(0);
}
```

Let’s also define constraint KLEE cannot satisfy:

```c
int main(void)
{
    char s[6];
    klee_make_symbolic(s, sizeof s, "s");
    s[5]=0;
    if (slre_match("\d[a-c]+(x|y|z)", s, 5, NULL, 0, 0)==5 &&
        strcmp(s, "5aaax")!=0 &&
        s[2]!="a" &&
        s[2]!="b" &&
        s[2]!="c")
        klee_assert(0);
}
```

It cannot indeed, and KLEE finished without reporting about `klee_assert()` triggering.

### 6.2 Enumerating all possible inputs for a specific regular expression

Regular expression if first converted to FSM\(^1\) before matching. Hence, many RE\(^2\) libraries has two functions: “compile” and “execute” (when you match many strings against single RE, no need to recompile it to FSM each time).

---

\(^1\)Finite State Machine

\(^2\)Regular Expression
And I’ve found this website, which can visualize FSM for a regular expression. [http://hokein.github.io/Automata.js/](http://hokein.github.io/Automata.js/). This is fun!

This FSM (DFA\(^3\)) is for the expression \((\text{dark}|\text{light})?(\text{red}|\text{blue}|\text{green})(\text{ish})?\)

![Figure 6.1](https://raw.githubusercontent.com/DennisYurichev/SAT_SMT_by_example/master/regexp/SMT/FSM.png)

Accepting states are in double circles, these are the states where matching process stops.

How can we generate an input string which regular expression would match? In other words, which inputs FSM would accept? This task is surprisingly simple for SMT-solver.

We just define a transition function. For each pair (state, input) it defines new state.

FSM has been visualized by the website mentioned above, and I used this information to write “transition()” function. Then we chain transition functions... then we try a chain for all lengths in range of 2..14.

---

\(^3\)Deterministic finite automaton
```python
#!/usr/bin/env python
from MK85 import *

BIT_WIDTH=16
INVALID_STATE=999
ACCEPTING_STATES=[13, 19, 23, 24]

# st - state
# i - input character
def transition (s, st, i):
  # this is like switch()
  return s.If(And(st==0, i==ord('r')), s.BitVecConst(3, BIT_WIDTH),
              s.If(And(st==0, i==ord('b')), s.BitVecConst(4, BIT_WIDTH),
                   s.If(And(st==0, i==ord('g')), s.BitVecConst(5, BIT_WIDTH),
                        s.If(And(st==0, i==ord('d')), s.BitVecConst(1, BIT_WIDTH),
                             s.If(And(st==0, i==ord('l')), s.BitVecConst(2, BIT_WIDTH),
                                  s.If(And(st==1, i==ord('a')), s.BitVecConst(6, BIT_WIDTH),
                                       s.If(And(st==2, i==ord('i')), s.BitVecConst(7, BIT_WIDTH),
                                            s.If(And(st==3, i==ord('e')), s.BitVecConst(8, BIT_WIDTH),
                                                 s.If(And(st==4, i==ord('1')), s.BitVecConst(9, BIT_WIDTH),
                                                      s.If(And(st==5, i==ord('r')), s.BitVecConst(10, BIT_WIDTH),
                                                           s.If(And(st==6, i==ord('r')), s.BitVecConst(11, BIT_WIDTH),
                                                                s.If(And(st==7, i==ord('g')), s.BitVecConst(12, BIT_WIDTH),
                                                                     s.If(And(st==8, i==ord('d')), s.BitVecConst(13, BIT_WIDTH),
                                                                          s.If(And(st==9, i==ord('u')), s.BitVecConst(14, BIT_WIDTH),
                                                                             s.If(And(st==10, i==ord('e')), s.BitVecConst(15, BIT_WIDTH),
                                                                                  s.If(And(st==11, i==ord('k')), s.BitVecConst(16, BIT_WIDTH),
                                                                                           s.If(And(st==12, i==ord('h')), s.BitVecConst(17, BIT_WIDTH),
                                                                                               s.If(And(st==13, i==ord('i')), s.BitVecConst(18, BIT_WIDTH),
                                                                                                    s.If(And(st==14, i==ord('e')), s.BitVecConst(19, BIT_WIDTH),
                                                                                                          s.If(And(st==15, i==ord('e')), s.BitVecConst(20, BIT_WIDTH),
                                                                                                               s.If(And(st==16, i==ord('r')), s.BitVecConst(3, BIT_WIDTH),
                                                                                                                   s.If(And(st==16, i==ord('b')), s.BitVecConst(4, BIT_WIDTH),
                                                                                                                        s.If(And(st==16, i==ord('g')), s.BitVecConst(5, BIT_WIDTH),
                                                                                                                             s.If(And(st==17, i==ord('t')), s.BitVecConst(21, BIT_WIDTH),
                                                                                                                                     s.If(And(st==18, i==ord('s')), s.BitVecConst(22, BIT_WIDTH),
                                                                                                                                             s.If(And(st==19, i==ord('i')), s.BitVecConst(18, BIT_WIDTH),
                                                                                                                                                     s.If(And(st==20, i==ord('n')), s.BitVecConst(23, BIT_WIDTH),
                                                                                                                                                                                     s.If(And(st==21, i==ord('r')), s.BitVecConst(3, BIT_WIDTH),
                                                                                                                                                                                                     s.If(And(st==21, i==ord('b')), s.BitVecConst(4, BIT_WIDTH),
                                                                                                                                                                                                             s.If(And(st==21, i==ord('g')), s.BitVecConst(5, BIT_WIDTH),
                                                                                                                                                                                                                     s.If(And(st==22, i==ord('h')), s.BitVecConst(24, BIT_WIDTH),
                                                                                                                                                                                                                                             s.If(And(st==23, i==ord('i')), s.BitVecConst(18, BIT_WIDTH),
                                                                                                                                                                                                                                                               s.BitVecConst(INVALID_STATE, 16)))))))))))))))))))))))))))))))))))))
  
def print_model(m, length, inputs):
    #print "length=", length
tmp="
    for i in range(length-1):
        tmp=tmp+chr(m["inputs_%d" % i])
    print tmp

def make_FSM(length):
    s=MK85(Verbose=0)
    states=[s.BitVec('states_%d' % i,BIT_WIDTH) for i in range(length)]
```
inputs=[s.BitVec('inputs_%d' % i,BIT_WIDTH) for i in range(length-1)]

# initial state:
s.add(states[0]==0)

# the last state must be equal to one of the accepting states
s.add(Or(*[states[length-1]==i for i in ACCEPTING_STATES]))

# all states are in limits...
for i in range(length):
    s.add(And(states[i]>=0, states[i]<=24))
    # redundant, though. however, we are not interested in non-matched inputs, right?
    s.add(states[i]!=INVALID_STATE)

# "insert" transition() functions between subsequent states
for i in range(length-1):
    s.add(states[i+1] == transition(s, states[i], inputs[i]))

# enumerate results:
results=[]
while s.check():
    m=s.model()
    #print m
    print_model(m, length, inputs)
    # add the current solution negated:
    tmp=[]
    for pair in m:
        tmp.append(s.var_by_name(pair) == m[pair])
    s.add(expr.Not(And(*tmp)))

for l in range(2,15):
    make_FSM(l)

Results:

red
blue
green
redish
darkred
blueish
darkblue
greenish
lightred
lightblue
darkgreen
lightgreen
darkredish
darkblueish
lightredish
darkgreenish
lightblueish
lightgreenish

As simple as this.
It can be said, what we did is enumeration of all paths between two vertices of a digraph (representing FSM).
Also, the “transition()” function itself can act as a RE matcher, with no relevance to SMT solver(s). Just feed input characters to it and track state. Whenever you hit one of accepting states, return “match”, whenever you hit INVALID_STATE, return “no match”.

6.2.1 But...

A simpler solution exist: just find all walks in the FA graph between initial and accepting state.
Chapter 7

Gray code

7.1 Balanced Gray code and Z3 SMT solver

Suppose, you are making a rotary encoder. This is a device that can signal its angle in some form, like:
Figure 7.1: Rotary encoder

(The image has been taken from Wikipedia: https://en.wikipedia.org/wiki/Gray_code)
Click on bigger image.
This is a rotary (shaft) encoder: https://en.wikipedia.org/wiki/Rotary_encoder.
There are pins and tracks on rotating wheel. How would you do this? Easiest way is to use binary code. But it has a problem: when a wheel is rotating, in a moment of transition from one state to another, several bits may be changed, hence, undesirable state may be present for a short period of time. This is bad. To deal with it, Gray code was invented: only 1 bit is changed during rotation. Like:

<table>
<thead>
<tr>
<th>Decimal</th>
<th>Binary</th>
<th>Gray</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0000</td>
<td>0000</td>
</tr>
<tr>
<td>1</td>
<td>0001</td>
<td>0001</td>
</tr>
<tr>
<td>2</td>
<td>0010</td>
<td>0011</td>
</tr>
<tr>
<td>3</td>
<td>0011</td>
<td>0010</td>
</tr>
<tr>
<td>4</td>
<td>0100</td>
<td>0110</td>
</tr>
<tr>
<td>5</td>
<td>0101</td>
<td>0111</td>
</tr>
<tr>
<td>6</td>
<td>0110</td>
<td>0101</td>
</tr>
<tr>
<td>7</td>
<td>0111</td>
<td>0100</td>
</tr>
<tr>
<td>8</td>
<td>1000</td>
<td>1100</td>
</tr>
<tr>
<td>9</td>
<td>1001</td>
<td>1101</td>
</tr>
<tr>
<td>10</td>
<td>1010</td>
<td>1110</td>
</tr>
<tr>
<td>11</td>
<td>1011</td>
<td>1111</td>
</tr>
<tr>
<td>12</td>
<td>1100</td>
<td>1010</td>
</tr>
<tr>
<td>13</td>
<td>1101</td>
<td>1011</td>
</tr>
<tr>
<td>14</td>
<td>1110</td>
<td>1001</td>
</tr>
<tr>
<td>15</td>
<td>1111</td>
<td>1000</td>
</tr>
</tbody>
</table>
Now the second problem. Look at the picture again. It has a lot of bit changes on the outer circles. And this is electromechanical device. Surely, you may want to make tracks as long as possible, to reduce wearing of both tracks and pins. This is a first problem. The second: wearing should be even across all tracks (this is balanced Gray code).

This is also called: "They are listed in Gray code or minimum change order, where each subset differs in exactly one element from its neighbors." (Sriram V. Pemmaraju and Steven Skiena – Computational Discrete Mathematics: Combinatorics and Graph Theory in Mathematica)

How we can find a table for all states using Z3:

```python
#!/usr/bin/env python3

from z3 import *

BITS=5

# how many times a run of bits for each bit can be changed (max).
# it can be 4 for 4-bit Gray code or 8 for 5-bit code.
# 12 for 6-bit code (maybe even less)
CHANGES_MAX=8

ROWS=2**BITS
MASK=ROWS-1  # 0x1f for 5 bits, 0xf for 4 bits, etc

def bool_to_int (b):
    if b==True:
        return 1
    return 0

s=Solver()

# add a constraint: Hamming distance between two bitvectors must be 1
# i.e., two bitvectors can differ in only one bit.
# for 4 bits it works like that:
# s.add(Or(  #
#     And(a3!=b3,a2==b2,a1==b1,a0==b0),
#     And(a3==b3,a2!=b2,a1==b1,a0==b0),
#     And(a3==b3,a2==b2,a1!=b1,a0==b0),
#     And(a3==b3,a2==b2,a1==b1,a0!=b0))

def hamming1(l1, l2):
    assert len(l1)==len(l2)
    r=[]
    for i in range(len(l1)):
        t=[]
        for j in range(len(l1)):
            if i==j:
                t.append(l1[j]!=l2[j])
            else:
                t.append(l1[j]==l2[j])
        r.append(And(t))
    s.add(Or(r))

# add a constraint: bitvectors must be different.
# for 4 bits works like this:
# s.add(Or(a3!=b3, a2!=b2, a1!=b1, a0!=b0))

def not_eq(l1, l2):
    assert len(l1)==len(l2)
    t=[l1[i]!=l2[i] for i in range(len(l1))]  
    s.add(Or(t))
```
code=[[Bool('code_%d_%d' % (r,c)) for c in range(BITS)] for r in range(ROWS)]
ch=[[Bool('ch_%d_%d' % (r,c)) for c in range(BITS)] for r in range(ROWS)]

# each rows must be different from a previous one and a next one by 1 bit:
for i in range(ROWS):
    # get bits of the current row:
    lst1=[code[i][bit] for bit in range(BITS)]
    # get bits of the next row.
    # important: if the current row is the last one, (last+1)&MASK==0, so we overlap here:
    lst2=[code[(i+1)&MASK][bit] for bit in range(BITS)]
hamming1(lst1, lst2)

# no row must be equal to any another row:
for i in range(ROWS):
    for j in range(ROWS):
        if i==j:
            continue
        lst1=[code[i][bit] for bit in range(BITS)]
        lst2=[code[j][bit] for bit in range(BITS)]
        not_eq(lst1, lst2)

# 1 in ch[] table means that run of 1's has been changed to run of 0's, or back.
# "run" change detected using simple XOR:
for i in range(ROWS):
    for bit in range(BITS):
        # row overlapping works here as well:
        s.add(ch[i][bit]==Xor(code[i][bit],code[(i+1)&MASK][bit]))

# only CHANGES_MAX of 1 bits is allowed in ch[] table for each bit:
for bit in range(BITS):
    t=[ch[i][bit] for i in range(ROWS)]
    # this is a dirty hack.
    # AtMost() takes arguments like:
    # AtMost(v1, v2, v3, v4, 2) <- this means, only 2 booleans (or less) from the list can be True.
    # but we need to pass a list here.
    # so a CHANGES_MAX number is appended to a list and a new list is then passed as arguments list:
    s.add(AtMost(*([t+[CHANGES_MAX]])))
result=s.check()
if result==unsat:
    exit(0)
m=s.model()

# get the model.

print ("code table:")
for i in range(ROWS):
    t=""
    for bit in range(BITS):
        # comma at the end means "no newline"
        t=t+str(bool_to_int(is_true(m[code[i][BITS-1-bit]])))+
    print (t)
print ("ch table:")

stat={}

for i in range(ROWS):
    t=""
    for bit in range(BITS):
        x=is_true(m[ch[i][BITS-1-bit]])
        if x:
            # increment if bit is present in dict, set 1 if not present
            stat[bit]=stat.get(bit, 0)+1
        # comma at the end means "no newline":
        t=t+str(bool_to_int(x))+""
        print (t)

print ("stat (bit number: number of changes): ", stat)

( The source code: https://yurichev.com/SAT_SMT_tree/gray_code/SMT/gray.py )

For 4 bits, 4 changes is enough:

code table:
0 1 0 1
0 0 0 1
0 0 1 1
0 0 1 0
1 0 1 0
1 0 1 1
1 1 1 1
1 1 0 1
1 0 0 1
1 0 0 0
0 0 0 0
0 1 0 0
1 1 0 0
1 1 1 0
0 1 1 0
0 1 1 1

ch table:
0 1 0 0
0 0 1 0
0 0 0 1
1 0 0 0
0 0 0 1
0 1 0 0
0 0 1 0
0 1 0 0
0 0 0 1
1 0 0 0
0 1 0 0
1 0 0 0
0 0 1 0
1 0 0 0
0 0 0 1
0 0 1 0
0 0 1 0
0 0 1 0

stat (bit number: count of changes): {0: 4, 1: 4, 2: 4, 3: 4}
7.1.1 Duke Nukem 3D from 1990s

![Image of Duke Nukem 3D](image)

**Figure 7.3: Duke Nukem 3D**

Another application of Gray code:

*with.inspiring@flair.and.erudition (Mike Naylor) wrote:*

> In Duke Nukem, you often come upon panels that have four buttons in a row, all in their "off" position. Each time you "push" a button, it toggles from one state to the other. The object is to find the unique combination that unlocks something in the game.

> My question is: What is the most efficient order in which to push the buttons so that every combination is tested with no wasted effort?

A Gray Code. :-)

(Oh, you wanted to know what one would be? How about:

0000
0001
0011
0010
0110
0111
0101
0100
0000)
Or, if you prefer, with buttons A,B,C,D: D,C,D,B,D,C,D,A,D,C,D,B,C,D,C
It isn't the "canonical" Gray code (or if it is, it is by Divine Providence), but it works.

Douglas Limmer -- lim...@math.orst.edu
"No wonder these mathematical wizards were nuts - went off the beam - he'd be pure squirrel-food if he had half that stuff in _his_ skull!"
E. E. "Doc" Smith, _Second Stage Lensmen_

(http://groups.google.com/forum/#!topic/rec.puzzles/Dh2H-pGJcbI)

Obviously, using our solution, you can minimize all movements in this ancient videogame, for 4 switches, that would be 4*4=16 switches. With our solution (balanced Gray code), wearing would be even across all 4 switches.

7.1.2 Towers of Hanoi

"The standard n-bit Gray code gives a solution to the Towers of Hanoi problem with n levels. The position of the bit that changes tells us which level of the tower we must move." (http://www.maths.liv.ac.uk/~mathsclub/talks/20160130/talk1/joel_summary.pdf).

7.2 Gray code in MaxSAT

This is remake of gray code generator for Z3 (7.1).
Here is also ch[][] table, but we add soft clauses for it here. The goal is to make as many False's in ch[][] table, as possible.

```python
#!/usr/bin/env python3

import subprocess, os, itertools, my_utils, SAT_lib

BITS=5

# how many times a run of bits for each bit can be changed (max).
# it can be 4 for 4-bit Gray code or 8 for 5-bit code.
# 12 for 6-bit code (maybe even less)

ROWS=2**BITS
MASK=ROWS-1  # 0x1f for 5 bits, 0xf for 4 bits, etc

def do_all():
    s=SAT_lib.SAT_lib(maxsat=True)

    code=[s.alloc_BV(BITS) for r in range(ROWS)]
    ch=[s.alloc_BV(BITS) for r in range(ROWS)]

    # each rows must be different from a previous one and a next one by 1 bit:
    for i in range(ROWS):
```
# get bits of the current row:
lst1=[code[i][bit] for bit in range(BITS)]
# get bits of the next row.
# important: if the current row is the last one, (last+1)&MASK==0, so we overlap here:
lst2=[code[(i+1)&MASK][bit] for bit in range(BITS)]
s.hamming1(lst1, lst2)

# no row must be equal to any another row:
for i in range(ROWS):
    for j in range(ROWS):
        if i==j:
            continue
        lst1=[code[i][bit] for bit in range(BITS)]
        lst2=[code[j][bit] for bit in range(BITS)]
        s.fix_BV_NEQ(lst1, lst2)

# 1 in ch[] table means that run of 1's has been changed to run of 0's, or back.
# "run" change detected using simple XOR:
for i in range(ROWS):
    for bit in range(BITS):
        # row overlapping works here as well.
        # we add here "soft" constraint with weight=1:
        s.fix_soft(s.EQ(ch[i][bit], s.XOR(code[i][bit], code[(i+1)&MASK][bit])), False, weight=1)

if s.solve()==False:
    print ("unsat")
exit(0)

print ("code table:")

for i in range(ROWS):
    tmp=
    for bit in range(BITS):
        t=s.get_var_from_solution(code[i][BIT-1-bit])
        if t:
            tmp=tmp+"*
        else:
            tmp=tmp+" 
    print (tmp)

# get statistics:
stat={}

for i in range(ROWS):
    for bit in range(BITS):
        x=s.get_var_from_solution(ch[i][BIT-1-bit])
        if x==0:
            # increment if bit is present in dict, set 1 if not present
            stat[bit]=stat.get(bit, 0)+1

print ("stat (bit number: number of changes): ")
print (stat)
do_all()
So it does, for 5-bit Gray code:

code table:
  ****
  *****
  * ****
   ****
    **
     ***
      **
       *
        *
         *
          *
           *
            *
             *
              *
               *
                *
                 *
                  *
                   *
                    *
                     *
                      *

stat (bit number: number of changes):
{0: 6, 1: 4, 2: 6, 3: 6, 4: 10}
Chapter 8

Recreational mathematics and puzzles

8.1 Sudoku

Sudoku puzzle is a 9*9 grid with some cells filled with values, some are empty:

```
 5 3 |   |   |
 8   |   | 2 |
 7 1 5 |   |   |
 4   5 3 |   |   |
 1 7 6 |   |   |
 3 2 8 |   |   |
 6 5 9 |   |   |
 4   3 |   |   |
 9 7   |   |   |
```

Unsolved Sudoku

Numbers of each row must be unique, i.e., it must contain all 9 numbers in range of 1..9 without repetition. Same story for each column and also for each 3*3 square.

This puzzle is good candidate to try SMT solver on, because it’s essentially an unsolved system of equations.

8.1.1 Simple sudoku in SMT

The first idea

The only thing we must decide is that how to determine in one expression, if the input 9 variables has all 9 unique numbers? They are not ordered or sorted, after all.

From the school-level arithmetics, we can devise this idea:

\[
\sum_{i=1}^{9} 10^i = 1111111110
\]

(8.1)

Take each input variable, calculate 10^i and sum them all. If all input values are unique, each will be settled at its own place. Even more than that: there will be no holes, i.e., no skipped values. So, in case of Sudoku, 1111111110 number will be final result, indicating that all 9 input values are unique, in range of 1..9.

Exponentiation is heavy operation, can we use binary operations? Yes, just replace 10 with 2:

\[
\sum_{i=1}^{9} 2^i = 1111111110_2
\]

(8.2)
The effect is just the same, but the final value is in base 2 instead of 10.

Now a working example:

```python
#!/usr/bin/env python

import sys
from z3 import *

# coordinates:
-------------------------------
| 00 01 02 | 03 04 05 | 06 07 08 |
| 10 11 12 | 13 14 15 | 16 17 18 |
| 20 21 22 | 23 24 25 | 26 27 28 |
-------------------------------
| 30 31 32 | 33 34 35 | 36 37 38 |
| 40 41 42 | 43 44 45 | 46 47 48 |
| 50 51 52 | 53 54 55 | 56 57 58 |
-------------------------------
| 60 61 62 | 63 64 65 | 66 67 68 |
| 70 71 72 | 73 74 75 | 76 77 78 |
| 80 81 82 | 83 84 85 | 86 87 88 |
-------------------------------

s = Solver()

# using Python list comprehension, construct array of arrays of BitVec instances:
cells = [[BitVec('cell%d%d' % (r, c), 16) for c in range(9)] for r in range(9)]

# http://www.norvig.com/sudoku.html
# http://www.mirror.co.uk/news/weird-news/worlds-hardest-sudoku-can-you-242294
puzzle = "..53.....8......2..7..1.5..4....53...1..7...6..32...8..6.5....9..4....3......97..
"

# process text line:
current_column = 0
current_row = 0
for i in puzzle:
    if i != '.':
        s.add(cells[current_row][current_column] == BitVecVal(int(i), 16))
    current_column += 1
    if current_column == 9:
        current_column = 0
        current_row += 1

one = BitVecVal(1, 16)
mask = BitVecVal(0b1111111110, 16)

# for all 9 rows:
for r in range(9):
    s.add(((one << cells[r][0]) +
           (one << cells[r][1]) +
           (one << cells[r][2]) +
           (one << cells[r][3]) +
           (one << cells[r][4]) +
           (one << cells[r][5]) +
           (one << cells[r][6]) +
           (one << cells[r][7]) +
           (one << cells[r][8]) +
           one) == mask)
```

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(one<<cells[r][6]) + 
(one<<cells[r][7]) + 
(one<<cells[r][8])==mask)

# for all 9 columns
for c in range(9):
    s.add(((one<<cells[0][c]) + 
        (one<<cells[1][c]) + 
        (one<<cells[2][c]) + 
        (one<<cells[3][c]) + 
        (one<<cells[4][c]) + 
        (one<<cells[5][c]) + 
        (one<<cells[6][c]) + 
        (one<<cells[7][c]) + 
        (one<<cells[8][c]))==mask)

# enumerate all 9 squares
for r in range(0, 9, 3):
    for c in range(0, 9, 3):
        # add constraints for each 3*3 square:
        s.add(((one<<cells[r+0][c+0]) + 
            (one<<cells[r+0][c+1]) + 
            (one<<cells[r+0][c+2]) + 
            (one<<cells[r+1][c+0]) + 
            (one<<cells[r+1][c+1]) + 
            (one<<cells[r+1][c+2]) + 
            (one<<cells[r+2][c+0]) + 
            (one<<cells[r+2][c+1]) + 
            (one<<cells[r+2][c+2])==mask)

print s.check()
# print s.model()
m=s.model()

for r in range(9):
    for c in range(9):
        sys.stdout.write (str(m[cells[r][c]])+" ")
        print ""

( https://yurichev.com/SAT_SMT_tree/puzzles/sudoku/1/sudoku_plus_Z3.py )

% time python sudoku_plus_Z3.py
1 4 5 3 2 7 6 9 8
8 3 9 6 5 4 1 2 7
6 7 2 9 1 8 5 4 3
4 9 6 1 8 5 3 7 2
2 1 8 4 7 3 9 5 6
7 5 3 2 9 6 4 8 1
3 6 7 5 4 2 8 1 9
9 8 4 7 6 1 2 3 5
5 2 1 8 3 9 7 6 4

real 0m11.717s
user 0m10.896s
sys 0m0.068s

Even more, we can replace summing operation to logical OR:
\[
\frac{2^i \vee 2^i \vee \ldots \vee 2^i}{9} = 1111111110_2
\] (8.3)

```python
#!/usr/bin/env python

import sys
from z3 import *

# "coordinates:

# 00 01 02 | 03 04 05 | 06 07 08
# 10 11 12 | 13 14 15 | 16 17 18
# 20 21 22 | 23 24 25 | 26 27 28
# --------------------------------------------------
# 30 31 32 | 33 34 35 | 36 37 38
# 40 41 42 | 43 44 45 | 46 47 48
# 50 51 52 | 53 54 55 | 56 57 58
# --------------------------------------------------
# 60 61 62 | 63 64 65 | 66 67 68
# 70 71 72 | 73 74 75 | 76 77 78
# 80 81 82 | 83 84 85 | 86 87 88
# --------------------------------------------------

s = Solver()

# using Python list comprehension, construct array of arrays of BitVec instances:
cells = [[BitVec('cell%d%d' % (r, c), 16) for c in range(9)] for r in range(9)]

# http://www.norvig.com/sudoku.html
# http://www.mirror.co.uk/news/weird-news/worlds-hardest-sudoku-can-you-242294
puzzle = "..53.....8......2..7..1.5..4....53...1..7...6..32...8..6.5....9..4....3......97..

# process text line:
current_column = 0
current_row = 0
for i in puzzle:
    if i != '.':
        s.add(cells[current_row][current_column] == BitVecVal(int(i), 16))

        current_column = current_column + 1
    if current_column == 9:
        current_column = 0
        current_row = current_row + 1

one = BitVecVal(1, 16)
mask = BitVecVal(0b1111111110, 16)

# for all 9 rows
for r in range(9):
    s.add(((one << cells[r][0]) | (one << cells[r][1]) | (one << cells[r][2]) | (one << cells[r][3]) | (one << cells[r][4]) | (one << cells[r][5]) | (one << cells[r][6]) | (one << cells[r][7]) | (one << cells[r][8])) == mask)
```

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(one<<cells[r][5]) |
(one<<cells[r][6]) |
(one<<cells[r][7]) |
(one<<cells[r][8]))==mask)

# for all 9 columns
for c in range(9):
    s.add(((one<<cells[0][c]) |
           (one<<cells[1][c]) |
           (one<<cells[2][c]) |
           (one<<cells[3][c]) |
           (one<<cells[4][c]) |
           (one<<cells[5][c]) |
           (one<<cells[6][c]) |
           (one<<cells[7][c]) |
           (one<<cells[8][c])))==mask)

# enumerate all 9 squares
for r in range(0, 9, 3):
    for c in range(0, 9, 3):
        # add constraints for each 3*3 square:
        s.add(one<<cells[r+0][c+0] |
               one<<cells[r+0][c+1] |
               one<<cells[r+0][c+2] |
               one<<cells[r+1][c+0] |
               one<<cells[r+1][c+1] |
               one<<cells[r+1][c+2] |
               one<<cells[r+2][c+0] |
               one<<cells[r+2][c+1] |
               one<<cells[r+2][c+2])==mask)

print s.check()
# print s.model()
m=s.model()

for r in range(9):
    for c in range(9):
        sys.stdout.write (str(m[cells[r][c]])+" ")
    print ""

(https://yurichev.com/SAT_SMT_tree/puzzles/sudoku/1/sudoku_or_Z3.py)

Now it works much faster. Z3 handles OR operation over bit vectors better than addition?

% time python sudoku_or_Z3.py
1 4 5 3 2 7 6 9 8
8 3 9 6 5 4 1 2 7
6 7 2 9 1 8 5 4 3
4 9 6 1 8 5 3 7 2
2 1 8 4 7 3 9 5 6
7 5 3 2 9 6 4 8 1
3 6 7 5 4 2 8 1 9
9 8 4 7 6 1 2 3 5
5 2 1 8 3 9 7 6 4

real  0m1.429s
user  0m1.393s
sys   0m0.036s
The puzzle I used as example is dubbed as one of the hardest known \(^1\) (well, for humans). It took \(\approx 1.4\) seconds on my Intel Core i3-3110M 2.4GHz notebook to solve it.

**The second idea**

My first approach is far from effective, I did what first came to my mind and worked. Another approach is to use `distinct` command from SMT-LIB, which tells Z3 that some variables must be distinct (or unique). This command is also available in Z3 Python interface.

I’ve rewritten my first Sudoku solver, now it operates over `Int sort`, it has `distinct` commands instead of bit operations, and now also other constraint added: each cell value must be in 1..9 range, because, otherwise, Z3 will offer (although correct) solution with too big and/or negative numbers.

```python
#!/usr/bin/env python

import sys
from z3 import *

#
#
#
#

s = Solver()

# using Python list comprehension, construct array of arrays of BitVec instances:
# cells=[[Int('cell%d%d' % (r, c)) for c in range(9)] for r in range(9)]

# http://www.norvig.com/sudoku.html
# http://www.mirror.co.uk/news/weird-news/worlds-hardest-sudoku-can-you-242294
puzzle="
  ..53.....8.......2..1.5..4....53...1..7...6..32...8..6.5....9..4....3......97..
"

# process text line:
current_column=0
current_row=0
for i in puzzle:
  if i!='.:
    current_column=current_column+1
  if current_column==9:
    current_column=0
    current_row=current_row+1

# this is important, because otherwise, Z3 will report correct solutions with too big
# and/or negative numbers in cells

\(^1\)http://www.mirror.co.uk/news/weird-news/worlds-hardest-sudoku-can-you-242294
for r in range(9):
    for c in range(9):
        s.add(cells[r][c] >= 1)
        s.add(cells[r][c] <= 9)

# for all 9 rows
for r in range(9):
    s.add(Distinct(cells[r][0],
                    cells[r][1],
                    cells[r][2],
                    cells[r][3],
                    cells[r][4],
                    cells[r][5],
                    cells[r][6],
                    cells[r][7],
                    cells[r][8]))

# for all 9 columns
for c in range(9):
    s.add(Distinct(cells[0][c],
                    cells[1][c],
                    cells[2][c],
                    cells[3][c],
                    cells[4][c],
                    cells[5][c],
                    cells[6][c],
                    cells[7][c],
                    cells[8][c]))

# enumerate all 9 squares
for r in range(0, 9, 3):
    for c in range(0, 9, 3):
        # add constraints for each 3*3 square:
        s.add(Distinct(cells[r+0][c+0],
                        cells[r+0][c+1],
                        cells[r+0][c+2],
                        cells[r+1][c+0],
                        cells[r+1][c+1],
                        cells[r+1][c+2],
                        cells[r+2][c+0],
                        cells[r+2][c+1],
                        cells[r+2][c+2]))

print s.check()
# print s.model()
m = s.model()

for r in range(9):
    for c in range(9):
        sys.stdout.write (str(m[cells[r][c]]) + " ")
    print 

(https://yurichev.com/SAT_SMT_tree/puzzles/sudoku/1/sudoku2_Z3.py)

% time python sudoku2_Z3.py
1 4 5 3 2 7 6 9 8
8 3 9 6 5 4 1 2 7
6 7 2 9 1 8 5 4 3

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Conclusion

SMT-solvers are so helpful, is that our Sudoku solver has nothing else, we have just defined relationships between variables (cells).

Homework

As it seems, true Sudoku puzzle is the one which has only one solution. The piece of code I’ve included here shows only the first one. Using the method described earlier (3.17, also called “model counting”), try to find more solutions, or prove that the solution you have just found is the only one possible.

Further reading

http://www.norvig.com/sudoku.html

Sudoku as a SAT problem

It’s also possible to represent Sudoku puzzle as a huge CNF equation and use SAT-solver to find solution, but it’s just trickier.

Some articles about it: Building a Sudoku Solver with SAT\(^2\), Tjark Weber, A SAT-based Sudoku Solver\(^3\), Ines Lynce, Joel Ouaknine, Sudoku as a SAT Problem\(^4\), Gihwon Kwon, Himanshu Jain, Optimized CNF Encoding for Sudoku Puzzles\(^5\).

SMT-solver can also use SAT-solver in its core, so it does all mundane translating work. As a “compiler”, it may not do this in the most efficient way, though.

8.1.2 Greater Than Sudoku

I’ve found this on http://www.killsudukoonline.com:
It can be solved easily with Z3. I’ve took the same piece of code I used for the usual Sudoku: ??.
... and added this:

```
...  
```

# subsquare 1,1:
s.add(cells[0][0]>cells[0][1])
s.add(cells[1][0]>cells[1][1])
s.add(cells[2][0]<cells[2][1])
s.add(cells[0][1]<cells[0][2])
s.add(cells[0][2]<cells[1][2])

# subsquare 1,2:
s.add(cells[0][4]>cells[1][4])
s.add(cells[1][3]>cells[2][3])
s.add(cells[1][4]>cells[2][4])
s.add(cells[1][5]>cells[2][5])

# subsquare 1,3:
s.add(cells[0][6]>cells[0][7])
s.add(cells[0][7]<cells[0][8])
s.add(cells[0][6]<cells[1][6])
s.add(cells[1][7]<cells[1][8])
s.add(cells[1][6]>cells[2][6])
s.add(cells[1][7]>cells[2][7])
s.add(cells[1][8]>cells[2][8])

# subsquare 2,1:
s.add(cells[3][0]<cells[4][0])
s.add(cells[4][0]<cells[5][0])
s.add(cells[4][1]<cells[4][2])
s.add(cells[4][0]<cells[5][0])
s.add(cells[4][1]>cells[5][1])
s.add(cells[4][2]<cells[5][2])

# subsquare 2,2:
s.add(cells[3][4]>cells[4][4])
s.add(cells[3][4]<cells[3][5])
s.add(cells[4][3]<cells[4][4])
s.add(cells[4][3]<cells[5][3])

# subsquare 2,3:
s.add(cells[3][6]>cells[3][7])
s.add(cells[3][7]<cells[3][8])
s.add(cells[3][6]>cells[4][6])
s.add(cells[4][6]<cells[4][7])
s.add(cells[4][7]<cells[4][8])
s.add(cells[5][7]<cells[5][8])

# subsquare 3,1:
s.add(cells[6][0]>cells[6][1])
s.add(cells[6][1]<cells[6][2])
s.add(cells[6][1]>cells[7][1])
s.add(cells[7][0]<cells[7][1])
s.add(cells[7][0]>cells[8][0])
s.add(cells[7][2]>cells[8][2])
# subsquare 3,2:

\[
s.add(\text{cells}[6][3] > \text{cells}[6][4])
\]

\[
s.add(\text{cells}[6][4] > \text{cells}[6][5])
\]

\[
s.add(\text{cells}[7][3] > \text{cells}[7][4])
\]

\[
s.add(\text{cells}[7][4] < \text{cells}[7][5])
\]

\[
s.add(\text{cells}[8][3] > \text{cells}[8][4])
\]

\[
s.add(\text{cells}[8][4] < \text{cells}[8][5])
\]

\[
s.add(\text{cells}[7][4] > \text{cells}[8][4])
\]

# subsquare 3,3:

\[
s.add(\text{cells}[6][7] > \text{cells}[6][8])
\]

\[
s.add(\text{cells}[6][7] > \text{cells}[7][7])
\]

\[
s.add(\text{cells}[7][7] > \text{cells}[8][7])
\]

\[
s.add(\text{cells}[8][7] > \text{cells}[8][8])
\]

\[
...
\]

( The full file: [https://yurichev.com/SAT_SMT_tree/puzzles/sudoku/GT/sudoku_GT.py](https://yurichev.com/SAT_SMT_tree/puzzles/sudoku/GT/sudoku_GT.py) )

The puzzle marked as “Outrageous” (for humans?), however it took ≈ 30 seconds on my old Intel Xeon E3-1220 3.10GHz to solve it:

```
7 3 4 6 9 2 5 1 8
2 1 5 8 3 7 9 4 6
6 8 9 5 1 4 7 2 3
1 7 3 2 8 9 6 5 4
5 4 6 1 7 3 2 8 9
9 2 8 4 5 6 1 3 7
8 6 7 3 2 1 4 9 5
4 5 2 9 6 8 3 7 1
3 9 1 7 4 5 8 6 2
```

8.1.3 Solving Killer Sudoku

I’ve found this on [https://krazydad.com/killersudoku/sfiles/KD_Killer_ST16_8_v52.pdf](https://krazydad.com/killersudoku/sfiles/KD_Killer_ST16_8_v52.pdf):
There are “cages”, each cage must have distinct digits, and its sum must be equal to the number written there in a manner of crossword. See also: https://en.wikipedia.org/wiki/Killer_sudoku.

This is also piece of cake for Z3. I’ve took the same piece of code I used for usual Sudoku (8.1.1).
cage=[cells[1][2], cells[2][2], cells[2][3]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==16)

cage=[cells[1][3], cells[1][4]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==10)

cage=[cells[1][5], cells[2][4], cells[2][5]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==17)

cage=[cells[2][6], cells[3][5], cells[3][6], cells[4][5], cells[4][6]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==28)

cage=[cells[3][2], cells[3][3]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==7)

cage=[cells[3][4], cells[4][4], cells[5][4]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==16)

cage=[cells[3][8], cells[4][7], cells[4][8]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==11)

cage=[cells[4][0], cells[4][1], cells[5][0]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==11)

cage=[cells[4][2], cells[4][3], cells[5][2], cells[5][3], cells[6][2]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==25)

cage=[cells[5][1], cells[6][0], cells[6][1], cells[7][0], cells[7][1], cells[8][0],
cells[8][1]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==40)

cage=[cells[5][5], cells[5][6]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==13)

cage=[cells[5][7], cells[6][7]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==7)

cage=[cells[5][8], cells[6][8]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==16)

cage=[cells[6][3], cells[6][4], cells[7][3]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==22)
```python
cage=[cells[6][5], cells[6][6], cells[7][6]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==6)

cage=[cells[7][2], cells[8][2]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==11)

cage=[cells[7][4], cells[7][5]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==8)

cage=[cells[7][7], cells[8][7]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==10)

cage=[cells[7][8], cells[8][8]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==12)

cage=[cells[8][3], cells[8][4], cells[8][5], cells[8][6]]
s.add(Distinct(*cage))
s.add(Sum(*cage)==17)
...```

( The full file: [https://yurichev.com/SAT_SMT_tree/puzzles/sudoku/killer/killer_sudoku.py](https://yurichev.com/SAT_SMT_tree/puzzles/sudoku/killer/killer_sudoku.py) )

The puzzle marked as “Super-Tough Killer Sudoku Puzzle” (again, for humans?), however it took ≈ 30 seconds on my old Intel Xeon E3-1220 3.10GHz to solve it:

```
5 3 4 7 1 2 8 9 6
8 2 1 4 6 9 7 5 3
9 6 7 8 3 5 4 2 1
2 4 6 1 9 7 3 8 5
7 1 9 3 5 8 6 4 2
3 8 5 6 2 4 9 1 7
4 7 2 5 8 3 1 6 9
6 5 8 9 7 1 2 3 4
1 9 3 2 4 6 5 7 8
```

### 8.1.4 KLEE

I've also rewritten Sudoku example (8.1) for KLEE:

```c
#include <stdint.h>
/*
coordinates:
------------------------
  00 01 02 | 03 04 05 | 06 07 08
  10 11 12 | 13 14 15 | 16 17 18
  20 21 22 | 23 24 25 | 26 27 28
------------------------
  30 31 32 | 33 34 35 | 36 37 38
  40 41 42 | 43 44 45 | 46 47 48
  50 51 52 | 53 54 55 | 56 57 58
```
uint8_t cells[9][9];

// http://www.norvig.com/sudoku.html
// http://www.mirror.co.uk/news/weird-news/worlds-hardest-sudoku-can-you-242294
char *puzzle = ".53.....8......2..7..1.5..4....53...1..7...6..32...8..6.5....9..4....3.....97..";

int main()
{
klee_make_symbolic(cells, sizeof cells, "cells");

    // process text line:
    for (int row=0; row<9; row++)
        for (int column=0; column<9; column++)
            {
                char c=puzzle[row*9 + column];
                if (c!='.')
                    {
                        if (cells[row][column]!=c-'0') return 0;
                    }
                else
                    {
                        // limit cells values to 1..9:
                        if (cells[row][column]<1) return 0;
                        if (cells[row][column]>9) return 0;
                    }
            }

    // for all 9 rows
    for (int row=0; row<9; row++)
        {
            if (((1<<cells[row][0]) |
                 (1<<cells[row][1]) |
                 (1<<cells[row][2]) |
                 (1<<cells[row][3]) |
                 (1<<cells[row][4]) |
                 (1<<cells[row][5]) |
                 (1<<cells[row][6]) |
                 (1<<cells[row][7]) |
                 (1<<cells[row][8])))!=0x3FE ) return 0; // 11 1111 1110
        }

    // for all 9 columns
    for (int c=0; c<9; c++)
        {
            if (((1<<cells[0][c]) |
                 (1<<cells[1][c]) |
                 (1<<cells[2][c]) |
(1<<cells[3][c]) | (1<<cells[4][c]) | (1<<cells[5][c]) | (1<<cells[6][c]) | (1<<cells[7][c]) | (1<<cells[8][c]))!=0x3FE ) return 0; // 11 1111 1110
}

// enumerate all 9 squares
for (int r=0; r<9; r+=3)
    for (int c=0; c<9; c+=3)
    {
        // add constraints for each 3*3 square:
        if ((1<<cells[r+0][c+0] | 1<<cells[r+0][c+1] | 1<<cells[r+0][c+2] | 1<<cells[r+1][c+0] | 1<<cells[r+1][c+1] | 1<<cells[r+1][c+2] | 1<<cells[r+2][c+0] | 1<<cells[r+2][c+1] | 1<<cells[r+2][c+2])!=0x3FE ) return 0; // 11 1111 1110
    }

// at this point, all constraints must be satisfied
klee_assert(0);

Let's run it:

% clang -emit-llvm -c -g klee_sudoku_or1.c
...

\$ time klee klee_sudoku_or1.bc
KLEE: output directory is "/home/klee/klee-out-98"
KLEE: WARNING: undefined reference to function: klee_assert
KLEE: WARNING ONCE: calling external: klee_assert(0)
KLEE: ERROR: /home/klee/klee_sudoku_or1.c:93: failed external call: klee_assert
KLEE: NOTE: now ignoring this error at this location

KLEE: done: total instructions = 7512
KLEE: done: completed paths = 161
KLEE: done: generated tests = 161
real 3m44.111s
user 3m43.319s
sys 0m0.951s

Now this is really slower (on my Intel Core i3-3110M 2.4GHz notebook) in comparison to Z3Py solution (8.1.1).
But the answer is correct:

% ls klee-last | grep err
test000161.external.err

% ktest-tool --write-ints klee-last/test000161.ktest
ktest file: 'klee-last/test000161.ktest'
args : ['klee_sudoku_or1.bc']
um objects: 1
Character \t has code of 9 in C/C++, and KLEE prints byte array as a C/C++ string, so it shows some values in such way. We can just keep in mind that there is 9 at the each place where we see \t. The solution, while not properly formatted, correct indeed.

By the way, at lines 42 and 43 you may see how we tell to KLEE that all array elements must be within some limits. If we comment these lines out, we’ve got this:

```c
#include <stdint.h>

/*
coordinates:
------------------
00 01 02 | 03 04 05 | 06 07 08
10 11 12 | 13 14 15 | 16 17 18
20 21 22 | 23 24 25 | 26 27 28
------------------
30 31 32 | 33 34 35 | 36 37 38
40 41 42 | 43 44 45 | 46 47 48
50 51 52 | 53 54 55 | 56 57 58
------------------
60 61 62 | 63 64 65 | 66 67 68
70 71 72 | 73 74 75 | 76 77 78
80 81 82 | 83 84 85 | 86 87 88
------------------
*/

uint8_t cells[9][9];

// http://www.norvig.com/sudoku.html
// http://www.mirror.co.uk/news/weird-news/worlds-hardest-sudoku-can-you-242294
char *puzzle
   ="...53.....8......2..7..1.5..4....53...1..7...6..32...8..6.5....9..4....3......97..";

int main()
{
    klee_make_symbolic(cells, sizeof cells, "cells");
}
for (int row=0; row<9; row++)
    for (int column=0; column<9; column++)
    {
        char c=puzzle[row*9 + column];
        if (c!='. ')
            klee_assume (cells[row][column]==c-'0');
        else
            {
                klee_assume (cells[row][column]>=1);
                klee_assume (cells[row][column]<=9);
            }
    }

// for all 9 rows
for (int row=0; row<9; row++)
{
    klee_assume (((1<<cells[row][0]) |
                 (1<<cells[row][1]) |
                 (1<<cells[row][2]) |
                 (1<<cells[row][3]) |
                 (1<<cells[row][4]) |
                 (1<<cells[row][5]) |
                 (1<<cells[row][6]) |
                 (1<<cells[row][7]) |
                 (1<<cells[row][8]))==0x3FE ); // 11 1111 1110
}

// for all 9 columns
for (int c=0; c<9; c++)
{
    klee_assume (((1<<cells[0][c]) |
                 (1<<cells[1][c]) |
                 (1<<cells[2][c]) |
                 (1<<cells[3][c]) |
                 (1<<cells[4][c]) |
                 (1<<cells[5][c]) |
                 (1<<cells[6][c]) |
                 (1<<cells[7][c]) |
                 (1<<cells[8][c]))==0x3FE ); // 11 1111 1110
}

// enumerate all 9 squares
for (int r=0; r<9; r+=3)
    for (int c=0; c<9; c+=3)
    {
        // add constraints for each 3*3 square:
        klee_assume ((1<<cells[r+0][c+0]) |
                      1<<cells[r+0][c+1] |
                      1<<cells[r+0][c+2] |
                      1<<cells[r+1][c+0] |
                      1<<cells[r+1][c+1] |
That works much faster: perhaps KLEE indeed handle this *intrinsinc* in a special way. And, as we see, the only one path has been found (one we actually interesting in it) instead of 161.

It's still much slower than Z3Py solution, though.

### 8.1.5 Sudoku in SAT

One might think that we can encode each 1..9 number in binary form: 5 bits or variables would be enough. But there is even simpler way: allocate 9 bits, where only one bit will be *True*. The number 1 can be encoded as [1, 0, 0, 0, 0, 0, 0, 0, 0], the number 3 as [0, 0, 1, 0, 0, 0, 0, 0, 0], etc. Seems uneconomical? Yes, but other operations would be simpler.

First of all, we'll reuse important `POPCNT1` function I've described earlier: 8.4.1.

The second important operation we need to invent is making 9 numbers unique. If each number is encoded as 9-bits vector, 9 numbers can form a matrix, like:

| 0 0 0 0 0 0 1 0 0 <- 1st number |
| 0 0 0 0 0 1 0 0 0 <- 2nd number |
| 0 1 0 0 0 0 0 0 0 <- ... |
| 0 0 1 0 0 0 0 0 0 <- ... |
| 0 0 0 0 0 0 0 0 1 <- ... |
| 0 0 0 0 1 0 0 0 0 <- ... |
| 0 0 0 0 0 0 0 1 0 <- ... |
| 1 0 0 0 0 0 0 0 0 <- ... |
| 0 0 0 1 0 0 0 0 0 <- 9th number |

Now we will use a `POPCNT1` function to make each row in the matrix to contain only one *True* bit, that will preserve consistency in encoding, since no vector can contain more than 1 *True* bit, or no *True* bits at all. Then we will use a `POPCNT1` function again to make all columns in the matrix to have only one single *True* bit. That will make all rows in matrix unique, in other words, all 9 encoded numbers will always be unique.

After applying `POPCNT1` function 9+9=18 times we'll have 9 unique numbers in 1..9 range.

Using that operation we can make each row of Sudoku puzzle unique, each column unique and also each 3 · 3 = 9 box.
import itertools, subprocess, os

# global variables:
clauses=[]
vector_names={}
last_var=1

BITS_PER_VECTOR=9

def read_lines_from_file(fname):
    f=open(fname)
    new_ar=[item.rstrip() for item in f.readlines()]
    f.close()
    return new_ar

def run_minisat(CNF_fname):
    child = subprocess.Popen(["minisat", CNF_fname, "results.txt"], stdout=subprocess.PIPE)
    child.wait()
    # 10 is SAT, 20 is UNSAT
    if child.returncode==20:
        os.remove("results.txt")
        return None
    if child.returncode!=10:
        print "(minisat) unknown retcode: ", child.returncode
        exit(0)

    solution=read_lines_from_file("results.txt")[1].split(" ")
    os.remove("results.txt")

    return solution

def write_CNF(fname, clauses, VARS_TOTAL):
    f=open(fname, "w")
    f.write("p cnf "+str(VARS_TOTAL)+" "+str(len(clauses))+"\n")
    [f.write(" ".join(c)+" 0\n") for c in clauses]
    f.close()

def neg(v):
    return "-"+v

def add_popcnt1(vars):
    global clauses
    # enumerate all possible pairs
    # no pair can have both True's
    # so add "~var OR ~var2"
    for pair in itertools.combinations(vars, r=2):
        clauses.append([neg(pair[0]), neg(pair[1])])
    # at least one var must be present:
    clauses.append(vars)

def make_distinct_bits_in_vector(vec_name):
    global vector_names
    global last_var
```python
add_popcnt1([vector_names[(vec_name,i)] for i in range(BITS_PER_VECTOR)])

def make_distinct_vectors(vectors):
    # take each bit from all vectors, call add_popcnt1()
    for i in range(BITS_PER_VECTOR):
        add_popcnt1([vector_names[(vec,i)] for vec in vectors])

def cvt_vector_to_number(vec_name, solution):
    for i in range(BITS_PER_VECTOR):
        if vector_names[(vec_name,i)] in solution:
            # variable present in solution as non-negated (without a "-" prefix)
            return i+1
    raise AssertionError

def alloc_var():
    global last_var
    last_var=last_var+1
    return str(last_var-1)

def alloc_vector(l, name):
    global last_var,
    global vector_names
    rt=[]
    for i in range(l):
        v=alloc_var()
        vector_names[(name,i)]=v
        rt.append(v)
    return rt

def add_constant(var,b):
    global clauses
    if b==True or b==1:
        clauses.append([var])
    else:
        clauses.append([neg(var)])

# vec is a list of True/False/0/1
def add_constant_vector(vec_name, vec):
    global vector_names
    for i in range(BITS_PER_VECTOR):
        add_constant(vector_names[(vec_name, i)], vec[i])

# 1 -> [1, 0, 0, 0, 0, 0, 0, 0, 0]
# 3 -> [0, 0, 1, 0, 0, 0, 0, 0, 0]

def number_to_vector(n):
    rt=[0]*(n-1)
    rt.append(1)
    rt=rt+[0]*(BITS_PER_VECTOR-len(rt))
    return rt

"""
coordinates we're using here:

+--------+--------+--------+
|11 12 13|14 15 16|17 18 19|
|21 22 23|24 25 26|27 28 29|
|31 32 33|34 35 36|37 38 39|
"""
```
def make_vec_name(row, col):
    return "cell"+str(row)+str(col)

def puzzle_to_clauses (puzzle):
    # process text line:
    current_column=1
    current_row=1
    for i in puzzle:
        if i!='.:
            add_constant_vector(make_vec_name(current_row, current_column),
            number_to_vector(int(i)))
            current_column=current_column+1
        if current_column==10:
            current_column=1
            current_row=current_row+1

def print_solution(solution):
    for row in range(1,9+1):
        # print row:
        print " ".join([str(cvt_vector_to_number(make_vec_name(row, col), solution)) for col in range(1,9+1)])

def main():
    # allocate 9*9*9=729 variables:
    for row in range(1, 9+1):
        for col in range(1, 9+1):
            alloc_vector(9, make_vec_name(row, col))
            make_distinct_bits_in_vector(make_vec_name(row, col))

    # variables in each row are unique:
    for row in range(1, 9+1):
        make_distinct_vectors([make_vec_name(row, col) for col in range(1, 9+1)])

    # variables in each column are unique:
    for col in range(1, 9+1):
        make_distinct_vectors([make_vec_name(row, col) for row in range(1, 9+1)])

    # variables in each 3*3 box are unique:
    for row in range(1, 9+1, 3):
        for col in range(1, 9+1, 3):
            tmp=[]
            tmp.append(make_vec_name(row+0, col+0))
            tmp.append(make_vec_name(row+0, col+1))
            tmp.append(make_vec_name(row+0, col+2))
            tmp.append(make_vec_name(row+1, col+0))
            tmp.append(make_vec_name(row+1, col+1))
            tmp.append(make_vec_name(row+1, col+2))

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tmp.append(make_vec_name(row+2, col+0))
tmp.append(make_vec_name(row+2, col+1))
make_distinct_vectors(tmp)

# http://www.norvig.com/sudoku.html
# http://www.mirror.co.uk/news/weird-news/worlds-hardest-sudoku-can-you-242294
puzzle_to_clauses("...

print "len(clauses)="\nlen(clauses)
write_CNF("1.cnf", clauses, last_var-1)
solution=run_minisat("1.cnf")
#os.remove("1.cnf")
if solution==None:
  print "unsat!"
  exit(0)

print_solution(solution)

main()

(https://yurichev.com/SAT_SMT_tree/puzzles/sudoku/SAT/sudoku_SAT.py)
The make_distinct_bits_in_vector() function preserves consistency of encoding.
The make_distinct_vectors() function makes 9 numbers unique.
The cvt_vector_to_number() decodes vector to number.
The number_to_vector() encodes number to vector.
The main() function has all necessary calls to make rows/columns/3·3 boxes unique.

That works:

% python sudoku_SAT.py
len(clauses)= 12195
1 4 5 3 2 7 6 9 8
8 3 9 6 5 4 1 2 7
6 7 2 9 1 8 5 4 3
4 9 6 1 8 5 3 7 2
2 1 8 4 7 3 9 5 6
7 5 3 2 9 6 4 8 1
3 6 7 5 4 2 8 1 9
9 8 4 7 6 1 2 3 5
5 2 1 8 3 9 7 6 4

Same solution as earlier: 8.1.1.
Picosat tells this SAT instance has only one solution. Indeed, as they say, true Sudoku puzzle can have only one solution.

Measuring puzzle’s hardness using MiniSat

Various puzzles printed in newspapers are divided by "hardness" or number of clues. Let’s see, how we can measure "hardness". SAT solver’s clock time is not an options, because this is too easy problem for them. However, MiniSat can give other statistics:
"8.4.3.1.772.54.9....1.7......39.....5.7.469.1.1.....79.....2.4.....5.93.719.3.8.5.6"

| restarts | 1 |
| conflicts | 0 | (0 /sec) |
| decisions | 1 | (0.00 % random) (99 /sec) |
| propagations | 729 | (72221 /sec) |
conflict literals : 0

"2......56.4..2.7.1.6.9..2......6.1...35784...9.3....4.7.6.3.9.5..2.17......4"

restarts : 1
countlicts : 0
decisions : 1
propagations : 729
conflict literals : 0

"..62..48.3..8....7.4..5.451.....9.2....963........1.2.2....8..6.75..98.."

restarts : 1
countlicts : 0
decisions : 1
propagations : 729
conflict literals : 0

".......2..23..6..479.5.....3..6.45....2.9....73.5..6....2.318..7..46..1...."

restarts : 1
countlicts : 1
decisions : 2
propagations : 810
conflict literals : 1

"..53.....8......2..7..1.5..4....53...1..7...6..32...8..6.5....9..4....3......97.."

restarts : 1
countlicts : 22
decisions : 30
propagations : 2516
conflict literals : 156

These are "conflicts", "decisions", "propagations", "conflict literals".

Getting rid of one POPCNT1 function call

To make 9 unique 1..9 numbers we can use POPCNT1 function to make each row in matrix be unique and use OR boolean operation for all columns. That will have merely the same effect: all rows has to be unique to make each column to be evaluated to True if all variables in column are OR’ed. (I will do this in the next example: 8.2.3.)

That will make 3447 clauses instead of 12195, but somehow, SAT solvers works slower. No idea why.

8.2 Zebra puzzle (AKA Einstein puzzle)

8.2.1 SMT

Zebra puzzle is a popular puzzle, defined as follows:

1. There are five houses.
2. The Englishman lives in the red house.
3. The Spaniard owns the dog.
4. Coffee is drunk in the green house.
5. The Ukrainian drinks tea.
6. The green house is immediately to the right of the ivory house.
7. The Old Gold smoker owns snails.
8. Kools are smoked in the yellow house.
9. Milk is drunk in the middle house.
10. The Norwegian lives in the first house.
11. The man who smokes Chesterfields lives in the house next to the man with the fox.
12. Kools are smoked in the house next to the house where the horse is kept.
13. The Lucky Strike smoker drinks orange juice.
15. The Norwegian lives next to the blue house.

Now, who drinks water? Who owns the zebra?

In the interest of clarity, it must be added that each of the five houses is painted a different color, and their inhabitants are of different national extractions, own different pets, drink different beverages and smoke different brands of American cigarettes [sic]. One other thing: in statement 6, right means your right.


It's a very good example of CSP\(^6\).

We would encode each entity as integer variable, representing number of house.

Then, to define that Englishman lives in red house, we will add this constraint: \texttt{Englishman == Red}, meaning that number of a house where Englishmen resides and which is painted in red is the same.

To define that Norwegian lives next to the blue house, we don’t really know, if it is at left side of blue house or at right side, but we know that house numbers are different by just 1. So we will define this constraint: \texttt{Norwegian==Blue-1 OR Norwegian==Blue+1}.

We will also need to limit all house numbers, so they will be in range of 1..5.

We will also use \texttt{Distinct} to show that all various entities of the same type are all has different house numbers.

```python
#!/usr/bin/env python
from z3 import *

Yellow, Blue, Red, Ivory, Green=Ints('Yellow Blue Red Ivory Green')
Norwegian, Ukrainian, Englishman, Spaniard, Japanese=Ints('Norwegian Ukrainian Englishman Spaniard Japanese')
Water, Tea, Milk, OrangeJuice, Coffee=Ints('Water Tea Milk OrangeJuice Coffee')
Kools, Chesterfield, OldGold, LuckyStrike, Parliament=Ints('Kools Chesterfield OldGold LuckyStrike Parliament')
Fox, Horse, Snails, Dog, Zebra=Ints('Fox Horse Snails Dog Zebra')

s = Solver()

# colors are distinct for all 5 houses:
s.add(Distinct(Yellow, Blue, Red, Ivory, Green))

# all nationalities are living in different houses:
s.add(Distinct(Norwegian, Ukrainian, Englishman, Spaniard, Japanese))

# so are beverages:
s.add(Distinct(Water, Tea, Milk, OrangeJuice, Coffee))

# so are cigarettes:
s.add(Distinct(Kools, Chesterfield, OldGold, LuckyStrike, Parliament))
```

\(^6\text{Constraint satisfaction problem}\)
# so are pets:
s.add(Distinct(Fox, Horse, Snails, Dog, Zebra))

# limits.
# adding two constraints at once (separated by comma) is the same
# as adding one And() constraint with two subconstraints
s.add(Yellow>=1, Yellow<=5)
s.add(Blue>=1, Blue<=5)
s.add(Englishman>=1, Englishman<=5)
s.add(Spaniard>=1, Spaniard<=5)
s.add(Japanese>=1, Japanese<=5)
s.add(Water>=1, Water<=5)
s.add(Englishman==Red)

s.add(Spaniard==Dog)

s.add(Coffee==Green)

s.add(Ukrainian==Tea)

s.add(Green==Ivory+1)

s.add(OldGold==Snails)
# 8. Kools are smoked in the yellow house.
s.add(Kools==Yellow)

# 9. Milk is drunk in the middle house.
s.add(Milk==3)  # i.e., 3rd house

# 10. The Norwegian lives in the first house.
s.add(Norwegian==1)

# 11. The man who smokes Chesterfields lives in the house next to the man with the fox.
s.add(Or(Chesterfield==Fox+1, Chesterfield==Fox-1))  # left or right

# 12. Kools are smoked in the house next to the house where the horse is kept.
s.add(Or(Kools==Horse+1, Kools==Horse-1))  # left or right

# 13. The Lucky Strike smoker drinks orange juice.
s.add(LuckyStrike==OrangeJuice)

s.add(Japanese==Parliament)

# 15. The Norwegian lives next to the blue house.
s.add(Or(Norwegian==Blue+1, Norwegian==Blue-1))  # left or right

r=s.check()
print r
if r==unsat:
    exit(0)
m=s.model()
print(m)

When we run it, we got correct result:

sat
[Snails = 3,
 Blue = 2,
 Ivory = 4,
 OrangeJuice = 4,
 Parliament = 5,
 Yellow = 1,
 Fox = 1,
 Zebra = 5,
 Horse = 2,
 Dog = 4,
 Tea = 2,
 Water = 1,
 Chesterfield = 2,
 Red = 3,
 Japanese = 5,
 LuckyStrike = 4,
 Norwegian = 1,
 Milk = 3,
 Kools = 1,
 OldGold = 3,
 Ukrainian = 2,
 Coffee = 5,
 Green = 5,
 Spaniard = 4,
8.2.2 KLEE
We just define all variables and add constraints:

```c
int main()
{
    int Yellow, Blue, Red, Ivory, Green;
    int Norwegian, Ukrainian, Englishman, Spaniard, Japanese;
    int Water, Tea, Milk, OrangeJuice, Coffee;
    int Kools, Chesterfield, OldGold, LuckyStrike, Parliament;
    int Fox, Horse, Snails, Dog, Zebra;

    klee_make_symbolic(&Yellow, sizeof(int), "Yellow");
    klee_make_symbolic(&Blue, sizeof(int), "Blue");
    klee_make_symbolic(&Red, sizeof(int), "Red");
    klee_make_symbolic(&Ivory, sizeof(int), "Ivory");
    klee_make_symbolic(&Green, sizeof(int), "Green");

    klee_make_symbolic(&Norwegian, sizeof(int), "Norwegian");
    klee_make_symbolic(&Ukrainian, sizeof(int), "Ukrainian");
    klee_make_symbolic(&Englishman, sizeof(int), "Englishman");
    klee_make_symbolic(&Spaniard, sizeof(int), "Spaniard");
    klee_make_symbolic(&Japanese, sizeof(int), "Japanese");

    klee_make_symbolic(&Water, sizeof(int), "Water");
    klee_make_symbolic(&Tea, sizeof(int), "Tea");
    klee_make_symbolic(&Milk, sizeof(int), "Milk");
    klee_make_symbolic(&OrangeJuice, sizeof(int), "OrangeJuice");
    klee_make_symbolic(&Coffee, sizeof(int), "Coffee");

    klee_make_symbolic(&Kools, sizeof(int), "Kools");
    klee_make_symbolic(&Chesterfield, sizeof(int), "Chesterfield");
    klee_make_symbolic(&OldGold, sizeof(int), "OldGold");
    klee_make_symbolic(&LuckyStrike, sizeof(int), "LuckyStrike");
    klee_make_symbolic(&Parliament, sizeof(int), "Parliament");

    klee_make_symbolic(&Fox, sizeof(int), "Fox");
    klee_make_symbolic(&Horse, sizeof(int), "Horse");
    klee_make_symbolic(&Snails, sizeof(int), "Snails");
    klee_make_symbolic(&Dog, sizeof(int), "Dog");
    klee_make_symbolic(&Zebra, sizeof(int), "Zebra");

    // limits.
    if (Yellow<1 || Yellow>5) return 0;
    if (Blue<1 || Blue>5) return 0;
    if (Red<1 || Red>5) return 0;
    if (Ivory<1 || Ivory>5) return 0;
    if (Green<1 || Green>5) return 0;

    if (Norwegian<1 || Norwegian>5) return 0;
    if (Ukrainian<1 || Ukrainian>5) return 0;
    if (Englishman<1 || Englishman>5) return 0;
    if (Spaniard<1 || Spaniard>5) return 0;
    if (Japanese<1 || Japanese>5) return 0;
}
```
if (Water<1 || Water>5) return 0;
if (Tea<1 || Tea>5) return 0;
if (Milk<1 || Milk>5) return 0;
if (Orange Juice<1 || Orange Juice>5) return 0;
if (Coffee<1 || Coffee>5) return 0;
if (Kools<1 || Kools>5) return 0;
if (Chesterfield<1 || Chesterfield>5) return 0;
if (OldGold<1 || OldGold>5) return 0;
if (Lucky Strike<1 || Lucky Strike>5) return 0;
if (Parliament<1 || Parliament>5) return 0;
if (Fox<1 || Fox>5) return 0;
if (Horse<1 || Horse>5) return 0;
if (Snails<1 || Snails>5) return 0;
if (Dog<1 || Dog>5) return 0;
if (Zebra<1 || Zebra>5) return 0;

// colors are distinct for all 5 houses:
if (((1<<Yellow) | (1<<Blue) | (1<<Red) | (1<<Ivory) | (1<<Green))!=0x3E) return 0; // 111110

// all nationalities are living in different houses:
if (((1<<Norwegian) | (1<<Ukrainian) | (1<<Englishman) | (1<<Spaniard) | (1<<Japanese))!=0x3E) return 0; // 111110

// so are beverages:
if (((1<<Water) | (1<<Tea) | (1<<Milk) | (1<<Orange Juice) | (1<<Coffee))!=0x3E) return 0; // 111110

// so are cigarettes:
if (((1<<Kools) | (1<<Chesterfield) | (1<<OldGold) | (1<<Lucky Strike) | (1<<Parliament))!=0x3E) return 0; // 111110

// so are pets:
if (((1<<Fox) | (1<<Horse) | (1<<Snails) | (1<<Dog) | (1<<Zebra))!=0x3E) return 0; // 111110

// main constraints of the puzzle:

// 2. The Englishman lives in the red house.
if (Englishman!=Red) return 0;

// 3. The Spaniard owns the dog.
if (Spaniard!=Dog) return 0;

// 4. Coffee is drunk in the green house.
if (Coffee!=Green) return 0;

// 5. The Ukrainian drinks tea.
if (Ukrainian!=Tea) return 0;

// 6. The green house is immediately to the right of the ivory house.
if (Green!=Ivory+1) return 0;

// 7. The Old Gold smoker owns snails.
if (OldGold!=Snails) return 0;
I force KLEE to find distinct values for colors, nationalities, cigarettes, etc, in the same way as I did for Sudoku earlier (8.1.1).

Let’s run it:

```bash
% clang -emit-llvm -c -g klee_zebra1.c
...
% klee klee_zebra1.bc
KLEE: output directory is "/home/klee/klee-out-97"
KLEE: WARNING: undefined reference to function: klee_assert
KLEE: WARNING ONCE: calling external: klee_assert(0)
KLEE: ERROR: /home/klee/klee_zebra1.c:130: failed external call: klee_assert
KLEE: NOTE: now ignoring this error at this location

KLEE: done: total instructions = 761
KLEE: done: completed paths = 55
KLEE: done: generated tests = 55
```

It works for ≈ 7 seconds on my Intel Core i3-3110M 2.4GHz notebook. Let’s find out path, where `klee_assert()` has been executed:

```bash
% ls klee-last | grep err
test000051.external.err
% ktest-tool --write-ints klee-last/test000051.ktest | less
```

---

I force KLEE to produce .err file: `klee_assert(0);`
ktest_file: 'klee-last/test000051.ktest'
args: ['klee_zebra1.bc']
num_objects: 25
object 0: name: b'Yellow'
object 0: size: 4
object 0: data: 1
object 1: name: b'Blue'
object 1: size: 4
object 1: data: 2
object 2: name: b'Red'
object 2: size: 4
object 2: data: 3
object 3: name: b'Ivory'
object 3: size: 4
object 3: data: 4

... 
object 21: name: b'Horse'
object 21: size: 4
object 21: data: 2
object 22: name: b'Snails'
object 22: size: 4
object 22: data: 3
object 23: name: b'Dog'
object 23: size: 4
object 23: data: 4
object 24: name: b'Zebra'
object 24: size: 4
object 24: data: 5

This is indeed correct solution.
klee_assume() also can be used this time:

```c
int main()
{
    int Yellow, Blue, Red, Ivory, Green;
    int Norwegian, Ukrainian, Englishman, Spaniard, Japanese;
    int Water, Tea, Milk, OrangeJuice, Coffee;
    int Kools, Chesterfield, OldGold, LuckyStrike, Parliament;
    int Fox, Horse, Snails, Dog, Zebra;

    klee_make_symbolic(&Yellow, sizeof(int), "Yellow");
    klee_make_symbolic(&Blue, sizeof(int), "Blue");
    klee_make_symbolic(&Red, sizeof(int), "Red");
    klee_make_symbolic(&Ivory, sizeof(int), "Ivory");
    klee_make_symbolic(&Green, sizeof(int), "Green");

    klee_make_symbolic(&Norwegian, sizeof(int), "Norwegian");
    klee_make_symbolic(&Ukrainian, sizeof(int), "Ukrainian");
    klee_make_symbolic(&Englishman, sizeof(int), "Englishman");
    klee_make_symbolic(&Spaniard, sizeof(int), "Spaniard");
    klee_make_symbolic(&Japanese, sizeof(int), "Japanese");

    klee_make_symbolic(&Water, sizeof(int), "Water");
    klee_make_symbolic(&Tea, sizeof(int), "Tea");
    klee_make_symbolic(&Milk, sizeof(int), "Milk");
}
klee_make_symbolic(&OrangeJuice, sizeof(int), "OrangeJuice");
klee_make_symbolic(&Coffee, sizeof(int), "Coffee");
klee_make_symbolic(&Kools, sizeof(int), "Kools");
klee_make_symbolic(&Chesterfield, sizeof(int), "Chesterfield");
klee_make_symbolic(&OldGold, sizeof(int), "OldGold");
klee_make_symbolic(&LuckyStrike, sizeof(int), "LuckyStrike");
klee_make_symbolic(&Parliament, sizeof(int), "Parliament");
klee_make_symbolic(&Fox, sizeof(int), "Fox");
klee_make_symbolic(&Horse, sizeof(int), "Horse");
klee_make_symbolic(&Snails, sizeof(int), "Snails");
klee_make_symbolic(&Dog, sizeof(int), "Dog");
klee_make_symbolic(&Zebra, sizeof(int), "Zebra");

// limits.
klee_assume(Yellow>=1 && Yellow<=5);
klee_assume(Blue>=1 && Blue<=5);
klee_assume(Red>=1 && Red<=5);
klee_assume(Ivory>=1 && Ivory<=5);
klee_assume(Green>=1 && Green<=5);
klee_assume(Norwegian>=1 && Norwegian<=5);
klee_assume(Ukrainian>=1 && Ukrainian<=5);
klee_assume(Englishman>=1 && Englishman<=5);
klee_assume(Spaniard>=1 && Spaniard<=5);
klee_assume(Japanese>=1 && Japanese<=5);
klee_assume(Water>=1 && Water<=5);
klee_assume(Tea>=1 && Tea<=5);
klee_assume(Milk>=1 && Milk<=5);
klee_assume(OrangeJuice>=1 && OrangeJuice<=5);
klee_assume(Coffee>=1 && Coffee<=5);
klee_assume(Kools>=1 && Kools<=5);
klee_assume(Chesterfield>=1 && Chesterfield<=5);
klee_assume(OldGold>=1 && OldGold<=5);
klee_assume(LuckyStrike>=1 && LuckyStrike<=5);
klee_assume(Parliament>=1 && Parliament<=5);
klee_assume(Fox>=1 && Fox<=5);
klee_assume(Horse>=1 && Horse<=5);
klee_assume(Snails>=1 && Snails<=5);
klee_assume(Dog>=1 && Dog<=5);
klee_assume(Zebra>=1 && Zebra<=5);

// colors are distinct for all 5 houses:
klee_assume(((1<<Yellow) | (1<<Blue) | (1<<Red) | (1<<Ivory) | (1<<Green))==0);

// all nationalities are living in different houses:
klee_assume(((1<<Norwegian) | (1<<Ukrainian) | (1<<Englishman) | (1<<Spaniard) |
| (1<<Japanese))==0x3E); // 111110

// so are beverages:
klee_assume(((1<<Water) | (1<<Tea) | (1<<Milk) | (1<<OrangeJuice) | (1<<Coffee) |
|) ==0x3E); // 111110
/ so are cigarettes:
klee_assume (((1<<Kools) | (1<<Chesterfield) | (1<<OldGold) | (1<<LuckyStrike) | (1<<Parliament))==0x3E); // 111110

// so are pets:
        klee_assume (((1<<Fox) | (1<<Horse) | (1<<Snails) | (1<<Dog) | (1<<Zebra))==0x3E ); // 111110

// main constraints of the puzzle:

// 2. The Englishman lives in the red house.
        klee_assume (Englishman==Red);

// 3. The Spaniard owns the dog.
        klee_assume (Spaniard==Dog);

// 4. Coffee is drunk in the green house.
        klee_assume (Coffee==Green);

// 5. The Ukrainian drinks tea.
        klee_assume (Ukrainian==Tea);

// 6. The green house is immediately to the right of the ivory house.
        klee_assume (Green==Ivory+1);

// 7. The Old Gold smoker owns snails.
        klee_assume (OldGold==Snails);

// 8. Kools are smoked in the yellow house.
        klee_assume (Kools==Yellow);

// 9. Milk is drunk in the middle house.
        klee_assume (Milk==3); // i.e., 3rd house

// 10. The Norwegian lives in the first house.
        klee_assume (Norwegian==1);

// 11. The man who smokes Chesterfields lives in the house next to the man with the fox.
        klee_assume (Chesterfield==Fox+1 || Chesterfield==Fox-1); // left or right

// 12. Kools are smoked in the house next to the house where the horse is kept.
        klee_assume (Kools==Horse+1 || Kools==Horse-1); // left or right

// 13. The Lucky Strike smoker drinks orange juice.
        klee_assume (LuckyStrike==OrangeJuice);

        klee_assume (Japanese==Parliament);

// 15. The Norwegian lives next to the blue house.
        klee_assume (Norwegian==Blue+1 || Norwegian==Blue-1); // left or right

// all constraints are satisfied at this point
// force KLEE to produce .err file:
        klee_assert(0);
...and this version works slightly faster (≈ 5 seconds), maybe because KLEE is aware of this intrinsic and handles it in a special way?

8.2.3 Zebra puzzle as a SAT problem

I would define each variable as a vector of 5 variables, as I did before in Sudoku solver: 8.1.5.

I also use POPCNT1 function, but unlike previous example, I used Wolfram Mathematica to generate it in CNF form:

```python
def mathematica_to_CNF(s, d):
    for k in d.keys():
        s=s.replace(k, d[k])
    s=s.replace("!", "-").replace("||", " ").replace("(" , " ").replace(")", "")
    s=s.split ("&")
    return s

def add_popcnt1(v1, v2, v3, v4, v5):
    global clauses
    s="(!a||!b)\&\&(!a||!c)\&\&(!a||!d)\&\&(!a||!e)\&\&(!b||!c)\&\&(!b||!d)\&\&(!b||!e)\&\&(!c||!d)\&\&(!c||!e)\&\&(!d||!e)"
    clauses=clauses+mathematica_to_CNF(s, {"a":v1, "b":v2, "c":v3, "d":v4, "e":v5})
    ...
```

Also, as I suggested before 8.1.5, I used OR operation as the second step.
def alloc_distinct_variables(names):
    global vars
    global vars_last
    for name in names:
        for i in range(5):
            vars[(name,i)]=str(vars_last)
            vars_last=vars_last+1

    # make them distinct:
    for i in range(5):
        clauses.append(vars[(names[0],i)] + ' ' + vars[(names[1],i)] + ' ' + vars[(names[2],i)] + ' ' + vars[(names[3],i)] + ' ' + vars[(names[4],i)])

...
### 4. Coffee is drunk in the green house.

```python
add_eq("Coffee","Green")
```

Now the next conditions: “9. Milk is drunk in the middle house.” (i.e., 3rd house), “10. The Norwegian lives in the first house.” We can just assign boolean values directly:

```python
# n=1..5
def add_eq_var_n (name, n):
    global clauses
    global vars
    for i in range(5):
        if i==n-1:
            clauses.append(vars[(name,i)])  # always True
        else:
            clauses.append("-"+vars[(name,i)])  # always False
```

For “Milk” we will have “0 0 1 0 0” value, for “Norwegian”: “1 0 0 0 0”.

What to do with this? “6. The green house is immediately to the right of the ivory house.” I can construct the following condition:

<table>
<thead>
<tr>
<th>Ivory</th>
<th>Green</th>
</tr>
</thead>
<tbody>
<tr>
<td>AND(1 0 0 0 0 0 1 0 0 0)</td>
<td></td>
</tr>
<tr>
<td>OR ..</td>
<td></td>
</tr>
<tr>
<td>AND(0 1 0 0 0 0 0 1 0 0)</td>
<td></td>
</tr>
<tr>
<td>OR ..</td>
<td></td>
</tr>
<tr>
<td>AND(0 0 1 0 0 0 0 0 1 0)</td>
<td></td>
</tr>
<tr>
<td>OR ..</td>
<td></td>
</tr>
<tr>
<td>AND(0 0 0 1 0 0 0 0 0 1)</td>
<td></td>
</tr>
</tbody>
</table>

There is no “0 0 0 0 1” for “Ivory”, because it cannot be the last one. Now I can convert these conditions to CNF using Wolfram Mathematica:

```mathematica
In[] := BooleanConvert[(a1&& !b1&&!c1&&!d1&&!e1&&!a2&& b2&&!c2&&!d2&&e2) ||
(!a1&& b1&&!c1&&!d1&&!e1&&!a2&& !b2&&c2&&!d2&&!e2) ||
(!a1&& !b1&&!c1&&d1&&!e1&&!a2&& b2&&!c2&&d2&&!e2) ||
(!a1&& !b1&&!c1&&!d1&&!e1&&!a2&& !b2&&c2&&!d2&&!e2),"CNF"]
```

```mathematica
Out[] = (!a1||!b1)&&(!a1||!c1)&&(!a1||!d1)&&(a1||b1||c1||d1)&&(a1||b1||!c1||d1)&&(a1||!b1||!c1||d1)&&(a1||!b1||!c1||!d1)&&(a1||b1||!c1)
\&
((b1||!d1)&&(b1||!b2||!c1)&&(b1||!b2||!c2)&&(b1||!b2||!d1)&&(b1||!b2||!e1)
\&
(b2||c1||c2||d1)&&(b2||!c1||c2)&&(b2||!c1||!d1)&&(b2||!c1||!e1)
\&
(!c2||!d2)&&(!c2||!e2)&&(d1||!d2)&&(e2)
```

And here is a piece of my Python code:

```python
def add_right (n1, n2):
    global clauses
```
s="(!a1&&!b1&&!c1&&!d1&&(!a1!!d1)&&(!a1&&!b1&&!c1&&!d1)!&a2&&(!b1&&!b2)&&(!b1!!!c1) 
&(&(!b1!!!d1)&&" 
"!(b1!!b2||c1||d1)!&(&(b2!!c1)!&(&(b2!!c2)!&(&(b2!!d1)!&(&(b2!!d2)!&(&(b2!!e2)!&((b2!!c1)!&c2)!&((c1!!d1)!&c2)!&!(c2!!d1)!&c2)!&!(c2!!d2)!&c2) 
&(&(c1)!&!(c2)!&") 
"!(d1!!d2)&(&!(d2!!e2)&!e1"

clauses=clauses+mathematica_to_CNF(s, { 
"a1": vars[(n1,0)], "b1": vars[(n1,1)], "c1": vars[(n1,2)], "d1": vars[(n1,3)], 
"e1": vars[(n1,4)],
"a2": vars[(n2,0)], "b2": vars[(n2,1)], "c2": vars[(n2,2)], "d2": vars[(n2,3)],
"e2": vars[(n2,4)]})

...

# 6. The green house is immediately to the right of the ivory house. 
add_right("Ivory", "Green")

What we will do with that? “11. The man who smokes Chesterfields lives in the house next to the man with the fox.”
“12. Kools are smoked in the house next to the house where the horse is kept.”

We don’t know side, left or right, but we know that they are differ in one. Here is a clauses I would add:

<table>
<thead>
<tr>
<th>Chesterfield</th>
<th>Fox</th>
</tr>
</thead>
<tbody>
<tr>
<td>AND(0 0 0 1 0)</td>
<td>0 0 1 0</td>
</tr>
<tr>
<td>OR ..</td>
<td>OR ..</td>
</tr>
<tr>
<td>AND(0 0 0 1 0)</td>
<td>0 0 0 1</td>
</tr>
<tr>
<td>AND(0 0 0 1 0)</td>
<td>0 1 0 0</td>
</tr>
<tr>
<td>.. OR ..</td>
<td>.. OR ..</td>
</tr>
<tr>
<td>AND(0 0 0 0 0)</td>
<td>1 0 0 0</td>
</tr>
<tr>
<td>AND(0 0 0 0 0)</td>
<td>0 1 0 0</td>
</tr>
<tr>
<td>.. OR ..</td>
<td>.. OR ..</td>
</tr>
<tr>
<td>AND(1 0 0 0 0)</td>
<td>0 0 1 0</td>
</tr>
</tbody>
</table>

I can convert this into CNF using Mathematica again:

In[]:= BooleanConvert[(a1&& !b1&&!c1&&!d1&&!e1&&!a2&& b2&&!c2&&!d2&&!e2) ||
(a1&& !b1&&!c1&&!d1&&!e1&&!a2&& !b2&&!c2&&!d2&&!e2) ||
(a1&& !b1&&!c1&&!d1&&!e1&&!a2&& b2&&!c2&&!d2&&!e2) ||
(a1&& !b1&&!c1&&!d1&&!e1&&!a2&& !b2&&!c2&&!d2&&!e2) ||
(a1&& !b1&&!c1&&!d1&&!e1&&!a2&& !b2&&!c2&&!d2&&!e2) ||
(a1&& !b1&&!c1&&!d1&&!e1&&!a2&& b2&&!c2&&!d2&&!e2) ||
(a1&& !b1&&!c1&&!d1&&!e1&&!a2&& !b2&&!c2&&!d2&&!e2) ||
(a1&& !b1&&!c1&&!d1&&!e1&&!a2&& !b2&&!c2&&!d2&&!e2) ||
(a1&& !b1&&!c1&&!d1&&!e1&&!a2&& !b2&&!c2&&!d2&&!e2),"CNF"]

Out[]=(!a1!!b1&&(!a1!!c1) &&(!a1!!d1) &&(!a1!!e1) &&(a1!!b1!!c1!!d1!!e1) &&(!a2||b1) &&(a2||b2) &&
(!a2||c2) &&(a2||d2) &&(!a2||e2) &&(a2||b2) &&(c1||c2) ||(c1||d1) ||(c1||e1) ||(b2||c2) ||(c2||d2) ||(c2||e2) &&
(!b1||b2) &&(!b1||c1) &&(!b1||d1) &&(!b1||e1) &&(b1||b2) &&(c1||d1) &&(e1) &&(b2||c2) &&(b2||d1) &&
(b2||d2) &&(b2||e2) &&

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def add_right_or_left (n1, n2):
    global clauses
    s="(!a1||!b1)\&\&(!a1||!c1)\&\&(!a1||!d1)\&\&(!a1||!e1)\&\&(a1||b1||c1||d1||e1)\&\&(a2||b1) && \\
    (!a2||!b2)\&\&(!a2||!c2)\&\&(!a2||!d2)\&\&(!a2||!e2)\&\&(a2||b2||c2||d2||e2)\&\&(a2||b2||c2||d2||e2) && \\
    (a2||b2||c2||d2||e2)\&\&(b1||!b2)\&\&(b1||!c2)\&\&(b1||!d2)\&\&(b1||!e2)\&\&(b2||!b1)\&\&(b2||!c1)\&\&(b2||!d1)\&\&(b2||!e1)\&\&(b1||!b2)\&\&(b1||!c1)\&\&(b1||!d1)\&\&(b1||!e1) && \\
    (!b2||!c2)\&\&(b2||!d1)\&\&(b2||!d2)\&\&(b2||!e1)\&\&(b2||!e2)\&\&(c2||!c1)\&\&(c1||!c2)\&\&(c1||!d1)\&\&(c1||!d2)\&\&(c1||!e1)\&\&(c1||!e2) && \\
    (!c2||!d2)\&\&(c2||!e1)\&\&(c2||!e2)\&\&(d1||!d2)\&\&(d1||!d1)\&\&(d1||!e1)\&\&(d1||!e2)"
    clauses=clauses+mathematica_to_CNF(s, {
        "a1": vars[(n1,0)],  "b1": vars[(n1,1)],  "c1": vars[(n1,2)],  "d1": vars[(n1,3)],
        "e1": vars[(n1,4)],
        "a2": vars[(n2,0)],  "b2": vars[(n2,1)],  "c2": vars[(n2,2)],  "d2": vars[(n2,3)],
        "e2": vars[(n2,4)]})

# 11. The man who smokes Chesterfields lives in the house next to the man with the fox.
add_right_or_left("Chesterfield","Fox") # left or right

# 12. Kools are smoked in the house next to the house where the horse is kept.
add_right_or_left("Kools","Horse") # left or right

This is it! The full source code: https://yurichev.com/SAT_SMT_tree/puzzles/zebra/SAT/zebra_SAT.py.

Resulting CNF instance has 125 boolean variables and 511 clauses: https://yurichev.com/SAT_SMT_tree/puzzles/zebra/SAT/1.cnf. It is a piece of cake for any SAT solver. Even my toy-level SAT-solver (23.1) can solve it in ~1 second on my ancient Intel Atom netbook.

And of course, there is only one possible solution, what is acknowledged by Picosat.
8.3 Solving pipe puzzle using Z3 SMT-solver

“Pipe puzzle” is a popular puzzle (just google “pipe puzzle” and look at images). This is how shuffled puzzle looks like:

Figure 8.3: Shuffled puzzle

...and solved:

Figure 8.4: Solved puzzle

Let’s try to find a way to solve it.
8.3.1 Generation

First, we need to generate it. Here is my quick idea on it. Take 8*16 array of cells. Each cell may contain some type of block. There are joints between cells:

Blue lines are horizontal joints, red lines are vertical joints. We just set each joint to 0/false (absent) or 1/true (present), randomly.

Once set, it’s now easy to find type for each cell. There are:

<table>
<thead>
<tr>
<th>joints</th>
<th>our internal name</th>
<th>angle</th>
<th>symbol</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>type 0</td>
<td>0°</td>
<td>(space)</td>
</tr>
<tr>
<td>2</td>
<td>type 2a</td>
<td>0°</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>type 2a</td>
<td>90°</td>
<td>-</td>
</tr>
<tr>
<td>2</td>
<td>type 2b</td>
<td>0°</td>
<td>r</td>
</tr>
<tr>
<td>2</td>
<td>type 2b</td>
<td>90°</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>type 2b</td>
<td>180°</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>type 2b</td>
<td>270°</td>
<td>l</td>
</tr>
<tr>
<td>3</td>
<td>type 3</td>
<td>0°</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>type 3</td>
<td>90°</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>type 3</td>
<td>180°</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>type 3</td>
<td>270°</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>type 4</td>
<td>0°</td>
<td></td>
</tr>
</tbody>
</table>

_Dangling_ joints can be preset at a first stage (i.e., cell with only one joint), but they are removed recursively, these cells are transforming into empty cells. Hence, at the end, all cells has at least two joints, and the whole plumbing system has no connections with outer world—I hope this would make things clearer.

The C source code of generator is here: [https://yurichev.com/SAT_SMT_tree/puzzles/pipe/generator](https://yurichev.com/SAT_SMT_tree/puzzles/pipe/generator). All horizontal joints are stored in the global array `hjoints[]` and vertical in `vjoints[]`.

The C program generates ANSI-colored output like it has been showed above (??, ??) plus an array of types, with no angle information about each cell:

```javascript
[
  ["0", "0", "2b", "3", "2a", "2a", "2a", "3", "2a", "3", "2b", "2b", "2b", "0", "0"],
  ["2b", "2b", "3", "2b", "0", "0", "2b", "3", "3", "3", "3", "3", "3", "4", "2b", "0", "0"],
]```
8.3.2 Solving

First of all, we would think about an 8*16 array of cells, where each has four bits: “T” (top), “B” (bottom), “L” (left), “R” (right). Each bit represents half of a joint.

Now we define arrays of each of four half-joints + angle information:

```
HEIGHT = 8
WIDTH = 16

# if T/B/R/L is Bool instead of Int, Z3 solver will work faster
T = [[Bool('cell_%d_%d_top' % (r, c)) for c in range(WIDTH)] for r in range(HEIGHT)]
B = [[Bool('cell_%d_%d_bottom' % (r, c)) for c in range(WIDTH)] for r in range(HEIGHT)]
R = [[Bool('cell_%d_%d_right' % (r, c)) for c in range(WIDTH)] for r in range(HEIGHT)]
L = [[Bool('cell_%d_%d_left' % (r, c)) for c in range(WIDTH)] for r in range(HEIGHT)]
A = [Int('cell_%d_%d_angle' % (r, c)) for c in range(WIDTH)] for r in range(HEIGHT)]
```

We know that if each of half-joints is present, corresponding half-joint must be also present, and vice versa. We define this using these constraints:

```
# shorthand variables for True and False:
t=True
f=False

# "top" of each cell must be equal to "bottom" of the cell above
# "bottom" of each cell must be equal to "top" of the cell below
# "left" of each cell must be equal to "right" of the cell at left
# "right" of each cell must be equal to "left" of the cell at right
for r in range(HEIGHT):
    for c in range(WIDTH):
        if r!=0:
\[
\text{s.add}(T[r][c]==B[r-1][c])
\]
if \(r!=\text{HEIGHT-1}\):
\[
\text{s.add}(B[r][c]==T[r+1][c])
\]
if \(c!=0\):
\[
\text{s.add}(L[r][c]==R[r][c-1])
\]
if \(c!\text{ WIDTH-1}\):
\[
\text{s.add}(R[r][c]==L[r][c+1])
\]

# "left" of each cell of first column shouldn't have any connection
# so is "right" of each cell of the last column
for \(r\) in range(HEIGHT):
\[
\text{s.add}(L[r][0]==f)
\]
\[
\text{s.add}(R[r][\text{WIDTH-1}]==f)
\]

# "top" of each cell of the first row shouldn't have any connection
# so is "bottom" of each cell of the last row
for \(c\) in range(WIDTH):
\[
\text{s.add}(T[0][c]==f)
\]
\[
\text{s.add}(B[\text{HEIGHT-1}][c]==f)
\]

Now we'll enumerate all cells in the initial array (8.3.1). First two cells are empty there. And the third one has type “2b”. This is “├” and it can be oriented in 4 possible ways. And if it has angle 0\(^\circ\), bottom and right half-joints are present, others are absent. If it has angle 90\(^\circ\), it looks like “├”, and bottom and left half-joints are present, others are absent.

In plain English: “if cell of this type has angle 0\(^\circ\), these half-joints must be present OR if it has angle 90\(^\circ\), these half-joints must be present, OR, etc, etc.”

Likewise, we define all these rules for all types and all possible angles:

for \(r\) in range(HEIGHT):
for \(c\) in range(WIDTH):
\[
\text{ty=cells\_type}[r][c]
\]
if \(\text{ty}==\text{"0"}\):
\[
\text{s.add}(A[r][c]==f)
\]
\[
\text{s.add}(T[r][c]==f, B[r][c]==f, L[r][c]==f, R[r][c]==f)
\]
if \(\text{ty}==\text{"2a"}\):
\[
\text{s.add}(\text{Or}(\text{And}(A[r][c]==0, L[r][c]==f, R[r][c]==f, T[r][c]==t, B[r][c]==t),
\]
\[
\text{And}(A[r][c]==90, L[r][c]==t, R[r][c]==t, T[r][c]==f, B[r][c]==f)))
\]
# ┌
if \(\text{ty}==\text{"2b"}\):
\[
\text{s.add}(\text{Or}(\text{And}(A[r][c]==0, L[r][c]==f, R[r][c]==t, T[r][c]==t, B[r][c]==f),
\]
\[
\text{And}(A[r][c]==90, L[r][c]==t, R[r][c]==t, T[r][c]==f, B[r][c]==f),
\]
\[
\text{And}(A[r][c]==180, L[r][c]==t, R[r][c]==f, T[r][c]==f, B[r][c]==f),
\]
\[
\text{And}(A[r][c]==270, L[r][c]==f, R[r][c]==f, T[r][c]==f, B[r][c]==f)))
\]
# ┐
if \(\text{ty}==\text{"3"}\):
\[
\text{s.add}(\text{Or}(\text{And}(A[r][c]==0, L[r][c]==f, R[r][c]==t, T[r][c]==t, B[r][c]==t),
\]
\[
\text{And}(A[r][c]==90, L[r][c]==t, R[r][c]==t, T[r][c]==f, B[r][c]==t),
\]
\[
\text{And}(A[r][c]==180, L[r][c]==t, R[r][c]==f, T[r][c]==f, B[r][c]==t),
\]
\[
\text{And}(A[r][c]==270, L[r][c]==f, R[r][c]==f, T[r][c]==f, B[r][c]==f)))
\]
# ┘
And(A[r][c]==270, L[r][c]==t, R[r][c]==t, T[r][c]==t, B[r][c]==f))
    # ⊥

    if ty=='4':
        s.add(A[r][c]==0)
        s.add(T[r][c]==t, B[r][c]==t, L[r][c]==t, R[r][c]==t) # ⊥

Full source code is here: https://yurichev.com/SAT_SMT_tree/puzzles/pipe/solver/solve_pipe_puzzle1.py.

It produces this result (prints angle for each cell and (pseudo)graphical representation):

![Solver script output](https://yurichev.com/SAT_SMT_tree/puzzles/pipe/solver/solve_pipe_puzzle1.py)

It worked ≈ 4 seconds on my old and slow Intel Atom N455 1.66GHz. Is it fast? I don’t know, but again, what is really cool, we do not know about any mathematical background of all this, we just defined cells, (half-)joints and defined relations between them.

Now the next question is, how many solutions are possible? Using method described earlier (3.17), I’ve altered solver script 7 and solver said two solutions are possible.

Let’s compare these two solutions using gvimdiff:

7https://yurichev.com/SAT_SMT_tree/puzzles/pipe/solver/solve_pipe_puzzle2.py
4 cells in the middle can be orientated differently. Perhaps, other puzzles may produce different results.

P.S. \textit{Half-joint} is defined as boolean type. But in fact, the first version of the solver has been written using integer type for half-joints, and 0 was used for False and 1 for True. I did it so because I wanted to make source code tidier and narrower without using long words like “False” and “True”. And it worked, but slower. Perhaps, Z3 handles boolean data types faster? Better? Anyway, I writing this to note that integer type can also be used instead of boolean, if needed.

8.4 Eight queens problem (SAT)

Eight queens is a very popular problem and often used for measuring performance of SAT solvers. The problem is to place 8 queens on chess board so they will not attack each other. For example:

| | | |*| | | | |
| | | | | | |*| |
| | | | |*| | | |
|*| | | | | | | |
| | | | | |*| | |
| | |*| | | | | |
| | | | | | | |*|

Let’s try to figure out how to solve it.

8.4.1 \texttt{make\_one\_hot}

One important function we will (often) use is \texttt{make\_one\_hot}. This is a function which returns \texttt{True} if one single of inputs is \texttt{True} and others are \texttt{False}. It will return \texttt{False} otherwise.

In my other examples, I’ve used Wolfram Mathematica to generate CNF clauses for it, for example: 3.9.2. What expression Mathematica offers as \texttt{make\_one\_hot} function with 8 inputs?

\begin{verbatim}
(!a || !b) && (!a || !c) && (!a || !d) && (!a || !e) && (!a || !f) && (!a || !g) && (!a || !h) && (a || b || c || d || e || f || g || h) &&
(!b || !c) && (!b || !d) && (!b || !e) && (!b || !f) && (!b || !g) && (!b || !h) && (!c || !d) && (!c || !e) && (!c || !f) && (!c || !g) &&
(!c || !h) && (!d || !e) && (!d || !f) && (!d || !g) && (!d || !h) && (!e || !f) && (!e || !g) && (!e || !h) && (!f || !g) && (!f || !h) && (!g || !h)
\end{verbatim}

We can clearly see that the expression consisting of all possible variable pairs (negated) plus enumeration of all variables (non-negated). In plain English terms, this means: “no pair can be equal to two \texttt{True}’s AND at least one \texttt{True} must be present among all variables”.

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This is how it works: if two variables will be *True*, negated they will be both *False*, and this clause will not be evaluated to *True*, which is our ultimate goal. If one of variables is *True*, both negated will produce one *True* and one *False* (fine).

If both variables are *False*, both negated will produce two *True*’s (again, fine).

Here is how I can generate clauses for the function using *itertools* module from Python, which provides many important functions from combinatorics:

```python
# naive/pairwise encoding
def AtMost1(self, lst):
    for pair in itertools.combinations(lst, r=2):
        self.add_clause([self.neg(pair[0]), self.neg(pair[1])])

# make one-hot (AKA unitary) variable
def make_one_hot(self, lst):
    self.AtMost1(lst)
    self.OR_always(lst)
```

`AtMost1()` function enumerates all possible pairs using *itertools* function `combinations()`.

`make_one_hot()` function does the same, but also adds a final clause, which forces at least one *True* variable to be present.

What clauses will be generated for 5 variables (1..5)?

```
p cnf 5 11
-2 5 0
-2 -4 0
-4 -5 0
-2 3 0
-1 -4 0
-1 -5 0
-1 -2 0
-1 -3 0
-3 -4 0
-3 -5 0
1 2 3 4 5 0
```

Yes, these are all possible pairs of 1..5 numbers + all 5 numbers.

We can get all solutions using Picosat:

```
% picosat --all popcnt1.cnf
s SATISFIABLE
v -1 -2 -3 -4 5 0
s SATISFIABLE
v -1 -2 -3 4 -5 0
s SATISFIABLE
v -1 -2 -3 4 -5 0
s SATISFIABLE
v -1 2 -3 4 -5 0
s SATISFIABLE
v 1 -2 -3 4 -5 0
s SOLUTIONS 5
```

5 possible solutions indeed.

### 8.4.2 Eight queens

Now let’s get back to eight queens.

We can assign 64 variables to $8 \cdot 8 = 64$ cells. Cell occupied with queen will be *True*, vacant cell will be *False*.

The problem of placing non-attacking (each other) queens on chess board (of any size) can be stated in plain English like this:
• one single queen must be present at each row;
• one single queen must be present at each column;
• zero or one queen must be present at each diagonal (empty diagonals can be present in valid solution).

These rules can be translated like that:
• \( \text{make\_one\_hot}(\text{each row}) = True \)
• \( \text{make\_one\_hot}(\text{each column}) = True \)
• \( \text{AtMost1}(\text{each diagonal}) = True \)

Now all we need is to enumerate rows, columns and diagonals and gather all clauses:

```python
#!/usr/bin/env python3
#-- coding: utf-8 --

import itertools, subprocess, os, my_utils, SAT_lib

SIZE=8
SKIP_SYMMETRIES=True
#SKIP_SYMMETRIES=False

def row_col_to_var(row, col):
    global first_var
    return str(row*SIZE+col+first_var)

def gen_diagonal(s, start_row, start_col, increment, limit):
    col=start_col
tmp=[]
    for row in range(start_row, SIZE):
        tmp.append(row_col_to_var(row, col))
        col=col+increment
        if col==limit:
            break
    # ignore diagonals consisting of 1 cell:
    if len(tmp)>1:
        # we can't use POPCNT1() here, since some diagonals are empty in valid solutions
        s.AtMost1(tmp)

def add_2D_array_as_negated_constraint(s, a):
    negated_solution=[]
    for row in range(SIZE):
        for col in range(SIZE):
            negated_solution.append(s.neg_if(a[row][col], row_col_to_var(row, col)))
    s.add_clause(negated_solution)

def main():
    global first_var

    s=SAT_lib.SAT_lib(False)
    _vars=s.alloc_BV(SIZE**2)
    first_var=int(_vars[0])

    # enumerate all rows:
```
for row in range(SIZE):
    s.make_one_hot([row_col_to_var(row, col) for col in range(SIZE)])

# enumerate all columns:
# make_one_hot() could be used here as well:
for col in range(SIZE):
    s.AtMost1([row_col_to_var(row, col) for row in range(SIZE)])

# enumerate all diagonals:
for row in range(SIZE):
    for col in range(SIZE):
        gen_diagonal(s, row, col, 1, SIZE) # from L to R
        gen_diagonal(s, row, col, -1, -1) # from R to L

# find all solutions:
sol_n=1
while True:
    if s.solve()==False:
        print("unsat!")
        print("solutions total=", sol_n-1)
        exit(0)

    # print solution:
    print("solution number", sol_n, ":")

    # get solution and make 2D array of bools:
    solution_as_2D_bool_array=[]
    for row in range(SIZE):
        solution_as_2D_bool_array.append([s.get_var_from_solution(row_col_to_var(row, col)) for col in range(SIZE)])

    # print 2D array:
    for row in range(SIZE):
        tmp=[[" ", "*"][solution_as_2D_bool_array[row][col]]+"|") for col in range(SIZE)]
        print("|"+" ").join(tmp)

    # add 2D array as negated constraint:
    add_2D_array_as_negated_constraint(s, solution_as_2D_bool_array)

    # if we skip symmetries, rotate/reflect solution and add them as negated constraints:
    if SKIP_SYMMETRIES:
        for a in range(4):
            tmp=my_utils.rotate_rect_array(solution_as_2D_bool_array, a)
            add_2D_array_as_negatedConstraint(s, tmp)
            tmp=my_utils.reflect_horizontally(my_utils.rotate_rect_array(solution_as_2D_bool_array, a))
            add_2D_array_as_negatedConstraint(s, tmp)

        sol_n=sol_n+1

main()

(https://yurichev.com/SAT_SMT_tree/puzzles/8queens/8queens.py)

Perhaps, gen_diagonal() function is not very aesthetically appealing: it enumerates also subdiagonals of already
enumerated longer diagonals. To prevent presence of duplicate clauses, *clauses* global variable is not a list, rather set, which allows only unique data to be present there.

Also, I’ve used **AtMost1** for each column, this will help to produce slightly lower number of clauses. Each column will have a queen anyway, this is implied from the first rule (**make_one_hot** for each row).

After running, we got CNF file with 64 variables and 736 clauses (**https://yurichev.com/SAT_SMT_tree/puzzles/8queens/8queens.cnf**). Here is one solution:

```
% python 8queens.py
len(clauses)= 736
| | | | | | | |
| | | | | | |*
| | | | | |*
| | | | |*
| | | |*
| | |*
| |*
|*

| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
```

How many possible solutions are there? Picosat tells 92, which is indeed correct number of solutions (**https://oeis.org/A000170**).

Performance of Picosat is not impressive, probably because it has to output all the solutions. It took 34 seconds on my ancient Intel Atom 1.66GHz netbook to enumerate all solutions for **11·11** chess board (2680 solutions), which is way slower than my straight brute-force program: **https://yurichev.com/blog/8queens/**. Nevertheless, it’s lighting fast (as other SAT solvers) in finding first solution.

The SAT instance is also small enough to be easily solved by my simplest possible backtracking SAT solver: **23.1**.

### 8.4.3 Counting all solutions

We get a solution, negate it and add as a new constraint. In plain English language this sounds “find a solution, which is also can’t be equal to the recently found/added”. We add them consequently and the process is slowing—just because a problem (**instance**) is growing and SAT solver experience hard times in find yet another solution.

### 8.4.4 Skipping symmetrical solutions

We can also add rotated and reflected (horizontally) solution, so to skip symmetrical solutions. By doing so, we’re getting 12 solutions for **8*8** board, 46 for **9*9** board, etc. This is **https://oeis.org/A002562**.

### 8.5 Solving pocket Rubik’s cube (2*2*2) using Z3

![Pocket cube](https://example.com/pocket_cube_image.png)

*Figure 8.7: Pocket cube*

( The image has been taken from Wikipedia. )

Solving Rubik’s cube is not a problem, finding shortest solution is.
8.5.1 Intro

First, a bit of terminology. There are 6 colors we have: white, green, blue, orange, red, yellow. We also have 6 sides: front, up, down, left, right, back.

This is how we will name all facelets:

```
  U1  U2
  U3  U4
  -------
L1  L2 | F1  F2 | R1  R2 | B1  B2
L3  L4 | F3  F4 | R3  R4 | B3  B4
  -------
      D1  D2
      D3  D4
```

Colors on a solved cube are:

```
  G  G
  G  G
  ---
R  R  W  W  O  O  Y  Y
R  R  W  W  O  O  Y  Y
  ---
    B  B
    B  B
```

There are 6 possible turns: front, left, right, back, up, down. But each turn can be clockwise, counterclockwise and half-turn (equal to two CW or two CCW). Each CW is equal to 3 CCW and vice versa. Hence, there are 6*3=18 possible turns.

It is known, that 11 turns (including half-turns) are enough to solve any pocket cube (God’s algorithm). This means, graph has a diameter of 11. For 3*3*3 cube one need 20 turns (http://www.cube20.org/). See also: https://en.wikipedia.org/wiki/Rubik%27s_Cube_group.

8.5.2 Z3

There are 6 sides and 4 facelets on each, hence, 6*4=24 variables we need to define a state.

Then we define how state is transformed after each possible turn:

```
FACE_F, FACE_U, FACE_D, FACE_R, FACE_L, FACE_B = 0,1,2,3,4,5

def rotate_FCW(s):
    return [
        [ s[FACE_F][2], s[FACE_F][0], s[FACE_F][3], s[FACE_F][1] ], # for F
        [ s[FACE_U][0], s[FACE_U][1], s[FACE_L][3], s[FACE_L][1] ], # for U
        [ s[FACE_R][2], s[FACE_R][0], s[FACE_D][2], s[FACE_D][3] ], # for D
        [ s[FACE_U][2], s[FACE_R][1], s[FACE_U][3], s[FACE_R][3] ], # for R
        [ s[FACE_L][0], s[FACE_D][0], s[FACE_L][2], s[FACE_D][1] ], # for L
        [ s[FACE_B][0], s[FACE_B][1], s[FACE_B][2], s[FACE_B][3] ]  # for B
    ]

def rotate_FH(s):
    return [
        [ s[FACE_F][3], s[FACE_F][2], s[FACE_F][1], s[FACE_F][0] ],
        [ s[FACE_U][0], s[FACE_U][1], s[FACE_D][1], s[FACE_D][0] ],
        [ s[FACE_U][3], s[FACE_U][2], s[FACE_D][2], s[FACE_D][3] ],
        [ s[FACE_L][3], s[FACE_R][1], s[FACE_L][1], s[FACE_R][3] ],
        [ s[FACE_L][0], s[FACE_R][2], s[FACE_L][2], s[FACE_R][0] ],
        [ s[FACE_B][0], s[FACE_B][1], s[FACE_B][2], s[FACE_B][3] ]
    ]
```
Then we define a function, which takes turn number and transforms a state:

```python
# op is turn number
def rotate(turn, state, face, facelet):
    return If(op==0, rotate_FCW (state)[face][facelet],
            If(op==1, rotate_FCCW(state)[face][facelet],
                If(op==2, rotate_UCW (state)[face][facelet],
                    If(op==3, rotate_UCCW(state)[face][facelet],
                        If(op==4, rotate_DCW (state)[face][facelet],
                            If(op==5, rotate_RCW(state)[face][facelet],
                                If(op==6, rotate_RCCW(state)[face][facelet],
                                    If(op==7, rotate_LCW(state)[face][facelet],
                                        If(op==8, rotate_LCCW(state)[face][facelet],
                                            If(op==9, rotate_DCW(state)[face][facelet],
                                                If(op==10, rotate_DCCW(state)[face][facelet],
                                                    If(op==11, rotate_LCW(state)[face][facelet],
                                                        If(op==12, rotate_LCCW(state)[face][facelet],
                                                            If(op==13, rotate_RCW(state)[face][facelet],
                                                                If(op==14, rotate_RCCW(state)[face][facelet],
                                                                    If(op==15, rotate_DCW(state)[face][facelet],
                                                                        If(op==16, rotate_DCCW(state)[face][facelet],
                                                                            If(op==17, rotate_BH (state)[face][facelet],
                                                                                0))))))))))))))))))))))))))))))
```

Now set "solved" state, initial state and connect everything:

```python
def colors_to_array_of_ints(s):
    return ["W":0, "G":1, "B":2, "O":3, "R":4, "Y":5][c] for c in s]
def set_current_state (d):
    F=colors_to_array_of_ints(d["FACE_F"]) 
    U=colors_to_array_of_ints(d["FACE_U"]) 
    D=colors_to_array_of_ints(d["FACE_D"]) 
    R=colors_to_array_of_ints(d["FACE_R"]) 
    L=colors_to_array_of_ints(d["FACE_L"]) 
    B=colors_to_array_of_ints(d["FACE_B"]) 
    return F,U,D,R,L,B # return tuple
```

```
# 4
```

```
for TURNS in range(1,12): # 1..11
    print "turns=", TURNS
    s=Solver()
    state=[[Int('state%d_%d_%d' % (n, side, i)) for i in range(FACELETS)] for side in range(FACES)] for n in range(TURNS+1)]
    op=[Int('op%d' % n) for n in range(TURNS+1)]
    for i in range(FACELETS):
        s.add(state[0][FACE_F][i]==init_F[i])
        s.add(state[0][FACE_U][i]==init_U[i])
        s.add(state[0][FACE_D][i]==init_D[i])
        s.add(state[0][FACE_R][i]==init_R[i])
        s.add(state[0][FACE_L][i]==init_L[i])
        s.add(state[0][FACE_B][i]==init_B[i])
```

188
# solved state

for face in range(FACES):
    for facelet in range(FACELETS):
        s.add(state[TURNS][face][facelet]==face)

# turns:

for turn in range(TURNS):
    for face in range(FACES):
        for facelet in range(FACELETS):
            s.add(state[turn+1][face][facelet]==rotate(op[turn], state[turn], face, facelet))

if s.check()==sat:
    print "sat"
    m=s.model()
    for turn in range(TURNS):
        print move_names[int(str(m[op[turn]]))]
    exit(0)

( The full source code: https://yurichev.com/SAT_SMT_tree/puzzles/rubik2/failed_SMT/rubik2_z3.py )

That works:

| turns= 1 |
| turns= 2 |
| turns= 3 |
| turns= 4 |
| sat       |
| RCW       |
| UCW       |
| DCW       |
| RCW       |

...but very slow. It takes up to 1 hours to find a path of 8 turns, which is not enough, we need 11.

Nevertheless, I decided to include Z3 solver as a demonstration.

8.6 Pocket Rubik’s Cube (2*2*2) and SAT solver

I had success with my SAT-based solver, which can find an 11-turn path for a matter of 10-20 minutes on my old Intel Xeon E3-1220 3.10GHz.

First, we will encode each color as 3-bit bit vector. Then we can build electronic circuit, which will take initial state of cube and output final state. It can have switches for each turn on each state.

| +-----+ | +-----+ | +-----+ |
| initial state -> | blk | -> | blk | ... | blk | -> final state |
| +-----+ | +-----+ | +-----+ |
| ^ | ^ | ^ |
| | turn 1 | turn 2 | last turn |

You set all turns and the device "calculates" final state.

Each "blk" can be consisted of 24 multiplexers (MUX), each for each facelet. Each MUX is controlled by 5-bit command (turn number). Depending on command, MUX takes 3-bit color from a facelet from a previous state.

Here is a table: the first column is a "destination" facelet, then a list of "source" facelets for each turn:

| # dst, FCW FH FCCW UCW UH UCCW DCW DH DCCW RCW RH RCCW LCW LH |
| LCCW BCW BH BCCW |
| add_r("F1",["F3","F4","F2","R1","B1","L1","F1","F1","F1","F1","F1","F1","U1","B4","D1","F1","F1","F1"]) |
Each MUX has 32 inputs, each has width of 3 bits: colors from "source" facelets. It has 3-bit output (color for "destination" facelet). It has 5-bit selector, for 18 turns. Other selector values (32-18=14 values) are not used at all.

The whole problem is to build a circuit and then ask SAT solver to set "switches" to such a state, when input and output are determined (by us).

Now the problem is to represent MUX in CNF terms.

From EE courses we can remember about a simple if-then-else (ITE) gate, it takes 3 inputs ("selector", "true" and "false") and it has 1 output. Depending on "selector" input it "connects" output with "true" or "false" input. Using tree of ITE gates we first can build 32-to-1 MUX, then wide 32*3-to-3 MUX.

Electrical engineering

8
I once have written small utility to search for shortest possible CNF formula for a specific function, in a bruteforce manner (https://yurichev.com/SAT_SMT_tree/puzzles/rubik2/SAT/XOR_CNФ_bf.c). It was inspired by ”aha! hacker assistant” by Henry Warren. So here is a function:

```c
bool func(bool v[VARIABLES])
{
    // ITE:
    bool tmp;
    if (v[0]==0)
        tmp=v[1];
    else
        tmp=v[2];
    return tmp==v[3];
}
```

A shortest CNF for it:

```
try_all_CNFs_of_len(1)
try_all_CNFs_of_len(2)
try_all_CNFs_of_len(3)
try_all_CNFs_of_len(4)
found a CNF:
p cnf 4 4
-1 3 -4 0
1 2 -4 0
-1 -3 4 0
1 -2 4 0
```

1st variable is ”select”, 2nd is ”false”, 3rd is ”true”, 4th is ”output”. ”output” is an additional variable, added just like in Tseitin transformations.

Hence, CNF formula is:

```
(!select OR true OR !output) AND (select OR false OR !output) AND (!select OR !true OR output) AND (select OR !false OR output)
```

It assures that the ”output” will always be equal to one of inputs depending on ”select”.

Now we can build a tree of ITE gates:

```python
def create_ITE(s,f,t):
x=create_var()
    clauses.append([neg(s),t,neg(x)])
    clauses.append([s,f,neg(x)])
    clauses.append([neg(s),neg(t),x])
    clauses.append([s,neg(f),x])
    return x
```

```
def create_MUX(ins, sel):
t0=create_ITE(sel[0],ins[0],ins[1])
t1=create_ITE(sel[0],ins[2],ins[3])
t2=create_ITE(sel[0],ins[4],ins[5])
t3=create_ITE(sel[0],ins[6],ins[7])
t4=create_ITE(sel[0],ins[8],ins[9])
```
This is my old MUX I wrote for 16 inputs and 4-bit selector, but you’ve got the idea: this is 4-tier tree. It has 15 ITE gates or 15*4=60 clauses.

Now the question, is it possible to make it smaller? I’ve tried to use Mathematica. First I’ve built truth table for 4-bit selector:

```
<table>
<thead>
<tr>
<th>Selector</th>
<th>Input 1</th>
<th>Input 2</th>
<th>Input 3</th>
<th>Input 4</th>
<th>Output 1</th>
<th>Output 2</th>
<th>Output 3</th>
<th>Output 4</th>
</tr>
</thead>
<tbody>
<tr>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>1110</td>
<td>0100</td>
<td>1110</td>
<td>0100</td>
</tr>
<tr>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>1110</td>
<td>0100</td>
<td>1110</td>
<td>0100</td>
</tr>
<tr>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>1110</td>
<td>0100</td>
<td>1110</td>
<td>0100</td>
</tr>
<tr>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>0000</td>
<td>1110</td>
<td>0100</td>
<td>1110</td>
<td>0100</td>
</tr>
<tr>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
</tr>
<tr>
<td>1111</td>
<td>0111</td>
<td>0111</td>
<td>0111</td>
<td>0111</td>
<td>0111</td>
<td>0111</td>
<td>0111</td>
<td>0111</td>
</tr>
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<td>1111</td>
<td>0111</td>
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</tr>
<tr>
<td>1111</td>
<td>0111</td>
<td>0111</td>
<td>0111</td>
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</tr>
<tr>
<td>1111</td>
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<td>0111</td>
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<td>0111</td>
<td>0111</td>
<td>0111</td>
<td>0111</td>
<td>0111</td>
</tr>
<tr>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
</tr>
</tbody>
</table>
```

First 4 bits is selector, then 16 bits of input. Then the possible output and the bit, indicating, if the output bit equals to one of the inputs.

Then I load this table to Mathematica and make CNF expression out of truth table:
TT = (Most[##] -> Last[##]) & /@ arr

{{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0} -> 1,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1} -> 0,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0} -> 0,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0} -> 1,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1} -> 0,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0} -> 0,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1} -> 1,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0} -> 1,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 1} -> 0,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 0} -> 0,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1} -> 1,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1} -> 1,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 0} -> 0,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1} -> 1,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1} -> 1,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1} -> 1,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1} -> 1,
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1} -> 1}

BooleanConvert[BooleanFunction[TT, {s3, s2, s1, s0, i15, i14, i13, i12, i11, i10, i9, i8, i7, i6, i5, i4, i3, i2, i1, i0, x}], "CNF"]

(!i0 || s0 || s1 || s2 || s3 || x) && (!i0 || s0 || s1 || s2 || s3 || !x) && (!i1 || s0 || s1 || s2 || s3 || x) && (!i1 || s0 || s1 || s2 || s3 || !x) && (!i10 || s0 || s1 || s2 || s3 || x) && (!i10 || s0 || s1 || s2 || s3 || !x) && (!i11 || s0 || s1 || s2 || s3 || x) && (!i11 || s0 || s1 || s2 || s3 || !x) && (!i12 || s0 || s1 || s2 || s3 || x) && (!i12 || s0 || s1 || s2 || s3 || !x) && (!i13 || s0 || s1 || s2 || s3 || x) && (!i13 || s0 || s1 || s2 || s3 || !x) && (!i14 || s0 || s1 || s2 || s3 || x) && (!i14 || s0 || s1 || s2 || s3 || !x) && (!i15 || s0 || s1 || s2 || s3 || x) && (!i15 || s0 || s1 || s2 || s3 || !x) && (!i16 || s0 || s1 || s2 || s3 || x) && (!i16 || s0 || s1 || s2 || s3 || !x) && (!i17 || s0 || s1 || s2 || s3 || x) && (!i17 || s0 || s1 || s2 || s3 || !x) && (!i18 || s0 || s1 || s2 || s3 || x) && (!i18 || s0 || s1 || s2 || s3 || !x) && (!i19 || s0 || s1 || s2 || s3 || x) && (!i19 || s0 || s1 || s2 || s3 || !x) && (!i20 || s0 || s1 || s2 || s3 || x) && (!i20 || s0 || s1 || s2 || s3 || !x)

Look closer to CNF expression:
It has simple structure and there are 32 clauses, against 60 in my previous attempt. Will it work faster? No, as my experience shows, it doesn’t speed up anything. Anyway, I used the latter idea to make MUX.

The following function makes pack of MUXes for each state, based on what I’ve got from Mathematica:

```python
def create_MUX(self, ins, sels):
    assert 2**len(sels)==len(ins)
    x = self.create_var()
    for sel in range(len(ins)):
        tmp = [self.neg_if((sel >> i) & 1 == 1, sels[i]) for i in range(len(sels))]
        self.add_clause([self.neg(ins[sel])] + tmp + [x])
        self.add_clause([ins[sel]] + tmp + [self.neg(x)])
    return x

def create_wide_MUX(self, ins, sels):
    out = []
    for i in range(len(ins[0])):
        inputs = [x[i] for x in ins]
        out.append(self.create_MUX(inputs, sels))
    return out
```

Now the function that glues all together:

```python
# src=list of 18 facelets
def add_r(dst, src):
    global facelets, selectors, s
    t = s.create_var()
    s.fix(t, True)
    for state in range(STATES - 1):
        src_vectors = []
        for tmp in src:
            src_vectors.append(facelets[state][tmp])

        # padding: there are 18 used MUX inputs, so add 32-18=14 for padding
        for i in range(32-18):
            src_vectors.append([t, t, t])

        dst_vector = s.create_wide_MUX(src_vectors, selectors[state])
        s.fix_BV_EQ(dst_vector, facelets[state+1][dst])
```

...
Now the full source code: [https://yurichev.com/SAT_SMT_tree/puzzles/rubik2/SAT/solver.py](https://yurichev.com/SAT_SMT_tree/puzzles/rubik2/SAT/solver.py). I tried to make it as concise as possible. It requires minisat to be installed.

And it works up to 11 turns, starting at 11, then decreasing number of turns. Here is an output for a state which can be solved with 4 turns:

```python
set_state(0, {"F":"RYOG", "U":"YRGO", "D":"WRGO", "R":"GYWB", "L":"BYWG", "B":"BOWR"})
```

```
TURNS= 11
sat!
RCW
DH
BCW
UCW
UH
DCCW
FH
BH
SH
FH
UH

TURNS= 10
sat!
RCCW
UCCW
UCW
RCW
RCW
UH
FCW
UCCW
DCCW
DH

TURNS= 9
sat!
BCCW
LH
RH
BCW
RCW
RCCW
DCW
FH
LCW

TURNS= 8
sat!
RCW
UH
BCW
UCCW
LCW
LH
RCW
```
Even on my relic Intel Atom 1.5GHz netbook it takes just 20s.

You can find redundant turns in 11-turn solution, like double UH turns. Of course, two UH turns returns the cube to the previous state. So these "annihilating turns" are added if the final solution can be shorter. Why the solver added it? There is no "no operation" turn. And the solver is forced to fit into 11 turns. Hence, it do what it can to produce correct solution.

Now a hard example:

```
set_state(0, {"F":"RORW", "U":"BRBB", "D":"GOOR", "R":"WYGY", "L":"OWYW", "B":"BYGG"})
```

```
TURNS= 11
sat!
UCW
BCW
LCCW
BH
DCW
RH
DCCW
```
I couldn’t find a pure "11-turn state" which is "unsat" for 10-turn, it seems, these are rare. According to wikipedia, there are just 0.072% of these states. Like "20-turn states" for 3*3*3 cube, which are also rare.

8.6.1 Several solutions

According to picosat (–all option to get all possible solutions), the 4-turn example we just saw has 2 solutions. Indeed, two consequent turns UCW and DCW can be interchanged, they do not conflict with each other.

8.6.2 Other (failed) ideas

Pocket cube (2*2*2) has no central facelets, so to solve it, you don’t need to stick each color to each face. Rather, you can define a constraint so that a colors on each face must be equal to each other. Somehow, this slows down drastically my both Z3 and SAT-based solvers.

Also, to prevent "annihilating" turns, we can set a constraint so that each state cannot be equal to any of previous states, i.e., states cannot repeat. This also slows down my both solvers.

8.6.3 3*3*3 cube

3*3*3 cube requires much more turns (20), so I couldn’t solve it with my methods. I have success to solve maybe 10 or 11 turns. But some people do all 20 turns: Jingchao Chen.

However, you can use 3*3*3 cube to play, because it can act as 2*2*2 cube: just use corners and ignore edge and center cubes. Here is mine I used, you can see that corners are correctly solved:
8.6.4 Some discussion

https://news.ycombinator.com/item?id=15214439,
https://www.reddit.com/r/compsci/comments/6zb34i/solving_pocket_rubiks_cube_222_using_z3_and_sat/,
https://www.reddit.com/r/Cubers/comments/6ze3ua/theory_dennis_yurichev_solving_pocket_rubiks_cube/.

8.7 Rubik’s cube (3*3*3) and Z3 SMT-solver

As I wrote before, I couldn’t solve even 2*2*2 pocket cube with Z3 (8.5), but I wanted to play with it further, and found that it’s still possible to solve one face instead of all 6.

I tried to model color of each facelet using integer sort (type), but now I can use bool: white facelet is True and all other non-white is False. I can encode state of Rubik’s cube like that: ”W” for white facelet, ”.” for other.

Now the process of solving is a matter of minutes on a decent computer, or faster.

```python
#!/usr/bin/env python
from z3 import *

FACES=6
FACELETS=9

def rotate_FCW(s):
    return [s[0][6], s[0][3], s[0][0], s[0][7], s[0][4], s[0][1], s[0][8], s[0][5], s[0][2],], # new F
    [s[1][0], s[1][1], s[1][2], s[1][3], s[1][4], s[1][5], s[4][8], s[4][5], s[4][2],], # new U
    [s[3][6], s[3][3], s[3][0], s[2][3], s[2][4], s[2][5], s[2][6], s[2][7], s[2][8],], # new D
    [s[1][6], s[3][1], s[3][2], s[1][7], s[3][4], s[3][5], s[1][8], s[3][7], s[3][8],], # new R
```
def rotate_FH(s):
    return [
        [s[0][0], s[0][1], s[0][2], s[0][3], s[0][4], s[0][5], s[0][6], s[0][7]], # new L
        [s[1][0], s[1][1], s[1][2], s[1][3], s[1][4], s[1][5], s[2][0], s[2][1]], # new B
        [s[1][7], s[1][6], s[2][3], s[2][4], s[2][5], s[2][6], s[2][7], s[2][8]],
        [s[4][0], s[4][1], s[4][2], s[4][3], s[4][4], s[4][5], s[4][6], s[4][7]],
        [s[4][8], s[3][1], s[3][2], s[3][3], s[3][4], s[3][5], s[3][6], s[3][7]],
        [s[5][0], s[5][1], s[5][2], s[5][3], s[5][4], s[5][5], s[5][6], s[5][7]],
        [s[5][8], s[5][9], s[5][10], s[5][11], s[5][12], s[5][13], s[5][14], s[5][15]]]

...
```python
def set_current_state(d):
    F = colors_to_array_of_ints(d["F"])  
    U = colors_to_array_of_ints(d["U"])  
    D = colors_to_array_of_ints(d["D"])  
    R = colors_to_array_of_ints(d["R"])  
    L = colors_to_array_of_ints(d["L"])  
    B = colors_to_array_of_ints(d["B"])  
    return F, U, D, R, L, B  # return tuple

init_F, init_U, init_D, init_R, init_L, init_B = set_current_state({  
    "F": ".\.
    ....W..W.
    
    "U":  
    ....W...W.
    
    "D":  
    ........W.
    
    "R": 
    ..W...W..
    
    "L":  
    ......W..
    
    "B":  
    ..W......
})

for STEPS in range(1, 20):
    print "trying %d steps" % STEPS
    s = Solver()
    state = [[[Bool('state%d_%d_%d' % (n, side, i)) for i in range(FACELETS)] for side in range(FACES)] for n in range(STEPS + 1)]
    op = [Int('op%d' % n) for n in range(STEPS + 1)]
    # initial state
    for i in range(FACELETS):
        s.add(state[0][0][i] == init_F[i])
        s.add(state[0][1][i] == init_U[i])
        s.add(state[0][2][i] == init_D[i])
        s.add(state[0][3][i] == init_R[i])
        s.add(state[0][4][i] == init_L[i])
        s.add(state[0][5][i] == init_B[i])
    # "must be" state for one (front/white) face
    for j in range(FACELETS):
        s.add(state[STEPS][0][j] == True)
    for n in range(STEPS):
        for side in range(FACES):
            for j in range(FACELETS):
                s.add(state[n + 1][side][j] == rotate(op[n], state[n], side, j))
    if s.check() == sat:
        print "sat"
        m = s.model()
        for n in range(STEPS):
            print move_names[int(str(m[op[n]]))]
        exit(0)

( The full source code: https://yurichev.com/SAT_SMT_tree/puzzles/rubik3/one_face_SMT/rubik3_z3.py )
```

trying 1 steps
trying 2 steps
trying 3 steps
trying 4 steps
trying 5 steps
trying 6 steps
trying 7 steps
trying 8 steps
Now the fun statistics. Using random walk I collected 928 states and then I tried to solve one (white/front) face for each state.

<table>
<thead>
<tr>
<th>turns</th>
<th>states</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td>57</td>
<td>6</td>
</tr>
<tr>
<td>307</td>
<td>7</td>
</tr>
<tr>
<td>501</td>
<td>8</td>
</tr>
<tr>
<td>56</td>
<td>9</td>
</tr>
<tr>
<td>1</td>
<td>10</td>
</tr>
</tbody>
</table>

It seems that majority of all states can be solved for 7-8 half turns (half-turn is one of 18 turns we used here). But there is at least one state which must be solved with 10 half turns. Maybe 10 is a "god’s number" for one face, like 20 for all 6 faces?

### 8.8 Numberlink

#### 8.8.1 Numberlink (AKA Flow Free) puzzle (Z3Py)

You probably saw Flow Free puzzle:

![Flow Free puzzle](image)

Figure 8.9

I’ll stick to Numberlink version of the puzzle. This is the example puzzle from Wikipedia:
This is solved:

![Numberlink Puzzle](image)


The code:

```python
#!/usr/bin/env python
# -*- coding: utf-8 -*-

from z3 import *

puzzle = [
    " 4 ",
    " 3 25 ",
    " 31 ",
    " 5 ",
    " 1 ",
    " 2 4 "]

width = len(puzzle[0])
height = len(puzzle)

# number for each cell:
cells = [[Int('cell_r%d_c%d' % (r, c)) for c in range(width)] for r in range(height)]

# connections between cells. L means the cell has connection with cell at left, etc:
L = [[Bool('L_r%d_c%d' % (r, c)) for c in range(width)] for r in range(height)]
R = [[Bool('R_r%d_c%d' % (r, c)) for c in range(width)] for r in range(height)]
U = [[Bool('U_r%d_c%d' % (r, c)) for c in range(width)] for r in range(height)]
D = [[Bool('D_r%d_c%d' % (r, c)) for c in range(width)] for r in range(height)]
```
s=Solver()

# U for a cell must be equal to D of the cell above, etc:
for r in range(height):
    for c in range(width):
        if r!=0:
            s.add(U[r][c]==D[r-1][c])
        if r!=height-1:
            s.add(D[r][c]==U[r+1][c])
        if c!=0:
            s.add(L[r][c]==R[r][c-1])
        if c!=width-1:
            s.add(R[r][c]==L[r][c+1])

# yes, I know, we have 4 bools for each cell at this point, and we can half this number,
# but anyway, for the sake of simplicity, this could be better.

for r in range(height):
    for c in range(width):
        t=puzzle[r][c]
        if t==' ':
            # puzzle has space, so degree=2, IOW, this cell must have 2 connections, no
            # more, no less.
            # enumerate all possible L/R/U/D bools. two of them must be True, others
            # are False.
            t=[]
            t.append(And(L[r][c], R[r][c], Not(U[r][c]), Not(D[r][c])))
            t.append(And(L[r][c], Not(R[r][c]), U[r][c], Not(D[r][c])))
            t.append(And(L[r][c], Not(L[r][c]), Not(U[r][c]), D[r][c]))
            t.append(And(L[r][c], Not(U[r][c]), Not(R[r][c]), D[r][c]))
            s.add(Or(*t))
        else:
            # puzzle has number, add it to cells[][] as a constraint:
            s.add(cells[r][c]==int(t))
            # cell has degree=1, IOW, this cell must have 1 connection, no more, no less
            # enumerate all possible ways:
            t=[]
            t.append(And(L[r][c], Not(R[r][c]), Not(U[r][c]), Not(D[r][c])))
            t.append(And(L[r][c], R[r][c], Not(U[r][c]), Not(D[r][c])))
            t.append(And(L[r][c], Not(U[r][c]), Not(R[r][c]), D[r][c]))
            t.append(And(L[r][c], Not(U[r][c]), Not(R[r][c]), D[r][c]))
            s.add(Or(*t))

        # if L[][]==True, cell's number must be equal to the number of cell at left, etc
        #
        if c!=0:
            s.add(If(L[r][c], cells[r][c]==cells[r][c-1], True))
        if c!=width-1:
            s.add(If(R[r][c], cells[r][c]==cells[r][c+1], True))
        if r!=0:
            s.add(If(U[r][c], cells[r][c]==cells[r-1][c], True))
        if r!=height-1:
            s.add(If(D[r][c], cells[r][c]==cells[r+1][c], True))

# L/R/U/D at borders sometimes must be always False:
for r in range(height):
    s.add(L[r][0]==False)
    s.add(R[r][width-1]==False)

for c in range(width):
    s.add(U[0][c]==False)
    s.add(D[height-1][c]==False)

# print solution:

print s.check()
m=s.model()

print ""

for r in range(height):
    for c in range(width):
        print m[cells[r][c]],
    print ""

print ""

for r in range(height):
    for c in range(width):
        t=""
        t=t+"L" if str(m[L[r][c]])=='True' else " ")
        t=t+"R" if str(m[R[r][c]])=='True' else " ")
        t=t+"U" if str(m[U[r][c]])=='True' else " ")
        t=t+"D" if str(m[D[r][c]])=='True' else " ")
        print t+" |",
    print ""

print ""

for r in range(height):
    row=""
    for c in range(width):
        t=puzzle[r][c]
        if t==' ': 
            tl=(True if str(m[L[r][c]])=='True' else False)
            tr=(True if str(m[R[r][c]])=='True' else False)
            tu=(True if str(m[U[r][c]])=='True' else False)
            td=(True if str(m[D[r][c]])=='True' else False)

            if tu and td:
                row=row+" "
            if tr and td:
                row=row+" "
            if tr and tu:
                row=row+" "
            if tl and td:
                row=row+" "
            if tl and tu:
                row=row+" "
            if tl and tr:
                row=row+" "
        else:
            row=row+" 
    print row
"
The solution:

```
sat
2 2 4 4 4
2 3 2 2 5 4
2 3 3 1 5 4
2 5 5 1 5 4
2 5 1 1 5 4
2 5 1 5 5 4
2 5 5 5 4 4
```

```
R D| LR | L D| R | LR | LR | L D|
UD| D| RU | LR | L | D| UD|
UD| RU | LR | L | D| UD| UD|
UD| R D| LR | L | UD| UD| UD|
UD| UD| R D| LR | L U | UD| UD|
UD| UD| U | R D| LR | L U | UD|
U | RU | LR | L U | R | LR | L U |
```

Let’s revisit my solution for Numberlink (AKA Flow Free) puzzle written for Z3Py.

What if holes in the puzzle exist? Can we make all paths as short as possible?

I’ve rewritten the puzzle solver using my own SAT library and now I use Open-WBO MaxSAT solver, see the source code, which is almost the same: [https://yurichev.com/SAT_SMT_tree/puzzles/numberlink/MaxSAT/numberlink_WBO.py](https://yurichev.com/SAT_SMT_tree/puzzles/numberlink/MaxSAT/numberlink_WBO.py).

But now we “maximize” number of empty cells:

**8.8.2 Numberlink (AKA Flow Free) puzzle as a MaxSAT problem + toy PCB router**

Figure 8.12: The solution
This is a solution with shortest possible paths. Others are possible, but their sum wouldn’t be shorter. This is like toy PCB routing.

What if we comment the `s.fix_soft_always_true(cell_is_empty[r][c], 1)` line and set `maxsat=True`?

Lines 2 and 3 “roaming” chaotically, but the solution is correct, under given constraints.
The files: https://yurichev.com/SAT_SMT_tree/puzzles/numberlink/MaxSAT.

### 8.9 1959 AHSME Problems, Problem 6


With the use of three different weights, namely 1 lb., 3 lb., and 9 lb., how many objects of different weights can be weighed, if the objects is to be weighed and the given weights may be placed in either pan of the scale? 15, 13, 11, 9, 7

This is fun!

```python
from z3 import *

# 0 - weight absent, 1 - on left pan, 2 - on right pan:
w1, w3, w9, obj = Ints('w1 w3 w9 obj')
```
obj_w = Int('obj_w')
s=Solver()
s.add(And(w1>=0, w1<=2))
s.add(And(w3>=0, w3<=2))
s.add(And(w9>=0, w9<=2))

# object is always on left or right pan:
s.add(And(obj>=1, obj<=2))

# object must weight something:
s.add(obj_w>0)

left, right = Ints('left right')

# left pan is a sum of weights/object, if they are present on pan:
s.add(left  == If(w1==1, 1, 0) + If(w3==1, 3, 0) + If(w9==1, 9, 0) + If(obj==1, obj_w, 0))

# same for right pan:
s.add(right == If(w1==2, 1, 0) + If(w3==2, 3, 0) + If(w9==2, 9, 0) + If(obj==2, obj_w, 0))

# both pans must weight something:
s.add(left>0)
s.add(right>0)

# pans must have equal weights:
s.add(left==right)

# get all results:
results=[]
while True:
    if s.check() == sat:
        m = s.model()
        #print m
        print "left: ",
        print ("w1" if m[w1].as_long()==1 else " "),
        print ("w3" if m[w3].as_long()==1 else " "),
        print ("w9" if m[w9].as_long()==1 else " "),
        print ("obj_w=%2d" % m[obj_w].as_long()) if m[obj].as_long()==1 else " ",
        #print "| right: ",
        print ("w1" if m[w1].as_long()==2 else " "),
        print ("w3" if m[w3].as_long()==2 else " "),
        print ("w9" if m[w9].as_long()==2 else " "),
        print ("obj_w=%2d" % m[obj_w].as_long()) if m[obj].as_long()==2 else " ",
        print ""
        results.append(m)
        block = []
        for d in m:
            # skip internal variables, do not add them to blocking constraint:
            if str(d).startswith("z3name"):

results
continue
c=d()
block.append(c != m[d])
s.add(Or(block))
else:
    print "total results", len(results)
break

The output:

left:  w3         | right: w1  obj_w= 2
left:  w3  obj_w= 7 | right: w1  w9
left:  w3  w9      | right: w1  obj_w=11
left:  w3  obj_w= 6 | right:  w9
left:  w3  w3  obj_w= 5 | right:  w9
left:  w3  w9      | right:  obj_w=12
left:  w1  w3  w9  | right:  obj_w=13
left:  w1  w3      | right:  obj_w= 4
left:  w3      | right:  obj_w= 3
left:  obj_w= 4 | right:  w1  w3
left:  obj_w=13 | right:  w1  w3  w9
left:  obj_w= 3 | right:  w3
left:  w1  obj_w= 2 | right:  w3
left:  w1  obj_w=11 | right:  w3  w9
left:  obj_w=12 | right:  w3  w9
left:  w1 | right:  obj_w= 1
left:  w9 | right:  w1  obj_w= 8
left:  w9 | right:  obj_w= 9
left:  w1  w9 | right:  obj_w=10
left:  w1  w9 | right:  w3  obj_w= 7
left:  w9 | right:  w1  w3  obj_w= 5
left:  w9 | right:  w3  obj_w= 6
left:  obj_w= 9 | right:  w9
left:  obj_w=10 | right:  w1  w9
left:  obj_w= 1 | right:  w1
left:  w1  obj_w= 8 | right:  w9

There are 13 distinct obj_w values. So this is the answer.

8.10 Two parks

Suppose a survey revealed that 70% of the population visited an amusement park and 80% visited a national park. At least what percentage of the population visited both?

The problem is supposed to be solved using finite sets counting... But I’m slothful student and would try Z3.

```python
from z3 import *

# each element is 0/1, reflecting 10% of park1/park2 levels of attendance...
p1=[Int('park1_%d' % i) for i in range(10)]
p2=[Int('park2_%d' % i) for i in range(10)]

# 1 if visited both, 0 otherwise:
```

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This is fun!

If minimize:

| park1 | ***...**** |
| park2 | *........** |
| both  | *......**** (5) |

If maximize:

| park1 | ..********. |
| park2 | ..********. |
| both  | ..********. (7) |

In other words, “stars” are allocated in such a way, so that the sum of “stars” in b[] would be minimal/maximal.

Observing this, we can deduce the general formula:

Maximal both = min(park1, park2)

What about minimal both? We can see that “stars” from one park1 must “shift out” or “hide in” to what corresponding empty space of park2. So, minimal both = park2 - (100% - park1)

SMT solver is overkill for the job, but perfect for illustration and helping in better understanding.

8.10.1 Variations of the problem from the same book

Suppose that 100 senators voted on three separate senate bills as follows: 70 percent of the senators voted for the first bill, 65 percent voted for the second bill, and 60 percent voted for the third bill. At least what percentage of the senators voted for all three bills?
Suppose that 25 people attended a conference with three sessions, where 15 people attended the first session, 18 the second session, and 12 the third session. At least how many people attended all three sessions?

Also, The *Abstract Algebra* book\(^9\) by I.N. Herstein has exercises like:

19. In his book *A Tangled Tale*, Lewis Carroll proposed the following riddle about a group of disabled veterans: "Say that 70% have lost an eye, 75% an ear, 80% an arm, 85% a leg. What percentage, at least, must have lost all four?" Solve Lewis Carroll’s problem.

### 8.11 Alphametics

According to Donald Knuth, the term “Alphametics” was coined by J. A. H. Hunter. This is a puzzle: what decimal digits in 0..9 range must be assigned to each letter, so the following equation will be true?

\[
\begin{array}{c}
\text{SEND} \\
+ \text{MORE} \\
\hline
\text{MONEY}
\end{array}
\]

So is easy for Z3:

```python
from z3 import *

# SEND+MORE=MONEY
D, E, M, N, O, R, S, Y = Ints('D, E, M, N, O, R, S, Y')
s=Solver()
s.add(Distinct(D, E, M, N, O, R, S, Y))
s.add(And(D>=0, D<=9))
s.add(And(E>=0, E<=9))
s.add(And(M>=0, M<=9))
s.add(And(N>=0, N<=9))
s.add(And(O>=0, O<=9))
s.add(And(R>=0, R<=9))
s.add(And(S>=0, S<=9))
s.add(And(Y>=0, Y<=9))
s.add(1000*S+100*E+10*N+D + 1000*M+100*O+10*R+E == 10000*M+1000*O+100*N+10*E+Y)
print s.check()
print s.model()
```

Output:

```python
sat
[E, = 5,
 S, = 9,
 M, = 1,
```

from z3 import *

# VIOLIN+VIOLIN+VIOLA = TRIO+SONATA


s = Solver()

s.add(Distinct(A, I, L, N, O, R, S, T, V))
s.add(And(A>=0, A<=9))
s.add(And(I>=0, I<=9))
s.add(And(L>=0, L<=9))
s.add(And(N>=0, N<=9))
s.add(And(O>=0, O<=9))
s.add(And(R>=0, R<=9))
s.add(And(S>=0, S<=9))
s.add(And(T>=0, T<=9))
s.add(And(V>=0, V<=9))

VIOLIN, VIOLA, SONATA, TRIO = Ints('VIOLIN, VIOLA, SONATA, TRIO')

s.add(VIOLIN==100000*V+10000*I+1000*O+100*L+10*I+O)
s.add(VIOLA==10000*V+1000*I+100*O+10*L+A)
s.add(SONATA==100000*S+10000*O+1000*N+100*A+10*T+A)
s.add(TRIO==1000*T+100*R+10*I+O)

s.add(VIOLIN+VIOLIN+VIOLA==TRIO+SONATA)

print s.check()
print s.model()

sat

[L, = 6,
S, = 7,
N, = 2,
T, = 1,
I, = 5,
V = 3,
A, = 8,
R, = 9,
O, = 4,
TRIO = 1954,
SONATA, = 742818,
VIOLA, = 35468,
VIOLIN, = 354652]

This puzzle I’ve found in pySMT examples¹⁰:

from z3 import *

# H+E+L+O = W+O+R+L+D = 25

H, E, L, O, W, R, D = Ints('H E L O W R D')

s=Solver()

s.add(Distinct(H, E, L, O, W, R, D))
s.add(And(H>=1, H<=9))
s.add(And(E>=1, E<=9))
s.add(And(L>=1, L<=9))
s.add(And(O>=1, O<=9))
s.add(And(W>=1, W<=9))
s.add(And(R>=1, R<=9))
s.add(And(D>=1, D<=9))

s.add(H+E+L+O == 25)
s.add(W+O+R+L+D == 25)

print s.check()
print s.model()

sat
[D = 5, R = 4, O = 3, E = 8, L = 6, W = 7, H = 2]

This is an exercise Q209 from the [Companion to the Papers of Donald Knuth][11].

```
KNIFE
FORK
SPOON
SOUP
------
SUPPER
```

I've added a helper function (list_to_expr()) to make things simpler:

```
from z3 import *

# KNIFE+FORK+SPOON+SOUP = SUPPER


s=Solver()

s.add(Distinct(E, F, I, K, N, O, P, R, S, U))
s.add(And(E>=0, E<=9))
s.add(And(F>=0, F<=9))
s.add(And(I>=0, I<=9))
s.add(And(K>=0, K<=9))
s.add(And(N>=0, N<=9))
s.add(And(O>=0, O<=9))
s.add(And(P>=0, P<=9))
s.add(And(R>=0, R<=9))
s.add(And(S>=0, S<=9))
s.add(And(U>=0, U<=9))
```

s.add(S!=0)

KNIFE, FORK, SPOON, SOUP, SUPPER = Ints('KNIFE FORK SPOON SOUP SUPPER')

# construct expression in form like:
# 10000000*L+1000000*U+100000*N+10000*C+1000*H+100*E+10*O+N

def list_to_expr(lst):
    coeff=1
    _sum=0
    for var in lst[::-1]:
        _sum=_sum+var*coeff
    coeff=coeff*10
    return _sum

s.add(KNIFE==list_to_expr([K,N,I,F,E]))
s.add(FORK==list_to_expr([F,O,R,K]))
s.add(SPOON==list_to_expr([S,P,O,O,N]))
s.add(SOUP==list_to_expr([S,O,U,P]))
s.add(SUPPER==list_to_expr([S,U,P,P,E,R]))
s.add(KNIFE+FORK+SPOON+SOUP == SUPPER)

print s.check()
print s.model()

sat
[K = 7,
 N = 4,
 R = 9,
 I = 1,
 E = 6,
 S = 0,
 O = 3,
 F = 5,
 U = 8,
 P = 2,
 SUPPER = 82269,
 SOUP = 382,
 SPOON = 2334,
 FORK = 5397,
 KNIFE = 74156]

S is zero, so SUPPER value is starting with leading (removed) zero. Let’s say, we don’t like it. Add this to resolve it:

s.add(S!=0)

sat
[K = 8,
 N = 4,
 R = 3,
 I = 7,
 E = 6,
 S = 1,
 O = 9,
 F = 2,
 U = 0,
Devising your own puzzle

Here is a problem: you can only use 10 letters, but how to select them among words? We can try to offload this task to Z3:

```python
from z3 import *

def char_to_idx(c):
    return ord(c)-ord('A')

def idx_to_char(i):
    return chr(ord('A')+i)

# construct expression in form like:
# 10000000*L+1000000*U+100000*N+10000*C+1000*H+100*E+10*O+N

def list_to_expr(lst):
    coeff=1
    _sum=0
    for var in lst[::-1]:
        _sum=_sum+var*coeff
    coeff=coeff*10
    return _sum

# this table has 10 items, it reflects character for each number:
digits=[Int('digit_%d' % i) for i in range(10)]

# this is "reverse" table, it has value for each letter:
letters=[Int('letter_%d' % i) for i in range(26)]

s=Solver()

# all items in digits[] table must be distinct, because no two letters can share same number:
s.add(Distinct(digits))

# all numbers are in 0..25 range, because each number in this table defines character:
for i in range(10):
    s.add(And(digits[i]>=0,digits[i]<26))

# define "reverse" table.
# letters[1] is 0..9, depending on which digits[] item contains this letter:
for i in range(26):
    s.add(letters[i] ==
        If(digits[0]==i,0,
            If(digits[1]==i,1,
                If(digits[2]==i,2,
                    If(digits[3]==i,3,
                        If(digits[4]==i,4,
                            If(digits[5]==i,5,
                                If(digits[6]==i,6,
                                ))
                            ))
                        ))
                    ))
                ))
            ))
        ))
    )
```
This is the first generated puzzle:

sat
EGGS
JELLY
LUNCH
C 5
E 6
G 3
What if we want “CAKE” to be present among “addends”? Add this:

```python
s.add(word_used[words.index('CAKE')])
```

sat
CAKE
TEA
LUNCH
A 8
C 3
E 1
H 9
J 6
K 2
L 0
N 5
T 7
U 4

Add this:

```python
s.add(word_used[words.index('EGGS')])
```

Now it can find pair to EGGS:

sat
EGGS
HONEY
LUNCH
C 6
E 7
G 9
H 4
L 5
N 8
O 2
S 3
U 0
Y 1

The files


8.12 2015 AIME II Problems/Problem 12

There are $2^{10} = 1024$ possible 10-letter strings in which each letter is either an A or a B. Find the number of such strings that do not have more than 3 adjacent letters that are identical.

We just find all 10-bit numbers, which don’t have 4-bit runs of zeros or ones:

```python
from z3 import *

a = BitVec('a', 10)
s = Solver()

for i in range(10-4+1):
    s.add(((a>>i)&15)!=0)
    s.add(((a>>i)&15)!=15)

results=[]
while True:
    if s.check() == sat:
        m = s.model()
        print "0x%" % m[a].as_long()
        results.append(m)
        block = []
        for d in m:
            c=d()
            block.append(c != m[d])
        s.add(Or(block))
    else:
        print "total results", len(results)
        break
```

It’s 548.

### 8.13 Fred puzzle

Found this:

Three fellows accused of stealing CDs make the following statements:

1. Ed: "Fred did it, and Ted is innocent".
2. Fred: "If Ed is guilty, then so is Ted".
3. Ted: "I'm innocent, but at least one of the others is guilty".

If the innocent told the truth and the guilty lied, who is guilty? (Remember that false statements imply anything).

I think Ed and Ted are innocent and Fred is guilty. Is it in contradiction with statement 2.

What do you say?


And how to convert this into logic statements:

Let us write the following propositions:
Fg means Fred is guilty, and Fi means Fred is innocent, Tg and Ti for Ted and Eg and Ei for Ed.

1. Ed says: Fg ∧ Ti
2. Fred says: Eg → Tg
3. Ted says: Ti ∧ (Fg ∨ Eg)

We know that the guilty is lying and the innocent tells the truth.

This is how I can implement it using Z3Py:

```python
#!/usr/bin/env python3

from z3 import *

fg, fi, tg, ti, eg, ei = Bools('fg fi tg ti eg ei')

s = Solver()

s.add(fg != fi)

s.add(tg != ti)

s.add(eg != ei)

s.add(ei == And(fg, ti))

s.add(fi == Implies(eg, tg))

# s.add(fi == Or(Not(eg), tg))  # Or(-x, y) is the same as Implies

s.add(ti == And(ti, Or(fg, eg)))

print(s.check())

print(s.model())
```

The result:

```text
sat
[fg = False, 
 ti = False, 
 tg = True, 
 eg = True, 
 ei = False, 
 fi = True]
```

(Fred is innocent, others are guilty.)

(Implies can be replaced with Or(Not(x), y).)

Now in SMT-LIB v2 form:

```lisp
; tested with Z3 and MK85:

(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun fg () Bool)
(declare-fun fi () Bool)
(declare-fun tg () Bool)
(declare-fun ti () Bool)
```
(declare-fun eg () Bool)
(declare-fun ei () Bool)

(assert (not (= fg fi)))
(assert (not (= tg ti)))
(assert (not (= eg ei)))

(assert (= ei (and fg ti)))

; Or(-x, y) is the same as Implies
(assert (= fi (or (not eg) tg)))

(assert (= ti (and ti (or fg eg))))

(check-sat)
(get-model)

Again, it's small enough to be solved by MK85:

$ MK85 --dump-internal-variables fred.smt2
sat
(model
  (define-fun always_false () Bool false) ; var_no=1
  (define-fun always_true () Bool true) ; var_no=2
  (define-fun fg () Bool false) ; var_no=3
  (define-fun fi () Bool true) ; var_no=4
  (define-fun tg () Bool true) ; var_no=5
  (define-fun ti () Bool false) ; var_no=6
  (define-fun eg () Bool true) ; var_no=7
  (define-fun ei () Bool false) ; var_no=8
  (define-fun internal!1 () Bool true) ; var_no=9
  (define-fun internal!2 () Bool false) ; var_no=10
  (define-fun internal!3 () Bool true) ; var_no=11
  (define-fun internal!4 () Bool true) ; var_no=12
  (define-fun internal!5 () Bool false) ; var_no=13
  (define-fun internal!6 () Bool true) ; var_no=14
  (define-fun internal!7 () Bool true) ; var_no=15
  (define-fun internal!8 () Bool false) ; var_no=16
  (define-fun internal!9 () Bool true) ; var_no=17
  (define-fun internal!10 () Bool false) ; var_no=18
  (define-fun internal!11 () Bool false) ; var_no=19
  (define-fun internal!12 () Bool true) ; var_no=20
  (define-fun internal!13 () Bool false) ; var_no=21
  (define-fun internal!14 () Bool true) ; var_no=22
  (define-fun internal!15 () Bool false) ; var_no=23
  (define-fun internal!16 () Bool true) ; var_no=24
  (define-fun internal!17 () Bool true) ; var_no=25
  (define-fun internal!18 () Bool false) ; var_no=26
  (define-fun internal!19 () Bool false) ; var_no=27
  (define-fun internal!20 () Bool true) ; var_no=28
)

What is in the CNF file generated by MK85?

p cnf 28 64
-1 0
2 0
c generate_EQ id1=fg, id2=fi, var1=3, var2=4
c generate_XOR id1=fg id2=fi var1=3 var2=4 out id=internal!1 out var=9
-3 -4 -9 0
3 4 -9 0
3 -4 9 0
-3 4 9 0
c generate_NOT id=internal!1 var=9, out id=internal!2 out var=10
-10 -9 0
10 9 0
c generate_NOT id=internal!2 var=10, out id=internal!3 out var=11
-11 -10 0
11 10 0
c create_assert() id=internal!3 var=11
11 0
c generate_EQ id1=tg, id2=ti, var1=5, var2=6
c generate_XOR id1=fg id2=ti var1=5 var2=6 out id=internal!4 out var=12
-5 -6 -12 0
5 6 -12 0
5 -6 12 0
-5 6 12 0
c generate_NOT id=internal!4 var=12, out id=internal!5 out var=13
-13 -12 0
13 12 0
c generate_NOT id=internal!5 var=13, out id=internal!6 out var=14
-14 -13 0
14 13 0
c create_assert() id=internal!6 var=14
14 0
c generate_EQ id1=eg, id2=ti, var1=7, var2=8
c generate_XOR id1=eg id2=ti var1=7 var2=8 out id=internal!7 out var=15
-7 -8 -15 0
7 8 -15 0
7 -8 15 0
-7 8 15 0
c generate_NOT id=internal!7 var=15, out id=internal!8 out var=16
-16 -15 0
16 15 0
c generate_NOT id=internal!8 var=16, out id=internal!9 out var=17
-17 -16 0
17 16 0
c create_assert() id=internal!9 var=17
17 0
c generate_AND id1=fg id2=ti var1=3 var2=6 out id=internal!10 out var=18
-3 -6 18 0
3 -18 0
6 -18 0
c generate_EQ id1=eg, id2=ti, var1=8, var2=18
c generate_XOR id1=eg id2=ti var1=8 var2=18 out id=internal!11 out var=19
-8 -18 -19 0
8 18 -19 0
8 -18 19 0
-8 18 19 0
c generate_NOT id=internal!11 var=19, out id=internal!12 out var=20
-20 -19 0
20 19 0
c create_assert() id=internal!12 var=20
20 0
c generate_NOT id=eg var=7, out id=internal!13 out var=21
c generate_or id=internal!13 id2=tg var1=21 var2=5 out id=internal!14 out var=22
21 5 -22 0
-21 22 0
-5 22 0
c generate_eq id1=fi, id2=internal!14, var1=4, var2=22
22 4 -22 0
4 22 -23 0
4 -22 23 0
-4 22 23 0
c generate_xor id1=fi id2=internal!14 var1=4 var2=22 out id=internal!15 out var=23
-4 -22 -23 0
4 22 -23 0
4 -22 23 0
-4 22 23 0
c generate_not id=internal!15 var=23, out id=internal!16 out var=24
-24 -23 0
24 23 0
c create_assert() id=internal!16 var=24
24 0
c generate_or id1=fg id2=eg var1=3 var2=7 out id=internal!17 out var=25
3 7 -25 0
-3 25 0
-7 25 0
c generate_and id1=ti id2=internal!17 var1=6 var2=25 out id=internal!18 out var=26
-6 -25 26 0
6 -26 0
25 -26 0
c generate_eq id1=tg, id2=ti, var1=5, var2=6
c generate_xor id1=tg id2=ti var1=5 var2=6 out id=internal!18 var=19
-6 -26 27 0
6 26 -27 0
6 -26 27 0
-6 26 27 0
c generate_not id=internal!18 var=27, out id=internal!19 out var=28
-28 -27 0
28 27 0
c create_assert() id=internal!19 var=28
28 0
221

Let's filter out comments:
c generate_eq id=fg, id2=fi, var1=3, var2=4
c generate_xor id=fg id2=fi var1=3 var2=4 out id=internal!1 out var=9
c generate_not id=internal!! var=9, out id=internal!2 out var=10
c generate_not id=internal!2 var=10, out id=internal!3 out var=11
c create_assert() id=internal!3 var=11
c generate_eq id=tg, id2=ti, var1=5, var2=6
c generate_xor id=tg id2=ti var1=5 var2=6 out id=internal!4 out var=12
c generate_not id=internal!4 var=12, out id=internal!5 out var=13
c generate_not id=internal!5 var=13, out id=internal!6 out var=14
c create_assert() id=internal!6 var=14
c generate_eq id=eg, id2=ei, var1=7, var2=8
c generate_xor id=eg id2=ei var1=7 var2=8 out id=internal!7 out var=15
c generate_not id=internal!7 var=15, out id=internal!8 out var=16
c generate_not id=internal!8 var=16, out id=internal!9 out var=17
c create_assert() id=internal!9 var=17
c generate_and id=fg id2=ti var=3 var2=6 out id=internal!10 out var=18
c generate_eq id=ei, id2=internal!10, var1=8, var2=18
c generate_xor id=ei id2=internal!10 var=8 var2=18 out id=internal!11 out var=19
c generate_not id=internal!11 var=19, out id=internal!12 out var=20
c create_assert() id=internal!12 var=20

c generate_NOT id=eg var=7, out id=internal!13 out var=21

c generate_OR id1=internal!13 id2=tg var1=21 var2=5 out id=internal!14 out var=22

c generate_EQ id1=fi, id2=internal!13 var1=4, var2=5 out id=internal!14 out var=22

c generate_XOR id1=fi id2=internal!14 var1=4 var2=5 out id=internal!15 out var=23

c create_assert() id=internal!16 var=23

c generate_OR id1=fg id2=eg var1=3 var2=7 out id=internal!17 out var=25

c generate_AND id1=ti id2=internal!17 var1=6 var2=25 out id=internal!18 out var=26

c generate_EQ id1=ti, id2=internal!18 var1=6, var2=26 out id=internal!19 out var=27

c generate_XOR id1=ti id2=internal!18 var1=6 var2=26 out id=internal!19 out var=28

c create_assert() id=internal!20 var=28

Again, this instance is small enough to be solved by small backtracking SAT-solver:

```
$ python SAT_backtrack.py tmp.cnf
SAT
UNSAT
solutions= 1
```

8.14 Multiple choice logic puzzle

The source of this puzzle is probably Ross Honsberger’s “More Mathematical Morsels (Dolciani Mathematical Expositions)” book:

A certain question has the following possible answers.

- a. All of the below
- b. None of the below
- c. All of the above
- d. One of the above
- e. None of the above
- f. None of the above

Which answer is correct?

Cited from: [https://www.johndcook.com/blog/2015/07/06/multiple-choice/](https://www.johndcook.com/blog/2015/07/06/multiple-choice/).

This problem can be easily represented as a system of boolean equations. Let’s try to solve it using Z3. Each bool represents if the specific sentence is true.

```
#!/usr/bin/env python

from z3 import *

a, b, c, d, e, f = Bools('a b c d e f')

s=Solver()

s.add(a==And(b,c,d,e,f))

s.add(b==And(Not(c),Not(d),Not(e),Not(f)))

s.add(c==And(a,b))

s.add(d==Or(And(a,Not(b),Not(c)), And(Not(a),b,Not(c)), And(Not(a),Not(b),c)))

s.add(e==And(Not(a),Not(b),Not(c),Not(d)))

s.add(f==And(Not(a),Not(b),Not(c),Not(d), Not(e)))
```
print s.check()
print s.model()

The answer:

```
sat
[f = False, 
b = False, 
a = False, 
c = False, 
d = False, 
e = True]
```

I can also rewrite this in SMT-LIB v2 form:

```lisp
; tested with Z3 and MK85
(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun a () Bool)
(declare-fun b () Bool)
(declare-fun c () Bool)
(declare-fun d () Bool)
(declare-fun e () Bool)
(declare-fun f () Bool)

(assert (= a (and b c d e f)))
(assert (= b (and (not c) (not d) (not e) (not f))))
(assert (= c (and a b)))
(assert (= d (or
    (and a (not b) (not c))
    (and (not a) b (not c))
    (and (not a) (not b) c)
  )))
(assert (= e (and (not a) (not b) (not c) (not d))))
(assert (= f (and (not a) (not b) (not c) (not d) (not e))))

(check-sat)
(get-model)
```

The problem is easy enough to be solved using MK85:

```
sat
(model
    (define-fun a () Bool false)
    (define-fun b () Bool false)
    (define-fun c () Bool false)
    (define-fun d () Bool false)
    (define-fun e () Bool true)
    (define-fun f () Bool false)
)
```

Now let’s have fun and see how my toy SMT solver tackles this example. What internal variables it creates?

```
$ ./MK85 --dump-internal-variables mc.smt2
sat
(model
```
What is in resulting CNF file to be fed into the external picosat SAT-solver?

```
p cnf 62 151
-1 0
2 0
c generate_AND id1=b id2=c var1=4 var2=5 out id=internal!1 out var=9
-4 -5 9 0
4 -9 0
5 -9 0
c generate_AND id1=internal!1 id2=d var1=9 var2=6 out id=internal!2 out var=10
-9 -6 10 0
9 -10 0
6 -10 0
c generate_AND id1=internal!2 id2=e var1=10 var2=7 out id=internal!3 out var=11
-10 -7 11 0
10 -11 0
7 -11 0
c generate_AND id1=internal!3 id2=f var1=11 var2=8 out id=internal!4 out var=12
-11 -8 12 0
11 -12 0
8 -12 0
c generate_EQ id1=a, id2=internal!4, var1=3, var2=12

c generate_XOR id1=a id2=internal!4 var1=3 var2=12 out id=internal!5 out var=13
-3 -12 -13 0
3 12 -13 0
3 -12 13 0
-3 12 13 0
c generate_NOT id=internal!5 var=13, out id=internal!6 out var=14
-14 -13 0
14 13 0
c create_assert() id=internal!6 var=14
14 0
c generate_NOT id=c var=5, out id=internal!7 out var=15
-15 -5 0
15 5 0
c generate_NOT id=d var=6, out id=internal!8 out var=16
-16 -6 0
16 6 0
c generate_AND id1=internal!7 id2=internal!8 var1=15 var2=16 out id=internal!9 out var
=17
-15 -16 17 0
15 -17 0
16 -17 0
c generate_NOT id=e var=7, out id=internal!10 out var=18
-18 -7 0
18 7 0
c generate_AND id1=internal!9 id2=internal!10 var1=17 var2=18 out id=internal!11 out var
=19
-17 -18 19 0
17 -19 0
18 -19 0
```
c generate_NOT id=f var=8, out id=internal!12 out var=20
-20 -8 0
20 8 0
c generate_AND id1=internal!11 id2=internal!12 var1=19 var2=20 out id=internal!13 out var=21
-19 -20 21 0
19 -21 0
20 -21 0
c generate_EQ id1=b, id2=internal!13, var1=4, var2=21
c generate_XOR id1=b id2=internal!13 var1=4 var2=21 out id=internal!14 out var=22
-4 -21 -22 0
4 21 -22 0
4 -21 22 0
-4 21 22 0
c generate_NOT id=internal!14 var=22, out id=internal!15 out var=23
-23 -22 0
23 22 0
c create_assert() id=internal!15 var=23
23 0
c generate_AND id1=a id2=b var1=3 var2=4 out id=internal!16 out var=24
-3 -4 24 0
3 -24 0
4 -24 0
c generate_EQ id1=c, id2=internal!16, var1=5, var2=24
c generate_XOR id1=c id2=internal!16 var1=5 var2=24 out id=internal!17 out var=25
-5 -24 -25 0
5 24 -25 0
5 -24 25 0
-5 24 25 0
c generate_NOT id=internal!17 var=25, out id=internal!18 out var=26
-26 -25 0
26 25 0
c create_assert() id=internal!18 var=26
26 0
c generate_NOT id=b var=4, out id=internal!19 out var=27
-27 -4 0
27 4 0
c generate_AND id1=a id2=internal!19 var1=3 var2=27 out id=internal!20 out var=28
-3 -27 28 0
3 -28 0
27 -28 0
c generate_NOT id=c var=5, out id=internal!21 out var=29
-29 -5 0
29 5 0
c generate_AND id1=internal!20 id2=internal!21 var1=28 var2=29 out id=internal!22 out var=30
-28 -29 30 0
28 -30 0
29 -30 0
c generate_NOT id=a var=3, out id=internal!23 out var=31
-31 -3 0
31 3 0
c generate_AND id1=internal!23 id2=b var1=31 var2=4 out id=internal!24 out var=32
-31 -4 32 0
31 -32 0
4 -32 0
c generate_NOT id=c var=5, out id=internal!25 out var=33
c generate_AND id1=internal!24 id2=internal!25 var1=32 var2=33 out id=internal!26 out var=34
  -32 -33 34 0
  32 -34 0
  33 -34 0
c generate_OR id1=internal!22 id2=internal!26 var1=30 var2=34 out id=internal!27 out var =35
  30 34 -35 0
  -30 35 0
  -34 35 0
c generate_NOT id=a var=3, out id=internal!28 out var=36
  -36 -3 0
  36 3 0
c generate_NOT id=b var=4, out id=internal!29 out var=37
  -37 -4 0
  37 4 0
c generate_AND id1=internal!28 id2=internal!29 var1=36 var2=37 out id=internal!30 out var=38
  -36 -37 38 0
  36 -38 0
  37 -38 0
c generate_AND id1=internal!30 id2=c var1=38 var2=5 out id=internal!31 out var=39
  -38 -5 39 0
  38 -39 0
  5 -39 0
c generate_OR id1=internal!27 id2=internal!31 var1=35 var2=39 out id=internal!32 out var=40
  35 39 -40 0
  -35 40 0
  -39 40 0
c generate_EQ id1=d, id2=internal!32, var1=6, var2=40
  c generate_XOR id1=d id2=internal!32 var1=6 var2=40 out id=internal!33 out var=41
  -6 -40 -41 0
  6 40 -41 0
  6 -40 41 0
  -6 40 41 0
c generate_NOT id=internal!33 var=41, out id=internal!34 out var=42
  -42 -41 0
  42 41 0
c create_assert() id=internal!34 var=42
  42 0
c generate_NOT id=a var=3, out id=internal!35 out var=43
  -43 -3 0
  43 3 0
c generate_NOT id=b var=4, out id=internal!36 out var=44
  -44 -4 0
  44 4 0
c generate_AND id1=internal!35 id2=internal!36 var1=43 var2=44 out id=internal!37 out var=45
  -43 -44 45 0
  43 -45 0
  44 -45 0
c generate_NOT id=c var=5, out id=internal!38 out var=46
  -46 -5 0
  46 5 0
c generate_AND id1=internal!37 id2=internal!38 var1=45 var2=46 out id=internal!39 out var=47
-45 -46 47 0
45 -47 0
46 -47 0

c generate_NOT id=d var=6, out id=internal!40 out var=48
-48 -6 0
48 6 0

c generate_AND id1=internal!39 id2=internal!40 var1=47 var2=48 out id=internal!41 out var=49
-47 -48 49 0
47 -49 0
48 -49 0

c generate_EQ id1=e, id2=internal!41, var1=7, var2=49

c generate_XOR id1=e id2=internal!41 var1=7 var2=49 out id=internal!42 out var=50
-7 -49 -50 0
7 49 -50 0
7 -49 50 0
-7 49 50 0

c generate_NOT id=internal!42 var=50, out id=internal!43 out var=51
-51 -50 0
51 50 0

c create_assert() id=internal!43 var=51
51 0

c generate_NOT id=a var=3, out id=internal!44 out var=52
-52 -3 0
52 3 0

c generate_NOT id=b var=4, out id=internal!45 out var=53
-53 -4 0
53 4 0

c generate_AND id1=internal!44 id2=internal!45 var1=52 var2=53 out id=internal!46 out var=54
-52 -53 54 0
52 -54 0
53 -54 0

c generate_NOT id=c var=5, out id=internal!47 out var=55
-55 -5 0
55 5 0

c generate_AND id1=internal!46 id2=internal!47 var1=54 var2=55 out id=internal!48 out var=56
-54 -55 56 0
54 -56 0
55 -56 0

c generate_NOT id=d var=6, out id=internal!49 out var=57
-57 -6 0
57 6 0

c generate_AND id1=internal!48 id2=internal!49 var1=56 var2=57 out id=internal!50 out var=58
-56 -57 58 0
56 -58 0
57 -58 0

c generate_NOT id=e var=7, out id=internal!51 out var=59
-59 -7 0
59 7 0

c generate_AND id1=internal!50 id2=internal!51 var1=58 var2=59 out id=internal!52 out var=60
-58 -59 60 0
Here are comments (starting with “c ” prefix), and my SMT-solver indicate, how each low-level logical gate is added, its inputs (variable IDs and numbers) and outputs.

Let’s filter comments:

```
$ cat tmp.cnf | grep "^c "
c generate_AND id1=b id2=c var1=4 var2=5 out id=internal!1 out var=9
c generate_AND id1=internal!1 id2=d var1=9 var2=6 out id=internal!2 out var=10
c generate_AND id1=internal!2 id2=e var1=10 var2=7 out id=internal!3 out var=11
c generate_EQ id1=a, id2=internal!4, var1=3, var2=12
c generate_XOR id1=a id2=internal!4 var1=3 var2=12 out id=internal!5 out var=13
c generate_NOT id=internal!5 var=13, out id=internal!6 out var=14
c create_assert() id=internal!6 var=14
```

```
c generate_AND id1=a id2=internal!4 var1=3 var2=12 out id=internal!5 out var=13
c generate_NOT id=c var=5, out id=internal!7 out var=15
c generate_NOT id=d var=6, out id=internal!8 out var=16
c generate_AND id1=internal!7 id2=internal!8 var1=15 var2=16 out id=internal!9 out var=17
c generate_NOT id=e var=7, out id=internal!10 out var=18
c generate_AND id1=internal!9 id2=internal!10 var1=17 var2=18 out id=internal!11 out var=19
c generate_AND id1=f var=8, out id=internal!12 out var=20
c generate_AND id1=internal!11 id2=internal!12 var1=19 var2=20 out id=internal!13 out var=21
c generate_EQ id1=b, id2=internal!13, var1=4, var2=21
c generate_XOR id1=b id2=internal!13 var1=4 var2=21 out id=internal!14 out var=22
c generate_NOT id=internal!14 var=22, out id=internal!15 out var=23
c create_assert() id=internal!15 var=23
c generate_AND id1=a id2=b var1=3 var2=4 out id=internal!16 out var=24
c generate_EQ id1=c, id2=internal!16, var1=5, var2=24
c generate_XOR id1=c id2=internal!16 var1=5 var2=24 out id=internal!17 out var=25
c generate_NOT id=internal!17 var=25, out id=internal!18 out var=26
c create_assert() id=internal!18 var=26
c generate_NOT id=b var=4, out id=internal!19 out var=27
c generate_AND id1=a id2=internal!19 var1=3 var2=27 out id=internal!20 out var=28
c generate_NOT id=c var=5, out id=internal!21 out var=29
c generate_AND id1=internal!20 id2=internal!21 var1=28 var2=29 out id=internal!22 out var=30
c generate_NOT id=a var=3, out id=internal!23 out var=31
c generate_AND id1=internal!23 id2=b var1=31 var2=4 out id=internal!24 out var=32
c generate_NOT id=c var=5, out id=internal!25 out var=33
c generate_AND id1=internal!24 id2=internal!25 var1=32 var2=33 out id=internal!26 out var=34
c generate_OR id1=internal!22 id2=internal!26 var1=30 var2=34 out id=internal!27 out var
```
Now you can juxtapose list of internal variables and comments in CNF file. For example, equality gate is generated as NOT(XOR(a,b)).

create_assert() function fixes a bool variable to be always True.

Other (internal) variables are added by SMT solver as “joints” to connect logic gates with each other.

Hence, my SMT solver constructing a digital circuit based on the input SMT file. Logic gates are then converted into CNF form using Tseitin transformations. The task of SAT solver is then to find such an assignment, for which CNF expression would be true. In other words, its task is to find such inputs/outputs for which this “virtual” digital circuit would work without contradictions.

The SAT instance is also small enough to be solved using my simplest backtracking SAT solver written:

```bash
$ ./SAT_backtrack.py tmp.cnf

SAT
```
You can juxtapose variables from solver’s result and variable numbers from MK85 listing. Therefore, MK85 + my small SAT solver is standalone program under 3000 SLOC, which still can solve such (simple enough) system of boolean equations, without external aid like minisat/picosat.

Among comments at the John D. Cook’s blog, there is also a solution by Aaron Meurer, using SymPy, which also has SAT-solver inside:

7 July 2015 at 01:34

Decided to run this through 'SymPy SAT solver.

In [1]: var('a b c d e f')
Out[1]: (a, b, c, d, e, f)

In [2]: facts = []
Equivalent(a, (b & c & d & e & f)),
Equivalent(b, (~c & ~d & ~e & ~f)),
Equivalent(c, a & b),
Equivalent(d, (a & ~b & ~c) | (~a & b & ~c) | (~a & ~b & c)),
Equivalent(e, (~a & ~b & ~c & ~d)),
Equivalent(f, (~a & ~b & ~c & ~d & ~e)),
]

In [3]: list(satisfiable(And(*facts), all_models=True))
Out[3]: [{e: True, c: False, b: False, a: False, f: False, d: False}]

So it seems e is the only answer, assuming I got the facts correct. And it is important to use Equivalent (a bidirectional implication) rather than just Implies. If you only use -> (which I guess would mean that an answer not being chosen ‘doesn’t necessarily mean that it ‘isn’t true), then ‘’none, b, and f are also ””solutions.

Also, if I replace the d fact with Equivalent(d, a | b | c), the result is the same. So it seems that the interpretation of ””one both in terms of choice d and in terms of how many choices there are is irrelevant.

Thanks for the fun problem. I hope others took the time to solve this in their head before reading the comments.

8.15 Art of problem solving


The positive integers $x_1, x_2, ..., x_7$ satisfy $x_6 = 144$ and $x_{n+3} = x_{n+2}(x_{n+1} + x_n)$ for $n = 1, 2, 3, 4$. Find the last three digits of $x_7$. 

This is it:


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from z3 import *

s=Solver()

x1, x2, x3, x4, x5, x6, x7=Ints('x1 x2 x3 x4 x5 x6 x7')

s.add(x1>=0)

s.add(x2>=0)

s.add(x3>=0)

s.add(x4>=0)

s.add(x5>=0)

s.add(x6>=0)

s.add(x7>=0)

s.add(x6==144)

s.add(x4==x3*(x2+x1))

s.add(x5==x4*(x3+x2))

s.add(x6==x5*(x4+x3))

s.add(x7==x6*(x5+x4))

# get all results:

results=[]

while True:
    if s.check() == sat:
        m = s.model()
        print m

        results.append(m)
        block = []
        for d in m:
            c=d()
            block.append(c != m[d])
        s.add(Or(block))
    else:
        print "total results", len(results)
        break

Two solutions possible, but in both x7 is ending by 456:

[x2 = 1,
 x3 = 1,
 x1 = 7,
 x4 = 8,
 x5 = 16,
 x7 = 3456,
 x6 = 144]

[x3 = 2,
 x2 = 1,
 x1 = 2,
 x6 = 144,
 x4 = 6,
 x5 = 18,
 x7 = 3456]

total results 2
Find the number of positive integers with three not necessarily distinct digits, \(abc\), with \(a \neq 0\) and \(c \neq 0\) such that both \(abc\) and \(cba\) are multiples of 4.

```python
from z3 import *

a, b, c = Ints('a b c')
s=Solver()
s.add(a>0)
s.add(b>=0)
s.add(c>0)
s.add(a<=9)
s.add(b<=9)
s.add(c<=9)
s.add((a*100 + b*10 + c) % 4 == 0)
s.add((c*100 + b*10 + a) % 4 == 0)

results=[]
while True:
    if s.check() == sat:
        m = s.model()
        print m

        results.append(m)
        block = []
        for d in m:
            c=d()
            block.append(c != m[d])
        s.add(Or(block))
    else:
        print "total results", len(results)
        break

Let's see:

[c = 4, b = 0, a = 4]
[b = 1, c = 2, a = 2]
[b = 6, c = 4, a = 4]
[b = 4, c = 4, a = 4]
[b = 2, c = 4, a = 4]
[b = 4, c = 4, a = 8]
[b = 8, c = 4, a = 4]
[b = 6, c = 4, a = 8]
[b = 8, c = 4, a = 8]
[b = 0, c = 4, a = 8]
[b = 2, c = 4, a = 8]
[b = 8, c = 8, a = 8]
[b = 9, c = 6, a = 6]
```
My toy-level SMT-solver MK85 can enumerate all solutions as well:

```
(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun a () (_ BitVec 8))
(declare-fun b () (_ BitVec 8))
(declare-fun c () (_ BitVec 8))

(assert (bvugt a #x00))
(assert (bvuge b #x00))
(assert (bvugt c #x00))

(assert (bvule a #x09))
(assert (bvule b #x09))
(assert (bvule c #x09))

; slower:
;(assert (= (bvurem (bvadd (bvmul a (_ bv100 8)) (bvmul b (_ bv00 8)) c) #x04) #x00))
;(assert (= (bvurem (bvadd (bvmul c (_ bv100 8)) (bvmul b (_ bv00 8)) a) #x04) #x00))

; faster:
(assert (= (bvand (bvadd (bvmul a (_ bv100 8)) (bvmul b (_ bv00 8)) c) #x03) #x00))
(assert (= (bvand (bvadd (bvmul c (_ bv100 8)) (bvmul b (_ bv00 8)) a) #x03) #x00))
```

; (check-sat)
; (get-model)
; (get-all-models)
; (count-models)
Faster version doesn’t find remainder, it just isolates two last bits.

### 8.17 Recreational math, calculator’s keypad and divisibility

I’ve once read about this puzzle. Imagine calculator’s keypad:

```
7 8 9
+--+
|4 5|6
|1 2|3
+---+
```

If you form any rectangle or square out of keys, like:

```
 7 8 9
+-+-+
|4 5|6
|1 2|3
+-+-+
```

The number is 4521. Or 2145, or 5214. All these numbers are divisible by 11, 111 and 1111. One explanation: [https://files.eric.ed.gov/fulltext/EJ891796.pdf](https://files.eric.ed.gov/fulltext/EJ891796.pdf)

However, I could try to prove that all these numbers are indeed divisible.

```python
from z3 import *

# map coordinates to number on keypad:
def coords_to_num (r, c):
    return If(And(r==0, c==0), 7,  
              If(And(r==0, c==1), 8,  
                  If(And(r==0, c==2), 9,  
                      If(And(r==1, c==0), 4,  
                          If(And(r==1, c==1), 5,  
                              If(And(r==1, c==2), 6,  
                                  If(And(r==2, c==0), 1,  
                                      If(And(r==2, c==1), 2,  
                                          If(And(r==2, c==2), 3, 9999)))))))))

s=Solver()
```

```bash
# coordinates of upper left corner:
from_r, from_c = Ints('from_r from_c')

# coordinates of bottom right corner:
to_r, to_c = Ints('to_r to_c')
```
# all coordinates are in [0..2]:
s += And(from_r>=0, from_r<=2, from_c>=0, from_c<=2)
s += And(to_r>=0, to_r<=2, to_c>=0, to_c<=2)

# bottom-right corner is always under left-upper corner, or equal to it, or to the right of it:
s += to_r>=from_r
s += to_c>=from_c

# numbers on keypads for all 4 corners:
LT, RT, BL, BR = Ints('LT RT BL BR')

# ... which are:
s += LT==coords_to_num(from_r, from_c)
s += RT==coords_to_num(from_r, to_c)
s += BL==coords_to_num(to_r, from_c)
s += BR==coords_to_num(to_r, to_c)

# 4 possible 4-digit numbers formed by passing by 4 corners:
N1, N2, N3, N4 = Ints('n1 n2 n3 n4')
s += N1==LT*1000 + RT*100 + BR*10 + BL
s += N2==RT*1000 + BR*100 + BL*10 + LT
s += N3==BR*1000 + BL*100 + LT*10 + RT
s += N4==BL*1000 + LT*100 + RT*10 + BR

# what we're going to do?
prove=False
enumerate_rectangles=True

assert prove != enumerate_rectangles

if prove:
    # prove by finding counterexample.
    # find any variable state for which remainder will be non-zero:
s += And((N1%11) != 0), (N1%111) != 0, (N1%1111) != 0
s += And((N2%11) != 0), (N2%111) != 0, (N2%1111) != 0
s += And((N3%11) != 0), (N3%111) != 0, (N3%1111) != 0
s += And((N4%11) != 0), (N4%111) != 0, (N4%1111) != 0

    # this is impossible, we're getting unsat here, because no counterexample exist:
    print s.check()

    # ... or ...

if enumerate_rectangles:
    # enumerate all possible solutions:
    results=[]
    while True:
        if s.check() == sat:
            m = s.model()
            #print_model(m)
            print m
            print m[N1]
            print m[N2]
            print m[N3]
print m[n4]
results.append(m)
block = []
for d in m:
    c=d()
    block.append(c != m[d])
    s.add(Or(block))
else:
    print "results total=" , len(results)
break

Enumeration. only 36 rectangles exist on 3*3 keypad:

[n1 = 7821,
BL = 1,
n2 = 8217,
to_r = 2,
LT = 7,
RT = 8,
BR = 2,
n4 = 1782,
from_r = 0,
n3 = 2178,
from_c = 0,
to_c = 1]
7821
8217
2178
1782
[n1 = 7931,
BL = 1,
n2 = 9317,
to_r = 2,
LT = 7,
RT = 9,
BR = 3,
n4 = 1793,
from_r = 0,
n3 = 3179,
from_c = 0,
to_c = 2]
7931
9317
3179
1793
...
[n1 = 5522,
BL = 2,
n2 = 5225,
to_r = 2,
LT = 5,
RT = 5,
BR = 2,
n4 = 2552,
from_r = 1,
n3 = 2255,
from_c = 1, to_c = 1]
5522
5225
2256
2552
results total= 36

8.18 Android lock screen (9 dots) has exactly 140240 possible ways to (un)lock it

How would you count?

from z3 import *

```
from_c = 1, to_c = 1]
5522
5225
2256
2552
results total= 36

8.18 Android lock screen (9 dots) has exactly 140240 possible ways to (un)lock it

How would you count?

from z3 import *

```

```python
from z3 import *

# where the next dot can be if the current dot is at $a$
# next dot can only be a neighbour
# here we define starlike connections between dots (as in Android lock screen)
# this is like switch() or multiplexer

# lines like these are also counted:
# * ...
# . . *
# . . *

def next_dot(a, b):
    return If(a==1, Or(b==2, b==4, b==5, b==6, b==8),
        If(a==2, Or(b==1, b==3, b==4, b==5, b==6, b==7, b==9),
            If(a==3, Or(b==2, b==5, b==6, b==4, b==8),
                If(a==4, Or(b==1, b==2, b==5, b==7, b==8, b==3, b==9),
                    If(a==5, Or(b==1, b==2, b==3, b==4, b==6, b==7, b==8, b==9),
                        If(a==6, Or(b==2, b==3, b==5, b==8, b==9, b==1, b==7),
                            If(a==7, Or(b==4, b==5, b==8, b==2, b==6),
                                If(a==8, Or(b==4, b==5, b==6, b==7, b==9, b==1, b==3),
                                    If(a==9, Or(b==5, b==6, b==8, b==4, b==2),
                                        False)))))))))) # default

# if only non-diagonal lines between dots are allowed:
```
```
# old version, hasn't counted lines like
# * .
# . . *
# . . *

def next_dot(a, b):
    return If(a==1, Or(b==2, b==4, b==5),
        If(a==2, Or(b==1, b==3, b==4, b==5, b==6),
            If(a==3, Or(b==2, b==5, b==6),
                If(a==4, Or(b==1, b==2, b==5, b==7, b==8),
                    If(a==5, Or(b==1, b==2, b==3, b==4, b==6, b==7, b==8, b==9),
                        If(a==6, Or(b==2, b==5, b==6, b==8, b==9),
                            If(a==7, Or(b==4, b==5, b==8),
                                If(a==8, Or(b==4, b==5, b==6, b==7, b==9),
                                    If(a==9, Or(b==5, b==6, b==8),
                                        False)))))))))) # default

def paths_for_length (LENGTH):
    s=Solver()

    path=[Int('path_%d' % i) for i in range(LENGTH)]

    # all elements of path must be distinct
    s.add(Distinct(path))

    # all elements in [1..9] range:
    for i in range(LENGTH):
        s.add(And(path[i]>=1, path[i]<=9))

    # next element of path is defined by next_dot() function, unless it's the last one:
    for i in range(LENGTH-1):
        s.add(next_dot(path[i], path[i+1]))

    results=[]

    # enumerate all possible solutions:
    while True:
        if s.check() == sat:
            m = s.model()
            tmp=[]
            for i in range(LENGTH):
                tmp.append(m[path[i]].as_long())
            #print m
            print "path", tmp
            # print visual representation:
            for k in [[1,2,3],[4,5,6],[7,8,9]]:
                for j in k:
                    if j in tmp:
                        print tmp.index(j)+1,
                    else:
                        print ".",
            print ""
            print ""
            results.append(m)

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block = []
for d in m:
    c = d()
    block.append(c != m[d])
s.add(Or(block))
else:
    print "length=", LENGTH, "results total=", len(results)
return len(results)

total=0
for l in range(2, 10):
    total = total + paths_for_length(l)

print "total=", total

Sample paths of 7 elements:

... 
path [7, 5, 1, 4, 8, 6, 3] 
3 . 7 
4 2 6 
1 5 . 

path [9, 5, 7, 4, 8, 6, 3] 
. . 7 
4 2 6 
3 5 1 

path [9, 5, 1, 4, 8, 6, 3] 
3 . 7 
4 2 6 
. 5 1 
...

Each element of “path” is number of dot, like on phone’s keypad:

1 2 3 
4 5 6 
7 8 9 

Numbers on 3 × 3 box represent a sequence: which dot is the 1st, 2nd, etc...

Of 9:

... 
path [7, 8, 9, 5, 4, 1, 2, 6, 3] 
6 7 9 
5 4 8 
1 2 3 

path [1, 4, 7, 5, 2, 3, 6, 9, 8] 
1 5 6 
2 4 7 
3 9 8 

path [9, 6, 8, 7, 4, 1, 5, 2, 3] 
6 8 9
5 7 2
4 3 1

All possible paths: https://yurichev.com/SAT_SMT_tree/puzzles/Android/all.bz2.

Statistics:

<table>
<thead>
<tr>
<th>length</th>
<th>2 results</th>
<th>total = 56</th>
</tr>
</thead>
<tbody>
<tr>
<td>length</td>
<td>3 results</td>
<td>total = 304</td>
</tr>
<tr>
<td>length</td>
<td>4 results</td>
<td>total = 1400</td>
</tr>
<tr>
<td>length</td>
<td>5 results</td>
<td>total = 5328</td>
</tr>
<tr>
<td>length</td>
<td>6 results</td>
<td>total = 16032</td>
</tr>
<tr>
<td>length</td>
<td>7 results</td>
<td>total = 35328</td>
</tr>
<tr>
<td>length</td>
<td>8 results</td>
<td>total = 49536</td>
</tr>
<tr>
<td>length</td>
<td>9 results</td>
<td>total = 32256</td>
</tr>
<tr>
<td>total</td>
<td></td>
<td>140240</td>
</tr>
</tbody>
</table>

What if only non-diagonal lines would be allowed (which isn’t a case of a real Android lock screen)?

<table>
<thead>
<tr>
<th>length</th>
<th>2 results</th>
<th>total = 24</th>
</tr>
</thead>
<tbody>
<tr>
<td>length</td>
<td>3 results</td>
<td>total = 44</td>
</tr>
<tr>
<td>length</td>
<td>4 results</td>
<td>total = 80</td>
</tr>
<tr>
<td>length</td>
<td>5 results</td>
<td>total = 104</td>
</tr>
<tr>
<td>length</td>
<td>6 results</td>
<td>total = 128</td>
</tr>
<tr>
<td>length</td>
<td>7 results</td>
<td>total = 112</td>
</tr>
<tr>
<td>length</td>
<td>8 results</td>
<td>total = 112</td>
</tr>
<tr>
<td>length</td>
<td>9 results</td>
<td>total = 40</td>
</tr>
<tr>
<td>total</td>
<td></td>
<td>644</td>
</tr>
</tbody>
</table>

Also, at first, when I published this note, lines like these weren’t counted (but allowable on Andoid lock screen, as it was pointed out by @mztropics):

```
* . .
. . *
. * .
```

And the [incorrect] statistics was like this:

<table>
<thead>
<tr>
<th>length</th>
<th>2 results</th>
<th>total = 40</th>
</tr>
</thead>
<tbody>
<tr>
<td>length</td>
<td>3 results</td>
<td>total = 160</td>
</tr>
<tr>
<td>length</td>
<td>4 results</td>
<td>total = 496</td>
</tr>
<tr>
<td>length</td>
<td>5 results</td>
<td>total = 1208</td>
</tr>
<tr>
<td>length</td>
<td>6 results</td>
<td>total = 2240</td>
</tr>
<tr>
<td>length</td>
<td>7 results</td>
<td>total = 2984</td>
</tr>
<tr>
<td>length</td>
<td>8 results</td>
<td>total = 2384</td>
</tr>
<tr>
<td>length</td>
<td>9 results</td>
<td>total = 784</td>
</tr>
<tr>
<td>total</td>
<td></td>
<td>10296</td>
</tr>
</tbody>
</table>

Now you can see, how drastically number of all possibilities can change, when you add ≈ 2 more branches at each element of path.

### 8.19 Crossword generator

We assign an integer to each character in crossword, it reflects ASCII code of it.

Then we enumerate all possible horizontal/vertical “sticks” longer than 1 and assign words to them.

For example, there is a horizontal stick of length 3. And we have such 3-letter words in our dictionary: “the”, “she”, “xor”.

We add the following constraint:
Or

And(chars[X][Y]=='t', chars[X][Y+1]=='h', chars[X][Y+2]=='e'),
And(chars[X][Y]=='s', chars[X][Y+1]=='h', chars[X][Y+2]=='e'),
And(chars[X][Y]=='x', chars[X][Y+1]=='o', chars[X][Y+2]=='r'))

One of these words would be chosen automatically.
Index of each word is also considered, because duplicates are not allowed.
Sample pattern:

```
**** ************
* * * * * * * * *
**************
* * * * * * * * *
**************
* * * * * * * * *
****** ********
* * * * * * * * *
*****************
* * * * * * * * *
********* **** *
* * * * * * * * *
**************
* * * * * * * * *
**************
```

Sample result:

spur stimulated
r e c i a h e
congratulations
m u t a i s c
violation niece
s a e p e n n
rector penitent
i i o c e
accounts herald
s n g e a r o
press edinburgh
e x e n t p i
characteristics
t c l r n e a
satisfying dull

horizontal:
(((0, 0), (0, 3)) spur
((0, 5), (0, 14)) stimulated
((2, 0), (2, 14)) congratulations
((4, 0), (4, 8)) violation
((4, 10), (4, 14)) niece
((6, 0), (6, 5)) rector
((6, 7), (6, 14)) penitent
((8, 0), (8, 7)) accounts
((8, 9), (8, 14)) herald
((10, 0), (10, 4)) press
((10, 6), (10, 14)) edinburgh
((12, 0), (12, 14)) characteristics
((14, 0), (14, 9)) satisfying
((14, 11), (14, 14)) dull
vertical:
((8, 0), (14, 0)) aspects
((0, 1), (6, 1)) promise
((10, 2), (14, 2)) exact
((0, 3), (10, 3)) regulations
((10, 4), (14, 4)) seals
((0, 5), (9, 5)) scattering
((10, 6), (14, 6)) entry
((4, 7), (10, 7)) opposed
((0, 8), (4, 8)) milan
((5, 9), (14, 9)) enchanting
((0, 10), (4, 10)) latin
((4, 11), (14, 11)) interrupted
((0, 12), (4, 12)) those
((8, 13), (14, 13)) logical
((0, 14), (6, 14)) descent

Unsat is possible if the dictionary is too small or have no words of length present in pattern.
The source code:

```python
#!/usr/bin/env python

from z3 import *
import sys

###

# https://commons.wikimedia.org/wiki/File:Khachbar-1.jpg
pattern=[
"*****",
"* * *",
"* ***",
"**** *",
"* ****",
"* * *
"*****
]

###

# https://commons.wikimedia.org/wiki/File:Khachbar-4.jpg
pattern=[
"*******",
"* * * * *
"*******",
"* * * * *
"*******",
"* * * * *
"*******",
"* * *
"*******
]

###

# https://commons.wikimedia.org/wiki/File:British_crossword.svg
pattern=[
"**** **********",
"* * * * * * *",
"*********** **",
"* * * * * * *
"******** *** *
"* * * * * *
"***** **********
]
```
HEIGHT=len(pattern)
WIDTH=len(pattern[0])

# scan pattern[] and find all "sticks" longer than 1 and collect its coordinates:

horizontal=[]
in_the_middle=False
for r in range(HEIGHT):
    for c in range(WIDTH):
        if pattern[r][c]=='*' and in_the_middle==False:
            in_the_middle=True
            start=(r,c)
        elif pattern[r][c]==' ' and in_the_middle==True:
            if c-start[1]>1:
                horizontal.append(((start, (r, c-1))))
            in_the_middle=False
        if in_the_middle:
            if c-start[1]>1:
                horizontal.append(((start, (r, c)))
            in_the_middle=False

vertical=[]
in_the_middle=False
for c in range(WIDTH):
    for r in range(HEIGHT):
        if pattern[r][c]=='*' and in_the_middle==False:
            in_the_middle=True
            start=(r,c)
        elif pattern[r][c]==' ' and in_the_middle==True:
            if r-start[0]>1:
                vertical.append(((start, (r-1, c)))
            in_the_middle=False
        if in_the_middle:
            if r-start[0]>1:
                vertical.append(((start, (r, c)))
            in_the_middle=False

# for the first simple pattern, we will have such coordinates of "sticks":
# horizontal=[[((0, 0), (0, 4)), ((2, 2), (2, 4)), ((3, 0), (3, 2)), ((4, 2), (4, 4)),
#             ((6, 0), (6, 4))]
# vertical=[[((0, 0), (6, 0)), ((0, 2), (6, 2)), ((0, 4), (6, 4))]

# the list in this file is assumed to not have duplicates, otherwise duplicates can be
# present in the final resulting crossword:
with open("words.txt") as f:
    content = f.readlines()
words = [x.strip() for x in content]

# FIXME: slow, called too often
def find_words_len(l):
    rt=[]
    i=0
    for word in words:
        if len(word)==1:
            rt.append ((i, word))
        i=i+1
    return rt

# 2D array of ASCII codes of all characters:
chars=[[Int('chars_%d_%d' % (r, c)) for c in range(WIDTH)] for r in range(HEIGHT)]
# indices of horizontal words:
horizontal_idx=[Int('horizontal_idx_%d' % i) for i in range(len(horizontal))]
# indices of vertical words:
vertical_idx=[Int('vertical_idx_%d' % i) for i in range(len(vertical))]
s=Solver()

# this function takes coordinates, word length and word itself
# for "hello", it returns array like:
# [chars[0][0]==ord('h'), chars[0][1]==ord('e'), chars[0][2]==ord('l'), chars[0][3]==ord('l'), chars[0][4]==ord('o')]
def form_H_expr(r, c, l, w):
    return [chars[r][c+i]==ord(w[i]) for i in range(l)]

# now we find all horizontal "sticks", we find all possible words of corresponding length ...
for i in range(len(horizontal)):
    h=horizontal[i]
    _from=h[0]
    _to=h[1]
    l=_to[1] - _from[1] + 1
    list_of_ANDs=[]
    for idx, word in find_words_len(l):
        # at this point, we form expression like:
        # And(chars[0][0]==ord('h'), chars[0][1]==ord('e'), chars[0][2]==ord('l'), chars[0][3]==ord('l'), chars[0][4]==ord('o'), horizontal_idx[]==...)
        list_of_ANDs.append(And(form_H_expr(_from[0], _from[1], 1, word)+[horizontal_idx[i]==idx]))
        # at this point, we form expression like:
        # Or(And(chars...==word1), And(chars...==word2), And(chars...==word3))
    s.add(Or(*list_of_ANDs))

# same for vertical "sticks":
def form_V_expr(r, c, l, w):
    return [chars[r+1][c]==ord(w[i]) for i in range(l)]

for i in range(len(vertical)):
    v=vertical[i]
    _from=v[0]
    _to=v[1]
    l=_to[0] - _from[0] + 1
    list_of_ANDs=[]
    for idx, word in find_words_len(l):
        # expr
The files, including my dictionary: https://yurichev.com/SAT_SMT_tree/puzzles/cross.

8.20 Almost recreational math: missing operation(s) puzzle

The equation:

```
```

Fill ? with -, + or * operation, and find such a sequence, so that the equation would be true. This is tricky, because of operator precedence, multiplication must be handled at first. Brackets are also possible.


To solve this, I just enumerate all possible ordered binary search tree of 9 elements, which are almost the same as expression with 9 terms and all possible variations of brackets.
Then we try each expression...

```python
from z3 import *

CONST=1234
TOTAL=9

# prepare a list in form: [(1,"1"),(2,"2"),(3,"3")...]
# rationale: enum_ordered() yields both expression tree and expression as a string...
input_values=[]
for i in range(TOTAL):
    input_values.append((i+1, str(i+1)))

OPS=TOTAL-1

ops=[Int('op_%d' % i) for i in range(OPS)]

# this is a hack... operation number. resetting after each tree:
n=-1

# select operation...
def op(l, r, n):
    return If(ops[n]==0, l+r,
              If(ops[n]==1, 1-r,
                  If(ops[n]==2, l*r, 0)))

# enumerate all possible ordered binary search trees
# copypasted from https://stackoverflow.com/questions/14900693/enumerate-all-full-labeled-binary-tree
# this generator yields both expression and string

# expression may have form like (please note, many constants are optimized/folded):
# If(op_1 == 0,
#  1 +
#   If(op_0 == 0, 5, If(op_0 == 1, -1, If(op_0 == 2, 6, 0))),
# If(op_1 == 1,
#  1 -
#   If(op_0 == 0, 5,
#    If(op_0 == 1, -1, If(op_0 == 2, 6, 0))),
# If(op_1 == 2,
#  1*
#   If(op_0 == 0, 5,
#    If(op_0 == 1, -1, If(op_0 == 2, 6, 0))),
#  0))

# string is like "(1 op1 (2 op0 3))", opX substring will be replaced by operation name after (-, +, *)

def enum_ordered(labels):
    global n
    if len(labels) == 1:
        yield (labels[0][0], labels[0][1])
    else:
```
for i in range(1, len(labels)):
    for left in enum_ordered(labels[:i]):
        for right in enum_ordered(labels[i:]):
            n=n+1
            yield (op(left[0], right[0], n), "("+left[1]+" op"+str(n)+" +right [1]+")")

for tree in enum_ordered(input_values):
    s=Solver()
    # operations in 0..2 range...
    for i in range(OPS):
        s.add(And(ops[i]>=0, ops[i]<=2))
    s.add(tree[0]==CONST)
    if s.check()==sat:
        m=s.model()
        tmp=tree[1]
        for i in range(OPS):
            op_s=['+', '-', '*'][m[ops[i]].as_long()]
            tmp=tmp.replace("op"+str(i), op_s)
        print tmp, "=", eval(tmp)
    n=-1

For 9 terms, there are 1430 binary trees, or expressions (9th Catalan number).
For 0, there are 1391 solutions, some of them:

\[
\begin{align*}
(1 + (2 + (3 + (4 + (5 * (6 - (7 - (8 - 9))))))) = 0 \\
(1 + (2 + (3 + (4 + (5 * (6 -(7 - 8) + 9))))) = 0 \\
(1 + (2 + (3 + (4 + (5 * ((6 - 7) + 8) - 9))))) = 0 \\
(1 - (2 + (3 - (4 + (5 - ((6 * (7 - 8)) + 9)))))) = 0 \\
(1 + (2 + (3 + (4 + (5 * (6 - 7) + 8)) - 9))) = 0 \\
(1 - (2 + (3 + (4 + (5 - 6) + (7 * (8 - 9))))) = 0 \\
(1 - (2 + (3 + 4 + (5 - 6) * ((7 - 8) + 9)))) = 0
\end{align*}
\]

\[\ldots\]

There are no solutions for 5432. But for 5430:

\[
\begin{align*}
(1 + (2 + (3 * ((4 * (5 * (6 * (7 + 8))))) + 9))) = 5430 \\
(1 + (2 + (3 * ((4 * (5 * 6) * (7 + 8)))) + 9))) = 5430 \\
(1 + (2 + (3 * (((4 * 5) * 6) * (7 + 8)) + 9))) = 5430 \\
(1 + (2 + (3 * (((4 * 5) * 6) * (7 + 8)))) = 5430 \\
((1 + 2) + (3 * (((4 * (5 * (6 + (7 + 8)))) + 9))) = 5430
\end{align*}
\]

Surely, several of these expressions are equivalent to each other, due to associative property of multiplication and addition.
For 1234:

\[
\begin{align*}
(1 * (2 * (((3 - (4 - ((5 + 6) * 7))) * 8) + 9))) = 1234 \\
(1 + (2 + (((3 * ((4 + 5) * 6)) - 7) * 8) - 9))) = 1234 \\
(1 + (2 + (((((3 * (4 + 5)) * 6) - 7) * 8) - 9))) = 1234
\end{align*}
\]
The problem is easy enough to be solved using my toy-level MK85 SMT-solver:

```python
from MK85 import *

n=-1

CONST=13

#TOTAL=9
TOTAL=7

BIT_WIDTH=8

s=MK85(VERBOSE=0)

input_values=[]

for i in range(TOTAL):
    ...
```

```plaintext

from MK85 import *

n=-1

CONST=13

#TOTAL=9
TOTAL=7

BIT_WIDTH=8

s=MK85(VERBOSE=0)

input_values=[]

for i in range(TOTAL):
    ...

```
input_values.append((s.BitVecConst(i+1, BIT_WIDTH), str(i+1)))

OPS=TOTAL-1

ops=[s.BitVec('op_%d' % i, 2) for i in range(OPS)]

for i in range(OPS):
    s.add(And(ops[i]>=0, ops[i]<=2))

def op(l, r, n):
    return s.If(ops[n]==s.BitVecConst(0, 2), l+r,
                s.If(ops[n]==s.BitVecConst(1, 2), l-r,
                     s.If(ops[n]==s.BitVecConst(2, 2), l*r,
                          s.BitVecConst(0, BIT_WIDTH))))

def enum_ordered(labels):
    global n
    if len(labels) == 1:
        yield (labels[0][0], labels[0][1])
    else:
        for i in range(1, len(labels)):
            for left in enum_ordered(labels[:i]):
                for right in enum_ordered(labels[i:]):
                    n=n+1
                    yield (op(left[0], right[0], n), "("+left[1]+" op"+str(n)+" +right [1]+")")

for tree in enum_ordered(input_values):
    s.add(tree[0]==CONST)

    for i in range(OPS):
        s.add(ops[i]!=3)

if s.check():
    m=s.model()
    # print "sat", tree[1]
    tmp=tree[1]
    for i in range(OPS):
        op_s=['+', '-', '*'][m['op_%d' % i]]
        tmp=tmp.replace("op"+str(i), op_s)
        print tmp, "=" , eval(tmp)
    # show only first solution...
exit(0)

8.21 Nonogram puzzle solver

This is a sample one, from Wikipedia:
from z3 import *

# https://ocaml.org/learn/tutorials/99problems.html
# rows=[[3], [2,1], [3,2], [2,2], [6], [1,5], [6], [1], [2]]
# cols=[[1,2], [3,1], [1,5], [7,1], [5], [3], [4], [3]]

# https://ocaml.org/learn/tutorials/99problems.html
# rows=[[14], [1], [7,1], [3,3], [2,3,2], [2,3,2], [1,3,6,1,1], [1,8,2,1], [1,4,6,1], [1,3,2,5,1,1], [1,5,1], [2,2], [2,1,1,1,2], [6,5,3], [12]]
# cols=[[7], [2,2], [2,2], [2,1,1,1,1], [1,2,4,2], [1,1,4,2], [1,1,2,3], [1,1,3,2], [1,1,1,2,2,1], [1,1,5,1,2], [1,1,7,2], [1,6,3], [1,1,3,2], [1,4,3], [1,3,1], [1,2,2], [2,1,1,1,1], [2,2], [2,2], [7]]

# rows=[[8,7,5,7], [5,4,3,3], [3,3,2,3], [4,3,2,2], [3,3,2,2], [3,4,2,2], [4,5,2], [3,5,1], [4,3,2], [3,4,2], [4,4,2], [3,6,2], [3,2,3,1], [4,3,4,2], [3,2,3,2], [6,5], [4,5], [3,3], [3,3], [1,1]]
# cols=[[1], [1], [2], [4], [7], [9], [2,8], [1,8], [8], [1], [2,7], [3,4], [6,4], [8,5], [1,11], [1,7], [8], [1,4,8], [6,8], [4,7], [2,4], [1,4], [5], [1,4], [1,5], [7], [5], [3], [1], [1]]

# http://puzzlygame.com/nonogram/11/
# rows=[[12], [1,2,1,2], [6,6], [1,1,1,2], [6,6], [3,2,1,2], [5,2,6], [2,3,2,1,2], [3,3,2,1,2], [3,4,2,1,2], [3,2,2,1,2], [7,2,1,2], [7,2,1,2], [5,2,6], [5,2,1,2], [3,2,6], [6,1,2], [1,1,6], [6,1,2], [12]]
# cols=[[5], [9], [11], [4,7], [2,6,6,1], [1,1,1,4,4,1,2], [1,1,2,6,2,2], [1,1,3,2,4,2], [1,3,3,4,4], [3,6,2], [2,2,1], [1,1], [3,3], [1,4,4,1], [1,1,1,8,1,1,1], [1,1,1,1,1,1,1,1], [3,1,1,1,1,3], [6,6], [14], [8]]
WIDTH=len(cols)
HEIGHT=len(rows)

s=Solver()

# part I, for all rows:
row_islands=[[BitVec('row_islands_%d_%d' % (j, i), WIDTH) for i in range(len(rows[j]))] for j in range(HEIGHT)]
row_island_shift=[[BitVec('row_island_shift_%d_%d' % (j, i), WIDTH) for i in range(len(rows[j]))] for j in range(HEIGHT)]
# this is a bitvector representing final image, for all rows:
row_merged_islands=[[BitVec('row_merged_islands_%d' % j, WIDTH) for j in range(HEIGHT)]

for j in range(HEIGHT):
    q=rows[j]
    for i in range(len(q)):
        s.add(row_island_shift[j][i] >= 0)
        s.add(row_island_shift[j][i] <= WIDTH-q[i])
        s.add(row_islands[j][i]==(2**q[i]-1) << row_island_shift[j][i])

252
# must be an empty cell(s) between islands:
for i in range(len(q)-1):
    s.add(row_island_shift[j][i+1] > row_island_shift[j][i]+q[i])

s.add(row_island_shift[j][len(q)-1]<WIDTH)

# OR all islands into one:
expr=row_islands[j][0]
for i in range(len(q)-1):
    s.add(row_island_shift[j][i+1])
    expr=expr | row_islands[j][i+1]
    s.add(row_merged_islands[j]==expr)

# similar part, for all columns:
col_islands=[[BitVec('col_islands_%d_%d' % (j, i), HEIGHT) for i in range(len(cols[j]))] for j in range(WIDTH)]
col_island_shift=[[BitVec('col_island_shift_%d_%d' % (j, i), HEIGHT) for i in range(len(cols[j]))] for j in range(WIDTH)]

# this is a bitvector representing final image, for all columns:
col_merged_islands=[BitVec('col_merged_islands_%d' % j, HEIGHT) for j in range(WIDTH)]

for j in range(WIDTH):
    q=cols[j]
    for i in range(len(q)):  # add all rows
        s.add(col_island_shift[j][i] >= 0)
        s.add(col_island_shift[j][i] <= HEIGHT-q[i])
        s.add(col_islands[j][i] = (2**q[i]-1) << col_island_shift[j][i])

    s.add(col_island_shift[j][len(q)-1]<HEIGHT)

    # must be an empty cell(s) between islands:
    for i in range(len(q)-1):
        s.add(col_island_shift[j][i+1] > col_island_shift[j][i]+q[i])

    s.add(col_island_shift[j][len(q)-1]<HEIGHT)

    # OR all islands into one:
    expr=col_islands[j][0]
    for i in range(len(q)-1):
        expr=expr | col_islands[j][i+1]
        s.add(col_merged_islands[j]==expr)

# make "merged" vectors equal to each other:
for r in range(HEIGHT):
    for c in range(WIDTH):
        s.add(Extract(0,0,row_merged_islands[r]>>c) == Extract(0,0,col_merged_islands[c ]>>r))

def print_model(m):
    for r in range(HEIGHT):
        rt="" 
        for c in range(WIDTH):
            if (m[row_merged_islands[r]].as_long()>>c)&1==1:
                rt=rt+"*

    return rt
else:
    rt=rt+" 
    print rt

print s.check()
m=s.model()
print_model(m)
exit(0)

# ... or ...

# enumerate all solutions (it's usually a single one):
results=[]
while s.check() == sat:
    m = s.model()
    print_model(m)

    results.append(m)
    block = []
    for d in m:
        t=d()
        block.append(t != m[d])
    s.add(Or(block))

print "results total=", len(results)

The result:

00000111
00000011

How it works (briefly). Given a row of width 8 and input (or clue) like [3,2], we create two islands of two bitstrings of corresponding lengths:

The whole length of each bitvector/bitstring is 8 (width of row).
We then define another variable: island_shift, for each island, which defines a count, on which a bitstring is shifted left. We also calculate limits for each island: position of each one must not be lower/equal then the position of the previous
All islands are then merged into one (merged_islands[]) using OR operation:

```
11100000
00001100
->
11101100
```

merged_islands[] is a final representation of row — how it will be printed.

Now repeat this all for all rows and all columns.

The final step: make corresponding bits in XXX_merged_islands[] of each row and column to be equal to each other. In other words, col_merged_islands[] must be equal to row_merged_islands[], but rotated by 90°.

The solver is surprisingly fast even on hard puzzles.

Further work: colored nonograms.

## 8.22 "Feed the kids" puzzle

There is a basket containing an apple, a banana, a cherry and a date. Four children named Erica, Frank, Greg and Hank are each to be given a piece of the fruit.

Erica likes cherries and dates;
Frank likes apples and cherries;
Greg likes bananas and cherries;
and Hank likes apples, bananas, and dates.
Fig 1.1.1 describes the situation.
The problem is to give each child a piece of fruit that he or she likes.

( von Nora Hartsfield, Gerhard Ringel – Pearls in Graph Theory: A Comprehensive Introduction )

There are only 3 ways to allocate food to kids. The problem is also small enough to be solved using my toy-level MK85 SMT-solver...

```
(set-logic QF_BV)
(set-info :smt-lib-version 2.0)

(declare-fun E () (_ BitVec 2))
(declare-fun F () (_ BitVec 2))
(declare-fun G () (_ BitVec 2))
(declare-fun H () (_ BitVec 2))

; apples = 0
; bananas = 1
; cherries = 2
; dates = 3

; children's preferences:

(assert
   (or
       (= E (_ bv2 2))
       (= E (_ bv3 2))
   )
)

(assert
   (or
   )
```

255
(assert (or
   (= G (_ bv1 2))
   (= G (_ bv2 2)))
)

(assert (or
   (= H (_ bv0 2))
   (= H (_ bv2 2))
   (= H (_ bv3 2)))
)

; each child must get a food of one type:

(assert (distinct E F G H))

; enumerate all possible solutions:

(get-all-models)

;(model
   (define-fun E () (_ BitVec 2) (_ bv2 2)); 0x2
   (define-fun F () (_ BitVec 2) (_ bv0 2)); 0x0
   (define-fun G () (_ BitVec 2) (_ bv1 2)); 0x1
   (define-fun H () (_ BitVec 2) (_ bv3 2)); 0x3
;)
;(model
   (define-fun E () (_ BitVec 2) (_ bv3 2)); 0x3
   (define-fun F () (_ BitVec 2) (_ bv2 2)); 0x2
   (define-fun G () (_ BitVec 2) (_ bv1 2)); 0x1
   (define-fun H () (_ BitVec 2) (_ bv0 2)); 0x0
;)
;(model
   (define-fun E () (_ BitVec 2) (_ bv3 2)); 0x3
   (define-fun F () (_ BitVec 2) (_ bv0 2)); 0x0
   (define-fun G () (_ BitVec 2) (_ bv1 2)); 0x1
   (define-fun H () (_ BitVec 2) (_ bv2 2)); 0x2
;)
)
Model count: 3

from z3 import *

# apples = 0
# bananas = 1
# cherries = 2
# dates = 3

E, F, G, H = Ints('E F G H')
s=Solver()

# children's preferences:
s.add(Or(E==2, E==3))
s.add(Or(F==0, F==2))
s.add(Or(G==1, G==2))
s.add(Or(H==0, H==1, H==3))

# each child must get a food of one type:
s.add(Distinct(E,F,G,H))

# enumerate all possible solutions:
results=[]
while True:
    if s.check() == sat:
        m = s.model()
        print m

        results.append(m)
        block = []
        for d in m:
            c=d()
            block.append(c != m[d])
        s.add(Or(block))
    else:
        print "results total=", len(results)
        break

There are only 3 ways to allocate food to kids:

[G = 1, F = 2, E = 3, H = 0]
[G = 2, F = 0, E = 3, H = 1]
[G = 1, F = 0, E = 2, H = 3]
results total= 3

""

8.23 CSPLIB problem 018

https://github.com/csplib/csplib/blob/master/Problems/prob018/specification.md:

You are given an 8 pint bucket of water, and two empty buckets which can contain 5 and 3 pints respectively. You are required to divide the water into two by pouring water between buckets (that is, to end up with 4 pints in the 8 pint bucket, and 4 pints in the 5 pint bucket).

What is the minimum number of transfers of water between buckets? The challenge is to solve this as a planning problem (encoded into satisfiability or constraint satisfaction) with an efficiency approaching (or exceeding) a simple enumeration.

I've reworked the Prolog code (https://github.com/csplib/csplib/blob/master/Problems/prob018/models/enumerate.pl) into this...

For another solution, see also: https://github.com/YosysHQ/SymbiYosys/blob/master/docs/examples/puzzles/pour_8s3_to_4.sv.
from z3 import *

def _try (POURINGS):
    print "* try %d" % POURINGS
    STATES=POURINGS+1

    A=[Int('A_%d' % i) for i in range(STATES)]
    B=[Int('B_%d' % i) for i in range(STATES)]
    C=[Int('C_%d' % i) for i in range(STATES)]
    op=[Int('op_%d' % i) for i in range(STATES)]

def Z3_min(a, b):
    return If(a<b, a, b)

s=Solver()

# volumes (not states):
jug_A, jug_B, jug_C = 8, 5, 3

# "columns": If(And(op==..., preconditions), And(what next state will have), ...)
for cur in range(STATES-1):
    next=cur+1
            False)))))

# no state must repeat:
for i in range(STATES):
    for j in range(i):
        s.add(Or(A[i]!=A[j], B[i]!=B[j], C[i]!=C[j]))

# initial and final state:
s.add(And(A[0]==8, B[0]==0, C[0]==0))
s.add(And(A[STATES-1]==4, B[STATES-1]==4, C[STATES-1]==0))

if s.check()==unsat:
    return

m=s.model()

for i in range(STATES):
    print "state %d, %d-%d-%d" % (i, m[A[i]].as_long(), m[B[i]].as_long(), m[C[i]].as_long())
if i!=STATES-1:
    print "op_%d = %s" % (i, ops_names[m[op[i]].as_long()])
exit(0)

#_try(7)
#exit(0)

for i in range(20):
    _try(i)

state 0, 8-0-0
op_0 = A->B
state 1, 3-5-0
op_1 = B->C
state 2, 3-2-3
op_2 = C->A
state 3, 6-2-0
op_3 = B->C
state 4, 6-0-2
op_4 = A->B
state 5, 1-5-2
op_5 = B->C
state 6, 1-4-3
op_6 = C->A
state 7, 4-4-0

8.24 Something else

SAT-based solver for the Hexiom logic puzzle
Chapter 9

Graph coloring

9.1 Map coloring

It's possible to color all countries on any political map (or planar graph) using only 4 colors. 

Any map or vertices on a planar graph can be colored using at most 4 colors. This is quite interesting story behind this. This is a first serious proof finished using automated theorem prover (Coq): https://en.wikipedia.org/wiki/Four_color_theorem.

An example where I use graph coloring: 22.6.

Let's try to color map of Europe:

```python
from z3 import *

borders={"Albania": ["Greece", "Kosovo", "Macedonia", "Montenegro"],
"Andorra": ["France", "Spain"],
"Austria": ["CzechRepublic", "Germany", "Hungary", "Italy", "Liechtenstein", "Slovakia", "Slovenia", "Switzerland"],
"Belarus": ["Latvia", "Lithuania", "Poland", "Ukraine"],
"Belgium": ["France", "Germany", "Luxembourg", "Netherlands"],
"BosniaHerzegovina": ["Croatia", "Montenegro", "Serbia"],
"Bulgaria": ["Greece", "Macedonia", "Romania", "Serbia"],
"Croatia": ["BosniaHerzegovina", "Hungary", "Montenegro", "Serbia", "Slovenia"],
"Cyprus": [],
"CzechRepublic": ["Austria", "Germany", "Poland", "Slovakia"],
"Denmark": ["Germany"],
"Estonia": ["Latvia"],
"Finland": ["Norway", "Sweden"],
"France": ["Andorra", "Belgium", "Germany", "Italy", "Luxembourg", "Monaco", "Spain", "Switzerland"],
"Germany": ["Austria", "Belgium", "CzechRepublic", "Denmark", "France", "Luxembourg", "Netherlands", "Poland", "Switzerland"],
"Greece": ["Albania", "Bulgaria", "Macedonia"],
"Hungary": ["Austria", "Croatia", "Romania", "Serbia", "Slovakia", "Slovenia", "Ukraine"],
"Iceland": [],
"Ireland": ["UnitedKingdom"],
"Italy": ["Austria", "France", "San Marino", "Slovenia", "Switzerland", "Vatican City"],
"Kosovo": ["Albania", "Macedonia", "Montenegro", "Serbia"],
"Latvia": ["Belarus", "Estonia", "Lithuania"],
"Liechtenstein": ["Austria", "Switzerland"],
"Lithuania": ["Belarus", "Latvia", "Poland"],
"Luxembourg": ["Belgium", "France", "Germany"],
"Macedonia": ["Albania", "Bulgaria", "Greece", "Kosovo", "Serbia"],
"Malta": [],
"Netherlands": ["Belgium", "France", "Germany", "Netherlands", "Poland"],
"Norway": ["Belgium", "Denmark", "Finland"],
"Poland": ["Germany", "Lithuania", "Netherlands"],
"Portugal": ["Spain", "Switzerland"],
"San Marino": ["Italy", "Switzerland"],
"Serbia": ["BosniaHerzegovina", "Montenegro", "Romania", "Serbia"],
"Spain": ["Andorra", "France", "Italy", "Monaco", "Portugal", "San Marino"],
"Sweden": ["Finland", "Norway"],
"Switzerland": ["Austria", "Belgium", "Denmark", "France", "Germany", "Netherlands", "Poland", "San Marino", "Switzerland"]
```
"Moldova": ["Romania", "Ukraine"],
"Monaco": ["France"],
"Montenegro": ["Albania", "BosniaHerzegovina", "Croatia", "Kosovo", "Serbia"],
"Netherlands": ["Belgium", "Germany"],
"Norway": ["Finland", "Sweden"],
"Poland": ["Belarus", "CzechRepublic", "Germany", "Lithuania", "Slovakia", "Ukraine"],
"Portugal": ["Spain"],
"Romania": ["Bulgaria", "Hungary", "Moldova", "Serbia", "Ukraine"],
"SanMarino": ["Italy"],
"Slovakia": ["Austria", "CzechRepublic", "Hungary", "Poland", "Ukraine"],
"Slovenia": ["Austria", "Croatia", "Hungary", "Italy"],
"Spain": ["Andorra", "France", "Portugal"],
"Sweden": ["Finland", "Norway"],
"Switzerland": ["Austria", "France", "Germany", "Italy", "Liechtenstein"],
"Ukraine": ["Belarus", "Hungary", "Moldova", "Poland", "Romania", "Slovakia"],
"UnitedKingdom": ["Ireland"],
"VaticanCity": ["Italy"]

s=Solver()
countries=borders.keys()
countries_total=len(countries)
country_color=[Int('country%d_color' % c) for c in range(countries_total)]

for i in range(countries_total):
    s.add(country_color[i]>=0)
    s.add(country_color[i]<4)

def country_name_to_idx(s):
    return countries.index(s)

for i in range(countries_total):
    for b in borders[countries[i]]:
        s.add(country_color[i] != country_color[country_name_to_idx(b)])

print s.check()
m=s.model()

#for i in range(countries_total):
#    print m[country_color[i]].as_long()

print "coloring={"
for i in range(countries_total):
    color=m[country_color[i]].as_long()
    if color==0:
        s="1,0,0"
    elif color==1:
        s="0,1,0"
    elif color==2:
        s="0,0,1"
    elif color==3:
        s="1,1,0"
    print "\tEntity[""Country", \""+countries[i]+""\"] -> RGBColor[""+s+""], "
print "]"
The output is to be fed to Wolfram Mathematica – I’m using it to draw a map. ¹

```

coloring = {Entity["Country", "Lithuania"] -> RGBColor[0, 0, 1],
  Entity["Country", "Luxembourg"] -> RGBColor[0, 0, 1],
  Entity["Country", "Andorra"] -> RGBColor[1, 0, 0],
  Entity["Country", "Ireland"] -> RGBColor[1, 0, 0],
  Entity["Country", "Belarus"] -> RGBColor[1, 0, 0],
  Entity["Country", "Slovenia"] -> RGBColor[1, 0, 0],
  Entity["Country", "BosniaHerzegovina"] -> RGBColor[1, 1, 0],
  Entity["Country", "Belgium"] -> RGBColor[1, 1, 0],
  Entity["Country", "Spain"] -> RGBColor[0, 0, 1],
  Entity["Country", "Netherlands"] -> RGBColor[1, 0, 0],
  Entity["Country", "UnitedKingdom"] -> RGBColor[0, 1, 0],
  Entity["Country", "Denmark"] -> RGBColor[1, 0, 0],
  Entity["Country", "Poland"] -> RGBColor[1, 1, 0],
  Entity["Country", "Moldova"] -> RGBColor[0, 0, 1],
  Entity["Country", "Croatia"] -> RGBColor[0, 1, 0],
  Entity["Country", "Monaco"] -> RGBColor[0, 1, 0],
  Entity["Country", "Switzerland"] -> RGBColor[1, 1, 0],
  Entity["Country", "VaticanCity"] -> RGBColor[1, 0, 0],
  Entity["Country", "CzechRepublic"] -> RGBColor[1, 0, 0],
  Entity["Country", "Albania"] -> RGBColor[0, 0, 1],
  Entity["Country", "Estonia"] -> RGBColor[1, 0, 0],
  Entity["Country", "Kosovo"] -> RGBColor[1, 1, 0],
  Entity["Country", "Cyprus"] -> RGBColor[1, 0, 0],
  Entity["Country", "Italy"] -> RGBColor[0, 1, 0],
  Entity["Country", "Malta"] -> RGBColor[1, 0, 0],
  Entity["Country", "France"] -> RGBColor[1, 0, 0],
  Entity["Country", "Slovakia"] -> RGBColor[0, 1, 0],
  Entity["Country", "SanMarino"] -> RGBColor[0, 0, 1],
  Entity["Country", "Norway"] -> RGBColor[1, 0, 0],
  Entity["Country", "Iceland"] -> RGBColor[1, 0, 0],
  Entity["Country", "Montenegro"] -> RGBColor[1, 0, 0],
  Entity["Country", "Germany"] -> RGBColor[0, 1, 0],
  Entity["Country", "Ukraine"] -> RGBColor[1, 0, 0],
  Entity["Country", "Finland"] -> RGBColor[0, 1, 0],
  Entity["Country", "Macedonia"] -> RGBColor[0, 1, 0],
  Entity["Country", "Liechtenstein"] -> RGBColor[0, 1, 0],
  Entity["Country", "Latvia"] -> RGBColor[1, 1, 0],
  Entity["Country", "Bulgaria"] -> RGBColor[1, 0, 0],
  Entity["Country", "Romania"] -> RGBColor[0, 1, 0],
  Entity["Country", "Portugal"] -> RGBColor[1, 1, 0],
  Entity["Country", "Serbia"] -> RGBColor[0, 0, 1],
  Entity["Country", "Sweden"] -> RGBColor[0, 0, 1],
  Entity["Country", "Austria"] -> RGBColor[0, 0, 1],
  Entity["Country", "Greece"] -> RGBColor[1, 1, 0],
  Entity["Country", "Hungary"] -> RGBColor[1, 1, 0],
};

GeoGraphics[{{EdgeForm[Directive[Thin, Black]], {GeoStyling[#2], Tooltip[Polygon[#1], #1[[2]]]} & @@@
  coloring}}]
```

¹ I copypasted pieces of it from https://www.wolfram.com/mathematica/new-in-10/entity-based-geocomputation/
find-a-four-coloring-of-a-map-of-europe.html
9.1.1 MaxSMT or optimization problem

Now let’s have fun. Out of pure whim, we may want to make as many countries colored as red as possible

```python
s=Optimize()
...
s.maximize(Sum(*[If(country_color[i]==0, 1, 0) for i in range(countries_total)]))
```

I took this idea from [https://www.cs.cmu.edu/~bryant/boolean/macgregor.html](https://www.cs.cmu.edu/~bryant/boolean/macgregor.html)

---

2I took this idea from [https://www.cs.cmu.edu/~bryant/boolean/macgregor.html](https://www.cs.cmu.edu/~bryant/boolean/macgregor.html)
Figure 9.2: The map

Listing 9.1: Statistics

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>22</td>
<td>red</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>green</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>blue</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>yellow</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Or maybe we have a shortage of red paint?

```
s.minimize(Sum(*[If(country_color[i]==0, 1, 0) for i in range(countries_total)]))
```
Figure 9.3: The map

Listing 9.2: Statistics

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>red</td>
</tr>
<tr>
<td>17</td>
<td>green</td>
</tr>
<tr>
<td>10</td>
<td>blue</td>
</tr>
<tr>
<td>15</td>
<td>yellow</td>
</tr>
</tbody>
</table>

Two colors can be minimized/maximized simultaneously:

```python
s.minimize(Sum(*[If(Or(country_color[i]==0, country_color[i]==1), 1, 0) for i in range(countries_total)])
```

9.2 Assigning frequencies/channels to radiostations/mobile phone base stations

If you put base stations (towers) too close, they must not interfere with each other. Hence, they must work on different frequencies. This is where graph coloring is used. An each distinctive color will represent each distinctive frequency.
Represent towers as vertices. If towers are placed too close to each other, put an edge between them, meaning, different colors/frequencies must be assigned to them.

For cellular network, placing stations/tower in hexagonal form, makes this graph to be colored using only 3 colors/frequencies:

![Figure 9.4: Example](The images was taken from the Generalizing and optimizing fractional frequency reuse in broadband cellular radio access networks article. )

Mathematicians say, the chromatic number of this (planar) graph is 3. Chromatic number is a minimal number of colors. And a planar graph is a graph that can be represented on 2D plane with no edges intersected (like a world map).


### 9.3 Using graph coloring in scheduling

I’ve found this problem in the “Discrete Structures, Logic and Computability” book by James L. Hein:

![Figure 9.5: Graph](Suppose some people form committees to do various tasks. The problem is to schedule the committee meetings in as few time slots as possible. To simplify the discussion, we’ll represent each person with a number. For example, let $S = 1, 2, 3, 4, 5, 6, 7$ represent a set of seven people, and suppose they have formed six three-person committees as follows:

$S_1 = 1, 2, 3, S_2 = 2, 3, 4, S_3 = 3, 4, 5, S_4 = 4, 5, 6, S_5 = 5, 6, 7, S_6 = 1, 6, 7.$

We can model the problem with the graph pictured in Figure 1.4.4, where the committee names are the vertices and an edge connects two vertices if a person belongs to both committees represented by)
the vertices. If each committee meets for one hour, what is the smallest number of hours needed for the committees to do their work? From the graph, it follows that an edge between two committees means that they have at least one member in common. Thus, they cannot meet at the same time. No edge between committees means that they can meet at the same time. For example, committees $S_1$ and $S_4$ can meet the first hour. Then committees $S_2$ and $S_5$ can meet the second hour. Finally, committees $S_3$ and $S_6$ can meet the third hour. Can you see why three hours is the smallest number of hours that the six committees can meet?

And this is solution:

```python
#!/usr/bin/env python

import itertools
from z3 import *

# 7 peoples, 6 committees

S={
S[1]=set([1, 2, 3])
S[2]=set([2, 3, 4])
S[3]=set([3, 4, 5])
S[4]=set([4, 5, 6])
S[5]=set([5, 6, 7])
S[6]=set([1, 6, 7])

committees=len(S)

s=Solver()

Color_or_Hour=[Int('Color_or_hour_%d' % i) for i in range(committees)]

# enumerate all possible pairs of committees:
for pair in itertools.combinations(S, r=2):
    # if intersection of two sets has *something* (i.e., if two committees has at least one person in common):
    if len(S[pair[0]] & S[pair[1]])>0:
        # ... add an edge between vertices (or persons) -- these colors (or hours) must differ:
        s.add(Color_or_Hour[pair[0]-1] != Color_or_Hour[pair[1]-1])

# limit all colors (or hours) in 0..2 range (3 colors/hours):
for i in range(committees):
    s.add(And(Color_or_Hour[i]>=0, Color_or_Hour[i]<=2))

assert s.check()==sat
m=s.model()

#print m

schedule={} 

for i in range(committees):
    hour=m[Color_or_Hour[i]].as_long()
    if hour not in schedule:
        schedule[hour]=[]
    schedule[hour].append(i+1)
```
for t in schedule:
    print "hour: ", t, "committees: ", schedule[t]

The result:

hour: 0 committees: [1, 4]
hour: 1 committees: [2, 5]
hour: 2 committees: [3, 6]

If you increase total number of hours to 4, the result is somewhat sparser:

hour: 0 committees: [3]
hour: 1 committees: [1, 4]
hour: 2 committees: [2, 5]
hour: 3 committees: [6]

9.4 Another example

What if we want to divide our community/company/university by groups. There are 16 persons and, which must be
divided by 4 groups, 4 persons in each. However, several persons hate each other, maybe, for personal reasons. Can we
group all them so the "haters" would be separated?

from z3 import *

# 16 peoples, 4 groups

PERSONS=16
GROUPS=4

s=Solver()

person=[Int('person_%d' % i) for i in range(PERSONS)]

# each person must belong to some group in 0..GROUPS range:
for i in range(PERSONS):
    s.add(And(person[i]>=0, person[i]<GROUPS))

# each pair of persons can't be in the same group, because they hate each other. # IOW, we add an edge between vertices.

s.add(person[0] != person[7])
s.add(person[0] != person[8])
s.add(person[0] != person[9])
s.add(person[2] != person[9])
s.add(person[9] != person[14])
s.add(person[11] != person[15])
s.add(person[11] != person[1])
s.add(person[11] != person[2])
s.add(person[11] != person[9])
s.add(person[10] != person[1])

persons_in_group=[Int('persons_in_group_%d' % i) for i in range(GROUPS)]

def count_persons_in_group(g):
    """
    Form expression like:
If(person_0 == g, 1, 0) +
If(person_1 == g, 1, 0) +
If(person_2 == g, 1, 0) +
...
If(person_15 == g, 1, 0)

return Sum(*[If(person[i]==g, 1, 0) for i in range(PERSONS)])

# each group must have 4 persons:
for g in range(GROUPS):
    s.add(count_persons_in_group(g)==4)

assert s.check()==sat
m=s.model()

groups={}
for i in range(PERSONS):
    g=m[person[i]].as_long()
    if g not in groups:
        groups[g]=[]
    groups[g].append(i)

for g in groups:
    print "group %d, persons:" % g, groups[g]

The result:

<table>
<thead>
<tr>
<th>Group</th>
<th>Persons</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>[1, 2, 5, 8]</td>
</tr>
<tr>
<td>1</td>
<td>[4, 7, 9, 12]</td>
</tr>
<tr>
<td>2</td>
<td>[0, 3, 11, 13]</td>
</tr>
<tr>
<td>3</td>
<td>[6, 10, 14, 15]</td>
</tr>
</tbody>
</table>

9.5 Register allocation using graph coloring

This is an implementation of nuth-Morris-Pratt algorithm, it searches for a substring in a string.\(^3\)

```c
#include <stdlib.h>
#include <stdio.h>
#include <string.h>

char *kmp_search(char *haystack, size_t haystack_size, char *needle, size_t needle_size) {
    int64_t T[1024];

    char *kmp_search(char *haystack, size_t haystack_size, char *needle, size_t needle_size) {
        //int *T;
        int64_t i, j;
        char *result = NULL;

        if (needle_size==0)
            return haystack;
```
/* Construct the lookup table */

// T = malloc((needle_size+1) * sizeof(int));
T[0] = -1;
for (i=0; i<needle_size; i++)
{
    T[i+1] = T[i] + 1;
    while (T[i+1] > 0 && needle[i] != needle[T[i+1]-1])
        T[i+1] = T[T[i+1]-1] + 1;
}

/* Perform the search */
for (i=j=0; i<haystack_size; )
{
    if (j < 0 || haystack[i] == needle[j])
    {
        ++i, ++j;
        if (j == needle_size)
        {
            result = haystack+i-j;
            break;
        }
    }
    else j = T[j];
}

//free(T);
return result;

char* helper(char* haystack, char* needle)
{
    return kmp_search(haystack, strlen(haystack), needle, strlen(needle));
};

int main()
{
    printf("%s\n", helper("hello world", "world"));
    printf("%s\n", helper("hello world", "ell"));
};

... as you can see, I simplified it a bit, there are no more calls to malloc/free and T[] array is now global.

Then I compiled it using GCC 7.3 x64 and reworked assembly listing a little, now there are no registers, but rather vXX variables, each one is assigned only once, in a SSA\(^4\) manner. No variable assigned more than once. This is AT&T syntax.

<table>
<thead>
<tr>
<th>RDI</th>
<th>RSI</th>
<th>RDX</th>
<th>RCX</th>
</tr>
</thead>
<tbody>
<tr>
<td>haystack</td>
<td>haystack_size</td>
<td>needle</td>
<td>needle_size</td>
</tr>
</tbody>
</table>

```
.text
.globl kmp_search
.type kmp_search, @function
kmp_search:
    testq %v4, %v4 # v1 v2 v3 v4 v5 v6 v7 v8 v9 v10 v11 v12 v13 v14 v15 v16
    movq %v1, %rax # U # U # U
    je .exit # | | | |
    leaq (%v4,%v3), %v7 # | U U | D
```

\(^4\)Static single assignment form
Dangling "noodles" you see at right are "live ranges" of each vXX variable. "D" means "defined", "U" - "used" or "used and then defined again". Whenever live range is started, we need to allocate variable (in a register or a local stack). When it’s ending, we do not need to keep it somewhere in storage (in a register or a local stack).

As you can see, the function has two parts: preparation and processing. You can clearly see how live ranges are divided by two groups, except of first 4 variables, which are function arguments.

You see, there are 16 variables. But we want to use as small number of registers, as possible. If several live ranges are present at some address or point of time, these variables cannot be allocated in the same register.
What we've got for 12, 11 and 10 registers:

```python
from z3 import *

def attempt(colors):
    v = [Int('v%d' % i) for i in range(18)]
    s = Solver()
    for i in range(18):
        s.add(And(v[i] >= 0, v[i] < colors))
    # a bit redundant, but that is not an issue:
    s.add(Distinct(v[1], v[2], v[3], v[4]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[7]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7], v[9]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7], v[8], v[9]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7], v[8], v[9], v[11]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7], v[8], v[9], v[12], v[14]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7], v[8], v[9], v[14], v[15]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7], v[9], v[14], v[15]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7], v[9], v[14], v[15]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7], v[9], v[14], v[15]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7], v[14]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[5], v[7]))
    s.add(Distinct(v[1], v[2], v[3], v[4]))
    s.add(Distinct(v[1], v[2], v[3], v[6]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[6], v[16]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[6], v[10], v[16]))
    s.add(Distinct(v[1], v[2], v[3], v[4], v[6], v[10], v[13], v[16]))
    s.add(Distinct(v[1], v[2], v[4], v[6], v[10], v[13], v[16]))
    s.add(Distinct(v[1], v[2], v[4], v[6], v[10], v[16]))
    s.add(Distinct(v[1], v[4], v[6], v[10], v[16]))
    s.add(Distinct(v[1], v[4], v[10], v[16]))
    s.add(Distinct(v[1], v[4], v[10]))
    s.add(Distinct(v[1], v[10]))

    registers = ['RDI', 'RSI', 'RDX', 'RCX', 'R8', 'R9', 'R10', 'R11', 'R12', 'R13', 'R14', 'R15']
    # first 4 variables are function arguments and they are always linked to rdi/rsi/rdx/rcx:
    s.add(v[1] == 0)
    s.add(v[2] == 1)
    s.add(v[3] == 2)
    s.add(v[4] == 3)

    if s.check() == sat:
        print('* colors=', colors)
        m = s.model()
        for i in range(1, 17):
            print('v%d=%s' % (i, registers[m[v[i]].as_long()]))

    for colors in range(12, 0, -1):
        attempt(colors)
```

What we’ve got for 12, 11 and 10 registers:
It’s not possible to assign 9 or less registers. 10 is a minimum.

Now all I do is replacing vXX variables to registers the SMT-solver offered:

```c
#define RDI    haystack v1
#define RSI    haystack_size v2
#define RDX    needle v3
```
.global kmp_search
.type kmp_search, @function

kmp_search:
    movq %rdi, %rax
    jne .exit
    leaq (%rax, %rdx), %r8
    movq %rax, %rdx
    leaq $-1, (%rip)
    cmpq %r10, %r8
    leaq -8(%r11), %r13
    je .L20
.L6:
    movq -8(%r11), %r9
    addq $1, %r9
    testq %r9, %r9
    jle .L4
    movzbl (%rdx, %r9), %r12d
    addq $1, %r12
    testq %r12b, -1(%rdx, %r9)
    jne .L5
    jmp .L4
.L21:
    movzbl -1(%rdx, %r9), %r12d
    cmpb %r12b, (%r10)
    jg .L21
    addq $1, %r10
    addq $8, %r11
    cmpq %r10, %rsi
    jbe .L22
    testq %r9, %r9
    js .L8
    movzbl (%rdx, %r9), %r8d
    cmpb %r8b, (%rdi, %r10)
    je .L8
    cmpq %r10, %rsi
    movq (%r11, %r9, 8), %r9
    ja .L11
.L22:
    xorq %rax, %rax
    ret
.L8:
    addq $1, %r9
    addq $1, %r10
    cmpq %r9, %rcx
    jne .L7
    subq %rcx, %r10
    leaq (%rdi, %r10), %rax
.exit:
That works and it's almost the same as GCC does.

The problem of register allocation as a graph coloring problem: each live range is a vertex. It a live range must coexist with another live range, put an edge between vertices, that means, vertices cannot share same color. Color reflecting register number.

Almost all compilers (except simplest) do this in code generator. They use simpler algorithms, though, instead of such a heavy machinery as SAT/SMT solvers.


Since graph coloring can have many solutions, you can probably hide some information in "coloring". [See about "Lehmer code" in Mathematics for Programmers5].

5https://yurichev.com/writings/Math-for-programmers.pdf
Chapter 10

Knapsack problems

10.1 Popsicles


Pablo buys popsicles for his friends. The store sells single popsicles for $1 each, 3-popsicle boxes for $2, and 5-popsicle boxes for $3. What is the greatest number of popsicles that Pablo can buy with $8?

This is optimization problem, and the solution using z3:

```python
from z3 import *

box1pop, box3pop, box5pop = Ints('box1pop box3pop box5pop')
pop_total = Int('pop_total')
cost_total = Int('cost_total')

s=Optimize()

s.add(pop_total == box1pop*1 + box3pop*3 + box5pop*5)
s.add(cost_total == box1pop*1 + box3pop*2 + box5pop*3)
s.add(cost_total==8)
s.add(box1pop>=0)
s.add(box3pop>=0)
s.add(box5pop>=0)

s.maximize(pop_total)

print s.check()
print s.model()
```

The result:

```
sat
[box3pop = 1,
 box5pop = 2,
 cost_total = 8,
 pop_total = 13,
 box1pop = 0]
```
10.1.1 SMT-LIB 2.x

; tested with MK85 and Z3

(declare-fun box1pop () (_ BitVec 16))
(declare-fun box3pop () (_ BitVec 16))
(declare-fun box5pop () (_ BitVec 16))
(declare-fun pop_total () (_ BitVec 16))
(declare-fun cost_total () (_ BitVec 16))

(assert (= ((_ zero_extend 16) pop_total)
            (bvadd
             ((_ zero_extend 16) box1pop)
             (bvmul ((_ zero_extend 16) box3pop) #x00000003)
             (bvmul ((_ zero_extend 16) box5pop) #x00000005)
            )))

(assert (= ((_ zero_extend 16) cost_total)
            (bvadd
             ((_ zero_extend 16) box1pop)
             (bvmul ((_ zero_extend 16) box3pop) #x00000002)
             (bvmul ((_ zero_extend 16) box5pop) #x00000003)
            )))

(assert (= cost_total #x0008))

(maximize pop_total)

(check-sat)

(get-model)

; correct solution:
;
(model
 ; (define-fun box1pop () (_ BitVec 16) (_ bv0 16)); 0x0
 ; (define-fun box3pop () (_ BitVec 16) (_ bv1 16)); 0x1
 ; (define-fun box5pop () (_ BitVec 16) (_ bv2 16)); 0x2
 ; (define-fun pop_total () (_ BitVec 16) (_ bv13 16)); 0xfd
 ; (define-fun cost_total () (_ BitVec 16) (_ bv8 16)); 0x8
 ;)

10.2 Organize your backups

In the era of audio cassettes (1980s, 1990s), many music lovers recorded their own mix tapes with tracks/songs they like. Each side of audio cassette was 30 or 45 minutes. The problem was to make such an order of songs, so that a minimal irritating "silent" track at the end of each side would left. Surely, you wanted to use the space as efficiently, as possible.

This is classic bin packing problem: all bins (or cassettes) are equally sized.

Now let’s advance this problem further: bins can be different. You may want to backup your files to all sorts of storages you have: DVD-RWs, USB-sticks, remote hosts, cloud storage accounts, etc. This is a Multiple Knapsack Problem – you’ve got several knapsacks with different sizes.

from z3 import *
import itertools

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storages=[700, 30, 100, 800, 100, 800, 300, 150, 60, 500, 1000]
files=[18, 57, 291, 184, 167, 496, 45, 368, 144, 428, 15, 100, 999]

files_n=len(files)
files_t=sum(files)

print "storage total we need", files_t

def try_to_fit_into_storages(storages_to_be_used):
    t=len(storages_to_be_used)
    # for each server:
    storage_occupied=[Int('storage%d_occupied' % i) for i in range(t)]
    # which storage the file occupies?
    file_in_storage=[Int('file%d_in_storage' % i) for i in range(files_n)]

    # how much storage we have in picked storages, total?
    storage_t=0
    for i in range(t):
        storage_t=storage_t+storages[storages_to_be_used[i]]
    # skip if the sum of storage in picked storages is too small:
    if files_t > storage_t:
        return

    print "trying to fit all the files into storages:", storages_to_be_used,

    s=Solver()

    # all files must occupy some storage:
    for i in range(files_n):
        s.add(And(file_in_storage[i]>=0, file_in_storage[i]<t))

    for i in range(t):
        """
        here we generate expression like:
        If(file1_in_storage == 4, 57, 0) +
        If(file1_in_storage == 4, 291, 0) +
        If(file1_in_storage == 4, 184, 0) +
        If(file1_in_storage == 4, 167, 0) +
        If(file1_in_storage == 4, 496, 0) +
        If(file1_in_storage == 4, 45, 0) +
        If(file1_in_storage == 4, 368, 0) +
        If(file1_in_storage == 4, 144, 0) +
        If(file1_in_storage == 4, 428, 0) +
        If(file1_in_storage == 4, 15, 0)
        """
        s.add(storage_occupied[i]==Sum([If(file_in_storage[f]==i, files[f], 0) for f in range(files_n)]))

        # ... but sum of all files in each storage must be lower than what we have in the storage:
        s.add(And(storage_occupied[i]>=0, storage_occupied[i]<=storages[storages_to_be_used[i]]))
if s.check()==sat:
    print "sat"
print "* solution (%d storages):" % t
m=s.model()
# print m
for i in range(t):
    print "storage%d (total=%d):" % (storages_to_be_used[i], storages[storages_to_be_used[i]])
    for f in range(files_n):
        if m[file_in_storage[f]].as_long()==i:
            print " file%d (%d)" % (f, files[f])
    print "allocated on storage=%d" % (m[storage_occupied[i]].as_long()),
    print "free on storage=%d" % (storages[storages_to_be_used[i]] - m[storage_occupied[i]].as_long())
print "total in all storages=%d" % storage_t
print "allocated on all storages=%d%%" % ((float(files_t)/float(storage_t))*100)
print ""
return True
else:
    print "unsat"
    return False

# how many storages we need? start with 2:
found_solution=False
for storages_to_pick in range(2, len(storages)+1):
    # we use Python itertools to find all combinations
    # in other words, pick $storages_to_pick$ storages from all storages, and enumerate all possible ways to choose from them.
    # see also: https://en.wikipedia.org/wiki/Combination
    for storages_to_be_used in itertools.combinations(range(len(storages)), r=storages_to_pick):
        # for some reasons, we may want to always use storage0
        # skip all sets, where no storage0 present:
        if 0 not in storages_to_be_used:
            continue
        if try_to_fit_into_storages(storages_to_be_used):
            found_solution=True
    # after we've got some solutions for $storages_to_pick$, stop:
    if found_solution:
        break

( https://yurichev.com/SAT_SMT_tree/knapsack/backup/backup.py )
Choose any solution you like:

trying to fit all the files into storages: (0, 3, 5, 7, 10) sat
* solution (5 storages):
  storage0 (total=700):
    file0 (18)
    file3 (184)
    file9 (428)
  allocated on storage=630 free on storage=70
  storage3 (total=800):
    file2 (291)
    file5 (496)
  allocated on storage=787 free on storage=13
  storage5 (total=800):
    file1 (57)
allocated on storage=781 free on storage=19
storage7 (total=150):
  file10 (15)
  file11 (100)
allocated on storage=115 free on storage=35
storage10 (total=1000):
  file12 (999)
allocated on storage=999 free on storage=1

Now something practical. You may want to store each file twice. And no pair must reside on a single storage. Not a
problem, just make two arrays of variables:

```python
_PRE_BEGIN
...
  file1_in_storage=[Int('file1_%d_in_storage' % i) for i in range(files_n)]
  file2_in_storage=[Int('file2_%d_in_storage' % i) for i in range(files_n)]
...

  s.add(And(file1_in_storage[i]>=0, file1_in_storage[i]<t))
  s.add(And(file2_in_storage[i]>=0, file2_in_storage[i]<t))
  # no pair can reside on one storage:
  s.add(file1_in_storage[i] != file2_in_storage[i])
```
s.add(storage_occupied[i]==
    Sum([If(file1_in_storage[f]==i, files[f], 0) for f in range(files_n)])+
    Sum([If(file2_in_storage[f]==i, files[f], 0) for f in range(files_n)]))

...  
if m[file1_in_storage[f]].as_long()==i:
    print " file%d (1st copy) (%d)" % (f, files[f])
if m[file2_in_storage[f]].as_long()==i:
    print " file%d (2nd copy) (%d)" % (f, files[f])

(https://yurichev.com/SAT_SMT_tree/knapsack/backup/backup_twice.py)
The result:

storage total we need 3570
trying to fit all the files into storages: (0, 3, 5, 6, 10) sat
* solution (5 storages):
storage0 (total=700):
    file4 (1st copy) (167)
    file7 (1st copy) (368)
    file8 (1st copy) (144)
    file9 (2nd copy) (15)
allocation on storage=694 free on storage=6
storage3 (total=800):
    file0 (2nd copy) (18)
    file3 (1st copy) (184)
    file4 (2nd copy) (167)
    file6 (2nd copy) (45)
    file7 (2nd copy) (368)
    file9 (1st copy) (15)
allocation on storage=797 free on storage=3
storage5 (total=800):
    file0 (1st copy) (18)
    file1 (2nd copy) (57)
    file3 (2nd copy) (184)
    file5 (2nd copy) (496)
    file6 (1st copy) (45)
allocation on storage=800 free on storage=0
storage6 (total=300):
    file2 (1st copy) (291)
allocation on storage=291 free on storage=9
storage10 (total=1000):
    file1 (1st copy) (57)
    file2 (2nd copy) (291)
    file5 (1st copy) (496)
    file8 (2nd copy) (144)
allocation on storage=988 free on storage=12
total in all storages=3600
allocated on all storages=99%

trying to fit all the files into storages: (0, 3, 5, 9, 10) sat
* solution (5 storages):
storage0 (total=700):
    file0 (1st copy) (18)
file3 (2nd copy) (184)
file5 (2nd copy) (496)
allocated on storage=698 free on storage=2
storage3 (total=800):
  file1 (2nd copy) (57)
  file2 (2nd copy) (291)
  file4 (2nd copy) (167)
  file8 (1st copy) (144)
  file9 (2nd copy) (15)
allocated on storage=674 free on storage=126
storage5 (total=800):
  file4 (1st copy) (167)
  file6 (2nd copy) (45)
  file7 (1st copy) (368)
  file8 (2nd copy) (144)
allocated on storage=724 free on storage=76
storage9 (total=500):
  file2 (1st copy) (291)
  file3 (1st copy) (184)
allocated on storage=475 free on storage=25
storage10 (total=1000):
  file0 (2nd copy) (18)
  file1 (1st copy) (57)
  file5 (1st copy) (496)
  file6 (1st copy) (45)
  file7 (2nd copy) (368)
  file9 (1st copy) (15)
allocated on storage=999 free on storage=1
total in all storages=3800
allocated on all storages=93%

10.3 Packing virtual machines into servers

You've got these servers (all in GBs):

<table>
<thead>
<tr>
<th>RAM</th>
<th>storage</th>
</tr>
</thead>
<tbody>
<tr>
<td>srv0</td>
<td>2 100</td>
</tr>
<tr>
<td>srv1</td>
<td>4 800</td>
</tr>
<tr>
<td>srv2</td>
<td>4 1000</td>
</tr>
<tr>
<td>srv3</td>
<td>16 8000</td>
</tr>
<tr>
<td>srv4</td>
<td>8 3000</td>
</tr>
<tr>
<td>srv5</td>
<td>16 6000</td>
</tr>
<tr>
<td>srv6</td>
<td>16 4000</td>
</tr>
<tr>
<td>srv7</td>
<td>32 2000</td>
</tr>
<tr>
<td>srv8</td>
<td>8 1000</td>
</tr>
<tr>
<td>srv9</td>
<td>16 10000</td>
</tr>
<tr>
<td>srv10</td>
<td>8 1000</td>
</tr>
</tbody>
</table>

And you're going to put these virtual machines to servers:

<table>
<thead>
<tr>
<th>RAM</th>
<th>storage</th>
</tr>
</thead>
<tbody>
<tr>
<td>VM0</td>
<td>1 100</td>
</tr>
<tr>
<td>VM1</td>
<td>16 900</td>
</tr>
<tr>
<td>VM2</td>
<td>4 710</td>
</tr>
<tr>
<td>VM3</td>
<td>2 800</td>
</tr>
<tr>
<td>VM4</td>
<td>4 7000</td>
</tr>
<tr>
<td>VM5</td>
<td>8 4000</td>
</tr>
</tbody>
</table>
The problem: use as small number of servers, as possible. Fit VMs into them in the most efficient way, so that the free RAM/storage would be minimal.

This is like knapsack problem. But the classic knapsack problem is about only one dimension (weight or size). We’ve two dimensions here: RAM and storage. This is called *multidimensional knapsack problem*.

Another problem we will solve here is a *bin packing problem*.

```python
from z3 import *
import itertools

# RAM, storage, both in GB
servers=[(2, 100),
        (4, 800),
        (4, 1000),
        (16, 8000),
        (8, 3000),
        (16, 6000),
        (16, 4000),
        (32, 2000),
        (8, 1000),
        (16, 10000),
        (8, 1000)]

# RAM, storage
vms=[(1, 100),
     (16, 900),
     (4, 710),
     (2, 800),
     (4, 7000),
     (8, 4000),
     (2, 800),
     (4, 2500),
     (16, 450),
     (16, 3700),
     (12, 1300)]

vms_total=len(vms)
VM_RAM_t=sum(map(lambda x: x[0], vms))
VM_storage_t=sum(map(lambda x: x[1], vms))

print "RAM total we need", VM_RAM_t
print "storage total we need", VM_storage_t

def try_to_fit_into_servers(servers_to_be_used):
    t=len(servers_to_be_used)
    # for each server:
    RAM_allocated=[Int('srv%d_RAM_allocated' % i) for i in range(t)]
    storage_allocated=[Int('srv%d_storage_allocated' % i) for i in range(t)]
    # which server this VM occupies?
    VM_in_srv=[Int('VM%d_in_srv' % i) for i in range(vms_total)]

    # how much RAM/storage we have in picked servers, total?
```
RAM_t = 0
storage_t = 0
for i in range(t):
    RAM_t = RAM_t + servers[servers_to_be_used[i]][0]
    storage_t = storage_t + servers[servers_to_be_used[i]][1]
# skip if the sum of RAM/storage in picked servers is too small:
if VM_RAM_t > RAM_t or VM_storage_t > storage_t:
    return
print "trying to fit VMs into servers:", servers_to_be_used,
s=Solver()
# all VMs must occupy some server:
for i in range(vms_total):
    s.add(And(VM_in_srv[i] >= 0, VM_in_srv[i] < t))
for i in range(t):
    ""
    here we generate expression like:
    If(VMO_in_srv == 3, 1, 0) +
    If(VM1_in_srv == 3, 16, 0) +
    If(VM2_in_srv == 3, 4, 0) +
    If(VM3_in_srv == 3, 2, 0) +
    If(VM4_in_srv == 3, 4, 0) +
    If(VM5_in_srv == 3, 8, 0) +
    If(VM6_in_srv == 3, 2, 0) +
    If(VM7_in_srv == 3, 4, 0) +
    If(VM8_in_srv == 3, 16, 0) +
    If(VM9_in_srv == 3, 16, 0) +
    If(VM10_in_srv == 3, 12, 0)
    ""
    ... in plain English - if a VM is in THIS server, add a number (RAM/storage required by this VM) to the final sum
    ""

    # RAM
    s.add(RAM_allocated[i] == Sum([If(VM_in_srv[v] == i, vms[v][0], 0) for v in range(vms_total)]))
    # storage
    s.add(storage_allocated[i] == Sum([If(VM_in_srv[v] == i, vms[v][1], 0) for v in range(vms_total)]))

    # ... but sum of all RAM/storage occupied in each server must be lower than what we have in the server:
    s.add(And(RAM_allocated[i] >= 0, RAM_allocated[i] <= servers[ servers_to_be_used[i]][0]))
    s.add(And(storage_allocated[i] >= 0, storage_allocated[i] <= servers[ servers_to_be_used[i]][1]))
if s.check() == sat:
    print "sat"
    print "* solution (%d servers): % t"
    m = s.model()
for i in range(t):
print "srv%d (total=%d/%d):" % (servers_to_be_used[i], servers[servers_to_be_used[i]][0], servers[servers_to_be_used[i]][1]),
for v in range(vms_total):
    if m[VM_in_srv[v]].as_long()==i:
        print "VM%d (%d/%d)" % (v, vms[v][0], vms[v][1]),
        print "allocated on srv=%d/%d" % (m[RAM_allocated[i]].as_long(), m[storage_allocated[i]].as_long()),
        print "free on srv=%d/%d" % (servers[servers_to_be_used[i]][0] - m[RAM_allocated[i]].as_long(), servers[servers_to_be_used[i]][1] - m[storage_allocated[i]].as_long()),
print ""
print "total in all servers=%d/%d" % (RAM_t, storage_t)
print "allocated on all servers=%d%%/%d%%" % ((float(VM_RAM_t)/float(RAM_t)) *100, (float(VM_storage_t)/float(storage_t)))*100
print ""
return True
else:
    print "unsat"
    return False

# how many servers we need? start with 2:
found_solution=False
for servers_to_pick in range(2, len(servers)+1):
    # we use Python itertools to find all combinations
    # in other words, pick $servers_to_pick$ servers from all servers, and enumerate all possible ways to choose from them.
    # see also: https://en.wikipedia.org/wiki/Combination
    for servers_to_be_used in itertools.combinations(range(len(servers)), r=servers_to_pick):
        if try_to_fit_into_servers(servers_to_be_used):
            found_solution=True
    # after we've got some solutions for $servers_to_pick$, stop:
    if found_solution:
        break

(https://yurichev.com/SAT_SMT_tree/knapsack/VM_packing/VM_pack.py)
The result:

RAM total we need 85
storage total we need 22260
trying to fit VMs into servers: (3, 4, 5, 6, 7) unsat
trying to fit VMs into servers: (3, 4, 5, 7, 9) sat
* solution (5 servers):
srv3 (total=16/8000): VM2 (4/710) VM3 (2/800) VM5 (8/4000) VM6 (2/800) allocated on srv =16/6310 free on srv=0/1690
srv4 (total=8/3000): VM0 (1/100) VM7 (4/2500) allocated on srv=5/2600 free on srv=3/400
srv5 (total=16/6000): VM9 (16/3700) allocated on srv=16/3700 free on srv=0/2300
srv7 (total=32/2000): VM1 (16/900) VM8 (16/450) allocated on srv=32/1350 free on srv =0/650
srv9 (total=16/10000): VM4 (4/7000) VM10 (12/1300) allocated on srv=16/8300 free on srv =0/1700
total in all servers=88/29000
allocated on all servers=96%/76%

trying to fit VMs into servers: (3, 4, 6, 7, 9) sat
* solution (5 servers):
srv3 (total=16/8000): VM2 (4/710) VM3 (2/800) VM5 (8/4000) VM6 (2/800) allocated on srv
srv4 (total=8/3000): VM0 (1/100) VM7 (4/2500) allocated on srv=5/2600 free on srv=3/400
srv6 (total=16/4000): VM9 (16/3700) allocated on srv=16/3700 free on srv=0/300
srv7 (total=32/2000): VM1 (16/900) VM8 (16/450) allocated on srv=32/1350 free on srv
=0/650
srv9 (total=16/10000): VM4 (4/7000) VM10 (12/1300) allocated on srv=16/8300 free on srv
=0/1700
total in all servers=88/27000
allocated on all servers=96%/82%

trying to fit VMs into servers: (3, 5, 6, 7, 9) sat
* solution (5 servers):
  srv3 (total=16/8000): VM0 (1/100) VM5 (8/4000) VM6 (2/800) VM7 (4/2500) allocated on srv
  =15/7400 free on srv=1/600
  srv5 (total=16/6000): VM10 (12/1300) allocated on srv=12/1300 free on srv=4/4700
  srv6 (total=16/4000): VM9 (16/3700) allocated on srv=16/3700 free on srv=0/300
  srv7 (total=32/2000): VM1 (16/900) VM8 (16/450) allocated on srv=32/1350 free on srv
  =0/650
  srv9 (total=16/10000): VM2 (4/710) VM3 (2/800) VM4 (4/7000) allocated on srv=10/8510
  free on srv=6/1490
  total in all servers=96/30000
  allocated on all servers=88%/74%

trying to fit VMs into servers: (3, 5, 7, 8, 9) unsat
trying to fit VMs into servers: (3, 5, 7, 9, 10) unsat
trying to fit VMs into servers: (3, 6, 7, 8, 9) unsat
trying to fit VMs into servers: (3, 6, 7, 9, 10) unsat
trying to fit VMs into servers: (4, 5, 6, 7, 9) unsat
trying to fit VMs into servers: (5, 6, 7, 8, 9) unsat
trying to fit VMs into servers: (5, 6, 7, 9, 10) unsat

Choose any solution you like...

Further work: storage can be both HDD and/or SDD. That would add 3rd dimension. Or maybe number of CPU
cores, network bandwidth, etc...
Chapter 11

Social Golfer Problem

Twenty golfers wish to play in foursomes for 5 days. Is it possible for each golfer to play no more than once with any other golfer?

... Event organizers for bowling, golf, bridge, or tennis frequently tackle problems of this sort, unaware of the problem complexity. In general, it is an unsolved problem. A table of known results is maintained by Harvey.

( [MathWorld](http://mathworld.wolfram.com/SocialGolferProblem.html) )

11.1 Kirkman’s Schoolgirl Problem (SMT)

Fifteen young ladies in a school walk out three abreast for seven days in succession: it is required to arrange them daily so that no two shall walk twice abreast.

( [Wikipedia](https://en.wikipedia.org/wiki/Kirkman%27s_schoolgirl_problem) )

This is naive and straightforward solution:

```python
from MK85 import *
import itertools

#PERSONS, DAYS, GROUPS = 8, 7, 4
PERSONS, DAYS, GROUPS = 15, 7, 5
#PERSONS, DAYS, GROUPS = 20, 5, 5

s=MK85()

# each element - group for each person and each day:
tbl=[[s.BitVec('%d_%d' % (person, day), 16) for day in range(DAYS)] for person in range(PERSONS)]

for person in range(PERSONS):
    for day in range(DAYS):
        s.add(And(tbl[person][day]>=0, tbl[person][day] < GROUPS))

# one in pair must be equal, all others must differ:
def only_one_in_pair_can_be_equal(11, 12):
```

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assert len(l1)==len(l2)
expr=[]
for pair_eq in range(len(l1)):
    tmp=[]
    for i in range(len(l1)):
        if pair_eq==i:
            tmp.append(l1[i]==l2[i])
        else:
            tmp.append(l1[i]!=l2[i])
    expr.append(And(*tmp))

# at this point, expression like this constructed:
# Or(
#     And(l1[0]==l2[0], l1[1]!=l2[1], l1[2]==l2[2])
#     And(l1[0]!=l2[0], l1[1]==l2[1], l1[2]!=l2[2])
#     And(l1[0]!=l2[0], l1[1]!=l2[1], l1[2]==l2[2])
# )

s.add(Or(*expr))

# enumerate all possible pairs.
for pair in itertools.combinations(range(PERSONS), r=2):
    only_one_in_pair_can_be_equal (tbl[pair[0]], tbl[pair[1]])

assert s.check()
m=s.model()

print "group for each person:",
print "person:"+",".join([chr(ord('A')+i) for i in range(PERSONS)])
for day in range(DAYS):
    print "day=%d:" % day,
    for person in range(PERSONS):
        print m["%d_%d" % (person, day)],
    print 

def persons_in_group(day, group):
    rt=""
    for person in range(PERSONS):
        if m["%d_%d" % (person, day)]==group:
            rt=rt+chr(ord('A')+person)
    return rt

print 
print "persons grouped:",
for day in range(DAYS):
    print "day=%d:" % day,
    for group in range(GROUPS):
        print persons_in_group(day, group)+" ",
    print 

group for each person:
person: A B C D E F G H I J K L M N O
day=0: 4 3 1 4 0 1 3 1 0 2 2 4 0 2 3
day=1: 2 4 0 0 4 1 2 3 1 4 0 3 3 2 1
day=2: 4 3 3 2 2 0 1 4 4 1 0 1 0 3 2
day=3: 0 4 3 4 2 0 3 1 4 0 2 2 3 1 1
day=4: 2 3 4 1 0 3 0 0 4 4 2 3 1 1 2
It takes 3-5s seconds on my old Intel Xeon E3-1220 3.10GHz. Thanks to picosat SAT solver, MK85 on this small problem has comparable efficiency as Z3’s. I’ve also tried to represent each number (group in which schoolgirl/golfer is) as a single bit (one-hot encoding):

```python
from MK85 import *
import itertools, math

PERSONS, DAYS, GROUPS = 15, 7, 5 # OK
#PERSONS, DAYS, GROUPS = 20, 5, 5 # no answer
#PERSONS, DAYS, GROUPS = 21, 10, 7 # no answer

s = MK85()

# each element - group for each person and each day:
tbl = [[s.BitVec('%d_%d' % (person, day), GROUPS) for day in range(DAYS)] for person in range(PERSONS)]

# FIXME: function like make_onehot
for person in range(PERSONS):
    for day in range(DAYS):
        s.add(Or(*[tbl[person][day]==(2**i) for i in range(GROUPS)]))

# enumerate all variables
# we add Or(pair1!=0, pair2!=0) constraint, so two non-zero variables couldn’t be present,
# but both zero variables in pair is OK, one non-zero and one zero variable is also OK:
def only_one_must_be_zero(lst):
    for pair in itertools.combinations(lst, r=2):
        s.add(Or(pair[0]!=0, pair[1]!=0))
    # at least one variable must be zero:
    s.add(Or(*[l==0 for l in lst]))

# get two arrays of variables XORed. one element of this new array must be zero:
def only_one_in_pair_can_be_equal(l1, l2):
    assert len(l1)==len(l2)
    only_one_must_be_zero([l1[i]^l2[i] for i in range(len(l1))])

# enumerate all possible pairs:
for pair in itertools.combinations(range(PERSONS), r=2):
    only_one_in_pair_can_be_equal(tbl[pair[0]], tbl[pair[1]])

assert s.check()
m = s.model()

print "group for each person:"
print "person:++".join([chr(ord('A')+i)+" " for i in range(PERSONS)])
```
for day in range(DAYS):
    print "day=%d:" % day,
for person in range(PERSONS):
    print int(math.log(m["%d_%d" % (person, day)],2)),
print ""

def persons_in_group(day, group):
    rt=""
    for person in range(PERSONS):
        if int(math.log(m["%d_%d" % (person, day)],2))==group:
            rt=rt+chr(ord('A')+person)
    return rt

print ""
persons grouped:
for day in range(DAYS):
    print "day=%d:" % day,
for group in range(GROUPS):
    print persons_in_group(day, group)+" ",
print ""

Unfortunately, bigger SGP\textsuperscript{1} problems are out of reach. Yet? \url{http://www.mathpuzzle.com/MAA/54-Golf%20Tournaments/mathgames_08_14_07.html}.
The files, including scripts for Z3: \url{https://yurichev.com/SAT_SMT_tree/SGP/SMT}.

11.2 School teams scheduling (SAT)

I've found this in the "Puzzles for Programmers and Pros" book by Dennis E. Shasha:

Scheduling Tradition
There are 12 school teams, unimaginatively named A, B, C, D, E, F, G, H, I, J, K, and L. They must
play one another on 11 consecutive days on six fields. Every team must play every other team exactly
once. Each team plays one game per day.
Warm-Up Suppose there were four teams A, B, C, D and each team has to play every other in three
days on two fields. How can you do it?
Solution to Warm-Up
We'll represent the solution in two columns corresponding to the two playing fields. Thus, in the first
day, A plays B on field 1 and C plays D on field 2. AB CD AC DB AD BC
Not only does the real problem involve 12 teams instead of merely four, but there are certain constraints
due to traditional team rivalries: A must play B on day 1, G on day 3, and H on day 6. F must play I on
day 2 and J on day 5. K must play H on day 9 and E on day 11. L must play E on day 8 and B on day
9. H must play I on day 10 and L on day 11. There are no constraints on C or D because these are new
teams.
1. Can you form an 11-day schedule for these teams that satisfies the constraints?
   It may seem difficult, but look again at the warm-up. Look in particular at the non-A columns. They
are related to one another. If you understand how, you can solve the harder problem.

This is like Kirkman's Schoolgirl Problem I have solved using SMT before, but this time I've rewritten it as a SAT
problem. Also, I added additional constraints relating to "team rivalries".

#!/usr/bin/env python3
import SAT_lib

\textsuperscript{1}Social Golfer Problem
import itertools, math

PERSONS, DAYS, GROUPS = 12, 11, 6

s=SAT_lib.SAT_lib(SAT_solver="plingeling")

# each element - group for each person and each day:
tbl=[[s.alloc_BV(GROUPS) for day in range(DAYS)] for person in range(PERSONS)]

def chr_to_n(c):
    return ord(c)-ord('A')

# A must play B on day 1, G on day 3, and H on day 6.
# A/B, day 1:
s.fix_BV_EQ(tbl[chr_to_n('A')][0], tbl[chr_to_n('B')][0])
# A/G, day 3:
s.fix_BV_EQ(tbl[chr_to_n('A')][2], tbl[chr_to_n('G')][2])
# A/H, day 5:
s.fix_BV_EQ(tbl[chr_to_n('A')][4], tbl[chr_to_n('H')][4])

# F must play I on day 2 and J on day 5.
s.fix_BV_EQ(tbl[chr_to_n('F')][1], tbl[chr_to_n('I')][1])
s.fix_BV_EQ(tbl[chr_to_n('F')][4], tbl[chr_to_n('J')][4])

# K must play H on day 9 and E on day 11.
s.fix_BV_EQ(tbl[chr_to_n('K')][8], tbl[chr_to_n('H')][8])
s.fix_BV_EQ(tbl[chr_to_n('K')][10], tbl[chr_to_n('E')][10])

# L must play E on day 8 and B on day 9.
s.fix_BV_EQ(tbl[chr_to_n('L')][7], tbl[chr_to_n('E')][7])
s.fix_BV_EQ(tbl[chr_to_n('L')][8], tbl[chr_to_n('B')][8])

# H must play I on day 10 and L on day 11.
s.fix_BV_EQ(tbl[chr_to_n('H')][9], tbl[chr_to_n('I')][9])
s.fix_BV_EQ(tbl[chr_to_n('H')][10], tbl[chr_to_n('L')][10])

for person in range(PERSONS):
    for day in range(DAYS):
        s.make_one_hot(tbl[person][day])

# enumerate all variables
# we add Or(pair1!=0, pair2!=0) constraint, so two non-zero variables couldn't be present,
# but both zero variables in pair is OK, one non-zero and one zero variable is also OK:
def only_one_must_be_zero(lst):
    for pair in itertools.combinations(lst, r=2):
        s.OR_always([s.BV_not_zero(pair[0]), s.BV_not_zero(pair[1])])
# at least one variable must be zero:
s.OR_always([s.BV_zero(1) for 1 in lst])

# get two arrays of variables XORed. one element of this new array must be zero:
def only_one_in_pair_can_be_equal(11, 12):
    assert len(11)==len(12)
    only_one_must_be_zero([s.BV_XOR(11[i], 12[i]) for i in range(len(11))])

# enumerate all possible pairs:
for pair in itertools.combinations(range(PERSONS), r=2):
only_one_in_pair_can_be_equal (tbl[pair[0]], tbl[pair[1]])

assert s.solve()

print ("group for each person:")
print ("person: ""+".join([chr(ord('A')+i)+" " for i in range(PERSONS)]))
for day in range(DAYS):
    t="(day=%2d: " % day)
    for person in range(PERSONS):
        t=t+str(int(math.log(s.get_val_from_solution(tbl[person][day]),2)))+" ">
    print (t)

def persons_in_group(day, group):
    rt=""
    for person in range(PERSONS):
        if int(math.log(s.get_val_from_solution(tbl[person][day]),2))==group:
            rt=rt+chr(ord('A')+person)
    return rt

print ("")
print ("persons grouped:")
for day in range(DAYS):
    t="(day=%2d: " % day)
    for group in range(GROUPS):
        t=t+persons_in_group(day, group)+" ">
    print (t)

The solution:

group for each person:
person: A B C D E F G H I J K L
day= 0: 4 4 1 3 5 0 2 0 5 3 2 1
day= 1: 5 0 3 3 2 4 1 2 4 1 0 5
day= 2: 4 5 1 0 1 2 4 5 3 3 2 0
day= 3: 3 5 4 1 1 4 2 2 0 5 3 0
day= 4: 3 0 4 5 0 2 5 3 4 2 1 1
day= 5: 3 5 4 5 3 0 0 4 1 2 1 2
day= 6: 5 3 5 4 1 0 1 4 3 2 2 0
day= 7: 5 2 0 1 3 1 2 4 5 4 0 3
day= 8: 4 2 5 4 1 1 3 0 3 5 0 2
day= 9: 4 2 2 1 5 4 0 3 3 5 1 0
day=10: 2 5 0 4 3 5 0 1 4 2 3 1

persons grouped:
day= 0: FH CL GK DJ AB EI
day= 1: BK GJ EH CD FI AL
day= 2: DL CE FK IJ AG BH
day= 3: IL DE GH AK CF BJ
day= 4: BE KL FJ AH CI DG
day= 5: FG IK JL AE CH BD
day= 6: FL EG JK BI DH AC
day= 7: CK DF BG EL HJ AI
day= 8: HK EF BL GI AD CJ
day= 9: GL DK BC HI AF EJ
day=10: CG HL AJ EK DI BF

(“Person” and “team” terms are interchangeable in my code.)
Thanks to parallel Lingeling SAT solver\textsuperscript{2} I’ve used this time, it takes couple of minutes on a decent 4-core CPU. The source code: \url{https://yurichev.com/SAT_SMT_tree/SGP/SAT}.

\textsuperscript{2}\url{http://fmv.jku.at/lingeling/}
Chapter 12

Latin squares

Magic/Latin square is a square filled with numbers/letters, which are all distinct in each row and column. Sudoku is 9*9 magic square with additional constraints (for each 3*3 subsquare).

12.1 Magic/Latin square of Knut Vik design (Z3Py)

"Knut Vik design" is a square, where all (broken) diagonals has distinct numbers.

This is diagonal of 5*5 square:

```
  . . . . *
  . . * . .
  . * . . .
  * . . . .
```

These are broken diagonals:

```
  . . * . .
  . . . * .
  . . . . *
  * . . . .
  . * . . .
```

```
  * . . . .
  . . . * .
  . . * . .
  . . . * .
  . * . . .
```

I could only find 5*5 and 7*7 squares using Z3, couldn’t find 11*11 square, however, it’s possible to prove there are no 6*6 and 4*4 squares (such squares doesn’t exist if size is divisible by 2 or 3).

```python
from z3 import *

#SIZE=4 # unsat
#SIZE=5 # OK
#SIZE=6 # unsat
SIZE=7 # OK

a=[[Int('%d_%d' % (r,c)) for c in range(SIZE)] for r in range(SIZE)]
s=Solver()

# all numbers must be in 1..SIZE limits
for r in range(SIZE):
    for c in range(SIZE):
        s.add(And(a[r][c]>=1, a[r][c]<=SIZE))

# all numbers in all rows must be distinct:
for r in range(SIZE):
    # expression like s.add(Distinct(a[r][0], a[r][1], ..., a[r][last])) is formed here:
    s.add(Distinct(*[a[r][c] for c in range(SIZE)]))

# ... in all columns as well:
for c in range(SIZE):
    s.add(Distinct(*[a[r][c] for r in range(SIZE)]))

# all (broken) diagonals must also be distinct:
for r in range(SIZE):
    s.add(Distinct(*[a[(r+r2) % SIZE][r2 % SIZE] for r2 in range(SIZE)]))
    # this line of code is the same as previous, but the column is "flipped" horizontally (SIZE-1-column):
    s.add(Distinct(*[a[(r+r2) % SIZE][SIZE-1-(r2 % SIZE)] for r2 in range(SIZE)]))

print s.check()
m=s.model()

for r in range(SIZE):
    for c in range(SIZE):
        print m[a[r][c]].as_long(),
        print ""
# BitVec('%d_%d' % (r,c), SIZE) for c in range(SIZE)) for r in range(SIZE)]

# 0b11111 for SIZE=5:
mask=2**SIZE-1

s=Solver()

# all numbers must have form 2^n, like 1, 2, 4, 8, 16, etc.
# we add constraint like Or(a[r][c]==2, a[r][c]==4, ..., a[r][c]==32, ...)
for r in range(SIZE):
    for c in range(SIZE):
        s.add(Or(*[a[r][c]==(2**i) for i in range(SIZE)]))

# all numbers in all rows must be distinct:
for r in range(SIZE):
    # expression like s,add(a[r][0] | a[r][1] | ... | a[r][last] == mask) is formed here :
    s.add(reduce(operator.ior, [a[r][c] for c in range(SIZE)])==mask)

# ... in all columns as well:
for c in range(SIZE):
    # expression like s,add(a[0][c] | a[1][c] | ... | a[last][c] == mask) is formed here :
    s.add(reduce(operator.ior, [a[r][c] for r in range(SIZE)])==mask)

# for all (broken) diagonals:
for r in range(SIZE):
    s.add(reduce(operator.ior, [a[(r+r2) % SIZE][r2 % SIZE] for r2 in range(SIZE)])==mask)

    # this line of code is the same as previous, but the column is "flipped"
    # horizontally (SIZE-1-column):
    s.add(reduce(operator.ior, [a[(r+r2) % SIZE][SIZE-1-(r2 % SIZE)] for r2 in range(SIZE)])==mask)

print s.check()
m=s.model()

for r in range(SIZE):
    for c in range(SIZE):
        print int(math.log(m[a[r][c]].as_long(),2)),
    print ""

That works twice as faster (however, numbers are in 0..SIZE-1 range instead of 1..SIZE, but you’ve got the idea).
Besides recreational mathematics, Knut Vik squares like these are very important in design of experiments.
Further reading:

Chapter 13

Cyclic redundancy check

Mathematics for Programmers\(^1\) has a yet another explanation of CRC.

13.1 Factorize GF(2)/CRC polynomials

GF(2)/CRC polynomials, like usual numbers, can also be factored, because a polynomial can be a product of two other polynomial (or not).

Some people say that good CRC polynomial should be irreducible (i.e., cannot be factored), some other say that this is not a requirement. I’ve checked several CRC-16 and CRC-32 polynomials from the Wikipedia article.

The multiplier is constructed in the same manner, as I did it earlier for integer factorization using SAT. Factors are not prime integers, but prime polynomials.

Another important thing to notice is that replacing XOR with addition will make this script factor integers, because addition in GF(2) is XOR.

Also, can be used for tests, online GF(2) polynomials factorization: [http://www.ee.unb.ca/cgi-bin/tervo/factor.pl?binary=101](http://www.ee.unb.ca/cgi-bin/tervo/factor.pl?binary=101).

```python
import operator
from z3 import *

INPUT_SIZE=32
OUTPUT_SIZE=INPUT_SIZE*2

a=BitVec('a', INPUT_SIZE)
b=BitVec('b', INPUT_SIZE)

"""
rows with dots are partial products:
   aaaa
   b....
b....
b....
   b....
   b....
"""

# partial products
p=[BitVec('p_%d' % i, OUTPUT_SIZE) for i in range(INPUT_SIZE)]
s=Solver()
```

\(^1\)https://yurichev.com/writings/Math-for-programmers.pdf
for i in range(INPUT_SIZE):
    # if there is a bit in b[], assign shifted a[] padded with zeroes at left/right
    # if there is no bit in b[], let p[] be zero
    # Concat() is for glueling together bitvectors (of different widths)
    # BitVecVal() is constant of specific width
    if i==0:
        s.add(p[i] == If((b>>i)&1==1, Concat(BitVecVal(0, OUTPUT_SIZE-i-INPUT_SIZE), a), 0))
    else:
        s.add(p[i] == If((b>>i)&1==1, Concat(BitVecVal(0, OUTPUT_SIZE-i-INPUT_SIZE), a, BitVecVal(0, i)), 0))

# tests
# from http://mathworld.wolfram.com/IrreduciblePolynomial.html
#poly=7 # irreducible
#poly=5 # reducible

# from Colbourn, Dinitz - Handbook of Combinatorial Designs (2ed, 2007), p.809:
#poly=0b10000001001 # irreducible
#poly=0b10000001111 # irreducible

# MSB is always 1 in CRC polynomials, and it's omitted
# but we add it here (leading 1 bit):
poly=0x18005 # CRC-16-IBM, reducible
#poly=0x11021 # CRC-16-CCITT, reducible
#poly=0x1C867 # CRC-16-CDMA2000, irreducible
#poly=0x104c11db7 # CRC-32, irreducible
#poly=0x11EDC6F41 # CRC-32C (Castagnoli), CRC32 x86 instruction, reducible
#poly=0x1741B8CD7 # CRC-32K (Koopman {1,3,28}), reducible
#poly=0x132583499 # CRC-32K2 (Koopman {1,1,30}), reducible
#poly=0x1814141AB # CRC-32Q, reducible

# form expression like s.add(p[0] ^ p[1] ^ ... ^ p[OUTPUT_SIZE-1] == poly)
# replace operator xor to operator.add to factorize numbers:
s.add(reduce (operator.xor, p)==poly)

# we are not interesting in outputs like these:
s.add(a!=1)
s.add(b!=1)

if s.check()==unsat:
    print "unsat"
    exit(0)

m=s.model()
print "sat, a=0x%x, b=0x%x" % (m[a].as_long(), m[b].as_long())

13.2 Getting CRC polynomial and other CRC generator parameters

Sometimes CRC implementations are incompatible with each other: polynomial and other parameters can be different. Aside of polynomial, initial state can be either 0 or -1, final value can be inverted or not, endianness of the final value can be changed or not. Trying all these parameters by hand to match with someone's else implementation can be a real pain. Also, you can bruteforce 32-bit polynomial, but 64-bit polynomials is too much.
Deducing all these parameters is surprisingly simple using Z3, just get two values for 01 byte and 02, or any other bytes.

```python
#!/usr/bin/env python

from z3 import *
import struct

# knobs:

# CRC-16 on https://www.lammertbies.nl/comm/info/crc-calculation.html
# width=16
# samples=["\x01", "\x02"]
# must_be=[0xC0C1, 0xC181]
# sample_len=1

# CRC-16 (Modbus) on https://www.lammertbies.nl/comm/info/crc-calculation.html
# width=16
# samples=["\x01", "\x02"]
# must_be=[0x807E, 0x813E]
# sample_len=1

# CRC-16-CCITT, Kermit on https://www.lammertbies.nl/comm/info/crc-calculation.html
# width=16
# samples=["\x01", "\x02"]
# must_be=[0x8911, 0x1223]
# sample_len=1

width=32
samples=["\x01", "\x02"]
must_be=[0xA505DF1B, 0x3C0C8EA1]

# crc64_1.c:
# width=64
# samples=["\x01", "\x02"]
# must_be=[0x28d250b0f0900abe, 0x6c9fd98969f81a9d]
# sample_len=1

# crc64_2.c (redis):
# width=64
# samples=["\x01", "\x02"]
# must_be=[0x7ad870c830358979, 0xf5b0e190606b12f2]
# sample_len=1

# crc64_3.c:
# width=64
# samples=["\x01", "\x02"]
# must_be=[0xb32e4cbe03a75f6f, 0xf4843657a840a5b]
# sample_len=1

# http://www.unit-conversion.info/texttools/crc/
# width=32
# samples=["0","1"]
# must_be=[0xf4dbdf21, 0x83dcef7]
# sample_len=1
```
# recipe-259177-1.py, CRC-64-ISO
# width=64
# samples=["x01", "x02"]
# must_be=[0x01B0000000000000, 0x0360000000000000]
# sample_len=1

# recipe-259177-1.py, CRC-64-ISO
# width=64
# samples=["x01"]
# must_be=[0x01B0000000000000]
# sample_len=1

# width=32
# samples=["12", "ab"]
# must_be=[0x4F5344CD, 0x9E83486D]
# sample_len=2

def swap_endianness_16(val):
    return struct.unpack("<H", struct.pack(">H", val))[0]

def swap_endianness_32(val):
    return struct.unpack("<I", struct.pack(">I", val))[0]

def swap_endianness_64(val):
    return struct.unpack("<Q", struct.pack(">Q", val))[0]

def swap_endianness(width, val):
    if width==64:
        return swap_endianness_64(val)
    if width==32:
        return swap_endianness_32(val)
    if width==16:
        return swap_endianness_16(val)
    raise AssertionError

mask=2**width-1
poly=BitVec('poly', width)

# states[sample][0][8] is an initial state
# ...
# states[sample][i][0] is a state where it was already XORed with input bit
# states[sample][i][1] ... where the 1th shift/XOR operation has been done
# states[sample][i][8] ... where the 8th shift/XOR operation has been done
# ...
# states[sample][sample_len][8] - final state

states=[[BitVec('state_%d_%d_%d' % (sample, i, bit), width) for bit in range(8+1)] for sample in range(len(samples))]
s=Solver()

def invert(val):
    return ~val & mask

for sample in range(len(samples)):
    # initial state can be either zero or -1:
    s.add(Or(states[sample][0][8]==mask, states[sample][0][8]==0))
# implement basic CRC algorithm
for i in range(sample_len):
    s.add(states[sample][i+1][0] == states[sample][i][8] ^ ord(samples[sample][i]))

for bit in range(8):
    # LShR() is logical shift, while >> is arithmetical shift, we use the first:
    s.add(states[sample][i+1][bit+1] == LShR(states[sample][i+1][bit],1) ^ If(
        states[sample][i+1][bit]&1==1, poly, 0))

# final state must be equal to one of these:
s.add(Or(
    states[sample][sample_len][8]==must_be[sample],
    states[sample][sample_len][8]==invert(must_be[sample]),
    states[sample][sample_len][8]==swap_endianness(width, must_be[sample]),
    states[sample][sample_len][8]==invert(swap_endianness(width, must_be[sample])))

# get all possible results:
results=[]
while True:
    if s.check() == sat:
        m = s.model()
        # what final state was?
        if m[states[0][sample_len][8]].as_long()==must_be[0]:
            outparams="XORout=0"
        elif invert(m[states[0][sample_len][8]].as_long())==must_be[0]:
            outparams="XORout=-1"
        elif m[states[0][sample_len][8]].as_long()==swap_endianness(width, must_be[0]):
            outparams="XORout=0, ReflectOut=true"
        elif invert(m[states[0][sample_len][8]].as_long())==swap_endianness(width, must_be[0]):
            outparams="XORout=-1, ReflectOut=true"
        else:
            raise AssertionError

        print "poly=0x%x, init=0x%x, %s" % (m[poly].as_long(), m[states[0][0][8]].as_long(), outparams)
        results.append(m)
        block = []
        for d in m:
            c=d()
            block.append(c != m[d])
            s.add(Or(block))
    else:
        print "total results", len(results)
        break

This is for CRC-16:

```
poly=0xa001, init=0x0, XORout=0
```

Sometimes, we have no enough information, but still can get something. This is for CRC-16-CCITT:

```
poly=0xb30f, init=0x0, XORout=-1
poly=0x7c07, init=0x0, XORout=0, ReflectOut=true
poly=0x8408, init=0x0, XORout=0, ReflectOut=true
```

One of these results is correct.
We can get something even if we have only one result for one input byte:
The files: https://yurichev.com/SAT_SMT_tree/CRC/cracker.
The shortcoming: longer samples slows down everything significantly. I had luck with samples up to 4 bytes, but no larger.

Further reading I’ve found interesting/helpful:


### 13.3 Finding (good) CRC polynomial

Finding good CRC polynomial is tricky, and my results can’t compete with other tested popular CRC polynomial. Nevertheless, it was fun to use Z3 to find them.

I just generate 32 random samples, all has size between 1 and 32 bytes. Then I flip 1..3 random bits and I add a constraint: CRC hash of the sample and hash of the modified sample (with 1..3 bits flipped) must differ.
no_call=no_call+1  
# initial state is always 0:
s.add(states[0][8]==0)

for i in range(len(_input)):
    s.add(states[i+1][0] == states[i][8] ^ _input[i])

for bit in range(8):
    s.add(states[i+1][bit+1] == LShR(states[i+1][bit],1) ^ If(states[i+1][bit +1]==1, poly, 0))

return states[len(_input)][8]

# generate 32 random samples:
for i in range(32):
    print "pair",i
    # each sample has random size 1..32
    buf1=bytearray(os.urandom(random.randrange(32)+1))
    buf2=copy.deepcopy(buf1)
    # flip 1, 2 or 3 random bits in second sample:
    for bits in range(1,random.randrange(3)+2):
        # get random position and bit to flip:
        pos=random.randrange(0, len(buf2))
        to_flip=1<<random.randrange(8)
        print " pos=", pos, "bit=",to_flip
        # flip random bit at random position:
        buf2[pos]=buf2[pos]^to_flip

    # original sample and sample with 1..3 random bits flipped.
    # their hashes must be different:
s.add(CRC(buf1, poly)!=CRC(buf2, poly))

# get all possible results:
results=[]
while True:
    if s.check() == sat:
        m = s.model()
        print "poly=0x%x" % (m[poly].as_long())
        results.append(m)
        block = []
        for d in m:
            c=d()
            block.append(c != m[d])
        s.add(Or(block))
    else:
        print "total results", len(results)
        break

Several polynomials for CRC8:

poly=0xf9
poly=0x50
poly=0x90
...

... for CRC16:

poly=0xf7af
Problem: at least this one. CRC must be able to detect errors in very long buffers, up to $2^{32}$ for CRC32. We can’t feed that huge buffers to SMT solver. I had success only with samples up to ≈ 32 bytes.

13.4 CRC (Cyclic redundancy check)

13.4.1 Buffer alteration case #1

Sometimes, you need to alter a piece of data which is protected by some kind of checksum or CRC, and you can’t change checksum or CRC value, but can alter piece of data so that checksum will remain the same.

Let’s pretend, we’ve got a piece of data with “Hello, world!” string at the beginning and “and goodbye” string at the end. We can alter 14 characters at the middle, but for some reason, they must be in a..z limits, but we can put any characters there. CRC64 of the whole block must be 0x12345678abcdef12.

Let’s see:

```c
#include <string.h>
#include <stdint.h>

uint64_t crc64(uint64_t crc, unsigned char *buf, int len)
{
    int k;
    crc = ~crc;
    while (len--)
    {
        crc ^= *buf++;
        for (k = 0; k < 8; k++)
        {
            crc = crc & 1 ? (crc >> 1) ^ 0x42f0e1eba9ea3693 : crc >> 1;
        }
        return crc;
    }
}

int main()
{
    #define HEAD_STR "Hello, world!.. "
    #define HEAD_SIZE strlen(HEAD_STR)
    
    printf("%lu\n", crc64(0, (unsigned char *)HEAD_STR, HEAD_SIZE));
    
    return 0;
}
```

There are several slightly different CRC64 implementations, the one I use here can also be different from popular ones.
Since our code uses memcmp() standard C/C++ function, we need to add --libc=uclibc switch, so KLEE will use its own uClibc implementation.

```
% clang -emit-llvm -c -g klee_CRC64.c
% time klee --libc=uclibc klee_CRC64.bc
```

It takes about 1 minute (on my Intel Core i3-3110M 2.4GHz notebook) and we getting this:

```
real   0m52.643s
user   0m51.232s
sys    0m0.239s
```

```
% ls klee-last | grep err
test000001.user.err
test000002.user.err
test000003.user.err
test000004.external.err
```

```
% ktest-tool --write-ints klee-last/test000004.ktest
ktest file : 'klee-last/test000004.ktest'
args : ['klee_CRC64.bc']
num objects: 1
object 0: name: b'buf'
object 0: size: 46
object 0: data: b'Hello, world!... qqlicayzceamyw ... and goodbye'
```

Maybe it's slow, but definitely faster than bruteforce. Indeed, $\log_2^{26^{14}} \approx 65.8$ which is close to 64 bits. In other words, one need $\approx 14$ latin characters to encode 64 bits. And KLEE + SMT solver needs 64 bits at some place it can alter to make final CRC64 value equal to what we defined.

I tried to reduce length of the middle block to 13 characters: no luck for KLEE then, it has no space enough.
13.4.2 Buffer alteration case #2

I went sadistic: what if the buffer must contain the CRC64 value which, after calculation of CRC64, will result in the same value? Fascinatedly, KLEE can solve this. The buffer will have the following format:

| Hello, world! <8 bytes (64-bit value)> and goodbye <6 more bytes> |

```c
int main()
{
    #define HEAD_STR "Hello, world!.. "
    #define HEAD_SIZE strlen(HEAD_STR)
    #define TAIL_STR "... and goodbye"
    #define TAIL_SIZE strlen(TAIL_STR)
    // 8 bytes for 64-bit value:
    #define MID_SIZE 8
    #define BUF_SIZE HEAD_SIZE+TAIL_SIZE+MID_SIZE+6

    char buf[BUF_SIZE];
    klee_make_symbolic(buf, sizeof buf, "buf");
    klee_assume (memcmp (buf, HEAD_STR, HEAD_SIZE)==0);
    klee_assume (memcmp (buf+HEAD_SIZE+MID_SIZE, TAIL_STR, TAIL_SIZE)==0);
    uint64_t mid_value=*(uint64_t*)(buf+HEAD_SIZE);
    klee_assume (crc64 (0, buf, BUF_SIZE)==mid_value);
    klee_assert(0);
    return 0;
}
```

It works:

```
% time klee --libc=uclibc klee_CRC64.bc
...
real  5m17.081s
user  5m17.014s
sys   0m0.319s

% ls klee-last | grep err
test000001.user.err
test000002.user.err
test000003.external.err

% ktest-tool --write-ints klee-last/test000003.ktest
ktest file:
args: ['klee_CRC64.bc']
num objects: 1
object 0: name: b'buf'
object 0: size: 46
object 0: data: b'Hello, world!.. T+\xb9A\x08\x0f ... and goodbye\xb6\x8f\x9c\xd8\xc5\x00'
```

8 bytes between two strings is 64-bit value which equals to CRC64 of this whole block. Again, it’s faster than brute-force way to find it. If to decrease last spare 6-byte buffer to 4 bytes or less, KLEE works so long so I’ve stopped it.
13.4.3 Recovering input data for given CRC32 value of it

I've always wanted to do so, but everyone knows this is impossible for input buffers larger than 4 bytes. As my experiments show, it's still possible for tiny input buffers of data, which is constrained in some way.

The CRC32 value of 6-byte “SILVER” string is known: 0xDFA3DFDD. KLEE can find this 6-byte string, if it knows that each byte of input buffer is in A..Z limits:

```c
#include <stdint.h>
#include <stdbool.h>

uint32_t crc32(uint32_t crc, unsigned char *buf, int len)
{
    int k;
    crc = ~crc;
    while (len--)
    {
        crc ^= *buf++;
        for (k = 0; k < 8; k++)
            crc = crc & 1 ? (crc >> 1) ^ 0xedb88320 : crc >> 1;
    }
    return ~crc;
}

#define SIZE 6

bool find_string(char str[SIZE])
{
    int i=0;
    for (i=0; i<SIZE; i++)
        if (str[i]<'A' || str[i]>'Z')
            return false;
    if (crc32(0, &str[0], SIZE)!=0xDFA3DFDD)
        return false;
    // OK, input str is valid
    klee_assert(0); // force KLEE to produce .err file
    return true;
}

int main()
{
    uint8_t str[SIZE];
    klee_make_symbolic(str, sizeof str, "str");
    find_string(str);
    return 0;
}
```

% clang -emit-llvm -c -g klee_SILVER.c
...

% klee klee_SILVER.bc
...
% ls klee-last | grep err
test000013.external.err

% ktest-tool --write-ints klee-last/test000013.ktest
test file: 'klee-last/test000013.ktest'
args: ['klee_SILVER.bc']
num objects: 1
object 0: name: b'str'
object 0: size: 6
object 0: data: b'SILVER'

Still, it’s no magic: if to remove condition at lines 23..25 (i.e., if to relax constraints), KLEE will produce some other string, which will be still correct for the CRC32 value given.

It works, because 6 Latin characters in A..Z limits contain $26^6 \approx 28.2$ bits: $\log_2 26^6 \approx 28.2$, which is even smaller value than 32. In other words, the final CRC32 value holds enough bits to recover $28.2$ bits of input.

The input buffer can be even bigger, if each byte of it will be in even tighter constraints (decimal digits, binary digits, etc).

13.4.4 In comparison with other hashing algorithms

Things are that easy for some other hashing algorithms like Fletcher checksum, but not for cryptographically secure ones (like MD5, SHA1, etc), they are protected from such simple cryptoanalysis. See also: 19.
Chapter 14

MaxSAT/MaxSMT

14.1 Making smallest possible test suite using Z3

I once worked on rewriting large piece of code into pure C, and there were a tests, several thousands. Testing process was painfully slow, so I thought if the test suite can be minimized somehow.

What we can do is to run each test and get code coverage (information about which lines of code was executed and which are not). Then the task is to make such test suite, where coverage is maximum, and number of tests is minimal.

In fact, this is set cover problem (also known as hitting set problem). While simpler algorithms exist (see Wikipedia\(^1\)), it is also possible to solve with SMT-solver.

First, I took LZSS\(^2\) compression/decompression code \(^3\) for the example, from Apple sources. Such routines are not easy to test. Here is my version of it: [https://yurichev.com/SAT_SMT_tree/MaxSxT/min_test_Z3/compression.c](https://yurichev.com/SAT_SMT_tree/MaxSxT/min_test_Z3/compression.c). I've added random generation of input data to be compressed. Random generation is dependent of some kind of input seed. Standard `srand()`/`rand()` are not recommended to be used, but for such simple task as ours, it's OK. I'll generate\(^4\) 1000 tests with 0..999 seeds, that would produce random data to be compressed/decompressed/checked.

After the compression/decompression routine has finished its work, GNU gcov utility is executed, which produces result like this:

```plaintext
... 3395: 189: for (i = 1; i < F; i++) {
  3395: 190:     if ((cmp = key[i] - sp->text_buf[p + i]) != 0)
  2565: 191:         break;
  --: 192:     }
  2565: 193: if (i > sp->match_length) {
  1291: 194:     sp->match_position = p;
  1291: 195:     if ((sp->match_length = i) >= F)
  #####: 196:         break;
  --: 197:     }
  199: 198: }
  199: 199: }  
  #####: 200: sp->parent[r] = sp->parent[p];
  #####: 201: sp->lchild[r] = sp->lchild[p];
  #####: 202: sp->rchild[r] = sp->rchild[p];
  #####: 203: sp->parent[sp->lchild[p]] = r;
  #####: 204: if (sp->rchild[sp->parent[p]] == p)
  #####: 205:     sp->rchild[sp->parent[p]] = r;
...```

A leftmost number is an execution count for each line. ##### means the line of code hasn’t been executed at all. The second column is a line number.

Now the Z3Py script, which will parse all these 1000 gcov results and produce minimal hitting set:

\(^1\)[https://en.wikipedia.org/wiki/Set_cover_problem]
\(^2\)[Lempel–Ziv–Storer–Szymanski]
\(^3\)[https://github.com/opensource-apple/kext_tools/blob/master/compression.c]
\(^4\)[https://yurichev.com/SAT_SMT_tree/MaxSxT/min_test_Z3/gen_gcov_tests.sh]
#!/usr/bin/env python

import re, sys
from z3 import *

TOTAL_TESTS=1000

# read gcov result and return list of lines executed:
def process_file (fname):
    lines=[]
    f=open(fname,"r")
    while True:
        l=f.readline().rstrip()
        m = re.search("^[0-9]+: ([0-9]+):.*$", l)
        if m!=None:
            lines.append(int(m.group(2)))
        if len(l)==0:
            break
    f.close()
    return lines

# k=test number; v=list of lines executed
stat={}
for test in range(TOTAL_TESTS):
    stat[test]=process_file("compression.c.gcov."+str(test))

# that will be a list of all lines in all tests:
all_lines=set()
# k=line, v=list of tests, which trigger that line:
tests_for_line={}
for test in stat:
    all_lines|=set(stat[test])
    for line in stat[test]:
        tests_for_line[line]=tests_for_line.get(line, []) + [test]

# int variable for each test:
tests=[Int('test_%d' % (t)) for t in range(TOTAL_TESTS)]

# this is optimization problem, so Optimize() instead of Solver():
opt = Optimize()

# each test variable is either 0 (absent) or 1 (present):
for t in tests:
    opt.add(Or(t==0, t==1))

# we know which tests can trigger each line
# so we enumerate all tests when preparing expression for each line
# we form expression like "test_1==1 OR test_2==1 OR ..." for each line:
for line in list(all_lines):
    expressions=[tests[s]==1 for s in tests_for_line[line]]
    # expression is a list which unfolds as list of arguments into Z3's Or() function (see asterisk)
    # that results in big expression like "Or(test_1==1, test_2==1, ...)"

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# the expression is then added as a constraint:
    opt.add(Or(*expressions))

# we need to find a such solution, where minimal number of all "test_X" variables will have 1
# "*tests" unfolds to a list of arguments: [test_1, test_2, test_3,...]
# "Sum(*tests)" unfolds to the following expression: "Sum(test_1, test_2, ...)
# the sum of all "test_X" variables should be as minimal as possible:
    h=opt.minimize(Sum(*tests))

print (opt.check())
m=opt.model()

# print all variables set to 1:
for t in tests:
    if m[t].as_long() == 1:
        print (t)

And what it produces (~19s on my old Intel Quad-Core Xeon E3-1220 3.10GHz):

% time python set_cover.py
sat
  test_7
test_48
test_134

python set_cover.py  18.95s  user 0.03s  system 99%  cpu 18.988 total

We need just these 3 tests to execute (almost) all lines in the code: looks impressive, given the fact, that it would be notoriously hard to pick these tests by hand! The result can be checked easily, again, using gcov utility.

This is sometimes also called MaxSAT/MaxSxT — the problem is to find solution, but the solution where some variable/expression is maximal as possible, or minimal as possible.

Also, the code gives incorrect results on Z3 4.4.1, but working correctly on Z3 4.5.0 (so please upgrade). This is relatively fresh feature in Z3, so probably it was not stable in previous versions?

The files: https://yurichev.com/SAT_SMT_tree/MaxSxT/min_test_Z3.


14.2 Making smallest possible test suite using OpenWBO

My previous example (??) was made up. And now I can do it better.

Once upon a time, I wrote my own x86/x64 disassembler. And a code like that is fragile and prone to bugs — any unnoticed typo can ruin a hour or two. How to test it? Just to be sure, I put as much to tests as possible. I enumerated all possible 2 bytes opcodes, etc. At some point, there were 12531 tests, like:

...  
    disas_test1(Fuzzy_True, (const byte*)"\xF3\x0F\x58\xF1", 3, "ADDSS XMM6, XMM1");
    disas_test1(Fuzzy_True, (const byte*)"\xF3\x0F\x59\xC0", 3, "MULSS XMM0, XMM0");
    disas_test1(Fuzzy_True, (const byte*)"\xF3\x0F\x5C\xD7", 3, "SUBSS XMM2, XMM7");
    disas_test1(Fuzzy_True, (const byte*)"\xF3\x0F\x5E\xF8", 3, "DIVSS XMM7, XMM0");
    disas_test1(Fuzzy_True, (const byte*)"\xF3\x42\x0F\x11\x74\x1D\x98", 3, "MOVSS [RBP+R11-68h], XMM6");
    disas_test1(Fuzzy_True, (const byte*)"\xF3\x48\x0F\x2A\xC0", 3, "CVTSI2SS XMM0, RAX");
    disas_test1(Fuzzy_True, (const byte*)"\xF3\x48\x4B", 3, "REP STOSQ");
    disas_test1(Fuzzy_True, (const byte*)"\x7F\xED", 0x300, "IMUL EBP");
    disas_test2_1op(Fuzzy_True, (const byte*)"\xFF\xD0", 0x300, "CALL RAX", 64);
    disas_test2_2op(Fuzzy_True, (const byte*)"\x00\x4C\x8B\x94", 0x300, "ADD [RBX+RCX*4-6ch], CL", 8, 8);

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flag, instruction names, etc.

```
for i in $(seq 1 12532);
```

I modified my test code so that a test number can be set in arguments, and then preprended this to each test:

```
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\xF3\x0F\x58\xF1", 3, "ADDR XMM6, XMM1");
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\xF3\x0F\x59\xC0", 3, "MUL SS XMM0, XMM0");
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\xF3\x0F\x5C\xD7", 3, "SUB SS XMM2, XMM7");
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\xF3\x0F\x5E\xF8", 3, "DIV SS XMM7, XMM0");
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\xF3\x42\x0F\x11\x74\x4D\x98", 3, "MOV SS [RBP+R1-68h], XMM6");
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\xF3\x48\x0F\x2A\xC0", 3, "CVTSI2 SS XMM0, RAX");
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\xF3\x48\x4B", 3, "REP STOSQ");
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\xF7\xEF", 0x300, "IMUL EB P");
if (test_all || line==__LINE__) disas_test2_1op(Fuzzy_True, (const byte*)"\xFF\xD0", 0x300, "CALL RAX", 64);
if (test_all || line==__LINE__) disas_test2_2op(Fuzzy_True, (const byte*)"\x00\x4C\x8B\x94", 0x300, "ADD [RBX+RCX*4-6ch, CL", 8, 8);
```

Also any disassembler, including mine, has a mega-table or mother-table or master-table that contains all opcodes, flags, instruction names, etc.

And I added a debugging statement: if a table entry is loaded, its number is being printed. And you’ll see why.

I’m compiling the code so that it will generate GCOV-statistics:

```
gcc -fprofile-arcs -ftest-coverage -g -DX64_DISASM_PRINT_INS_TBL_ENTRY x86_disasm_tests. c x86_disas.c x86_register.c -I../octothorpe ../octothorpe/octothorpe.a
```

And I run it 12531 times. A number in 1..12531 range is passed in arguments:

```
#!/bin/bash
for i in $(seq 1 12532);
do
  echo $i
  rm *gdc a*
  tbl_entry=$(/a.out $i | tail -1)
  echo $tbl_entry
gcov x86_disas
  mv x86_disas.c.gcov gcovs/$i.$tbl_entry
done
```

12531 files have been created. Filename consists of number1.number2, where number1 is a test number (or line number in tests.h) and number2 is a table entry loaded during testing. The contents is a typical GCOV’s output.
This is how many times each line was executed during run. #### means never. 1 means one.

Now the goal: to execute all lines at least once, with the help of as few tests as possible. This is how the problem can be stated in plain English language:

_for the line X, the test Y OR the test Z or the test M must be ran._

We generate such (hard) clauses for each lines.

Also, we say to MaxSAT solver to find such a solution, where as few tests would be True, as possible. And this is my Python program to do so:

```python
#!/usr/bin/env python

import re, sys, os

# read gcov result and return a list of lines executed:
def parse_gcov_file (fname):
    lines=[]
    f=open(fname,"r")

    while True:
        l=f.readline().rstrip()
        m = re.search(\^([^0-9]+): \([0-9-]+):.*$\', l)
        if m!=None:
            lines.append(int(m.group(2)))
            if len(l)==0:
                break

    f.close()
    return lines

max_test_n=0
```
# k=line, v=list of tests
lines={}

# enumerate all gcov-files:
for (dirpath, dirnames, filenames) in os.walk ("gcovs"):  
    for fname in filenames:  
        fullname="gcovs/"+fname  
        test_n=int(fname.split(".")[0])  
        max_test_n=max(max_test_n, test_n)
        
        lines_executed=parse_gcov_file (fullname)
        
        for line in lines_executed:  
            lines[line]=lines.get(line, [])+[test_n]

def list_to_CNF(l):
    return "10000 "+' '.join(map(str, l))+" 0"

print "p wcnf "+str(max_test_n)+" "+str(len(lines)+max_test_n-1)+" 10000"

# hard clauses. each MUST be satisfied
# "test_1 OR test_2 OR ..." for each line
print "c lines:
for line in lines:
    print "c line=", str(line)
    print list_to_CNF(lines[line])

# soft clauses. as many should be satisfied (be False) as possible
for test_n in range(max_test_n):
    print "1 -"+str(test_n+1)+" 0"

I run it:

python minimize_tests1.py > 1.wcnf

The resulting WCNF file is like:

```
p wcnf 12532 12832 10000  
c lines:
c line= 768  
10000 3432 6250 9716 9034 2020 4138 1308 5546 3433 4137 2728 7661 12449 9033 10400 4842 9717 4841 5547 8351 11083 2729 6955 11766 12450 2021 1309 6251 11767 6956 10399 11084 8350 7662 0  
c line= 375  
10000 1126 0  
c line= 521  
10000 12411 1796 8139 11130 2620 7728 11380 6631 1774 7685 5381 10996 626 6252 8189 4546 6191 11398 3865 ...
...  
1 -1 0  
1 -2 0  
1 -3 0  
1 -4 0  
...  
1 -12528 0  
1 -12529 0  
1 -12530 0  
```
"c" is comment. I’m putting line (of code) number here. So for line 768, any tests among 3432 6250 9716 9034 2020 4138 1308 5546 3433 4137 2728 7661 must be present, at least one. For line 375, a (single) test 1126 must be present.

(By the way, it’s quite interesting to see what line of code is triggered by a single test. Surely, they must be present in minimized test suite.)

All test numbers are then enumerated as soft constraints.

Now I’m running the Open-WBO MaxSAT solver on the given WCNF file:

```
open-wbo 1.wcnf > result
```

The output consists of all variables. But since a test number is mapped to a line number, this number also mapped to a variable’s number. Efficiently, Open-WBO reports, which tests are to be picked (like 1126th).

I wrote an utility for that:

```python
import sys

with open("result") as f:
    content = f.readlines()
    content = [x.strip() for x in content]

with open("tests.h") as f:
    tests = f.readlines()
    tests = [x.strip() for x in tests]

for test in content[-1][2:].split(" "):
    if test.startswith("-"):
        continue
    print tests[int(test)-1]
```

And we found that to cover (almost) all lines of code in my disassembler, only 11 tests are enough!
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\x66\x0F\x38\x3D\x DO", 3, "PMaxSD XMM2, XMM0");
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\x62\x7F\x54\xCE", 0, "CvTsd2SS XMM1, XMM6");
if (test_all || line==__LINE__) disas_test1(Fuzzy_True, (const byte*)"\x74\x1D\x98", 3, "M0vSS [RBP+R11-68h], XMM6");

(It’s important to know that my “bloated” tests was not perfect, some lines of code like error reporting are like “dead code” now, but it’s OK.)

At this point, my readers perhaps could stop reading and reuse my ideas for their own code and tests.

But... As I mentioned, a disassembler has a mega-table. And we want to touch each its entry during tests at least once, like each line of code. And this is a second version of my program:

#!/usr/bin/env python
import re, sys, os

# read gcov result and return a list of lines executed:
def parse_gcov_file (fname):
    lines=[]
    f=open(fname,"r")

    while True:
        l=f.readline().rstrip()
        m = re.search('(^ *[0-9]+): ([0-9]+):.*$\', l)
        if m!=None:
            lines.append(int(m.group(2)))
        if len(l)==0:
            break

    f.close()
    return lines

max_test_n=0

# k=line, v=list of tests
lines={}

# k=tbl_entry, v=list of tests
tbl_entries={}

# enumerate all gcov-files:
for (dirpath, dirnames, filenames) in os.walk ("gcovs"):
    for fname in filenames:
        #print "c fname", fname
        fullfname="gcovs/"+fname
        test_n=int(fname.split("."))[0]
        max_test_n=max(max_test_n, test_n)
        tbl_entry=int(fname.split("."))[1]

        tbl_entries[tbl_entry]=tbl_entries.get(tbl_entry, [])+[test_n]

        lines_executed=parse_gcov_file (fullfname)

        for line in lines_executed:
            lines[line]=lines.get(line, [])+[test_n]

def list_to_CNF(l):
Now only 301 tests are enough to cover (almost) all lines in my disassembler and to touch (almost) all entries in the mega-table. Much better than 12531.

Also, Open-WBO seems to be a better tool for the job, it works faster than Z3. Or maybe Z3 can be tuned?

### 14.3 Fault check of digital circuit

Donald Knuth’s TAOCP section 7.2.2.2 has the following exercise.

Find a way to check, if it was soldered correctly, with no wires stuck at ground (always 0) or current (always 1). You can just enumerate all possible inputs (5) and this will be a table of correct inputs/outputs, 32 pairs. But you want to make fault check as fast as possible and minimize test set.

This is almost a problem I’ve been writing before: 14.1.
We want such a test set, so that all gates' outputs will output 0 and 1, at least once. And the test set should be as small, as possible.

The source code is very close to my previous example...

```
from z3 import *

# 5 inputs, so 1<<5=32 possible combinations:
TOTAL_TESTS=1<<5

# number of gates and/or gates' outputs:
OUTPUTS_TOTAL=15

OUT_Z1, OUT_Z2, OUT_Z3, OUT_Z4, OUT_Z5, OUT_A2, OUT_A3, OUT_B1, OUT_B2, OUT_B3, OUT_C1,
    OUT_C2, OUT_P, OUT_Q, OUT_S = range(OUTPUTS_TOTAL)

out_false_if={}
out_true_if={}

# enumerate all possible inputs
for i in range(1<<5):
    x1=i&1
    x2=(i>>1)&1
    y1=(i>>2)&1
    y2=(i>>3)&1
    y3=(i>>4)&1

    outs={}

    # simulate the circuit:
    outs[OUT_Z1]=y1&x1
    outs[OUT_B1]=y1&x2
    outs[OUT_A2]=x1&y2
    outs[OUT_B2]=y2&x2
    outs[OUT_A3]=x1&y3
    outs[OUT_B3]=y3&x2

    outs[OUT_C1]=outs[OUT_A2] & outs[OUT_B1]

    outs[OUT_Q]=outs[OUT_S] & outs[OUT_C1]
    outs[OUT_Z3]=outs[OUT_S] ^ outs[OUT_C1]


    inputs=(y3, y2, y1, x2, x1)
    print "inputs:" , inputs, "outputs of all gates:" , outs

    for o in range(OUTPUTS_TOTAL):
        if outs[o]==0:
            if o not in out_false_if:
                out_false_if[o]=[]
            out_false_if[o].append(i)
```

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else:
    if o not in out_true_if:
        out_true_if[o]=[]
    out_true_if[o].append(i)

for o in range(OUTPUTS_TOTAL):
    print "output #%d" % o
    print "    false if:", out_false_if[o]
    print "    true if:", out_true_if[o]

s=Solver()

# if the test will be picked or not:
tests=[Int('test_%d' % (t)) for t in range(TOTAL_TESTS)]

# this is optimization problem:
opt = Optimize()

# a test may be picked (1) or not (0):
for t in tests:
    opt.add(Or(t==0, t==1))

# this generates expression like (tests[0]==1 OR tests[1]==1 OR tests[X]==1):
for o in range(OUTPUTS_TOTAL):
    opt.add(Or(*[tests[i]==1 for i in out_false_if[o]]))
    opt.add(Or(*[tests[i]==1 for i in out_true_if[o]]))

# minimize number of tests:
opt.minimize(Sum(*tests))

print (opt.check())
m=opt.model()

for i in range(TOTAL_TESTS):
    t=m[tests[i]].as_long()
    if t==1:
        print format(i, '05b')

The output:

inputs: (0, 0, 0, 0, 0) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 0, 0, 0, 1) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 0, 0, 1, 0) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 0, 0, 1, 1) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 0, 1, 0, 0) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 0, 1, 0, 1) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 0, 1, 1, 0) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 0, 1, 1, 1) outputs of all gates: {0: 0, 1: 1, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 1, 0, 0, 0) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 1, 0, 0, 1) outputs of all gates: {0: 0, 1: 1, 2: 0, 3: 0, 4: 0, 5: 1, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 1, 0, 1, 0) outputs of all gates: {0: 0, 1: 0, 2: 1, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 1, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 1}
inputs: (0, 1, 0, 1, 1) outputs of all gates: {0: 0, 1: 1, 2: 1, 3: 0, 4: 0, 5: 1, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 1}
inputs: (0, 1, 1, 0, 0) outputs of all gates: {0: 0, 1: 0, 2: 1, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (0, 1, 1, 0, 1) outputs of all gates: {0: 1, 1: 1, 2: 0, 3: 0, 4: 0, 5: 1, 6: 0, 7: 1, 8: 1, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 1}
inputs: (0, 1, 1, 1, 0) outputs of all gates: {0: 0, 1: 1, 2: 1, 3: 0, 4: 0, 5: 0, 6: 0, 7: 1, 8: 1, 9: 0, 10: 1, 11: 1, 12: 0, 13: 1, 14: 1}
inputs: (1, 0, 0, 0, 0) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 0, 0, 0, 1) outputs of all gates: {0: 0, 1: 0, 2: 1, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 0, 0, 1, 0) outputs of all gates: {0: 0, 1: 1, 2: 0, 3: 1, 4: 0, 5: 0, 6: 0, 7: 1, 8: 0, 9: 1, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 0, 0, 1, 1) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 1, 4: 0, 5: 0, 6: 0, 7: 1, 8: 0, 9: 1, 10: 1, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 0, 1, 0, 0) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 1, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 0, 1, 0, 1) outputs of all gates: {0: 0, 1: 1, 2: 1, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 1, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 0, 1, 1, 0) outputs of all gates: {0: 0, 1: 1, 2: 1, 3: 0, 4: 0, 5: 0, 6: 0, 7: 1, 8: 0, 9: 1, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 0, 1, 1, 1) outputs of all gates: {0: 0, 1: 1, 2: 1, 3: 1, 4: 0, 5: 0, 6: 1, 7: 1, 8: 0, 9: 1, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 1, 0, 0, 0) outputs of all gates: {0: 0, 1: 0, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 1, 0, 0, 1) outputs of all gates: {0: 0, 1: 1, 2: 1, 3: 0, 4: 0, 5: 1, 6: 1, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 1, 0, 1, 0) outputs of all gates: {0: 0, 1: 1, 2: 1, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 1, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 1, 0, 1, 1) outputs of all gates: {0: 0, 1: 1, 2: 0, 3: 0, 4: 1, 5: 1, 6: 1, 7: 0, 8: 1, 9: 1, 10: 0, 11: 1, 12: 1, 13: 0, 14: 1}
inputs: (1, 1, 1, 0, 0) outputs of all gates: {0: 0, 1: 1, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 0, 8: 0, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 1, 1, 0, 1) outputs of all gates: {0: 1, 1: 1, 2: 0, 3: 0, 4: 0, 5: 0, 6: 0, 7: 1, 8: 1, 9: 0, 10: 0, 11: 0, 12: 0, 13: 0, 14: 0}
inputs: (1, 1, 1, 1, 0) outputs of all gates: {0: 0, 1: 1, 2: 0, 3: 1, 4: 0, 5: 1, 6: 1, 7: 1, 8: 1, 9: 1, 10: 1, 11: 1, 12: 1, 13: 0, 14: 0}
output #0
false if: [0, 1, 2, 3, 4, 6, 8, 9, 10, 11, 12, 14, 16, 17, 18, 19, 20, 22, 24, 25, 26, 27, 28, 30]
true if: [5, 7, 13, 15, 21, 23, 29, 31]
output #1
false if: [0, 1, 2, 3, 4, 5, 8, 10, 12, 15, 16, 17, 18, 19, 20, 21, 24, 26, 28, 31]
true if: [6, 7, 9, 11, 13, 14, 22, 23, 25, 27, 29, 30]
output #2
false if: [0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 12, 13, 15, 16, 18, 20, 22, 24, 27, 28]
true if: [10, 11, 14, 17, 19, 21, 23, 25, 26, 29, 30, 31]
output #3
This is it, you can test this circuit using just 3 test vectors: 01111, 10001 and 11011.

However, Donald Knuth’s test set is bigger: 5 test vectors, but his algorithm also checks “fanout” gates (one input, multiple outputs), which also may be faulty. I’ve omitted this for simplification.
14.4 GCD and LCM

Mathematics for Programmers\(^5\) has short explanation of GCD and LCM.

14.4.1 GCD

To compute GCD, one of the oldest algorithms is used: Euclidean algorithm. But, I can demonstrate how to make things much less efficient, but more spectacular.

To find GCD of 14 and 8, we are going to solve this system of equations:

\[
\begin{align*}
x \cdot \text{GCD} &= 14 \\
y \cdot \text{GCD} &= 8
\end{align*}
\]

Then we drop \(x\) and \(y\), we don't need them. This system can be solved using a piece of paper and pencil, but GCD must be as big as possible. Here we can use Z3 in MaxSMT mode:

```python
#!/usr/bin/env python
from z3 import *
opt = Optimize()
x,y,GCD=Ints('x y GCD')
opt.add(x*GCD==14)
opt.add(y*GCD==8)
h=opt.maximize(GCD)
print (opt.check())
print (opt.model())
```

That works:

```
sat
[y = 4, x = 7, GCD = 2]
```

What if we need to find GCD for 3 numbers? Maybe we are going to fill a space with biggest possible cubes?

```python
#!/usr/bin/env python
from z3 import *
opt = Optimize()
x,y,z,GCD=Ints('x y z GCD')
opt.add(x*GCD==300)
opt.add(y*GCD==333)
opt.add(z*GCD==900)
h=opt.maximize(GCD)
print (opt.check())
print (opt.model())
```

This is 3:
In SMT-LIB form:

; checked with Z3 and MK85

; must be 21
; see also: https://www.wolframalpha.com/input/?i=GCD[861,3969,840]

(declare-fun x () (_ BitVec 16))
(declare-fun y () (_ BitVec 16))
(declare-fun z () (_ BitVec 16))
(declare-fun GCD () (_ BitVec 16))

(assert (= (bvmul ((_ zero_extend 16) x) ((_ zero_extend 16) GCD)) (_ bv861 32)))
(assert (= (bvmul ((_ zero_extend 16) y) ((_ zero_extend 16) GCD)) (_ bv3969 32)))
(assert (= (bvmul ((_ zero_extend 16) z) ((_ zero_extend 16) GCD)) (_ bv840 32)))

(maximize GCD)

(check-sat)
(get-model)

; correct result:
;(model
 ; (define-fun x () (_ BitVec 16) (_ bv41 16)) ; 0x29
 ; (define-fun y () (_ BitVec 16) (_ bv189 16)) ; 0xbd
 ; (define-fun z () (_ BitVec 16) (_ bv40 16)) ; 0x28
 ; (define-fun GCD () (_ BitVec 16) (_ bv21 16)) ; 0x15
 ;)

14.4.2 Least Common Multiple

To find LCM of 4 and 6, we are going to solve the following diophantine (i.e., allowing only integer solutions) system of equations:

\[ 4x = 6y = LCM \]

... where LCM>0 and as small, as possible.

```python
#!/usr/bin/env python

from z3 import *

opt = Optimize()

x,y,LCM=Ints('x y LCM')

opt.add(x*4==LCM)
opt.add(y*6==LCM)
opt.add(LCM>0)

h=opt.minimize(LCM)

print (opt.check())
print (opt.model())
```

The (correct) answer:
14.5 Assignment problem

I’ve found this at http://www.math.harvard.edu/archive/20_spring_05/handouts/assignment_overheads.pdf and took screenshot:

Example 1: You work as a sales manager for a toy manufacturer, and you currently have three salespeople on the road meeting buyers. Your salespeople are in Austin, TX; Boston, MA; and Chicago, IL. You want them to fly to three other cities: Denver, CO; Edmonton, Alberta; and Fargo, ND. The table below shows the cost of airplane tickets in dollars between these cities.

<table>
<thead>
<tr>
<th>From</th>
<th>Denver</th>
<th>Edmonton</th>
<th>Fargo</th>
</tr>
</thead>
<tbody>
<tr>
<td>Austin</td>
<td>250</td>
<td>400</td>
<td>350</td>
</tr>
<tr>
<td>Boston</td>
<td>400</td>
<td>600</td>
<td>350</td>
</tr>
<tr>
<td>Chicago</td>
<td>200</td>
<td>400</td>
<td>250</td>
</tr>
</tbody>
</table>

Where should you send each of your salespeople in order to minimize airfare?

As in my previous examples, Z3 and SMT-solver may be overkill for the task. Simpler algorithm exists for this task (Hungarian algorithm/method) 6.

But again, I use it to demonstrate the problem + as SMT-solvers demonstration.

Here is what I do:

```python
#!/usr/bin/env python

from z3 import *

# this is optimization problem:
s=Optimize()

choice=[Int('choice_%d' % i) for i in range(3)]
row_value=[Int('row_value_%d' % i) for i in range(3)]

for i in range(3):
    #!
```

6See also: https://en.wikipedia.org/wiki/Hungarian_algorithm.
In plain English this means *choose such columns, so that their sum would be as small as possible.*

Result is seems to be correct:

```
sat
[choice_0 = 1,
 choice_1 = 2,
 choice_2 = 0,
 z3name!12 = 0,
 z3name!7 = 1,
 z3name!10 = 2,
 z3name!8 = 0,
 z3name!11 = 0,
 z3name!9 = 0,
 final_sum = 950,
 row_value_2 = 200,
 row_value_1 = 350,
 row_value_0 = 400]
```

Again, as it is in the corresponding PDF presentation:
After checking all six possible assignments we can determine that the optimal one is the following.

\[
\begin{bmatrix}
250 & 400 & 350 \\
400 & 600 & 350 \\
200 & 400 & 250
\end{bmatrix}
\]

The total cost of this assignment is $400 + $350 + $200 = $950.

Thus your salespeople should travel from Austin to Edmonton, Boston to Fargo, and Chicago to Denver.

(However, I've no idea what “z3name” variables mean, perhaps, some internal variables?)

The problem can also be stated in SMT-LIB 2.0 format, and solved using MK85:

```plaintext
; checked with Z3 and MK85

(declare-fun choice1 () (_ BitVec 2))
(declare-fun choice2 () (_ BitVec 2))
(declare-fun choice3 () (_ BitVec 2))

(declare-fun row_value1 () (_ BitVec 16))
(declare-fun row_value2 () (_ BitVec 16))
(declare-fun row_value3 () (_ BitVec 16))

(declare-fun final_sum () (_ BitVec 16))

(assert (bvule choice1 (_ bv3 2)))
(assert (bvule choice2 (_ bv3 2)))
(assert (bvule choice3 (_ bv3 2)))

(assert (distinct choice1 choice2 choice3))

(assert (= row_value1
    (ite (= choice1 (_ bv0 2)) (_ bv250 16)
     (ite (= choice1 (_ bv1 2)) (_ bv400 16)
      (ite (= choice1 (_ bv2 2)) (_ bv250 16)
        (_ bv999 16)))))
```
(assert (= row_value2
 (ite (= choice2 (_ bv0 2)) (_ bv400 16)
 (ite (= choice2 (_ bv1 2)) (_ bv600 16)
 (ite (= choice2 (_ bv2 2)) (_ bv350 16)
 (_ bv999 16)))))

(assert (= row_value3
 (ite (= choice3 (_ bv0 2)) (_ bv200 16)
 (ite (= choice3 (_ bv1 2)) (_ bv400 16)
 (ite (= choice3 (_ bv2 2)) (_ bv250 16)
 (_ bv999 16)))))

(assert (= final_sum (bvadd row_value1 row_value2 row_value3)))

(minimize final_sum)

(check-sat)

(get-model)

Listing 14.1: The result

sat
(model
 (define-fun choice1 () (_ BitVec 2) (_ bv1 2)) ; 0x1
 (define-fun choice2 () (_ BitVec 2) (_ bv2 2)) ; 0x2
 (define-fun choice3 () (_ BitVec 2) (_ bv0 2)) ; 0x0
 (define-fun row_value1 () (_ BitVec 16) (_ bv400 16)) ; 0x190
 (define-fun row_value2 () (_ BitVec 16) (_ bv350 16)) ; 0x15e
 (define-fun row_value3 () (_ BitVec 16) (_ bv200 16)) ; 0xc8
 (define-fun final_sum () (_ BitVec 16) (_ bv950 16)) ; 0x3b6
)

14.6 Find maximal clique using Open-WBO

Mathematics for Programmers has a short intro to graph cliques.

Though not the most efficient method, but very spectacular and instructive.

Given the 50-vertices graph: https://yurichev.com/SAT_SMT_tree/MaxSxT/clique_openwbo/edges.txt.

#!/usr/bin/env python3
# -*- coding: utf-8 -*-

import my_utils, SAT_lib
import sys, re

VERTICES=50

s=SAT_lib.SAT_lib(maxsat=True)

f=open(sys.argv[1],"r")

vertices=[s.create_var() for v in range(VERTICES)]
# as much vertices, as possible:
for v in range(VERTICES):
    s.fix_soft_always_true(vertices[v], 1)

7https://yurichev.com/writings/Math-for-programmers.pdf
edges=set()
while True:
    raw=f.readline()
    l=raw.rstrip()
    if len(l)==0:
        break

m=re.search('UndirectedEdge\[(.*, .*)\]', l)
if m!=None:
    v1=int(m.group(1))-1
    v2=int(m.group(2))-1
    edges.add((v1,v2))
    edges.add((v2,v1))

for i in range(VERTESES):
    for j in range(VERTESES):
        if i==j:
            continue
        if (i,j) not in edges:
            # if edge is present, two vertices in the pair cannot be present simultaneously:
            s.add_clause([s.neg(vertes[i]), s.neg(vertes[j])])

f.close()

print("going to run open-wbo")
if s.solve()==False:
    print("unsat")
    exit(0)
else:
    print("sat")

print("")

for v in range(VERTESES):
    val=s.get_var_from_solution(vertes[v])
    if val!=0:
        print(v+1)

Resulting WCNF file:

p wcnf 52 1502 10000
...

c soft clauses: vertices:
  1 3 0
  1 4 0
  1 5 0
  1 6 0
  ...
  1 49 0
  1 50 0
  1 51 0
  1 52 0


c hard clauses: list of all non-existent pairs:
10000 -3 -5 0
And the (correct) result, however, not the single one:

going to run open-wbo
sat
1
2
7
14
42
46

14.7 "Polite customer” problem

This is probably not relevant in the era of credit cards, but...

In a shop or bar, you have to pay something in cash and, as a polite customer, you try to give such a set of banknotes, so that the cashier will not touch small banknotes in his/her cash desk, which are valuable (because of impoliteness of many others).

For a small amount of money, this can be solved in one’s mind, but for large...

The problem is surprisingly easy to solve using Z3 SMT-solver:

from z3 import *

# we don't use coins for simplicity, but it's not a problem to add them

# banknotes in cash register
cash_register_1=1
cash_register_3=0
cash_register_5=2
cash_register_10=2
cash_register_25=0
cash_register_50=12
cash_register_100=10

# ... in customer's wallet
customer_wallet_1=2
customer_wallet_3=1
customer_wallet_5=1
customer_wallet_10=1
customer_wallet_25=0
customer_wallet_50=15
customer_wallet_100=20

# what customer have to pay
checkout=2135

from_cash_register_1=Int('from_cash_register_1')
from_cash_register_3=Int('from_cash_register_3')
from_cash_register_5=Int('from_cash_register_5')
from_cash_register_10=Int('from_cash_register_10')
from_cash_register_25=Int('from_cash_register_25')
from_cash_register_50=Int('from_cash_register_50')
from_cash_register_100=Int('from_cash_register_100')

from_customer_wallet_1=Int('from_customer_wallet_1')
from_customer_wallet_3=Int('from_customer_wallet_3')
from_customer_wallet_5=Int('from_customer_wallet_5')
from_customer_wallet_10=Int('from_customer_wallet_10')
from_customer_wallet_25=Int('from_customer_wallet_25')
from_customer_wallet_50=Int('from_customer_wallet_50')
from_customer_wallet_100=Int('from_customer_wallet_100')

s=Optimize()

# banknotes pulled from cash_register are limited by 0 and what is defined:
s.add(And(from_cash_register_1 >= 0, from_cash_register_1 <= cash_register_1))
s.add(And(from_cash_register_3 >= 0, from_cash_register_3 <= cash_register_3))
s.add(And(from_cash_register_5 >= 0, from_cash_register_5 <= cash_register_5))
s.add(And(from_cash_register_10 >= 0, from_cash_register_10 <= cash_register_10))
s.add(And(from_cash_register_25 >= 0, from_cash_register_25 <= cash_register_25))
s.add(And(from_cash_register_50 >= 0, from_cash_register_50 <= cash_register_50))
s.add(And(from_cash_register_100 >= 0, from_cash_register_100 <= cash_register_100))

# same for customer's wallet:
s.add(And(from_customer_wallet_1 >= 0, from_customer_wallet_1 <= customer_wallet_1))
s.add(And(from_customer_wallet_3 >= 0, from_customer_wallet_3 <= customer_wallet_3))
s.add(And(from_customer_wallet_5 >= 0, from_customer_wallet_5 <= customer_wallet_5))
s.add(And(from_customer_wallet_10 >= 0, from_customer_wallet_10 <= customer_wallet_10))
s.add(And(from_customer_wallet_25 >= 0, from_customer_wallet_25 <= customer_wallet_25))
s.add(And(from_customer_wallet_50 >= 0, from_customer_wallet_50 <= customer_wallet_50))
s.add(And(from_customer_wallet_100 >= 0, from_customer_wallet_100 <= customer_wallet_100))

from_cash_register=Int('from_cash_register')

# sum:
s.add(from_cash_register==
   from_cash_register_1*1 +
   from_cash_register_3*3 +
   from_cash_register_5*5 +
   from_cash_register_10*10 +
   from_cash_register_25*25 +
   from_cash_register_50*50 +
   from_cash_register_100*100)

from_customer=Int('from_customer')
from_customer ==
from_customer_wallet_1*1 +
from_customer_wallet_3*3 +
from_customer_wallet_5*5 +
from_customer_wallet_10*10 +
from_customer_wallet_25*25 +
from_customer_wallet_50*50 +
from_customer_wallet_100*100)

# the main constraint:
s.add(from_customer - checkout == from_cash_register)

value_of_banknotes_from_cash_register=Int('value_of_banknotes_from_cash_register')

# cashiers value small banknotes more:
s.add(value_of_banknotes_from_cash_register==
from_cash_register_1*100 +
from_cash_register_3*50 +
from_cash_register_5*20 +
from_cash_register_10*10 +
from_cash_register_25*5 +
from_cash_register_50*2 +
from_cash_register_100)

# try to minimize this value during transaction:
s.minimize(value_of_banknotes_from_cash_register)

print s.check()
m=s.model()

print "from_cash_register_1="", m[from_cash_register_1]
print "from_cash_register_3="", m[from_cash_register_3]
print "from_cash_register_5="", m[from_cash_register_5]
print "from_cash_register_10="", m[from_cash_register_10]
print "from_cash_register_25="", m[from_cash_register_25]
print "from_cash_register_50="", m[from_cash_register_50]
print "from_cash_register_100="", m[from_cash_register_100]

print "from_cash_register="", m[from_cash_register]

print ""

print "from_customer_wallet_1="", m[from_customer_wallet_1]
print "from_customer_wallet_3="", m[from_customer_wallet_3]
print "from_customer_wallet_5="", m[from_customer_wallet_5]
print "from_customer_wallet_10="", m[from_customer_wallet_10]
print "from_customer_wallet_25="", m[from_customer_wallet_25]
print "from_customer_wallet_50="", m[from_customer_wallet_50]
print "from_customer_wallet_100="", m[from_customer_wallet_100]

print "from_customer="", m[from_customer]

sat
from_cash_register_1= 0
from_cash_register_3= 0
from_cash_register_5= 0
from_cash_register_10= 2
from_cash_register_25= 0
from_cash_register_50= 0
from_cash_register_100= 0
from_cash_register= 20
from_customer_wallet_1= 2
from_customer_wallet_3= 1
from_customer_wallet_5= 0
from_customer_wallet_10= 0
from_customer_wallet_25= 0
from_customer_wallet_50= 11
from_customer_wallet_100= 16
from_customer= 2155

So that the cashier have to pull only 2 tenners from cash desk.

Now what if we don’t care about small banknotes, but want to make transaction as paperless as possible:

...  
#

minimize number of all banknotes in transaction:

s.minimize(
    from_cash_register_1 +
    from_cash_register_3 +
    from_cash_register_5 +
    from_cash_register_10 +
    from_cash_register_25 +
    from_cash_register_50 +
    from_cash_register_100 +
    from_customer_wallet_1 +
    from_customer_wallet_3 +
    from_customer_wallet_5 +
    from_customer_wallet_10 +
    from_customer_wallet_25 +
    from_customer_wallet_50 +
    from_customer_wallet_100)

...

sat
from_cash_register_1= 0
from_cash_register_3= 0
from_cash_register_5= 1
from_cash_register_10= 1
from_cash_register_25= 0
from_cash_register_50= 0
from_cash_register_100= 0
from_cash_register= 15
from_customer_wallet_1= 0
from_customer_wallet_3= 0
from_customer_wallet_5= 0
from_customer_wallet_10= 0
from_customer_wallet_25= 0
from_customer_wallet_50= 3
Likewise, you can minimize banknotes from cashier only, or from customer only.

### 14.8 Packing students into a dorm

Given, say, 15 students. And they all have various interests/hobbies in their life, like hiking, clubbing, dancing, swimming, maybe hanging out with girls, etc.

A dormitory has 5 rooms. Three students can be accommodated in each room.

The problem to pack them all in such a way, so that all roommates would share as many interests/hobbies with each other, as possible. To make them happy and tight-knit.

This is what I will do using Open-WBO MaxSAT solver this time and my small Python library.

```python
import SATLib

s = SATLib.SAT_lib(maxsat=True)

STUDENTS = 15
CEILING_LOG2_STUDENTS = 4  # 4 bits to hold a number in 0..14 range
POSSIBLE_INTERESTS = 8

# interests/hobbies for each student. 8 are possible:
interests = [
    0b10000100,
    0b01000001,
    0b00000010,
    0b01000001,
    0b00001001,
    0b00101000,
    0b00000011,
    0b01000000,
    0b00001000,
    0b00000010,
    0b01000001,
    0b01000010,
    0b00000011,
    0b01000000,
    0b00001000,
    0b00000010,
    0b01000001,
    0b01000010,
    0b00000011,
    0b01000000,
]
# dummy variable, to pad the list to 16 elements

# each variable is "grounded" to the bitmask from interests[]:
interests_vars = [s.alloc_BV(POSSIBLE_INTERESTS) for i in range(2**CEILING_LOG2_STUDENTS)]
for st in range(2**CEILING_LOG2_STUDENTS):
    s.fix_BV(interests_vars[st], SATLib.n_to_BV(interests[st], POSSIBLE_INTERESTS))

# where each student is located after permutation:
students_positions = [s.alloc_BV(CEILING_LOG2_STUDENTS) for i in range(2**CEILING_LOG2_STUDENTS)]

# permutation. all positions are distinct, of course:
s.make_distinct_BVs(students_positions)

# connect interests of each student to permuted version
# use multiplexer...
interests_of_student_in_position = {}
```
for st in range(2**CEILING_LOG2_STUDENTS):
    interests_of_student_in_position[st]=s.create_wide_MUX (interests_vars, students_positions[st])

# divide result of permutation by triplets
# we want as many similar bits in interests[] between all 3 students, as possible:
for group in range(int(STUDENTS/3)):
    i1=interests_of_student_in_position[group*3]
    i2=interests_of_student_in_position[group*3+1]
    i3=interests_of_student_in_position[group*3+2]
    s.fix_soft_always_true_all_bits_in_BV(s.BV_AND(i1, i2), weight=1)
    s.fix_soft_always_true_all_bits_in_BV(s.BV_AND(i1, i3), weight=1)
    s.fix_soft_always_true_all_bits_in_BV(s.BV_AND(i2, i3), weight=1)

assert s.solve()

def POPCNT(v):
    rt=0
    for i in range(POSSIBLE_INTERESTS):
        if ((v>>i)&1)==1:
            rt=rt+1
    return rt

total_in_all_groups=0

# print solution:
for group in range(int(STUDENTS/3)):
    print ("* group", group)
    st1=SAT_lib.BV_to_number(s.get_BV_from_solution(students_positions[group*3]))
    st2=SAT_lib.BV_to_number(s.get_BV_from_solution(students_positions[group*3+1]))
    st3=SAT_lib.BV_to_number(s.get_BV_from_solution(students_positions[group*3+2]))

    print ("students:", st1, st2, st3)

    c12=POPCNT(interests[st1]&interests[st2])
    c13=POPCNT(interests[st1]&interests[st3])
    c23=POPCNT(interests[st2]&interests[st3])

    print ("common interests between 1 and 2:", c12)
    print ("common interests between 1 and 3:", c13)
    print ("common interests between 2 and 3:", c23)

    total=c12+c13+c23
    print ("total=", total)
    total_in_all_groups=total_in_all_groups+total

print ("* total in all groups=", total_in_all_groups)

Most significant parts from the library used, are:

# bitvectors must be different.
def fix_BV_NEQ(self, l1, l2):
    # print len(l1), len(l2)
    assert len(l1)==len(l2)
    self.add_comment("fix_BV_NEQ")
    t=[self.XOR(l1[i], l2[i]) for i in range(len(l1))]
    self.add_clause(t)
def make_distinct_BVs(self, lst):
    assert type(lst)==list
    assert type(lst[0])==list
    for pair in itertools.combinations(lst, r=2):
        self.fix_BV_NEQ(pair[0], pair[1])

... 

def create_MUX(self, ins, sels):
    assert 2**len(sels)==len(ins)
    x=self.create_var()
    for sel in range(len(ins)):  # for example, 32 for 5-bit selector
        tmp=[self.neg_if((sel>>i)&1==1, sels[i]) for i in range(len(sels))]  # 5 for 5-bit selector
        self.add_clause([self.neg(ins[sel])] + tmp + [x])
        self.add_clause([ins[sel]] + tmp + [self.neg(x)])
    return x

# for 1-bit sel
# ins=[[outputs for sel==0], [outputs for sel==1]]
def create_wide_MUX(self, ins, sels):
    out=[]
    for i in range(len(ins[0])):
        inputs=[x[i] for x in ins]
        out.append(self.create_MUX(inputs, sels))
    return out

(https://yurichev.com/SAT_SMT_tree/libs/SAT_lib.py)
... and then Open-WBO MaxSAT searches such a solution, for which as many soft clauses as possible would be satisfied, i.e., as many hobbies shared, as possible.

And the result:

* group 0
  students: 7 12 1
  common interests between 1 and 2: 1
  common interests between 1 and 3: 1
  common interests between 2 and 3: 0
  total= 2
* group 1
  students: 13 2 10
  common interests between 1 and 2: 0
  common interests between 1 and 3: 1
  common interests between 2 and 3: 0
  total= 1
* group 2
  students: 3 4 14
  common interests between 1 and 2: 1
  common interests between 1 and 3: 1
  common interests between 2 and 3: 0
  total= 2
* group 3
  students: 8 5 11
  common interests between 1 and 2: 0
  common interests between 1 and 3: 0
  common interests between 2 and 3: 1
  total= 1
* group 4
14.9 Finding optimal beer can size using SMT solver

This is classic calculus problem: given a volume of a can, find such a height and radius of a can, so that you’ll spent least material to make it (tin, or whatever you use).

Since my toy SMT-solver MK85 supports only integers (in bitvectors) instead of reals, I can try to solve this problem on integers. What to do with \(\pi\)? Let’s round it to 3.

```
(declare-fun AlmostPi () (_ BitVec 16))
(assert (= AlmostPi (_ bv3 16)))

; unknowns
(declare-fun Radius () (_ BitVec 16))
(declare-fun Height () (_ BitVec 16))

; There are may not be solutions for Volume=10000, since this we work on integers
; So let’s ask for solution for Volume somewhere in between 10000 and 10500...
(declare-fun Volume () (_ BitVec 16))
(assert (bvuge Volume (_ bv10000 16)))
(assert (bvule Volume (_ bv10500 16)))

; \(r\) \(r\)
(declare-fun Radius2 () (_ BitVec 16))
(assert (= Radius2 (bvmul_no_overflow Radius Radius)))

; \(\pi\) \(r\) \(r\)
(declare-fun AreaOfBase () (_ BitVec 16))
(assert (= AreaOfBase (bvmul_no_overflow Radius2 AlmostPi)))

; \(2\pi r\)
(declare-fun Circumference () (_ BitVec 16))
(assert (= Circumference (bvmul_no_overflow (bvmul_no_overflow Radius AlmostPi) (_ bv2 16))))

; Volume = Height * AreaOfBase
(assert (= Volume (bvmul_no_overflow Height AreaOfBase)))

; surface of cylinder = Circumference * Height + 2*AreaOfBase
(declare-fun SurfaceOfCylinder () (_ BitVec 16))
(assert (= SurfaceOfCylinder (bvadd (bvmul_no_overflow Circumference Height) (bvmul_no_overflow AreaOfBase (_ bv2 16)))))

(minimize SurfaceOfCylinder)

(check-sat)
(get-model)
```

The solution:

```
sat
```
For Volume=10140, Radius=13, Height=20. Hard to believe, but this is almost correct. I can check it with Wolfram Mathematica:

```
In[1]:= FindMinimum[{2*Pi*r*h + Pi*r*r*2, h*Pi*r*r == 10000}, {r, h}]
Out[1]= {2569.5, {r -> 11.6754, h -> 23.3509}}
```

Error is 3.

Using my toy solver and \(\pi\) fixed to 3, perhaps, my results cannot be used in practice, however, we can deduce a general rule: height must be the same as diameter, so that you'll spend minimum tin (or other material) to make it.

Probably, the can will not be suitable for stacking, packing, transporting, or holding in hand, but this is the most economical way to produce them.

### 14.9.1 A jar

What about a jar (a can without top (or bottom))?

```
... ; surface of jar = Circumference * Height + AreaOfBase
(declare-fun SurfaceOfJar () (_ BitVec 16))
(assert (= SurfaceOfJar (bvadd (bvmul_no_overflow Circumference Height) AreaOfBase)))
(minimize SurfaceOfJar)
```

```
sat
(model
 (define-fun AlmostPi () (_ BitVec 16) (_ bv3 16)) ; 0x3
 (define-fun AreaOfBase () (_ BitVec 16) (_ bv675 16)) ; 0x2a3
 (define-fun Circumference () (_ BitVec 16) (_ bv90 16)) ; 0x5a
 (define-fun Height () (_ BitVec 16) (_ bv15 16)) ; 0xf
 (define-fun Radius () (_ BitVec 16) (_ bv15 16)) ; 0xf
 (define-fun Radius2 () (_ BitVec 16) (_ bv225 16)) ; 0xe1
 (define-fun SurfaceOfJar () (_ BitVec 16) (_ bv2025 16)) ; 0x7e9
 (define-fun Volume () (_ BitVec 16) (_ bv10125 16)) ; 0x278d
)
```

For Volume=10125, Radius=15 and Height=15. We can see that for jar, the height must be equal to the radius. Let’s recheck in Mathematica:

```
In[]:= FindMinimum[{2*Pi*r*h + Pi*r*r*2, h*Pi*r*r == 10000}, {r, h}]
Out[]: {2039.41, {r -> 14.7101, h -> 14.7101}}
```

Correct!

Yes, you’ve been probably taught in school to solve this using paper and pencil, but... The fun thing is that I never knew calculus at all, but I could write my toy bit-blaster, which can give such answers. And thanks to Open-WBO, which is used in my MK85 as external MaxSAT solver.

Since I’m using non-standard SMT-LIB function \(\text{bvmul\_no\_overflow}\), this will not work on other SMT solvers. For Z3, \(\text{bvumul\_noovfl}\) is to be used: https://github.com/Z3Prover/z3/issues/574

See also: https://demonstrations.wolfram.com/MinimizingTheSurfaceAreaOfACylinderWithAFixedVolume/
14.10 Choosing between short/long jumps in x86 assembler

As you may know, there are two JMP instructions in x86: short one (EB xx) and long one (E9 xx xx xx xx). The first can encode short offsets: [current_address-127 ... current_address+128], the second can encode 32-bit offset. During assembling (converting assembly code into machine opcodes) you can put long JMPs, and it’s OK. But here is a problem: you may want to make your code as tight as possible and use short JMPs whenever possible. Given the fact that JMPs are inside code itself and affecting code size. What can you do?

This is an example of some assembly program:

```
label_1:
    +--------+
    |        |
    | block 1 | block1_size
    |        |
    +--------+
    JMP label_3 JMP_1_size

label_2:
    +--------+
    |        |
    | block 2 | block2_size
    |        |
    +--------+
    JMP label_5 JMP_2_size

label_3:
    +--------+
    |        |
    | block 3 | block3_size
    |        |
    +--------+
    JMP label_2 JMP_3_size

label_4:
    +--------+
    |        |
    | block 4 | block4_size
    |        |
    +--------+
    JMP label_1 JMP_4_size

label_5:
    +--------+
    |        |
    | block 5 | block5_size
    |        |
    +--------+
    JMP label_3 JMP_5_size
```

from z3 import *

# this is simplification, "back" offsets are limited by 127 bytes, "forward" ones by 128 bytes, but OK, let's say,
# all of them are 128:
def JMP_size (offset):
    return If(offset>128, 5, 2)

block1_size=64
block2_size=81
block3_size=12
block4_size=50
block5_size=60

s=Optimize()

JMP_1_size=Int('JMP_1_size')
JMP_2_size=Int('JMP_2_size')
JMP_3_size=Int('JMP_3_size')
JMP_4_size=Int('JMP_4_size')
JMP_5_size=Int('JMP_5_size')

JMP_1_offset=Int('JMP_1_offset')
JMP_2_offset=Int('JMP_2_offset')
JMP_3_offset=Int('JMP_3_offset')
JMP_4_offset=Int('JMP_4_offset')
JMP_5_offset=Int('JMP_5_offset')

# calculate all JMPs offsets, these are block sizes and also other's JMPs sizes between
# the current address
# and destination address:
s.add(JMP_1_offset==block2_size+JMP_2_size)
s.add(JMP_2_offset==block3_size+JMP_3_size + block4_size+JMP_4_size)
s.add(JMP_3_offset==block2_size+JMP_2_size + block3_size)
s.add(JMP_4_offset==block1_size+JMP_1_size + block2_size+JMP_2_size + block3_size+
    JMP_3_size + block4_size)
s.add(JMP_5_offset==block3_size+JMP_3_size + block4_size+JMP_4_size + block5_size)

# what are sizes of all JMPs, 2 or 5?
s.add(JMP_1_size==JMP_size(JMP_1_offset))
s.add(JMP_2_size==JMP_size(JMP_2_offset))
s.add(JMP_3_size==JMP_size(JMP_3_offset))
s.add(JMP_4_size==JMP_size(JMP_4_offset))
s.add(JMP_5_size==JMP_size(JMP_5_offset))

# minimize size of all jumps (this is optimization problem):
s.minimize(JMP_1_size + JMP_2_size + JMP_3_size + JMP_4_size + JMP_5_size)

print s.check()
print s.model()

Listing 14.2: The result

sat
[JMP_2_size = 2,
 JMP_1_offset = 83,
 JMP_5_size = 5,
 JMP_5_offset = 129,
 JMP_2_offset = 69,
 JMP_4_size = 5,
 JMP_4_offset = 213,
 JMP_1_size = 2,
 JMP_3_size = 2,
 JMP_3_offset = 95]

I.e., JMP_4 and JMP_5 JMPs must be long ones, others can be short ones.

Other simplification I made for the sake of example: short conditional Jcc's can also be encoded using 2 bytes, long ones using 6 bytes rather than 5 (5 is unconditional JMP).
Chapter 15

Synthesis

Synthesis is construction of some structure, according to specification...

15.1 Logic circuits synthesis

15.1.1 Simple logic synthesis using Z3 and Apollo Guidance Computer

What a smallest possible logic circuit is possible for a given truth table?

Let’s try to define truth tables for inputs and outputs and find smallest circuit. This program is almost the same as I mentioned earlier: 15.2.1, but reworked slightly:

```python
#!/usr/bin/env python
from z3 import *
import sys

I_AND, I_OR, I_XOR, I_NOT, I_NOR3 = 0,1,2,3,4

# 1-bit NOT
"""
INPUTS=[0b10]
OUTPUTS=[0b01]
BITS=2
add_always_false=False
add_always_true=True
avail=[I_XOR]
#avail=[I_NOR3]
"""

# 2-input AND
"""
INPUTS=[0b1100, 0b1010]
OUTPUTS=[0b1000]
BITS=4
add_always_false=False
add_always_true=True
avail=[I_OR, I_NOT]
#avail=[I_NOR3]
"""

# 2-input XOR
"""
INPUTS=[0b1100, 0b1010]
OUTPUTS=[0b0110]
```

345
BITS = 4
add_always_false = False
# add_always_false = True
add_always_true = False
# add_always_true = True
# avail = [I_NOR3]
# avail = [I_AND, I_NOT]
avail = [I_OR, I_NOT]
#
# parity (or popcnt)
""" TT for parity
000 0
001 1
010 1
011 0
100 1
101 0
110 0
111 0
"""

"""

INPUTS = [0b11110000, 0b11001100, 0b10101010]
OUTPUTS = [0b00010110]
BITS = 8
add_always_false = False
add_always_true = False
# add_always_false = True
# add_always_true = True
# avail = [I_AND, I_XOR, I_OR, I_NOT]
# avail = [I_XOR, I_OR, I_NOT]
# avail = [I_AND, I_OR, I_NOT]

# full-adder
"""

INPUTS = [0b11110000, 0b11001100, 0b10101010]
OUTPUTS = [0b11101000, 0b10010110]  # carry-out, sum
BITS = 8
add_always_false = False
add_always_true = False
# avail = [I_AND, I_OR, I_XOR, I_NOT]
# avail = [I_AND, I_OR, I_NOT]
# avail = [I_NOR3]

# popcnt
""" TT for popcnt

in  HL
000 00
001 01
010 01
011 10
100 01
101 10
110 10
INPUTS=[0b11110000, 0b11001100, 0b10101010]  # high, low
OUTPUTS=[0b11101000, 0b10010110]  # high, low
BITS=8
add_always_false=False
add_always_true=False
# avail=[I_AND, I_OR, I_XOR, I_NOT]
avail=[I_NOR3]

# majority for 3 bits
""" TT for majority (true if 2 or 3 bits are True)  
000 0
001 0
010 0
011 1
100 0
101 1
110 1
111 1
"""

INPUTS=[0b11110000, 0b11001100, 0b10101010]  # high, low
OUTPUTS=[0b11101000]  # high, low
BITS=8
add_always_false=False
add_always_true=False
# avail=[I_AND, I_OR, I_XOR, I_NOT]
avail=[I_NOR3]

# 2-bit adder:
"""
INPUTS=[0b1111111000000000, 0b1111000011110000, 0b1100110011001100, 0b1010101010101010]  # high, low
OUTPUTS=[0b1001001101101100, 0b0101101001011010]  # high, low
BITS=16
add_always_false=True
add_always_true=True
# add_always_false=False
# add_always_true=False
# avail=[I_AND, I_OR, I_XOR, I_NOT]
# avail=[I_NOR3]

# 7-segment display
INPUTS=[0b1111111000000000, 0b1111000011110000, 0b1100110011001100, 0b1010101010101010]  # g
# "g" segment, like here: http://www.nutsvolts.com/uploads/wygvam/NV_0501_Marston_Figure02.jpg
OUTPUTS=[0b111011101111100]  # g
BITS=16
add_always_false=False
add_always_true=False
# avail=[I_AND, I_OR, I_XOR, I_NOT]
# avail=[I_NOR3]
MAX_STEPS=20

# if additional always-false or always-true must be present:
if add_always_false:
    INPUTS.append(0)
if add_always_true:
    INPUTS.append(2**BITS-1)

# this called during self-testing:
def eval_ins(R, s, m, STEPS, op, op1_reg, op2_reg, op3_reg):
    op_n=m[op[s]].as_long()
    op1_reg_tmp=m[op1_reg[s]].as_long()
    op1_val=R[op1_reg_tmp]
    op2_reg_tmp=m[op2_reg[s]].as_long()
    op3_reg_tmp=m[op3_reg[s]].as_long()
    if op_n in [I_AND, I_OR, I_XOR, I_NOR3]:
        op2_val=R[op2_reg_tmp]
        if op_n==I_AND:
            return op1_val&op2_val
        elif op_n==I_OR:
            return op1_val|op2_val
        elif op_n==I_XOR:
            return op1_val^op2_val
        elif op_n==I_NOT:
            return ~op1_val
        elif op_n==I_NOR3:
            op3_val=R[op3_reg_tmp]
            return ~((op1_val|op2_val|op3_val))
    else:
        raise AssertionError

# evaluate program we've got, for self-testing.
def eval_pgm(m, STEPS, op, op1_reg, op2_reg, op3_reg):
    R=[None]*STEPS
    for i in range(len(INPUTS)):
        R[i]=INPUTS[i]
    for s in range(len(INPUTS),STEPS):
        R[s]=eval_ins(R, s, m, STEPS, op, op1_reg, op2_reg, op3_reg)
    return R

# get all states, for self-testing:
def selftest(m, STEPS, op, op1_reg, op2_reg, op3_reg):
    l=eval_pgm(m, STEPS, op, op1_reg, op2_reg, op3_reg)
    print "simulate:
    for i in range(len(l)):
        print "r%d" %= format(l[i] & 2**BITS-1, '0'+str(BITS)+'b')

selector() functions generates expression like:
If(op1_reg_s5 == 0,
    S_s0,
    If(op1_reg_s5 == 1,
        S_s1,
        If(op1_reg_s5 == 2,
            S_s2,
            If(op1_reg_s5 == 3,
                S_s3,
                If(op1_reg_s5 == 4,
                    S_s4,
                    If(op1_reg_s5 == 5,
                        S_s5,
                        If(op1_reg_s5 == 6,
                            S_s6,
                            If(op1_reg_s5 == 7,
                                S_s7,
                                If(op1_reg_s5 == 8,
                                    S_s8,
                                    If(op1_reg_s5 == 9,
                                        S_s9,
                                        If(op1_reg_s5 == 10,
                                            S_s10,
                                            If(op1_reg_s5 == 11,
                                                S_s11,
                                                0))))))))))

this is like multiplexer or switch()

""

def selector(R, s):
    t=0 # default value
    for i in range(MAX_STEPS):
        t=If(s==(MAX_STEPS-i-1), R[MAX_STEPS-i-1], t)
    return t

def simulate_op(R, op, op1_reg, op2_reg, op3_reg):
    op1_val=selector(R, op1_reg)
    return If(op==I_AND, op1_val & selector(R, op2_reg),
             If(op==I_OR, op1_val | selector(R, op2_reg),
             If(op==I_XOR, op1_val ^ selector(R, op2_reg),
             If(op==I_NOT, ~op1_val,
                 If(op==I_NOR3, ~(op1_val | selector(R, op2_reg) | selector(R, op3_reg)),
                 0)))))) # default

op_to_str_tbl=["AND", "OR", "XOR", "NOT", "NOR3"]

def print_model(m, R, STEPS, op, op1_reg, op2_reg, op3_reg):
    print "%d instructions" % (STEPS-len(INPUTS))
    for s in range(STEPS):
        if s<len(INPUTS):
            t="r%d=input" % s
        else:
            op_n=m[op[s]].as_long()
            op_s=op_to_str_tbl[op_n]
            op1_reg_n=m[op1_reg[s]].as_long()
            op2_reg_n=m[op2_reg[s]].as_long()
            op3_reg_n=m[op3_reg[s]].as_long()
if op_n in [I_AND, I_OR, I_XOR]:
    t="r%d=%s r%d, r%d" % (s, op_s, op1_reg_n, op2_reg_n)
elif op_n==I_NOT:
    t="r%d=%s r%d" % (s, op_s, op1_reg_n)
else: # else NOR3
    t="r%d=%s r%d, r%d, r%d" % (s, op_s, op1_reg_n, op2_reg_n, op3_reg_n)

    tt=format(m[R[s]].as_long(), '0'+str(BITS)+'b')
    print t+" *(25-len(t))+tt"

def attempt(STEPS):
    print "attempt, STEPS=", STEPS
    sl=Solver()

    # state of each register:
    R=[BitVec(('S_s%d' % s), BITS) for s in range(MAX_STEPS)]
    # operation type and operands for each register:
    op=[Int('op_s%d' % s) for s in range(MAX_STEPS)]
    op1_reg=[Int('op1_reg_s%d' % s) for s in range(MAX_STEPS)]
    op2_reg=[Int('op2_reg_s%d' % s) for s in range(MAX_STEPS)]
    op3_reg=[Int('op3_reg_s%d' % s) for s in range(MAX_STEPS)]

    for s in range(len(INPUTS), STEPS):
        # for each step.
        # expression like Or(op[s]==0, op[s]==1, ...) is formed here. values are taken
        # from avail[]
        sl.add(Or(*[op[s]==j for j in avail]))
        # each operand can refer to one of registers BEFORE the current instruction:
        sl.add(And(op1_reg[s]==0, op1_reg[s]<s))
        sl.add(And(op2_reg[s]==0, op2_reg[s]<s))
        sl.add(And(op3_reg[s]==0, op3_reg[s]<s))

    # fill inputs:
    for i in range(len(INPUTS)):
        sl.add(R[i]==INPUTS[i])
    # fill outputs, "must be's"
    for o in range(len(OUTPUTS)):
        sl.add(R[STEPS-(o+1)]==list(reversed(OUTPUTS))[o])

    # connect each register to "simulator":
    for s in range(len(INPUTS), STEPS):
        sl.add(R[s]==simulate_op(R, op[s], op1_reg[s], op2_reg[s], op3_reg[s]))

    tmp=sl.check()

    if tmp==sat:
        print "sat!"
        m=sl.model()
        print_model(m, R, STEPS, op, op1_reg, op2_reg, op3_reg)
        selftest(m, STEPS, op, op1_reg, op2_reg, op3_reg)
        exit(0)
    else:
        print tmp
for s in range(len(INPUTS)+len(OUTPUTS), MAX_STEPS):
    attempt(s)

350
I could generate only small circuits maybe up to \( \approx 10 \) gates, but this is interesting nonetheless.

Also, I’ve always wondering how you can do something usable for Apollo Guidance Computer, which had only one single gate: NOR3? See also its schematics: http://klabs.org/history/ech/agc_schematics/. The answer is De Morgan's laws, but this is not obvious.

INPUTS[] has all possible bit combinations for all inputs, or all possible truth tables. OUTPUTS[] has truth table for each output. All the rest is processed in bitsliced manner. Given that, the resulting program will work on 4/8/16-bit CPU and will generate defined OUTPUTS for defined INPUTS. Or, this program can be treated just like a logic circuit.

**AND gate**

How to build 2-input AND gate using only OR’s and NOT’s?

| INPUTS= [0b1100, 0b1010] |
| OUTPUTS= [0b1000] |
| BITS= 4 |
| avail= [I_OR, I_NOT] |

... 

r0=input 1100 
r1=input 1010 
r2=NOT r1 0101 
r3=NOT r0 0011 
r4=OR r3, r2 0111 
r5=NOT r4 1000 

This is indeed like stated in De Morgan’s laws: \( x \wedge y \) is equivalent to \( \neg(\neg x \vee \neg y) \). Can be used for obfuscation?

Now using only NOR3 gate?

| avail= [I_NOR3] |

... 

r0=input 1100 
r1=input 1010 
r2=NOR3 r1, r1, r1 0101 
r3=NOR3 r2, r0, r0 0010 
r4=NOR3 r3, r2, r2 1000 

**XOR gate**

How to build 2-input XOR using only OR’s and NOT’s?

| INPUTS= [0b1100, 0b1010] |
| OUTPUTS= [0b0110] |
| BITS= 4 |
| avail= [I_OR, I_NOT] |

... 

7 instructions 

r0=input 1100 
r1=input 1010 
r2=OR r1, r0 1110 
r3=NOT r2 0001 
r4=OR r0, r3 1101 
r5=NOT r4 0010 
r6=OR r1, r3 1011
... using only AND's and NOT's?

```
avail=[I_AND, I_NOT]
...
  r0=input 1100
  r1=input 1010
  r2=NOT r1 0101
  r3=AND r1, r0 1000
  r4=NOT r3 0111
  r5=NOT r0 0011
  r6=AND r2, r5 0001
  r7=NOT r6 1110
  r8=AND r4, r7 0110
```

... using only NOR3 gates?

```
avail=[I_NOR3]
...
  r0=input 1100
  r1=input 1010
  r2=NOR3 r1, r1, r1 0101
  r3=NOR3 r0, r0, r1 0001
  r4=NOR3 r0, r2, r3 0010
  r5=NOR3 r2, r4, r2 1000
  r6=NOR3 r3, r5, r3 0110
```

Full-adder

According to Wikipedia, full-adder can be constructed using two XOR gates, two AND gates and one OR gate. But I had no idea 3 XORs and 2 ANDs can be used instead:

```
INPUTS=[0b11110000, 0b11001100, 0b10101010]
OUTPUTS=[0b11101000, 0b10010110] # carry-out, sum
BITS=8
avail=[I_AND, I_OR, I_XOR, I_NOT]
...
5 instructions
  r0=input 11110000
  r1=input 11001100
  r2=input 10101010
  r3=XOR r2, r1 01100110
  r4=AND r0, r3 01100000
  r5=AND r2, r1 10001000
  r6=XOR r4, r5 11101000
  r7=XOR r0, r3 10010110
```

... using only NOR3 gates:

```
avail=[I_NOR3]
...
8 instructions
  r0=input 11110000
  r1=input 11001100
  r2=input 10101010
```
r3=NOR3 r0, r0, r1 00000011
r4=NOR3 r2, r3, r1 00010000
r5=NOR3 r3, r2, r0 00000100
r6=NOR3 r3, r0, r5 00001000
r7=NOR3 r5, r2, r4 01000001
r8=NOR3 r3, r4, r1 00100000
r9=NOR3 r3, r5, r4 11101000
r10=NOR3 r8, r7, r6 10010110

POPCNT

Smallest circuit to count bits in 3-bit input, producing 2-bit output:

```
INPUTS=[0b11110000, 0b11001100, 0b10101010]
OUTPUTS=[0b11101000, 0b10010110]  # high, low
BIT=8
avail=[I_AND, I_OR, I_XOR, I_NOT]

5 instructions
r0=input 11110000
r1=input 11001100
r2=input 10101010
r3=XOR r2, r1 01100110
r4=AND r0, r3 01100000
r5=AND r2, r1 10001000
r6=XOR r4, r5 11101000
r7=XOR r0, r3 10010110
```

... using only NOR3 gates:

```
INPUTS=[0b11110000, 0b11001100, 0b10101010]
OUTPUTS=[0b11101000, 0b10010110]  # high, low
BIT=8
avail=[I_NOR3]

8 instructions
r0=input 11110000
r1=input 11001100
r2=input 10101010
r3=NOR3 r0, r0, r1 00000011
r4=NOR3 r2, r3, r1 00010000
r5=NOR3 r3, r2, r0 00000100
r6=NOR3 r3, r0, r5 00001000
r7=NOR3 r5, r2, r4 01000001
r8=NOR3 r3, r4, r1 00100000
r9=NOR3 r3, r5, r4 11101000
r10=NOR3 r8, r7, r6 10010110
```
Circuit for a central "g" segment of 7-segment display

(The image taken from Wikipedia.)

I couldn’t find a circuit for the all 7 segments, but found for one, a central one ("g"). Yes, encoders like these are usually implemented using a ROM. But I always been wondering, how to do this using only gates.

The truth table for "g" segment I’ve used from this table:

<table>
<thead>
<tr>
<th>Segments (✓ = ON)</th>
<th>Display</th>
<th>Segments (✓ = ON)</th>
<th>Display</th>
</tr>
</thead>
<tbody>
<tr>
<td>a    b    c    d    e    f    g</td>
<td>0</td>
<td>a    b    c    d    e    f    g</td>
<td>8</td>
</tr>
<tr>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
</tr>
<tr>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
</tr>
<tr>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
</tr>
<tr>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
</tr>
<tr>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
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</tr>
<tr>
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<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
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<tr>
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<tr>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
</tr>
</tbody>
</table>

(The image taken from http://www.nutsvolts.com.)

INPUTS=[0b1111111100000000, 0b1111000011110000, 0b1100110011001100, 0b1010101010101010]   # "g" segment, like here: http://www.nutsvolts.com/uploads/wygvwam/NV_0501_Marston_Figure02.jpg
| OUTPUTS=[0b1110111101111100] # g 
| BITS=16 
| avail=[I_AND, I_OR, I_XOR, I_NOT] 

5 instructions 

r0=input 1111111100000000 
r1=input 1111000011110000 
r2=input 1100110011001100 
r3=input 1010101010101010 
r4=AND r3, r1 1010000010100000 
r5=XOR r4, r0 0101111110100000 
r6=XOR r4, r2 0110110001101100 
r7=XOR r5, r1 1010111101010000 
r8=OR r7, r6 1110111101111100 

Using only NOR3 gates: 

avail=[I_NOR3] 

8 instructions 

r0=input 1111111100000000 
r1=input 1111000011110000 
r2=input 1100110011001100 
r3=input 1010101010101010 
r4=NOR3 r1, r1, r1 0000111100001111 
r5=NOR3 r3, r3, r4 0101000001010000 
r6=NOR3 r2, r0, r0 0000000001110011 
r7=NOR3 r6, r6, r5 1010111110001100 
r8=NOR3 r4, r0, r7 0000000001110000 
r9=NOR3 r8, r7, r2 0001000000000011 
r10=NOR3 r0, r8, r4 0000000000000000 
r11=NOR3 r9, r10, r10 1110111101111100 

But what about bruteforce? 

Let’s imagine, a program of 5 instructions, each can be one of four (AND/OR/XOR/NOT). 

There are can be $4^2 \cdot 4$ first instructions (that is connected to r4). Two operands, each can be connected to one of 4 inputs (r0...r3). Four instructions: 4. 

There are can be $5^2 \cdot 4$ second instructions... and $8^2 \cdot 4$ fifth instructions. 

How many programs there can be? 

$$
\prod_{j=i}^{s+i-1} j^o \cdot m 
$$

Figure 15.1: The general formula. $j$ = how many inputs available to an instruction at a step; $i$ = total number of inputs of a program (4 in my case); $s$ = total number of instructions in program (steps); $o$ = total number of operands each instruction has; $m$ = how many instructions available to choose from. 

$$(4^2 \cdot 4) \cdot (5^2 \cdot 4) \cdot (6^2 \cdot 4) \cdot (7^2 \cdot 4) \cdot (8^2 \cdot 4) = 46242201600$$

And $\log_2(46242201600) \approx 35$ bits. This is feasible on a fast computer. 

Now what about a program of 8 instructions, where each instruction is always NOR and has 3 inputs/operands? 1st instruction: $4^3$ ... 8th instruction: $11^3$. 

How many programs there can be? 

$$(4^3 \cdot 5^3 \cdot 6^3 \cdot 7^3 \cdot 8^3 \cdot 9^3 \cdot 10^3 \cdot 11^3 = 2944512504299520000000. \ \text{And} \ \log_2(2944512504299520000000) \approx 68$ bits. Too much for bruteforce.
15.1.2 TAOCP 7.1.1 exercises 4 and 5

I’m not clever enough to solve this manually (yet), but I could try using logic synthesis, as I did before. As they say, “machines should work; people should think”.

Table 1

<table>
<thead>
<tr>
<th>Truth table</th>
<th>Notation(s)</th>
<th>Operator symbol</th>
<th>Name(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0000</td>
<td>0</td>
<td>⊥</td>
<td>Contradiction; falsehood; antilog; constant 0</td>
</tr>
<tr>
<td>0001</td>
<td>$xy$, $x \land y$, $x &amp; y$</td>
<td>∧</td>
<td>Conjunction; and</td>
</tr>
<tr>
<td>0010</td>
<td>$x \land \bar{y}$, $x \not\in y$, $[x &gt; y]$, $x \div y$</td>
<td>⊃</td>
<td>Nonimplication; difference; but not</td>
</tr>
<tr>
<td>0011</td>
<td>$x$</td>
<td>⊥</td>
<td>Left projection</td>
</tr>
<tr>
<td>0100</td>
<td>$\bar{x} \land y$, $x \not\in y$, $[x &lt; y]$, $y \div x$</td>
<td>⊆</td>
<td>Converse nonimplication; not ... but</td>
</tr>
<tr>
<td>0101</td>
<td>$y$</td>
<td>⊆</td>
<td>Right projection</td>
</tr>
<tr>
<td>0110</td>
<td>$x \oplus y$, $x \not\equiv y$, $x \sim y$</td>
<td>⊕</td>
<td>Exclusive disjunction; nonequivalence; “xor”</td>
</tr>
<tr>
<td>0111</td>
<td>$x \lor y$, $x</td>
<td>y$</td>
<td>∨</td>
</tr>
<tr>
<td>1000</td>
<td>$\bar{x} \land \bar{y}$, $\bar{x} \lor y$, $x \lor y$, $x \downarrow y$</td>
<td>\n</td>
<td>Nondisjunction; joint denial; neither ... nor</td>
</tr>
<tr>
<td>1001</td>
<td>$x \equiv y$, $x \leftrightarrow y$, $x \leftrightarrow y$</td>
<td>≡</td>
<td>Equivalence; if and only if; “iff”</td>
</tr>
<tr>
<td>1010</td>
<td>$\bar{y}$, $\neg y$, $\neg y$, $\sim y$</td>
<td>⊥</td>
<td>Right complementation</td>
</tr>
<tr>
<td>1011</td>
<td>$x \lor \bar{y}$, $x \lor y$, $x \equiv y$, $[x \geq y]$, $x \equiv y$</td>
<td>⊑</td>
<td>Converse implication; if</td>
</tr>
<tr>
<td>1100</td>
<td>$\bar{x}$, $\neg x$, $\neg x$, $\sim x$</td>
<td>⊑</td>
<td>Left complementation</td>
</tr>
<tr>
<td>1101</td>
<td>$\bar{x} \lor y$, $x \lor y$, $x \lor y$, $\neg x \equiv y$, $[x \leq y]$, $y \equiv$</td>
<td>⊕</td>
<td>Implication; only if; if ... then</td>
</tr>
<tr>
<td>1110</td>
<td>$\bar{x} \lor \bar{y}$, $x \lor y$, $x \lor y$, $x</td>
<td>y$</td>
<td>⊥</td>
</tr>
<tr>
<td>1111</td>
<td>1</td>
<td>⊤</td>
<td>Affirmation; validity; tautology; constant 1</td>
</tr>
</tbody>
</table>

Figure 15.2: Page 3

4. [24] (H. M. Sheffer.) The purpose of this exercise is to show that all of the operations in Table 1 can be expressed in terms of NAND. (a) For each of the 16 operators $\circ$ in that table, find a formula equivalent to $x \circ y$ that uses only $\neg \land$ as an operator. Your formula should be as short as possible. For example, the answer for operation $\bot$ is simply “$x$”, but the answer for $\equiv$ is “$x \land \neg x$”. Do not use the constants 0 or 1 in your formulas. (b) Similarly, find 16 short formulas when constants are allowed. For example, $x \equiv y$ can now be expressed also as “$x \land \neg 1$”.

5. [24] Consider exercise 4 with $\equiv$ as the basic operation instead of $\neg$.

Figure 15.3: Page 34

I’m not clever enough to solve this manually (yet), but I could try using logic synthesis, as I did before. As they say, “machines should work; people should think”.

The modified Z3Py script:

```python
#!/usr/bin/env python
from z3 import *
```
import sys

I_AND, I_OR, I_XOR, I_NOT, I_NOR3, I_NAND, I_ANDN = 0, 1, 2, 3, 4, 5, 6

# 2-input function
BITS=4
add_always_false=False
add_always_true=False
#add_always_false=True
#add_always_true=True
avail=[I_NAND]
#avail=[I_ANDN]

MAX_STEPS=10

# My representation of TT is: [MSB..LSB].
# Knuth's representation in the section 7.1.1 (Boolean basics) of TAOCP is different: [LSB..MSB].
# so I'll reverse bits before printing TT:
def rvr_4_bits(i):
    return ((i>>0)&1)<<3 | ((i>>1)&1)<<2 | ((i>>2)&1)<<1 | ((i>>3)&1)<<0

def find_NAND_only_for_TT(OUTPUTS):
    INPUTS=[0b1100, 0b1010]
    # if additional always-false or always-true must be present:
    if add_always_false:
        INPUTS.append(0)
    if add_always_true:
        INPUTS.append(2**BITS-1)

    # this called during self-testing:
def eval_ins(R, s, m, STEPS, op, op1_reg, op2_reg, op3_reg):
    op_n=m[op[s]].as_long()
    op1_reg_tmp=m[op1_reg[s]].as_long()
    op1_val=R[op1_reg_tmp]
    op2_reg_tmp=m[op2_reg[s]].as_long()
    op3_reg_tmp=m[op3_reg[s]].as_long()
    if op_n in [I_AND, I_OR, I_XOR, I_NOR3, I_NAND, I_ANDN]:
        op2_val=R[op2_reg_tmp]
        if op_n==I_AND:
            return op1_val&op2_val
        elif op_n==I_OR:
            return op1_val|op2_val
        elif op_n==I_XOR:
            return op1_val^op2_val
        elif op_n==I_NOT:
            return ~op1_val
        elif op_n==I_NOR3:
            op3_val=R[op3_reg_tmp]
            return ~(op1_val|op2_val|op3_val)
        elif op_n==I_NAND:
            return ~(op1_val&op2_val)
        elif op_n==I_ANDN:
            return op1_val&op2_val
return (~op1_val)&op2_val

else:
    raise AssertionError

# evaluate program we've got for self-testing.
def eval_pgm(m, STEPS, op, op1_reg, op2_reg, op3_reg):
    R=[None]*STEPS
    for i in range(len(INPUTS)):
        R[i]=INPUTS[i]

    for s in range(len(INPUTS),STEPS):
        R[s]=eval_ins(R, s, m, STEPS, op, op1_reg, op2_reg, op3_reg)

    return R

# get all states, for self-testing:
def selftest(m, STEPS, op, op1_reg, op2_reg, op3_reg):
    l=eval_pgm(m, STEPS, op, op1_reg, op2_reg, op3_reg)
    print "simulate:
    for i in range(len(l)):
        print "r%d" % i, format(l[i] & 2**BITS-1, '0'+str(BITS)+'b')

""
selector() function generates expression like:

If(op1_reg_s5 == 0,
   S_s0,
   If(op1_reg_s5 == 1,
      S_s1,
      If(op1_reg_s5 == 2,
         S_s2,
         If(op1_reg_s5 == 3,
            S_s3,
            If(op1_reg_s5 == 4,
               S_s4,
               If(op1_reg_s5 == 5,
                  S_s5,
                  If(op1_reg_s5 == 6,
                     S_s6,
                     If(op1_reg_s5 == 7,
                        S_s7,
                        If(op1_reg_s5 == 8,
                           S_s8,
                           If(op1_reg_s5 == 9,
                              S_s9,
                              If(op1_reg_s5 == 10,
                                 S_s10,
                                 If(op1_reg_s5 == 11,
                                    S_s11,
                                    0)))))})))))

this is like multiplexer or switch()

""
def selector(R, s):
    t=0 # default value
    for i in range(MAX_STEPS):
t=If(s==MAX_STEPS-i-1, R[MAX_STEPS-i-1], t)
return t

def simulate_op(R, op, op1_reg, op2_reg, op3_reg):
op1_val=selector(R, op1_reg)
return If(op==I_AND, op1_val & selector(R, op2_reg),
    If(op==I_OR, op1_val | selector(R, op2_reg),
        If(op==I_XOR, op1_val ^ selector(R, op2_reg),
            If(op==I_NOT, ~op1_val,
                If(op==I_NOR3, ~(op1_val | selector(R, op2_reg) | selector(R, op3_reg)),
                    If(op==I_NAND, ~(op1_val & selector(R, op2_reg),
                        If(op==I_ANDN, (~op1_val) & selector(R, op2_reg),
                            0))))) # default

op_to_str_tbl=['AND', 'OR', 'XOR', 'NOT', 'NOR3', 'NAND', 'ANDN']

def print_model(m, R, STEPS, op, op1_reg, op2_reg, op3_reg):
    print ('%d instructions for OUTPUTS TT (Knuth’s representation)=%' % (STEPS-len(INPUTS)), format(rvr_4_bits(OUTPUTS[0]) & 2**BITS-1, '0'+'str(BITS)'+b')
    for s in range(STEPS):
        if s<len(INPUTS):
            t="r%d=input" % s
        else:
            op_n=m[op[s]].as_long()
            op_s=op_to_str_tbl[op_n]
            op1_reg_n=m[op1_reg[s]].as_long()
            op2_reg_n=m[op2_reg[s]].as_long()
            op3_reg_n=m[op3_reg[s]].as_long()
            if op_n in [I_AND, I_OR, I_XOR, I_NAND, I_ANDN]:
                t="r%d=s r%d, r%d" % (s, op_s, op1_reg_n, op2_reg_n)
            elif op_n==I_NOT:
                t="r%d=s r%d" % (s, op_s, op1_reg_n)
            else: # else NOR3
                t="r%d=s r%d, r%d, r%d" % (s, op_s, op1_reg_n, op2_reg_n, op3_reg_n)

        tt=format(m[R[s]].as_long(), '0'+str(BITS)+'/b')
        print t+" "*(25-len(t))+tt

def attempt(STEPS):
    print "attempt, STEPS=", STEPS
    sl=Solver()

    # state of each register:
    R=[BitVec('S_s%d' % s, BITS) for s in range(MAX_STEPS)]
    # operation type and operands for each register:
    op=[Int('op_s%d' % s) for s in range(MAX_STEPS)]
    op1_reg=[Int('op1_reg_s%d' % s) for s in range(MAX_STEPS)]
    op2_reg=[Int('op2_reg_s%d' % s) for s in range(MAX_STEPS)]
    op3_reg=[Int('op3_reg_s%d' % s) for s in range(MAX_STEPS)]

    for s in range(len(INPUTS), STEPS):
        # for each step.
        # expression like Or(op[s]==0, op[s]==1, ...) is formed here. values are
        # taken from avail[]
        sl.add(Or(*[op[s]==j for j in avail]))
        # each operand can refer to one of registers BEFORE the current instruction:
sl.add(And(op1_reg[s]>=0, op1_reg[s]<s))
sl.add(And(op2_reg[s]>=0, op2_reg[s]<s))
sl.add(And(0p3_reg[s]>=0, op3_reg[s]<s))

# fill inputs:
for i in range(len(INPUTS)):
    sl.add(R[i]==INPUTS[i])
# fill outputs, "must be's"
for o in range(len(OUTPUTS)):
    sl.add(R[STEPS-(o+1)]=list(reversed(OUTPUTS))[o])

# connect each register to "simulator":
for s in range(len(INPUTS), STEPS):
    sl.add(R[s]==simulate_op(R, op[s], op1_reg[s], op2_reg[s], op3_reg[s]))

tmp=sl.check()
if tmp==sat:
    print "sat!"
    m=sl.model()
    #print m
    print_model(m, R, STEPS, op, op1_reg, op2_reg, op3_reg)
    selftest(m, STEPS, op, op1_reg, op2_reg, op3_reg)
    return True
else:
    print tmp
return False

for s in range(len(INPUTS)+len(OUTPUTS), MAX_STEPS):
    if attempt(s):
        return

for i in range(16):
    print "getting circuit for TT=", format(i & 2**BITS-1, '0'+str(BITS)+'b')
    find_NAND_only_for_TT([i])

My solution for NAND:

3 instructions for OUTPUTS TT (Knuth's representation)= 0000
r0=input 1100
r1=input 1010
r2=NAND r1, r1 0101
r3=NAND r1, r2 1111
r4=NAND r3, r3 0000

4 instructions for OUTPUTS TT (Knuth's representation)= 1000
r0=input 1100
r1=input 1010
r2=NAND r0, r0 0011
r3=NAND r1, r1 0101
r4=NAND r2, r3 1110
r5=NAND r4, r4 0001

3 instructions for OUTPUTS TT (Knuth's representation)= 0100
r0=input 1100
r1=input 1010
r2=NAND r1, r0 0111
r3=NAND r1, r2 1101
r4=NAND r3, r3 0010

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1 instructions for OUTPUTS TT (Knuth's representation)= 1100
r0=input 1100
r1=input 1010
r2=NAND r0, r0 0011

3 instructions for OUTPUTS TT (Knuth's representation)= 0010
r0=input 1100
r1=input 1010
r2=NAND r1, r1 0101
r3=NAND r0, r2 1011
r4=NAND r3, r3 0100

1 instructions for OUTPUTS TT (Knuth's representation)= 1010
r0=input 1100
r1=input 1010
r2=NAND r1, r1 0101

4 instructions for OUTPUTS TT (Knuth's representation)= 0110
r0=input 1100
r1=input 1010
r2=NAND r0, r1 0111
r3=NAND r2, r1 1101
r4=NAND r2, r0 1011
r5=NAND r3, r4 0110

1 instructions for OUTPUTS TT (Knuth's representation)= 1110
r0=input 1100
r1=input 1010
r2=NAND r0, r1 0111

2 instructions for OUTPUTS TT (Knuth's representation)= 0001
r0=input 1100
r1=input 1010
r2=NAND r0, r1 0111
r3=NAND r2, r2 1000

5 instructions for OUTPUTS TT (Knuth's representation)= 1001
r0=input 1100
r1=input 1010
r2=NAND r1, r1 0101
r3=NAND r2, r0 1011
r4=NAND r3, r0 0111
r5=NAND r2, r3 1110
r6=NAND r5, r4 1001

2 instructions for OUTPUTS TT (Knuth's representation)= 0101
r0=input 1100
r1=input 1010
r2=NAND r1, r1 0101
r3=NAND r2, r2 1010

2 instructions for OUTPUTS TT (Knuth's representation)= 1101
r0=input 1100
r1=input 1010
r2=NAND r1, r1 0101
r3=NAND r2, r0 1011
2 instructions for OUTPUTS TT (Knuth's representation)= 0011
r0=input 1100
r1=input 1010
r2=NAND r0, r0 0011
r3=NAND r2, r2 1100

2 instructions for OUTPUTS TT (Knuth's representation)= 1011
r0=input 1100
r1=input 1010
r2=NAND r0, r1 0111
r3=NAND r1, r2 1101

3 instructions for OUTPUTS TT (Knuth's representation)= 0111
r0=input 1100
r1=input 1010
r2=NAND r0, r0 0011
r3=NAND r1, r1 0101
r4=NAND r3, r2 1110

2 instructions for OUTPUTS TT (Knuth's representation)= 1111
r0=input 1100
r1=input 1010
r2=NAND r1, r1 0101
r3=NAND r2, r1 1111

My solution for NAND with 0/1 constants:

1 instructions for OUTPUTS TT (Knuth's representation)= 0000
r0=input 1100
r1=input 1010
r2=input 0000
r3=input 1111
r4=NAND r3, r3 0000

4 instructions for OUTPUTS TT (Knuth's representation)= 1000
r0=input 1100
r1=input 1010
r2=input 0000
r3=input 1111
r4=NAND r1, r1 0101
r5=NAND r0, r0 0011
r6=NAND r4, r5 1110
r7=NAND r6, r6 0001

3 instructions for OUTPUTS TT (Knuth's representation)= 0100
r0=input 1100
r1=input 1010
r2=input 0000
r3=input 1111
r4=NAND r0, r0 0011
r5=NAND r4, r1 1101
r6=NAND r5, r3 0010

1 instructions for OUTPUTS TT (Knuth's representation)= 1100
r0=input 1100
r1=input 1010
r2=input 0000
r3=input 1111
r4=NAND r0, r3 0011

3 instructions for OUTPUTS TT (Knuth's representation)= 0010
r0=input 1100
r1=input 1010
r2=input 0000
r3=input 1111
r4=NAND r0, r1 0111
r5=NAND r4, r0 1011
r6=NAND r5, r3 0100

1 instructions for OUTPUTS TT (Knuth's representation)= 1010
r0=input 1100
r1=input 1010
r2=input 0000
r3=input 1111
r4=NAND r1, r3 0101

4 instructions for OUTPUTS TT (Knuth's representation)= 0110
r0=input 1100
r1=input 1010
r2=input 0000
r3=input 1111
r4=NAND r1, r0 0111
r5=NAND r4, r0 1011
r6=NAND r1, r4 1101
r7=NAND r6, r5 0110

1 instructions for OUTPUTS TT (Knuth's representation)= 1110
r0=input 1100
r1=input 1010
r2=input 0000
r3=input 1111
r4=NAND r1, r0 0111

2 instructions for OUTPUTS TT (Knuth's representation)= 0001
r0=input 1100
r1=input 1010
r2=input 0000
r3=input 1111
r4=NAND r1, r0 0111
r5=NAND r4, r4 1000

5 instructions for OUTPUTS TT (Knuth's representation)= 1001
r0=input 1100
r1=input 1010
r2=input 0000
r3=input 1111
r4=NAND r1, r1 0101
r5=NAND r4, r0 1011
r6=NAND r5, r4 1110
r7=NAND r0, r1 0111
r8=NAND r7, r6 1001

2 instructions for OUTPUTS TT (Knuth's representation)= 0101
r0=input 1100

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<table>
<thead>
<tr>
<th>r1= &amp; 输入 1010</th>
<th>r2= &amp; 输入 0000</th>
<th>r3= &amp; 输入 1111</th>
<th>r4= NAND r1, r1 &amp; 0101</th>
<th>r5= NAND r4, r4 &amp; 1010</th>
</tr>
</thead>
</table>

2 instructions for OUTPUTS TT (Knuth's representation) = 1101

<table>
<thead>
<tr>
<th>r0= &amp; 输入 1100</th>
<th>r1= &amp; 输入 1010</th>
<th>r2= &amp; 输入 0000</th>
<th>r3= &amp; 输入 1111</th>
<th>r4= NAND r0, r1 &amp; 0111</th>
<th>r5= NAND r0, r4 &amp; 1011</th>
</tr>
</thead>
</table>

2 instructions for OUTPUTS TT (Knuth's representation) = 0011

<table>
<thead>
<tr>
<th>r0= &amp; 输入 1100</th>
<th>r1= &amp; 输入 1010</th>
<th>r2= &amp; 输入 0000</th>
<th>r3= &amp; 输入 1111</th>
<th>r4= NAND r1, r0 &amp; 0111</th>
<th>r5= NAND r4, r4 &amp; 1100</th>
</tr>
</thead>
</table>

3 instructions for OUTPUTS TT (Knuth's representation) = 0111

<table>
<thead>
<tr>
<th>r0= &amp; 输入 1100</th>
<th>r1= &amp; 输入 1010</th>
<th>r2= &amp; 输入 0000</th>
<th>r3= &amp; 输入 1111</th>
<th>r4= NAND r1, r1 &amp; 0101</th>
<th>r5= NAND r0, r0 &amp; 0011</th>
<th>r6= NAND r5, r4 &amp; 1110</th>
</tr>
</thead>
</table>

1 instructions for OUTPUTS TT (Knuth's representation) = 1111

<table>
<thead>
<tr>
<th>r0= &amp; 输入 1100</th>
<th>r1= &amp; 输入 1010</th>
<th>r2= &amp; 输入 0000</th>
<th>r3= &amp; 输入 1111</th>
<th>r4= NAND r2, r2 &amp; 1111</th>
</tr>
</thead>
</table>

My solution for ANDN:

<table>
<thead>
<tr>
<th>r0= &amp; 输入 1100</th>
<th>r1= &amp; 输入 1010</th>
<th>r2= &amp; 输入 0000</th>
<th>r3= &amp; 输入 1111</th>
<th>r4= NAND r1, r1 &amp; 0000</th>
<th>r2= &amp; 输入 0000</th>
<th>r1= &amp; 输入 0000</th>
<th>r2= &amp; 输入 0010</th>
</tr>
</thead>
</table>

1 instructions for OUTPUTS TT (Knuth's representation) = 0000

<table>
<thead>
<tr>
<th>r0= &amp; 输入 1100</th>
<th>r1= &amp; 输入 1010</th>
<th>r2= &amp; 输入 0000</th>
<th>r3= &amp; 输入 1111</th>
<th>r4= NAND r2, r2 &amp; 1111</th>
</tr>
</thead>
</table>

1 instructions for OUTPUTS TT (Knuth's representation) = 0100

<table>
<thead>
<tr>
<th>r0= &amp; 输入 1100</th>
<th>r1= &amp; 输入 1010</th>
<th>r2= &amp; 输入 0010</th>
</tr>
</thead>
</table>

1 instructions for OUTPUTS TT (Knuth's representation) = 0010

<table>
<thead>
<tr>
<th>r0= &amp; 输入 1100</th>
<th>r1= &amp; 输入 1010</th>
<th>r2= &amp; 输入 0010</th>
</tr>
</thead>
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<table>
<thead>
<tr>
<th>1 instructions for OUTPUTS TT (Knuth's representation) = 0010</th>
</tr>
</thead>
<tbody>
<tr>
<td>r0= input 1100</td>
</tr>
<tr>
<td>r1= input 1010</td>
</tr>
<tr>
<td>r2= ANDN r1, r0 0100</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2 instructions for OUTPUTS TT (Knuth's representation) = 0001</th>
</tr>
</thead>
<tbody>
<tr>
<td>r0= input 1100</td>
</tr>
<tr>
<td>r1= input 1010</td>
</tr>
<tr>
<td>r2= ANDN r0, r1 0010</td>
</tr>
<tr>
<td>r3= ANDN r2, r1 1000</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2 instructions for OUTPUTS TT (Knuth's representation) = 0101</th>
</tr>
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<tbody>
<tr>
<td>r0= input 1100</td>
</tr>
<tr>
<td>r1= input 1010</td>
</tr>
<tr>
<td>r2= ANDN r1, r1 0000</td>
</tr>
<tr>
<td>r3= ANDN r2, r1 1010</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>2 instructions for OUTPUTS TT (Knuth's representation) = 0011</th>
</tr>
</thead>
<tbody>
<tr>
<td>r0= input 1100</td>
</tr>
<tr>
<td>r1= input 1010</td>
</tr>
<tr>
<td>r2= ANDN r0, r1 0010</td>
</tr>
<tr>
<td>r3= ANDN r2, r0 1100</td>
</tr>
</tbody>
</table>

My solution for ANDN with 0/1 constants:

<table>
<thead>
<tr>
<th>1 instructions for OUTPUTS TT (Knuth's representation) = 0000</th>
</tr>
</thead>
<tbody>
<tr>
<td>r0= input 1100</td>
</tr>
<tr>
<td>r1= input 1010</td>
</tr>
<tr>
<td>r2= input 0000</td>
</tr>
<tr>
<td>r3= input 1111</td>
</tr>
<tr>
<td>r4= ANDN r3, r2 0000</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2 instructions for OUTPUTS TT (Knuth's representation) = 1000</th>
</tr>
</thead>
<tbody>
<tr>
<td>r0= input 1100</td>
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<tr>
<td>r1= input 1010</td>
</tr>
<tr>
<td>r2= input 0000</td>
</tr>
<tr>
<td>r3= input 1111</td>
</tr>
<tr>
<td>r4= ANDN r0, r3 0011</td>
</tr>
<tr>
<td>r5= ANDN r1, r4 0001</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>1 instructions for OUTPUTS TT (Knuth's representation) = 0100</th>
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<tbody>
<tr>
<td>r0= input 1100</td>
</tr>
<tr>
<td>r1= input 1010</td>
</tr>
<tr>
<td>r2= input 0000</td>
</tr>
<tr>
<td>r3= input 1111</td>
</tr>
<tr>
<td>r4= ANDN r0, r1 0010</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>1 instructions for OUTPUTS TT (Knuth's representation) = 1100</th>
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<tbody>
<tr>
<td>r0= input 1100</td>
</tr>
<tr>
<td>r1= input 1010</td>
</tr>
<tr>
<td>r2= input 0000</td>
</tr>
<tr>
<td>r3= input 1111</td>
</tr>
<tr>
<td>r4= ANDN r0, r3 0011</td>
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<tr>
<th>1 instructions for OUTPUTS TT (Knuth's representation) = 0010</th>
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<tr>
<td>r0= input 1100</td>
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<td>r1= input 1010</td>
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<tr>
<td>r2= input 0000</td>
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</tbody>
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\[ r3 = \text{input} \quad 1111 \]
\[ r4 = \text{ANDN} \ r1, \ r0 \quad 0100 \]

1 instructions for OUTPUTS TT (Knuth's representation) = 1010
\[ r0 = \text{input} \quad 1100 \]
\[ r1 = \text{input} \quad 1010 \]
\[ r2 = \text{input} \quad 0000 \]
\[ r3 = \text{input} \quad 1111 \]
\[ r4 = \text{ANDN} \ r1, \ r3 \quad 0101 \]

5 instructions for OUTPUTS TT (Knuth's representation) = 0110
\[ r0 = \text{input} \quad 1100 \]
\[ r1 = \text{input} \quad 1010 \]
\[ r2 = \text{input} \quad 0000 \]
\[ r3 = \text{input} \quad 1111 \]
\[ r4 = \text{ANDN} \ r0, \ r3 \quad 0011 \]
\[ r5 = \text{ANDN} \ r1, \ r4 \quad 0001 \]
\[ r6 = \text{ANDN} \ r4, \ r1 \quad 1000 \]
\[ r7 = \text{ANDN} \ r6, \ r3 \quad 0111 \]
\[ r8 = \text{ANDN} \ r5, \ r7 \quad 0110 \]

3 instructions for OUTPUTS TT (Knuth's representation) = 1110
\[ r0 = \text{input} \quad 1100 \]
\[ r1 = \text{input} \quad 1010 \]
\[ r2 = \text{input} \quad 0000 \]
\[ r3 = \text{input} \quad 1111 \]
\[ r4 = \text{ANDN} \ r1, \ r0 \quad 0100 \]
\[ r5 = \text{ANDN} \ r0, \ r1 \quad 1000 \]
\[ r6 = \text{ANDN} \ r4, \ r3 \quad 0111 \]

2 instructions for OUTPUTS TT (Knuth's representation) = 0001
\[ r0 = \text{input} \quad 1100 \]
\[ r1 = \text{input} \quad 1010 \]
\[ r2 = \text{input} \quad 0000 \]
\[ r3 = \text{input} \quad 1111 \]
\[ r4 = \text{ANDN} \ r0, \ r1 \quad 0010 \]
\[ r5 = \text{ANDN} \ r4, \ r1 \quad 1000 \]

4 instructions for OUTPUTS TT (Knuth's representation) = 1001
\[ r0 = \text{input} \quad 1100 \]
\[ r1 = \text{input} \quad 1010 \]
\[ r2 = \text{input} \quad 0000 \]
\[ r3 = \text{input} \quad 1111 \]
\[ r4 = \text{ANDN} \ r1, \ r0 \quad 0100 \]
\[ r5 = \text{ANDN} \ r0, \ r1 \quad 0010 \]
\[ r6 = \text{ANDN} \ r4, \ r3 \quad 1011 \]
\[ r7 = \text{ANDN} \ r5, \ r6 \quad 1001 \]

1 instructions for OUTPUTS TT (Knuth's representation) = 0101
\[ r0 = \text{input} \quad 1100 \]
\[ r1 = \text{input} \quad 1010 \]
\[ r2 = \text{input} \quad 0000 \]
\[ r3 = \text{input} \quad 1111 \]
\[ r4 = \text{ANDN} \ r2, \ r1 \quad 1010 \]

2 instructions for OUTPUTS TT (Knuth's representation) = 1101
\[ r0 = \text{input} \quad 1100 \]
Correct answers from TAOCP:

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4. [Trans. Amer. Math. Soc. 14 (1913), 481–488.] (a) Start with the truth tables for \( \bot \) and \( \top \); then compute truth table \( \alpha \land \beta \) bitwise from each known pair of truth tables \( \alpha \) and \( \beta \), generating the results in order of the length of each formula and writing down a shortest formula that leads to each new 4-bit table:

\[
\begin{align*}
\bot &: (x \lor (x \land x)) \land (x \lor (x \land x)) \\
\land &: (x \land y) \land (x \land y) \\
\lor &: (x \lor (x \land y)) \lor (x \lor (x \land y)) \\
\neg &: x \\
\top &: y \\
\neg &: (y \land (x \land y)) \land (x \land (x \land y))
\end{align*}
\]

(b) In this case we start with four tables \( \bot, \top, \neg, \land \), and we prefer formulas with fewer occurrences of variables whenever there’s a choice between formulas of a given length:

\[
\begin{align*}
\bot &: 0 \\
\land &: (x \land y) \land 1 \\
\lor &: ((y \land 1) \land x) \land 1 \\
\neg &: x \\
\top &: y \\
\neg &: (y \land (x \land y)) \land (x \land (x \land y))
\end{align*}
\]

5. (a) \( \bot: x \subset x; \land: (x \subset y) \subset y; \lor: y \subset x; \top: x \supset y; \neg: y \); the other 10 cannot be expressed. (b) With constants, however, all 16 are possible:

\[
\begin{align*}
\bot &: 0 \\
\land &: (y \subset 1) \subset x \\
\lor &: y \subset x \\
\neg &: x \subset y \\
\top &: y \\
\neg &: (y \subset x) \subset 1
\end{align*}
\]

[B. A. Bernstein, University of California Publications in Mathematics 1 (1914), 87–96.]

My solutions are slightly different: I haven’t "pass through" instruction, so sometimes a value is copied from the input to the output using NAND/ANDN. Also, my versions are sometimes different, but correct and has the same length.

15.2 Program synthesis

Program synthesis is a process of automatic program generation, in accordance with some specific goals.
15.2.1 Synthesis of simple program using Z3 SMT-solver

Sometimes, multiplication operation can be replaced with a several operations of shifting/addition/subtraction. Compilers do so, because pack of instructions can be executed faster.

For example, multiplication by 19 is replaced by GCC 5.4 with pair of instructions: `lea edx, [eax+eax*8]` and `lea eax, [eax+edx*2]`. This is sometimes also called “superoptimization”.

Let’s see if we can find a shortest possible instructions pack for some specified multiplier.

As I’ve already wrote once, SMT-solver can be seen as a solver of huge systems of equations. The task is to construct such system of equations, which, when solved, could produce a short program. I will use electronics analogy here, it can make things a little simpler.

First of all, what our program will be consting of? There will be 3 operations allowed: ADD/SUB/SHL. Only registers allowed as operands, except for the second operand of SHL (which could be in 1..31 range). Each register will be assigned only once (as in SSA).

And there will be some “magic block”, which takes all previous register states, it also takes operation type, operands and produces a value of next register’s state.

```
+---------------+
| | |
+ | |
| v v v
+--------------+
| |
| |
| |
| |
+---------------+
```

Now let’s take a look on our schematics on top level:

```
0 -> blk -> blk -> blk .. -> blk -> 0
1 -> blk -> blk -> blk .. -> blk -> multiplier
```

Each block takes previous state of registers and produces new states. There are two chains. First chain takes 0 as state of R0 at the very beginning, and the chain is supposed to produce 0 at the end (since zero multiplied by any value is still zero). The second chain takes 1 and must produce multiplier as the state of very last register (since 1 multiplied by multiplier must equal to multiplier).

Each block is “controlled” by operation type, operands, etc. For each column, there is each own set.

Now you can view these two chains as two equations. The ultimate goal is to find such state of all operation types and operands, so the first chain will equal to 0, and the second to multiplier.

Let’s also take a look into “magic block” inside

```
+---------------+
| | |
+ | |
| v v v
+--------------+
| |
| |
| |
| |
+---------------+
```

Now let’s take a look on our schematics on top level:

```
0 -> blk -> blk -> blk .. -> blk -> 0
1 -> blk -> blk -> blk .. -> blk -> multiplier
```

Each selector can be viewed as a simple multipositional switch. If operation is SHL, a value in range of 1..31 is used as second operand.

So you can imagine this electric circuit and your goal is to turn all switches in such a state, so two chains will have 0 and multiplier on output. This sounds like logic puzzle in some way. Now we will try to use Z3 to solve this puzzle.

First, we define all variables:
R=[[BitVec('S_s%d_c%d' % (s, c), 32) for s in range(MAX_STEPS)] for c in range (CHAINS)]
op=[Int('op_s%d' % s) for s in range(MAX_STEPS)]
op1_reg=[Int('op1_reg_s%d' % s) for s in range(MAX_STEPS)]
op2_reg=[Int('op2_reg_s%d' % s) for s in range(MAX_STEPS)]
op2_imm=[BitVec('op2_imm_s%d' % s, 32) for s in range(MAX_STEPS)]

R[][] is registers state for each chain and each step.
On contrary, op/op1_reg/op2_reg/op2_imm variables are defined for each step, but for both chains, since both chains at each column has the same operation/operands.

Now we must limit count of operations, and also, register's number for each step must not be bigger than step number, in other words, instruction at each step is allowed to access only registers which were already set before:

```python
for s in range(1, STEPS):
    # for each step
    sl.add(And(op[s]>=0, op[s]<=2))
    sl.add(And(op1_reg[s]>=0, op1_reg[s]<s))
    sl.add(And(op2_reg[s]>=0, op2_reg[s]<s))
    sl.add(And(op2_imm[s]>=1, op2_imm[s]<=31))
```

Fix register of first step for both chains:

```python
for c in range(CHAINS):
    # for each chain:
    sl.add(R[c][0]==chain_inputs[c])
    sl.add(R[c][STEPS-1]==chain_inputs[c]*multiplier)
```

Now let’s add “magic blocks”:

```python
for s in range(1, STEPS):
    sl.add(R[c][s]==simulate_op(R, c, op[s], op1_reg[s], op2_reg[s], op2_imm[s]))
```

Now how “magic block” is defined?

```python
def selector(R, c, s):
    # for all MAX_STEPS:
    return If(s==0, R[c][0],
              If(s==1, R[c][1],
                If(s==2, R[c][2],
                  If(s==3, R[c][3],
                    If(s==4, R[c][4],
                      If(s==5, R[c][5],
                        If(s==6, R[c][6],
                          If(s==7, R[c][7],
                            If(s==8, R[c][8],
                              If(s==9, R[c][9],
                                0)))))))))))))) # default

def simulate_op(R, c, op, op1_reg, op2_reg, op2_imm):
    op1_val=selector(R,c,op1_reg)
    return If(op==0, op1_val + selector(R, c, op2_reg),
              If(op==1, op1_val - selector(R, c, op2_reg),
                If(op==2, op1_val << op2_imm,
                  0)))) # default
```

This is very important to understand: if the operation is ADD/SUB, op2_imm’s value is just ignored. Otherwise, if operation is SHL, value of op2_reg is ignored. Just like in case of digital circuit.

The code: https://yurichev.com/SAT_SMT_tree/synth/pgm/mult/mult.py

Now let’s see how it works:
The first step is always a step containing 0/1, or, r0. So when our solver reporting about 4 steps, this means 3 instructions.

Something harder:

```
% ./mult.py 123
multiplier= 123
attempt, STEPS= 2
unsat
attempt, STEPS= 3
unsat
attempt, STEPS= 4
unsat
r1=SHL r0, 2
r2=SHL r1, 5
r3=SUB r2, r1
r4=SUB r3, r0
tests are OK
```

Now the code multiplying by 1234:

```
r1=SHL r0, 6
r2=ADD r0, r1
r3=ADD r2, r1
r4=SHL r2, 4
r5=ADD r2, r3
r6=ADD r5, r4
```

Looks great, but it took ≈ 23 seconds to find it on my Intel Xeon CPU E31220 @ 3.10GHz. I agree, this is far from practical usage. Also, I’m not quite sure that this piece of code will work faster than a single multiplication instruction. But anyway, it’s a good demonstration of SMT solvers capabilities.

The code multiplying by 12345 (≈ 150 seconds):

```
r1=SHL r0, 5
r2=SHL r0, 3
r3=SUB r2, r1
r4=SUB r1, r3
r5=SHL r3, 9
r6=SUB r4, r5
r7=ADD r0, r6
```

Multiplication by 123456 (≈ 8 minutes!):

```
r1=SHL r0, 9
r2=SHL r0, 13
```
r3 = SHL r0, 2
r4 = SUB r1, r2
r5 = SUB r3, r4
r6 = SHL r5, 4
r7 = ADD r1, r6

Few notes
I’ve removed SHR instruction support, simply because the code multiplying by a constant makes no use of it. Even more: it’s not a problem to add support of constants as second operand for all instructions, but again, you wouldn’t find a piece of code which does this job and uses some additional constants. Or maybe I wrong?

Of course, for another job you’ll need to add support of constants and other operations. But at the same time, it will work slower and slower. So I had to keep ISA\(^1\) of this toy CPU\(^2\) as compact as possible.

The code

https://yurichev.com/SAT_SMT_tree/synth/pgm/mult

See also

Multiplication using a series of additions also called addition chain. [See Alexander A. Stepanov, Daniel E. Rose – From Mathematics to Generic Programming, section 2.1 Egyptian Multiplication.]

15.2.2 Rockey dongle: finding unknown algorithm using only input/output pairs

Some smartcards can execute Java or .NET code - that’s the way to hide your sensitive algorithm into chip that is very hard to break (decapsulate). For example, one may encrypt/decrypt data files by hidden crypto algorithm rendering software piracy of such software close to impossible—an encrypted date file created on software with connected smartcard would be impossible to decrypt on cracked version of the same software. (This leads to many nuisances, though.)

That’s what is called black box.

Some software protection dongles offers this functionality too. One example is Rockey 4\(^3\).

![Figure 15.5: Rockey 4 dongle](http://www.rockey.nl/en/rockey.html)

This is a small dongle connected via USB. Is contain some user-defined memory but also memory for user algorithms. The virtual (toy) CPU for these algorithms is very simple: it offer only 8 16-bit registers (however, only 4 can be set and read) and 8 operations (addition, subtraction, cyclic left shifting, multiplication, OR, XOR, AND, negation).

Second instruction argument can be a constant (from 0 to 63) instead of register.

Each algorithm is described by string like

\[ A = A + B, \quad B = C \times 13, \quad D = D \ll A, \quad C = B + 55, \quad C = C \& A, \quad D = D \mid A, \quad A = A \times 9, \quad A = A \& B. \]

There are no memory, stack, conditional/unconditional jumps, etc.

Each algorithm, obviously, can’t have side effects, so they are actually pure functions and their results can be memoized.

---

\(^1\)Instruction Set Architecture

\(^2\)Central processing unit

\(^3\)http://www.rockey.nl/en/rockey.html
By the way, as it has been mentioned in Rockey 4 manual, first and last instruction cannot have constants. Maybe that’s because these fields used for some internal data: each algorithm start and end should be marked somehow internally anyway.

Would it be possible to reveal hidden impossible-to-read algorithm only by recording input/output dongle traffic? Common sense tell us “no”. But we can try anyway.

Since, my goal wasn’t to break into some Rockey-protected software, I was interesting only in limits (which algorithms could we find), so I make some things simpler: we will work with only 4 16-bit registers, and there will be only 6 operations (add, subtract, multiply, OR, XOR, AND).

Let’s first calculate, how much information will be used in brute-force case.

There are 384 of all possible instructions in \texttt{reg}=\texttt{reg},\texttt{op},\texttt{reg} format for 4 registers and 6 operations, and also 6144 instructions in \texttt{reg}=\texttt{reg},\texttt{op},\texttt{constant} format. Remember that constant limited to 63 as maximal value? That help us for a little.

So, there are 6528 of all possible instructions. This mean, there are \(\approx 1.1 \cdot 10^{19}\) 5-instruction algorithms. That’s too much.

How can we express each instruction as system of equations? While remembering some school mathematics, I wrote this:

```plaintext
Function one_step()=

# Each Bx is integer, but may be only 0 or 1.

# only one of B1..B4 and B5..B9 can be set
reg1=B1*A + B2*B + B3*C + B4*D
reg_or_constant2=B5*A + B6*B + B7*C + B8*D + B9*constant
reg1 should not be equal to reg_or_constant2

# Only one of B10..B15 can be set
result=result+B10*(reg1*reg2)
result=result+B11*(reg1\text{\^}reg2)
result=result+B12*(reg1-reg2)
result=result+B13*(reg1|reg2)
result=result+B15*(reg1&reg2)

B16 - true if register isn't updated in this part
B17 - true if register is updated in this part
(B16 cannot be equal to B17)
A=B16*A + B17*result
B=B18*A + B19*result
C=B20*A + B21*result
D=B22*A + B23*result

That's how we can express each instruction in algorithm.

5-instructions algorithm can be expressed like this:

\texttt{one_step (one_step (one_step (one_step (one_step (input_registers)))))).}

Let's also add five known input/output pairs and we'll get system of equations like this:

\texttt{one_step (one_step (one_step (one_step (one_step (input_1))))==output_1}
\texttt{one_step (one_step (one_step (one_step (one_step (input_2))))==output_2}
\texttt{one_step (one_step (one_step (one_step (one_step (input_3))))==output_3}
\texttt{one_step (one_step (one_step (one_step (one_step (input_4))))==output_4}
.. etc

So the question now is to find 5 \cdot 23 boolean values satisfying known input/output pairs.
I wrote small utility to probe Rockey 4 algorithm with random numbers, it produce results in form:

```
RY_CALCULATE1: (input) p1=30760 p2=18484 p3=41200 p4=61741 (output) p1=49244 p2=11312 p3 =27587 p4=12657
```
p1/p2/p3/p4 are just another names for A/B/C/D registers.
Now let’s start with Z3. We will need to express Rockey 4 toy CPU in Z3Py (Z3 Python API) terms.
It can be said, my Python script is divided into two parts:

• constraint definitions (like, output_1 should be n for input_1=m, constant cannot be greater than 63, etc):
• functions constructing system of equations.

This piece of code define some kind of structure consisting of 4 named 16-bit variables, each represent register in our toy CPU.

```python
Registers_State=Datatype ('Registers_State')
Registers_State.declare('cons', ('A', BitVecSort(16)), ('B', BitVecSort(16)), ('C', BitVecSort(16)), ('D', BitVecSort(16)))
Registers_State=Registers_State.create()
```

These enumerations define two new types (or sorts in Z3’s terminology):

```python
Register, (A, B, C, D) = EnumSort('Register', ('A', 'B', 'C', 'D'))
```

This part is very important, it defines all variables in our system of equations. op_step is type of operation in instruction. reg_or_constant is selector between register and constant in second argument — False if it’s a register and True if it’s a constant. reg_step is a destination register of this instruction. reg1_step and reg2_step are just registers at arg1 and arg2. constant_step is constant (in case it’s used in instruction instead of arg2).

```python
op_step=[Const('op_step%s' % i, Operation) for i in range(STEPS)]
reg_or_constant_step=[Bool('reg_or_constant_step%s' % i) for i in range(STEPS)]
reg_step=[Const('reg_step%s' % i, Register) for i in range(STEPS)]
reg1_step=[Const('reg1_step%s' % i, Register) for i in range(STEPS)]
reg2_step=[Const('reg2_step%s' % i, Register) for i in range(STEPS)]
constant_step = [BitVec('constant_step%s' % i, 16) for i in range(STEPS)]
```

Adding constraints is very simple. Remember, I wrote that each constant cannot be larger than 63?

```python
# according to Rockey 4 dongle manual, arg2 in first and last instructions cannot be a constant
s.add (reg_or_constant_step[0]==False)
s.add (reg_or_constant_step[STEPS-1]==False)
...

for x in range(STEPS):
    s.add (constant_step[x] >=0, constant_step[x] <=63)
```

Known input/output values are added as constraints too.
Now let’s see how to construct our system of equations:

```python
# Register, Registers_State -> int
def register_selector (register, input_registers):
    return If(register==A, Registers_State.A(input_registers),
              If(register==B, Registers_State.B(input_registers),
```

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This function returning corresponding register value from structure. Needless to say, the code above is not executed. 

If() is Z3Py function. The code only declares the function, which will be used in another. Expression declaration resembling LISP PL in some way.

Here is another function where register_selector() is used:

```python
# Bool, Register, Registers_State, int -> int
def register_or_constant_selector (register_or_constant, register, input_registers, constant):
    return If(register_or_constant==False, register_selector(register, input_registers), constant)
```

This code is never executed too. It only constructs one small piece of very big expression. But for the sake of simplicity, one can think all these functions will be called during bruteforce search, many times, at fastest possible speed.

Here is the expression describing each instruction. new_val will be assigned to destination register, while all other registers' values are copied from input registers' state:

```python
# Bool, Register, Operation, Register, Register, Int, Registers_State -> Registers_State
def one_step (register_or_constant, register_assigned_in_this_step, op, reg1, reg2, constant, input_registers):
    new_val=one_op(op, register_or_constant, reg1, reg2, constant, input_registers)
    return If (register_assigned_in_this_step==A, Registers_State.cons (new_val, Registers_State.B(input_registers), Registers_State.C(input_registers), Registers_State.D(input_registers)),
               If (register_assigned_in_this_step==B, Registers_State.cons (Registers_State.A(input_registers),
                                           new_val, Registers_State.C(input_registers), Registers_State.D(input_registers)),
               If (register_assigned_in_this_step==C, Registers_State.cons (Registers_State.A(input_registers),
                                           Registers_State.B(input_registers),
                                           new_val, Registers_State.D(input_registers)),
               If (register_assigned_in_this_step==D, Registers_State.cons (Registers_State.A(input_registers),
                                           Registers_State.B(input_registers),
                                           Registers_State.C(input_registers),
                                           new_val),
                                           Registers_State.cons(0,0,0,0)))) # default
```
This is the last function describing a whole n-step program:

```python
def program(input_registers, STEPS):
    cur_input = input_registers
    for x in range(STEPS):
        cur_input = one_step(reg_or_constant_step[x], reg_step[x], op_step[x], reg1_step[x], reg2_step[x], constant_step[x], cur_input)
    return cur_input
```

Again, for the sake of simplicity, it can be said, now Z3 will try each possible registers/operations/constants against this expression to find such combination which satisfy all input/output pairs. Sounds absurdic, but this is close to reality. SAT/SMT-solvers indeed tries them all. But the trick is to prune search tree as early as possible, so it will work for some reasonable time. And this is hardest problem for solvers.

Now let’s start with very simple 3-step algorithm:

\[
B = A \wedge D, \quad C = D \times D, \quad D = A \times C
\]

Please note: register A left unchanged. I programmed Rockey 4 dongle with the algorithm, and recorded algorithm outputs are:

<table>
<thead>
<tr>
<th>Input</th>
<th>Output</th>
</tr>
</thead>
<tbody>
<tr>
<td>(p1=8803 p2=59946 p3=36002 p4=44743)</td>
<td>(p1=8803 p2=36004 p3=7857 p4=24691)</td>
</tr>
<tr>
<td>(p1=5814 p2=55512 p3=52155 p4=55813)</td>
<td>(p1=5814 p2=52403 p3=33817 p4=4038)</td>
</tr>
<tr>
<td>(p1=25206 p2=2097 p3=55906 p4=22705)</td>
<td>(p1=25206 p2=15047 p3=10849 p4=43702)</td>
</tr>
<tr>
<td>(p1=10044 p2=14647 p3=27923 p4=7325)</td>
<td>(p1=10044 p2=15265 p3=47177 p4=20508)</td>
</tr>
<tr>
<td>(p1=15267 p2=2690 p3=47355 p4=56073)</td>
<td>(p1=15267 p2=57514 p3=26193 p4=53395)</td>
</tr>
</tbody>
</table>

It took about one second and only 5 pairs above to find algorithm (on my quad-core Xeon E3-1220 3.1GHz, however, Z3 solver working in single-thread mode):

\[
B = A \wedge D \\
C = D \times D \\
D = C \times A
\]

Note the last instruction: C and A registers are swapped comparing to version I wrote by hand. But of course, this instruction is working in the same way, because multiplication is commutative operation.

Now if I try to find 4-step program satisfying to these values, my script will offer this:

\[
B = A \wedge D \\
C = D \times D \\
D = A \times C \\
A = A \mid A
\]

...and that’s really fun, because the last instruction do nothing with value in register A, it’s like \textit{NOP}—but still, algorithm is correct for all values given.

Here is another 5-step algorithm: \(B=B \wedge D, \quad C=A \times 22, \quad A=B \times 19, \quad A=A \& 42, \quad D=B \& C\) and values:

<table>
<thead>
<tr>
<th>Input</th>
<th>Output</th>
</tr>
</thead>
<tbody>
<tr>
<td>(p1=61876 p2=28737 p3=28636 p4=50362)</td>
<td>(p1=32 p2=46331 p3=50552 p4=33912)</td>
</tr>
<tr>
<td>(p1=46843 p2=43355 p3=39078 p4=24552)</td>
<td>(p1=8 p2=63155 p3=47506 p4=45202)</td>
</tr>
<tr>
<td>(p1=22425 p2=51432 p3=40836 p4=14260)</td>
<td>(p1=0 p2=65372 p3=34598 p4=34564)</td>
</tr>
<tr>
<td>(p1=44214 p2=45766 p3=19778 p4=59924)</td>
<td>(p1=2 p2=22738 p3=55204 p4=20608)</td>
</tr>
<tr>
<td>(p1=27348 p2=49060 p3=31736 p4=59576)</td>
<td>(p1=0 p2=22300 p3=11832 p4=1560)</td>
</tr>
</tbody>
</table>

It took 37 seconds and we’ve got:

\footnote{\textit{NOP}: No Operation}
\[
\begin{align*}
B &= D \oplus B \\
C &= A \times 22 \\
A &= B \times 19 \\
A &= A \& 42 \\
D &= C \& B
\end{align*}
\]

\[A = A \& 42\] was correctly deduced (look at these five p1’s at output (assigned to output A register): 32, 8, 0, 2, 0)

6-step algorithm \(A = A + B, B = C \times 13, D = D \oplus A, C = C \& A, D = D \mid B, A = A \& B\) and values:

<table>
<thead>
<tr>
<th>Input</th>
<th>Output</th>
</tr>
</thead>
<tbody>
<tr>
<td>p1=4110 p2=35411 p3=54308 p4=47077</td>
<td>p1=32832 p2=50644 p3=36896 p4=60884</td>
</tr>
<tr>
<td>p1=12038 p2=7312 p3=39626 p4=47017</td>
<td>p1=18434 p2=56386 p3=2690 p4=64639</td>
</tr>
<tr>
<td>p1=48763 p2=27663 p3=12485 p4=20563</td>
<td>p1=10752 p2=31233 p3=8320 p4=48761</td>
</tr>
<tr>
<td>p1=33174 p2=38937 p3=54005 p4=38871</td>
<td>p1=4129 p2=46705 p3=4261 p4=48761</td>
</tr>
<tr>
<td>p1=46587 p2=36275 p3=6090 p4=63976</td>
<td>p1=258 p2=13634 p3=906 p4=48966</td>
</tr>
</tbody>
</table>

90 seconds and we’ve got:

\[
\begin{align*}
A &= A + B \\
B &= C \times 13 \\
D &= D \oplus A \\
D &= B \mid D \\
C &= C \& A \\
A &= B \& A
\end{align*}
\]

But that was simple, however. Some 6-step algorithms are not possible to find, for example:
\[A = A \oplus B, A = A \times 9, A = A \oplus C, A = A \times 19, A = A \oplus D, A = A \& B\]. Solver was working too long (up to several hours), so I didn’t even know is it possible to find it anyway.

Conclusion

This is in fact an exercise in program synthesis.

Some short algorithms for tiny CPUs are really possible to find using so small set set of data. Of course it’s still not possible to reveal some complex algorithm, but this method definitely should not be ignored.

15.2.3 The files

Rockey 4 dongle programmer and reader, Rockey 4 manual, Z3Py script for finding algorithms, input/output pairs:
https://yurichev.com/SAT_SMT_tree/synth/pgm/rockey

Further work

Perhaps, constructing LISP-like S-expression can be better than a program for toy-level CPU.

It’s also possible to start with smaller constants and then proceed to bigger. This is somewhat similar to increasing password length in password brute-force cracking.

Exercise

https://challenges.re/25/.

15.2.4 TAOCP 7.1.3 Exercise 198, UTF-8 encoding and program synthesis by sketching

Found this exercise in TAOCP 7.1.3 (Bitwise Tricks and Techniques):
Unicode characters are often represented as strings of bytes using a scheme called UTF-8, which is the encoding of exercise 196 restricted to integers in the range $0 \leq x < 2^{20} + 2^{16}$. Notice that UTF-8 efficiently preserves the standard ASCII character set (the codepoints with $x < 2^7$), and that it is quite different from UTF-16.

Let $\alpha_1$ be the first byte of a UTF-8 string $\alpha(x)$. Show that there are reasonably small integer constants $a$, $b$, and $c$ such that only four bitwise operations

$$(a \gg ((\alpha_1 \gg b) \& c)) \& 3$$

suffice to determine the number $l - 1$ of bytes between $\alpha_1$ and the end of $\alpha(x)$.

This is like program synthesis by sketching: you give a sketch with several “holes” missing and ask some automated software to fill the “holes”. In our case, $a$, $b$ and $c$ are “holes”.

Let’s find them using Z3:

```python
from z3 import *

a, b, c = BitVecs('a b c', 22)
s = Solver()

def bytes_in_UTF8_seq(x):
    if (x >> 7) == 0:
        return 1
    if (x >> 5) == 0b110:
        return 2
    if (x >> 4) == 0b1110:
        return 3
    if (x >> 3) == 0b11110:
        return 4
    # invalid 1st byte
    return None

for x in range(256):
    t = bytes_in_UTF8_seq(x)
    if t != None:
        s.add(((a >> ((x >> b) & c)) & 3) == (t - 1))

# enumerate all solutions:
results = []
while s.check() == sat:
    m = s.model()

    print("a,b,c = 0x%x 0x%x 0x%x" % (m[a].as_long(), m[b].as_long(), m[c].as_long()))
    results.append(m)
    block = []
    for d in m:
        t = d()
        block.append(t != m[d])
    s.add(Or(block))

print("results total = ", len(results))
```

Figure 15.6: Exercise from TAOCP book

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I tried various bit widths for a, b and c and found that 22 bits are enough. I’ve lots of results like:

... 

a,b,c = 0x250100 0x3 0x381416
a,b,c = 0x258100 0x3 0x381416
a,b,c = 0x258900 0x3 0x381416
a,b,c = 0x259100 0x3 0x381416
a,b,c = 0x251100 0x3 0x381416
a,b,c = 0x259100 0x3 0x389416
a,b,c = 0x258100 0x3 0x389416
a,b,c = 0x251100 0x3 0x189416
a,b,c = 0x259100 0x3 0x189416
a,b,c = 0x259100 0x3 0x189016
... 

It seems that several least significant bits of a and c are not used. After little experimentation, I’ve come to this:

... 

# make a, c more aesthetically appealing:
s.add((a&0xffff)==0)
s.add((c&0xffff00)==0)
... 

And the results:

a,b,c = 0x250000 0x3 0x36
a,b,c = 0x250000 0x3 0x16
a,b,c = 0x250000 0x3 0x96
a,b,c = 0x250000 0x3 0xd6
a,b,c = 0x250000 0x3 0xf6
a,b,c = 0x250000 0x3 0x76
a,b,c = 0x250000 0x3 0xb6
a,b,c = 0x250000 0x3 0x56
results total= 8

Pick any.

But how it works? Its operation is very similar to the bitwise trick related to leading/trailing zero bits counting based on De Bruijn sequences. Read more about it in Mathematics for Programmers\(^5\).

The problem is small enough to be tackled by MK85: https://yurichev.com/SAT_SMT_tree/synth/pgm/TAOCP_713_198/TAOCP_713_198_MK85.py

15.2.5 TAOCP 7.1.3 Exercise 203, MMIX MOR instruction and program synthesis by sketching

Found this exercise in TAOCP 7.1.3 (Bitwise Tricks and Techniques):

\(^5\)https://yurichev.com/writings/Math-for-programmers.pdf
Suppose we want to convert a tetrabyte \( x = (x_7 \ldots x_4 x_0)_{16} \) to the octabyte \( y = (y_7 \ldots y_4 y_0)_{256} \), where \( y_j \) is the ASCII code for the hexadecimal digit \( x_j \). For example, if \( x = \#1234abcd \), \( y \) should represent the 8-character string "1234abcd".

What clever choices of five constants \( a, b, c, d, \) and \( e \) will make the following MMIX instructions do the job?

\[
\begin{align*}
\text{MOR } t, r, a; & \quad \text{SLU } s, t, 4; \quad \text{XOR } t, s, t; \quad \text{AND } t, t, b; \\
\text{ADD } t, t, c; & \quad \text{MOR } s, d, t; \quad \text{ADD } t, t, e; \quad \text{ADD } y, t, s.
\end{align*}
\]

What is MOR instruction in MMIX?

- **MOR $X, Y, Z$** `multiple or'.

Suppose the 64 bits of register \( Y \) are indexed as

\[
y_{00} y_{01} \ldots y_{07} y_{10} y_{11} \ldots y_{17} \ldots y_{70} y_{71} \ldots y_{77};
\]

in other words, \( y_{ij} \) is the \( j \)th bit of the \( i \)th byte, if we number the bits and bytes from 0 to 7 in big-endian fashion from left to right. Let the bits of the other operand, \( SZ \) or \( Z \), be indexed similarly:

\[
z_{00} z_{01} \ldots z_{07} z_{10} z_{11} \ldots z_{17} \ldots z_{70} z_{71} \ldots z_{77}.
\]

The MOR operation replaces each bit \( x_{ij} \) of register \( X \) by the bit

\[
y_{ij} z_{i0} \lor y_{ij} z_{i1} \lor \cdots \lor y_{ij} z_{i7}.
\]

Thus, for example, if register \( Z \) contains the constant \#0102040810204080, MOR reverses the order of the bytes in register \( Y \), converting between little-endian and big-endian addressing. (The \( i \)th byte of \( SY \) depends on the bytes of \( SY \) as specified by the \( i \)th byte of \( SZ \) or \( Z \). If we regard 64-bit words as \( 8 \times 8 \) Boolean matrices, with one byte per column, this operation computes the Boolean product \( SX = SY SZ \) or \( SX = SY Z \).

Alternatively, if we regard 64-bit words as \( 8 \times 8 \) matrices with one byte per row, MOR computes the Boolean product \( SX = SZ SY \) or \( SX = Z SY \) with operands in the opposite order. The immediate form \( MOR \ (X, Y, Z) \) always sets the leading seven bytes of register \( X \) to zero; the other byte is set to the bitwise or of whatever bytes of register \( Y \) are specified by the immediate operand \( Z \).)

Exercise: Explain how to compute a mask \( m \) that is \#ff in byte positions where \( a \) exceeds \( b \), \#00 in all other bytes. Answer: \texttt{BDIF x,a,b; MOR m,minusone,x; } here \texttt{minusone} is a register consisting of all 1s. (Moreover, if we \texttt{AND} this result with \#8040201008040201, then \texttt{MOR} with \( Z = 255 \), we get a one-byte encoding of \( m \).)
def simulate_MOR(y, z):
    
    set each bit of 64-bit result, as:
    
    $x_{i,j} = y_{0,j}z_{i,0} \lor y_{1,j}z_{i,1} \lor \ldots \lor y_{7,j}z_{i,7}$
    https://latexbase.com/d/bf2243f8-5d0b-4231-8891-66fb47d846f0
    
    IOW:
    
    $x_{\text{byte}<\text{bit}} = (y_{0}<\text{bit} \text{ AND } z_{\text{byte}<0}) \text{ OR } (y_{1}<\text{bit} \text{ AND } z_{\text{byte}<1}) \text{ OR } \ldots \text{ OR } (y_{7}<\text{bit} \text{ AND } z_{\text{byte}<7})$
    
    
    def get_ij(x, i, j):
        return (x>>(i*8+j))&1
    
    rt=0
    for byte in range(8):
        for bit in range(8):
            t=0
            for i in range(8):
                t|=get_ij(y, i, bit) & get_ij(z, byte, i)
            pos=byte*8+bit
            rt|=t<<pos
    
    return rt

def simulate_pgm(x):
    t=simulate_MOR(x,a)
    s=t<<4
    t=s^t
    t=t&b
    t=t+c
    s=simulate_MOR(d, t)
    t=t+e
    y=t+s
    return y

def nibble_to_ASCII(x):
    return If(And(x>=0, x<=9), 0x30+x, 0x61+x-10)

def method2(x):
    rt=0
    for i in range(8):
        rt|=nibble_to_ASCII((x >> i*4)&0xf) << i*8
    return rt

# new version.
# for all possible 32-bit x's, find such a/b/c/d/e, so that these two parts would be
# equal to each other
# zero extend x to 64-bit value in both cases
x=BitVec('x', 32)
s.add(ForAll([x], simulate_pgm(ZeroExt(32, x))==method2(ZeroExt(32, x))))
# previous version:
for i in range(5):
    x=random.getrandbits(32)
    t="%08x" % x
    y=int(''.join("%02X" % ord(c) for c in t), 16)
print "%x %x" % (x, y)
s.add(simulate_pgm(x)==y)

# enumerate all solutions:
results=[]
while s.check() == sat:
    m = s.model()
    print "a,b,c,d,e = %x %x %x %x %x % % (m[a].as_long(), m[b].as_long(), m[c].as_long(), m[d].as_long(), m[e].as_long())"
    results.append(m)
    block = []
    for d1 in m:
        t=d1()
        block.append(t != m[d1])
    s.add(Or(block))
print "results total=", len(results)

Very slow, it takes several hours on my venerable Intel Quad-Core Xeon E3-1220 3.10GHz but found at least one solution:

```
a,b,c,d,e = 80004000200001 f0f0f0f0f0f0f0f 56d65656169616411a00000000 bf3fbf3fff7f8000
```

...which is correct (I've wrote bruteforce checker, here: https://yurichev.com/SAT_SMT_tree/synth/pgm/TAOCP_713_203/check.c.

D.Knuth’s TAOCP also has answers:

```
203. a = %0008000400020001, b = %00f0f0f0f0f0f0f0I, c = %0606060606060606, d = %0000002700000000, e = %a2a2a2a2a2a2a2a2a2a2a2a. (The ASCII code for 0 is 0 + 02a; the ASCII code for a is 6 + 02a + 10 + 027.)
```

Figure 15.9: Screenshot from TAOCP book

...which are different, but also correct.
What if a==0x0008000400020001 always? I'm adding a new constraint:

```
s.add(a==0x0008000400020001)
```

We've getting many results (much faster, and also correct):

```
...
```

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The files: https://yurichev.com/SAT_SMT_tree/synth/pgm/TAOCP_713_203

15.2.6 Loading a constant into register using ASCII-only x86 code

... this is a task often required when constructing shellcodes. I’m not sure if this is still relevant these days, however, it was fun to do it.
I’ve picked 3 instructions with ASCII-only opcodes:

26 25 xx xx xx xx and eax, imm32
26 2D xx xx xx xx sub eax, imm32
26 35 xx xx xx xx xor eax, imm32

Will it be possible to generate such a sequence of instructions, so that the arbitrary 32-bit constant would be loaded into EAX register? Given the fact that the initial value of EAX is unknown, because, let’s say, we can’t reset it? Surely, all 32-bit operands must have ASCII-only bytes as well.

The answer is... using Z3 SMT-solver:

```python
#!/usr/bin/env python
from z3 import *
import sys, random

BIT_WIDTH=32
MAX_STEPS=20

#CONST=0
#CONST=0x12345678
#CONST=0x0badf00d
#CONST=0xffffffff

CONST=random.randint(0,0x100000000)
print "CONST=0x%" % CONST

CHAINS=30

def simulate_op(R, c, op, op1_val, op2_imm, STEPS):
    return If(op==0, op1_val - op2_imm,
              If(op==1, op1_val ^ op2_imm,
                 If(op==2, op1_val & op2_imm,
                     0))) # default

op_to_str_tbl=['SUB', 'XOR', 'AND']
instructions=len(op_to_str_tbl)

def print_model(m, STEPS, op, op2_imm):
    for s in range(1,STEPS):
        op_n=m[op[s]].as_long()
        op_s=op_to_str_tbl[op_n]
        print "%s EAX, 0x%" % (op_s, m[op2_imm[s]].as_long())

def attempt(STEPS):
    print "attempt, STEPS=%", STEPS
    sl=Solver()
```
R = [BitVec('S_s%d_c%d' % (s, c), BIT_WIDTH) for s in range(MAX_STEPS)] for c in range(CHAINS)

op = [Int('op_s%d' % s) for s in range(MAX_STEPS)]

op2_imm = [BitVec('op2_imm_s%d' % s, BIT_WIDTH) for s in range(MAX_STEPS)]

for s in range(1, STEPS):
    # for each step, instruction is in 0..2 range:
    sl.add(Or(op[s] == 0, op[s] == 1, op[s] == 2))

    # each 8-bit byte in operand must be in [0x21..0x7e] range:
    # or 0x20, if space character is tolerated...
    for shift_cnt in [0, 8, 16, 24]:
        sl.add(And((op2_imm[s] >> shift_cnt) & 0xff >= 0x21,
                    (op2_imm[s] >> shift_cnt) & 0xff <= 0x7e))

    # or use 0..9, a..z, A..Z:
    for shift_cnt in [0, 8, 16, 24]:
        sl.add(Or(
            And((op2_imm[s] >> shift_cnt) & 0xff >= ord('0'),
                 (op2_imm[s] >> shift_cnt) & 0xff <= ord('9')),
            And((op2_imm[s] >> shift_cnt) & 0xff >= ord('a'),
                 (op2_imm[s] >> shift_cnt) & 0xff <= ord('z')),
            And((op2_imm[s] >> shift_cnt) & 0xff >= ord('A'),
                 (op2_imm[s] >> shift_cnt) & 0xff <= ord('Z'))))

    # for all input random numbers, the result must be CONST:
    for c in range(CHAINS):
        sl.add(R[c][0] == random.randint(0, 0x10000000))
        sl.add(R[c][STEPS-1] == CONST)

        for s in range(1, STEPS):
            sl.add(R[c][s] == simulate_op(R, c, op[s], R[c][s-1], op2_imm[s], STEPS))

    tmp = sl.check()
    if tmp == sat:
        print "sat!"
        m = sl.model()
        print_model(m, STEPS, op, op2_imm)
        exit(0)
    else:
        print tmp

for s in range(2, MAX_STEPS):
    attempt(s)

What it can generate for zero:

AND EAX, 0x3e5a3e28
AND EAX, 0x40214040

These two instructions clears EAX. You can understand how it works if you'll see these operands in binary form:

0x3e5a3e28 = 11111001011010001111000101000
0x40214040 = 1000000000100001010000001000000
It’s best to have a zero bit for both operands, but this is not always possible, because each of 4 bytes in 32-bit operand must be in [0x21..0x7e] range, so the Z3 solver find a way to reset other bits using second instruction.

Running it again:

```assembly
AND EAX, 0x3c5e3621
AND EAX, 0x42214850
```

Operands are different, because SAT solver is probably initialized randomly.

Now 0x0badf00d:

```assembly
AND EAX, 0x48273048
AND EAX, 0x31504325
XOR EAX, 0x61212251
SUB EAX, 0x55733244
```

First two AND instruction clears EAX, 3th and 4th makes 0x0badf00d value.

Now 0x12345678:

```assembly
AND EAX, 0x41212230
XOR EAX, 0x292f2224
AND EAX, 0x365e4048
XOR EAX, 0x323a5678
```

Slightly different, but also correct.

For some constants, more instructions required:

```assembly
CONST=0xf3c37766
... 
AND EAX, 0x21283024
AND EAX, 0x58504050
SUB EAX, 0x31377b56
SUB EAX, 0x3f2f3b5e
XOR EAX, 0x7c5a3e2a
```

Now what if, for aesthetical reasons maybe, we would limit all printable characters to 0..9, a..z, A..Z (comment/un-comment corresponding fragments of the source code)? This is not a problem at all.

However, if to limit to a..z, A..Z, it needs more instructions, but this is still correct (8 instructions to clear EAX register):

```assembly
CONST=0x0
... 
XOR EAX, 0x43685575
SUB EAX, 0x6c747a6f
XOR EAX, 0x59525541
AND EAX, 0x65755454
XOR EAX, 0x57416643
AND EAX, 0x76767757
SUB EAX, 0x556f7547
AND EAX, 0x42424242
```

However, 7 instructions for 0x12345678 constant:

```assembly
CONST=0x12345678
... 
AND EAX, 0x6f77414d
SUB EAX, 0x646b7845
AND EAX, 0x41674a54
SUB EAX, 0x47414744
AND EAX, 0x49486d41
XOR EAX, 0x53757778
AND EAX, 0x7274567a
```
Further work: use ForAll quantifier instead of randomly generated test inputs... also, we could try INC EAX/DEC EAX instructions.

15.2.7 Further reading
"A toy code generator" https://github.com/nickgildea/z3_codegen — Nick Gildea has introduced a "set" instruction, loading a value into register.

15.3 DFA (Deterministic Finite Automaton) synthesis

15.3.1 DFA accepting a 001 substring

This example shows how to design a finite automaton $E_2$ to recognize the regular language of all strings that contain the string 001 as a substring. For example, 0010, 1001, 001, and 1111110111111 are all in the language, but 11 and 0000 are not. How would you recognize this language if you were pretending to be $E_2$?

(M. Sipser — Introduction to the Theory of Computation, 2ed, p43, Example 1.21.)

```python
from z3 import *
import sys, random, os

state=[[Int('state_%d_%d' % (s, b)) for b in range(2)] for s in range(100)]

INITIAL_STATE=0
INVALID_STATE=999

# construct FA for z3
def transition (STATES, s, i):  # this is like switch()
    rt=IntVal(INVALID_STATE)
    for j in range(STATES):
        rt=If(And(s==IntVal(j), i==0), state[j][0], rt)
        rt=If(And(s==IntVal(j), i==1), state[j][1], rt)
    return rt

# construct FA for z3
def FA(STATES, input_string):
    s=IntVal(INITIAL_STATE)
    for i in input_string:
        s=transition(STATES, s, int(i))
    return s

def print_model(STATES, m):
    print "[state, input, new state]"
    for i in range(STATES):
        print "[%d, "%04d", %d]," % (i, m[state[i][0]].as_long(), m[state[i][1]].as_long())
    f=open("1.gv", "wt")
    f.write("digraph finite_state_machine {
"")
    f.write("\t rankdir=LR;\n")
    f.write("\t size="8,5"\n")
    f.write("\t node [shape = doublecircle]; S_0 S_+str(STATES-1)+\n")
    f.write("\t node [shape = circle];\n")
```
15.3.2 DFA accepting a binary substring divisible by prime number

A problem: construct a regular expression accepting binary number divisible by 3.
"1111011" (123) is, "101010101011" (2731) is not.
Some discussion and the correct expressions:
I couldn’t generate RE, but I can generate a minimal DFA:

```python
from z3 import *
import sys, random, os

DIVISOR=3
#DIVISOR=5
#DIVISOR=7
#DIVISOR=9
#DIVISOR=10
#DIVISOR=11  # no luck

state=[[Int('state_%d_%d' % (s, b)) for b in range(2)] for s in range(100)]

INITIAL_STATE=0
INVALID_STATE=999

# construct FA for z3
def transition (STATES, s, i):
    # this is like switch()

    rt=IntVal(INVALID_STATE)
    for j in range(STATES):
        rt=If(And(s==IntVal(j), i==0), state[j][0], rt)
        rt=If(And(s==IntVal(j), i==1), state[j][1], rt)
    return rt

# construct FA for z3
def FA(STATES, input_string):
    s=IntVal(INITIAL_STATE)
    for i in input_string:
        s=transition(STATES, s, int(i))
    return s

# simulate FA for testing purpose:
def simulate_FA(input_, FA):
    s=INITIAL_STATE
    for i in input_:
        if i=='0':
            s=FA[s][0]
        else:
            s=FA[s][1]
    return s

def test_FA (STATES, FA):
    ACCEPTING_STATE=STATES-1
    for i in range(10000):
        rnd=random.randint(1,100000000000000)
        b=bin(rnd)[2:]
        final_state=simulate_FA(b, FA)
        if (rnd % DIVISOR)==0:
```
if final_state != ACCEPTING_STATE:
    raise AssertionError
else:
    if final_state == ACCEPTING_STATE:
        raise AssertionError
print "test OK"

def print_model(STATES, m):
    print "[state, input, new state]"
    for i in range(STATES):
        print "[%d, \"0\", %d]," % (i, m[state[i][0]].as_long())
        print "[%d, \"1\", %d]," % (i, m[state[i][1]].as_long())

f = open("1.gv", "wt")
f.write("digraph finite_state_machine {\n")
f.write("\ttrankdir=LR;\n")
f.write("\tnode [shape = doublecircle]; S_0 S_"+str(STATES-1)+";\n");
f.write("\tnode [shape = circle];\n")

FA={} for s in range(STATES):
    f.write("\tS_%d -> S_%d [ label = \"0\" ];\n" % (s, m[state[s][0]].as_long())))
    f.write("\tS_%d -> S_%d [ label = \"1\" ];\n" % (s, m[state[s][1]].as_long())))
    FA[s]=(m[state[s][0]].as_long(), m[state[s][1]].as_long())

f.write("}\n")
f.close()

os.system("dot -Tpng 1.gv > 1.png") # run GraphViz
test_FA (STATES, FA)

def attempt(STATES):
    print "STATES=", STATES
    sl = Solver()
    # Z3 multithreading, starting at 4.8.x:
    set_param("parallel.enable", True)

    for s in range(STATES):
        for b in range(2):
            sl.add(And(state[s][b] >= 0, state[s][b] < STATES))

    ACCEPTING_STATE = STATES-1

    # may be lower for low DIVISOR's like 3 or 5.
    # but 256 is safe choice for DIVISOR's up to 9
    for i in range(256):
        b = bin(i)[2:]
        if (i % DIVISOR) == 0:
            sl.add(FA(STATES, b) == ACCEPTING_STATE)
        else:
            sl.add(FA(STATES, b) != ACCEPTING_STATE)

    result=[]

    if sl.check() == unsat:
        return
    m = sl.model()
    print_model(STATES, m)
As you can see, it has testing procedure, which is, in turn, can be used instead of RE matcher, if you really need to match numbers divisible by 3.

states in double circles — initial ($S_0$) and accepting:

![Figure 15.10: DFA for numbers divisible by 3](image)

... is almost like the one someone posted [here](link), but my solution has two separate states as initial and accepting.

![Figure 15.11: DFA for numbers divisible by 5](image)
Figure 15.12: DFA for numbers divisible by 7

Figure 15.13: DFA for numbers divisible by 9. Probably not an easy thing to find it manually
Figure 15.14: DFA for numbers divisible by 10. Not a prime, so the DFA is smaller

These DFAs are guaranteed to be minimal. Further work: convert them to RE...

What about brute force?

For 10 vertices, you have to enumerate \((10 \cdot 10)^{10} = 10^{20}\) DFAs, or \(\log_2(10 \cdot 10)^{10} \approx 66\) bits.

15.4 Other

15.4.1 Graph theory: degree sequence problem / graph realization problem

The degree sequence of an undirected graph is the non-increasing sequence of its vertex degrees;[2] for the above graph it is \((5, 3, 3, 2, 2, 1, 0)\). The degree sequence is a graph invariant so isomorphic graphs have the same degree sequence. However, the degree sequence does not, in general, uniquely identify a graph; in some cases, non-isomorphic graphs have the same degree sequence.

The degree sequence problem is the problem of finding some or all graphs with the degree sequence being a given non-increasing sequence of positive integers. (Trailing zeroes may be ignored since they are trivially realized by adding an appropriate number of isolated vertices to the graph.) A sequence which is the degree sequence of some graph, i.e. for which the degree sequence problem has a solution, is called a graphic or graphical sequence. As a consequence of the degree sum formula, any sequence with an odd sum, such as \((3, 3, 1)\), cannot be realized as the degree sequence of a graph. The converse is also true: if a sequence has an even sum, it is the degree sequence of a multigraph. The construction of such a graph is straightforward: connect vertices with odd degrees in pairs by a matching, and fill out the remaining even degree counts by self-loops. The question of whether a given degree sequence can be realized by a simple graph is more challenging. This problem is also called graph realization problem and can either be solved by the Erdős–Gallai theorem or the Havel–Hakimi algorithm. The problem of finding or estimating the number of graphs with a given degree sequence is a problem from the field of graph enumeration.

(https://en.wikipedia.org/wiki/Degree_(graph_theory))
The degree sequence problem is the problem of finding some or all graphs with the degree sequence being a given non-increasing sequence of positive integers. I can solve this using Z3 SMT solver, however, isomorphic graphs are not being weeded out... the result is then rendered using GraphViz.

```python
# The degree sequence problem is the problem of finding some or all graphs with
# the degree sequence being a given non-increasing sequence of positive integers.
# (https://en.wikipedia.org/wiki/Degree_(graph_theory))

from z3 import *
import subprocess

BV_WIDTH = 8

# from https://en.wikipedia.org/wiki/Degree_(graph_theory)
# seq=[3, 2, 2, 2, 2, 1, 1, 1]
# from "Pearls in Graph Theory":
# seq=[6,5,4,3,3,2,2,2]  # not graphical
# seq=[6,6,6,4,3,3,0]  # not graphical
# seq=[3,2,1,1,1,1,1]

seq=[8,8,7,7,6,6,4,3,2,1,1,1]  # https://math.stackexchange.com/questions/1074651/check-if-sequence-is-graphic-8-8-7-7-6-6-4-3-2-1-1-1
# seq=[1,1]
# seq=[2,2,2]

vertices=len(seq)

if (sum(seq) & 1) == 1:
    print "not a graphical sequence"
    exit(0)

edges=sum(seq)/2
print "edges=", edges

# for each edge, edges_begin[] and edges_end[] pair defines a vertex numbers, which they connect:
edges_begin=[BitVec('edges_begin_%d' % i, BV_WIDTH) for i in range(edges)]
edges_end=[BitVec('edges_end_%d' % i, BV_WIDTH) for i in range(edges)]

# how many times an element encountered in array[]?
def count_elements(array, e):
    rt=[]
    for a in array:
        rt.append(If(a==e, 1, 0))
    return Sum(rt)

s=Solver()

for v in range(vertices):
    #print "vertex %d must be present %d times" % (v, seq[v])
```
s.add(count_elements(edges_begin+edges_end, v)==seq[v])

for i in range(edges):
    # no loops must be present
    s.add(edges_begin[i]!=edges_end[i])

    # this is not a multiple graph
    # probably, this is hackish... we say here that each pair of elements (edges_begin[]].edges_end[])
    # (where dot is concatenation operation) must not repeat in the arrays, nor in a
    # swapped way
    # this is why edges_[] variables has BitVec type...
    # this can be implemented in other way: a value of edges_begin[]*100+edges_end[]
    # must not appear twice...
    for j in range(edges):
        if i==j:
            continue
        s.add(Concat(edges_begin[i], edges_end[i]) != Concat(edges_begin[j], edges_end[j]))
        s.add(Concat(edges_begin[i], edges_end[i]) != Concat(edges_end[j], edges_begin[j]))

gv_no=0
def print_model(m):
    global gv_no
    gv_no=gv_no+1

    print "edges_begin/edges_end:"
    for i in range(edges):
        print "%d - %d" % (m[edges_begin[i]].as_long(), m[edges_end[i]].as_long())

    f=open(str(gv_no)+".gv", "w")
    f.write("graph G {}
    for i in range(edges):
        f.write ("\t%d -- %d;\n" % (m[edges_begin[i]].as_long(), m[edges_end[i]].as_long()

    f.write("}
    f.close()
    # run graphviz:
    cmd='dot -Tpng '+str(gv_no)+'.gv -o '+str(gv_no)+'.png'
    print "running", cmd
    os.system(cmd)

    # enumerate all possible solutions:
    results=[]
    #while True:
    for i in range(10): # 10 results
        if s.check() == sat:
            m = s.model()
            print_model(m)
            #exit(0)
            results.append(m)
            block = []
            for d in m:
                c=d()
                block.append(c != m[d])
s.add(Or(block))
else:
    print "results total=", len(results)
if len(results)==0:
    print "not a graphical sequence"
break
For the \([8,8,7,7,6,6,4,3,2,1,1,1]\) sequence I’ve copypasted from someone’s homework...

Exercise

... from the "Pearls in Graph Theory" book:

1.1.1. Seven students go on vacations. They decide that each will send a postcard to three of the others. Is it possible that every student receives postcards from precisely the three to whom he sent postcards?

No, it’s not possible, because 7*3 is a odd number. However, if you reduce 7 students to 6, this is solvable, the sequence is \([3,3,3,3,3,3]\).

Now the graph of mutual exchanging of postcards between 6 students:
Figure 15.15: 6 students
Chapter 16

Toy decompiler

16.1 Introduction

A modern-day compiler is a product of hundreds of developer/year. At the same time, toy compiler can be an exercise for a student for a week (or even weekend).
Likewise, commercial decompiler like Hex-Rays can be extremely complex, while toy decompiler like this one, can be easy to understand and remake.

The following decompiler written in Python, supports only short basic blocks, with no jumps. Memory is also not supported.

16.2 Data structure

Our toy decompiler will use just one single data structure, representing expression tree.

Many programming textbooks has an example of conversion from Fahrenheit temperature to Celsius, using the following formula:

\[ celsius = (fahrenheit - 32) \cdot \frac{5}{9} \]

This expression can be represented as a tree:

![Expression Tree Diagram]

How to store it in memory? We see here 3 types of nodes: 1) numbers (or values); 2) arithmetical operations; 3) symbols (like “INPUT”).

Many developers with OOP\(^1\) in their mind will create some kind of class. Other developer maybe will use “variant type”.

I’ll use simplest possible way of representing this structure: a Python tuple. First element of tuple can be a string: either “EXPR_OP” for operation, “EXPR_SYMBOL” for symbol or “EXPR_VALUE” for value. In case of symbol or value, it follows the string. In case of operation, the string followed by another tuples.

\(^1\)Object-oriented programming
Node type and operation type are stored as plain strings—to make debugging output easier to read. There are constructors in our code, in OOP sense:

```python
def create_val_expr (val):
    return ("EXPR_VALUE", val)
def create_symbol_expr (val):
    return ("EXPR_SYMBOL", val)
def create_binary_expr (op, op1, op2):
    return ("EXPR_OP", op, op1, op2)
```

There are also accessors:

```python
def get_expr_type(e):
    return e[0]
def get_symbol (e):
    assert get_expr_type(e)="EXPR_SYMBOL"
    return e[1]
def get_val (e):
    assert get_expr_type(e)="EXPR_VALUE"
    return e[1]
def is_expr_op(e):
    return get_expr_type(e)="EXPR_OP"
def get_op (e):
    assert is_expr_op(e)
    return e[1]
def get_op1 (e):
    assert is_expr_op(e)
    return e[2]
def get_op2 (e):
    assert is_expr_op(e)
    return e[3]
```

The temperature conversion expression we just saw will be represented as:

```
"EXPR_OP"
  "/"
  "EXPR_OP"
    "*"
    "EXPR_OP"
      "-"
      "EXPR_SYMBOL"
        "arg1"
      "EXPR_VALUE"
        32
    "EXPR_VALUE"
      9
```

...or as Python expression:
In fact, this is AST\textsuperscript{2} in its simplest form. ASTs are used heavily in compilers.

### 16.3 Simple examples

Let’s start with simplest example:

```
mov rax, rdi
imul rax, rsi
```

At start, these symbols are assigned to registers: RAX=initial\_RAX, RBX=initial\_RBX, RDI=arg1, RSI=arg2, RDX=arg3, RCX=arg4.

When we handle MOV instruction, we just copy expression from RDI to RAX. When we handle IMUL instruction, we create a new expression, adding together expressions from RAX and RSI and putting result into RAX again.

I can feed this to decompiler and we will see how register’s state is changed through processing:

```
python td.py --show-registers --python-expr tests/mul.s
...
line=[mov rax, rdi]
rcx=('EXPR\_SYMBOL', 'arg4')
rsi=('EXPR\_SYMBOL', 'arg2')
rbx=('EXPR\_SYMBOL', 'initial\_RBX')
rdx=('EXPR\_SYMBOL', 'arg3')
rdi=('EXPR\_SYMBOL', 'arg1')
rax=('EXPR\_SYMBOL', 'arg1')

line=[imul rax, rsi]
rcx=('EXPR\_SYMBOL', 'arg4')
rsi=('EXPR\_SYMBOL', 'arg2')
rbx=('EXPR\_SYMBOL', 'initial\_RBX')
rdx=('EXPR\_SYMBOL', 'arg3')
rdi=('EXPR\_SYMBOL', 'arg1')
rax=('EXPR\_OP', '*', ('EXPR\_SYMBOL', 'arg1'), ('EXPR\_SYMBOL', 'arg2'))

result=('EXPR\_OP', '*', ('EXPR\_SYMBOL', 'arg1'), ('EXPR\_SYMBOL', 'arg2'))
```

IMUL instruction is mapped to “*” string, and then new expression is constructed in handle\_binary\_op(), which puts result into RAX.

In this output, the data structures are dumped using Python str() function, which does mostly the same, as print().

Output is bulky, and we can turn off Python expressions output, and see how this internal data structure can be rendered neatly using our internal expr\_to\_string() function:

```
python td.py --show-registers tests/mul.s
...
line=[mov rax, rdi]
```
rcx=arg4
rsi=arg2
rbx=initial_RBX
rdx=arg3
rdi=arg1
rax=arg1

line=[imul rax, rsi]
rcx=arg4
rsi=arg2
rbx=initial_RBX
rdx=arg3
rdi=arg1
rax=(arg1 * arg2)

...

result=(arg1 * arg2)

Slightly advanced example:

   imul  rdi, rsi
   lea   rax, [rdi+rdx]

LEA instruction is treated just as ADD.

python td.py --show-registers --python-expr tests/mul_add.s

   ...
   line=[imul rdi, rsi]
     rcx=('EXPR_SYMBOL', 'arg4')
     rsi=('EXPR_SYMBOL', 'arg2')
     rbx=('EXPR_SYMBOL', 'initial_RBX')
     rdx=('EXPR_SYMBOL', 'arg3')
     rdi=('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg1'), ('EXPR_SYMBOL', 'arg2'))
     rax=('EXPR_SYMBOL', 'initial_RAX')
   line=[lea rax, [rdi+rdx]]
     rcx=('EXPR_SYMBOL', 'arg4')
     rsi=('EXPR_SYMBOL', 'arg2')
     rbx=('EXPR_SYMBOL', 'initial_RBX')
     rdx=('EXPR_SYMBOL', 'arg3')
     rdi=('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg1'), ('EXPR_SYMBOL', 'arg2'))
     rax=('EXPR_OP', '+', ('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg1'), ('EXPR_SYMBOL', 'arg2')),
         ('EXPR_SYMBOL', 'arg3'))
   ...
   result=('EXPR_OP', '+', ('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg1'), ('EXPR_SYMBOL', 'arg2')),
           ('EXPR_SYMBOL', 'arg3'))

And again, let’s see this expression dumped neatly:

python td.py --show-registers tests/mul_add.s

   ...
   result=((arg1 * arg2) + arg3)

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Now another example, where we use 2 input arguments:

```
imul rdi, rdi, 1234
imul rsi, rsi, 5678
lea rax, [rdi+rsi]
```

```
python td.py --show-registers --python-expr tests/mul_add3.s
...
line=[imul rdi, rdi, 1234]
rcx=('EXPR_SYMBOL', 'arg4')
rsi=('EXPR_SYMBOL', 'arg2')
rbx=('EXPR_SYMBOL', 'initial_RBX')
rdx=('EXPR_SYMBOL', 'arg3')
rdi=('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 1234))
rax=('EXPR_SYMBOL', 'initial_RAX')

line=[imul rsi, rsi, 5678]
rcx=('EXPR_SYMBOL', 'arg4')
rsi=('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg2'), ('EXPR_VALUE', 5678))
rbx=('EXPR_SYMBOL', 'initial_RBX')
rdx=('EXPR_SYMBOL', 'arg3')
rdi=('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 1234))
rax=('EXPR_SYMBOL', 'initial_RAX')

line=[lea rax, [rdi+rsi]]
rcx=('EXPR_SYMBOL', 'arg4')
rsi=('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg2'), ('EXPR_VALUE', 5678))
rbx=('EXPR_SYMBOL', 'initial_RBX')
rdx=('EXPR_SYMBOL', 'arg3')
rdi=('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 1234))
rax=('EXPR_OP', '+', ('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 1234)), ('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg2'), ('EXPR_VALUE', 5678)))
...

result=('EXPR_OP', '+', ('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 1234)), ('EXPR_OP', '*', ('EXPR_SYMBOL', 'arg2'), ('EXPR_VALUE', 5678)))
```

...and now neat output:

```
python td.py --show-registers tests/mul_add3.s
...
result=((arg1 * 1234) + (arg2 * 5678))
```

Now conversion program:

```
mov rax, rdi
sub rax, 32
imul rax, rsi, 5
mov rbx, 9
idiv rbx
```

You can see, how register's state is changed over execution (or parsing).

Raw:
`python td.py --show-registers --python-expr tests/fahr_to_celsius.s`

```plaintext
...  
line=[mov  rax, rdi]
    rcx=('EXPR_SYMBOL', 'arg4')
    rsi=('EXPR_SYMBOL', 'arg2')
    rbx=('EXPR_SYMBOL', 'initial_RBX')
    rdx=('EXPR_SYMBOL', 'arg3')
    rdi=('EXPR_SYMBOL', 'arg1')
    rax=('EXPR_SYMBOL', 'arg1')

line=[sub  rax, 32]
    rcx=('EXPR_SYMBOL', 'arg4')
    rsi=('EXPR_SYMBOL', 'arg2')
    rbx=('EXPR_SYMBOL', 'initial_RBX')
    rdx=('EXPR_SYMBOL', 'arg3')
    rdi=('EXPR_SYMBOL', 'arg1')
    rax=('EXPR_OP', '-', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 32))

line=[imul  rax, 5]
    rcx=('EXPR_SYMBOL', 'arg4')
    rsi=('EXPR_SYMBOL', 'arg2')
    rbx=('EXPR_SYMBOL', 'initial_RBX')
    rdx=('EXPR_SYMBOL', 'arg3')
    rdi=('EXPR_SYMBOL', 'arg1')
    rax=('EXPR_OP', '*', ('EXPR_OP', '-', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 32)), ('EXPR_VALUE', 5))

line=[mov  rbx, 9]
    rcx=('EXPR_SYMBOL', 'arg4')
    rsi=('EXPR_SYMBOL', 'arg2')
    rbx=('EXPR_VALUE', 9)
    rdx=('EXPR_SYMBOL', 'arg3')
    rdi=('EXPR_SYMBOL', 'arg1')
    rax=('EXPR_OP', '*', ('EXPR_OP', '-', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 32)), ('EXPR_VALUE', 5))

line=[idiv  rbx]
    rcx=('EXPR_SYMBOL', 'arg4')
    rsi=('EXPR_SYMBOL', 'arg2')
    rbx=('EXPR_VALUE', 9)
    rdx=('EXPR_OP', '/', ('EXPR_OP', '*', ('EXPR_OP', '-', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 32)), ('EXPR_VALUE', 5)), ('EXPR_VALUE', 9))
    rdi=('EXPR_SYMBOL', 'arg1')
    rax=('EXPR_OP', '/', ('EXPR_OP', '*', ('EXPR_OP', '-', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 32)), ('EXPR_VALUE', 5)), ('EXPR_VALUE', 9))

...  
result=('EXPR_OP', '/', ('EXPR_OP', '*', ('EXPR_OP', '-', ('EXPR_SYMBOL', 'arg1'), ('EXPR_VALUE', 32)), ('EXPR_VALUE', 5)), ('EXPR_VALUE', 9))
```

Neat:

```
python td.py --show-registers tests/fahr_to_celsius.s
```
... line=[mov rax, rdi]
rcx=arg4
rsi=arg2
rbx=initial_RBX
rdx=arg3
rdi=arg1
rax=arg1

line=[sub rax, 32]
rcx=arg4
rsi=arg2
rbx=initial_RBX
rdx=arg3
rdi=arg1
rax=(arg1 - 32)

line=[imul rax, 5]
rcx=arg4
rsi=arg2
rbx=initial_RBX
rdx=arg3
rdi=arg1
rax=((arg1 - 32) * 5)

line=[mov rbx, 9]
rcx=arg4
rsi=arg2
rbx=9
rdx=arg3
rdi=arg1
rax=((arg1 - 32) * 5)

line=[idiv rbx]
rcx=arg4
rsi=arg2
rbx=9
rdx=((arg1 - 32) * 5) % 9
rdi=arg1
rax=((arg1 - 32) * 5) / 9

result=(((arg1 - 32) * 5) / 9)

It is interesting to note that IDIV instruction also calculates reminder of division, and it is placed into RDX register. It’s not used, but is available for use.

This is how quotient and remainder are stored in registers:

def handle_unary_DIV_IDIV (registers, op1):
    op1_expr=register_or_number_in_string_to_expr (registers, op1)
    current_RAX=registers["rax"]
    registers["rax"]=create_binary_expr ("/", current_RAX, op1_expr)
    registers["rdx"]=create_binary_expr ("%", current_RAX, op1_expr)

Now this is align2grain() function:

3Taken from https://docs.oracle.com/javase/specs/jvms/se6/html/Compiling.doc.html

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; uint64_t align2grain (uint64_t i, uint64_t grain)
; return ((i + grain-1) & ~(grain-1));

; rdi=i
; rsi=grain

sub rsi, 1
add rdi, rsi
not rsi
and rdi, rsi
mov rax, rdi

...

line=[sub rsi, 1]
rcx=arg4
rsi=(arg2 - 1)
rbx=initial RBX
rdx=arg3
rdi=arg1
rax=initial RAX

line=[add rdi, rsi]
rcx=arg4
rsi=(arg2 - 1)
rbx=initial RBX
rdx=arg3
rdi=(arg1 + (arg2 - 1))
rax=initial RAX

line=[not rsi]
rcx=arg4
rsi=(~(arg2 - 1))
rbx=initial RBX
rdx=arg3
rdi=(arg1 + (arg2 - 1))
rax=initial RAX

line=[and rdi, rsi]
rcx=arg4
rsi=(~(arg2 - 1))
rbx=initial RBX
rdx=arg3
rdi=((arg1 + (arg2 - 1)) & (~(arg2 - 1)))
rax=initial RAX

line=[mov rax, rdi]
rcx=arg4
rsi=(~(arg2 - 1))
rbx=initial RBX
rdx=arg3
rdi=((arg1 + (arg2 - 1)) & (~(arg2 - 1)))
rax=((arg1 + (arg2 - 1)) & (~(arg2 - 1)))
\[
result = ((\text{arg1} + (\text{arg2} - 1)) \land \sim(\text{arg2} - 1))
\]

16.4 Dealing with compiler optimizations

The following piece of code ...

\begin{verbatim}
mov rax, rdi
add rax, rax
\end{verbatim}

...will be transformed into \((\text{arg1} + \text{arg1})\) expression. It can be reduced to \((\text{arg1} \times 2)\). Our toy decompiler can identify patterns like such and rewrite them.

\# \text{X+X} -> \text{X*2}
def reduce_ADD1 (expr):
    if is_expr_op(expr) and get_op (expr)=="+" and get_op1 (expr)==get_op2 (expr):
        return dbg_print_reduced_expr ("reduce_ADD1", expr, create_binary_expr ("*",
            get_op1 (expr), create_val_expr (2)))
    return expr # no match

This function will just test, if the current node has \text{EXPR_OP} type, operation is \text{"+"} and both children are equal to each other. By the way, since our data structure is just tuple of tuples, Python can compare them using plain \text{"=="} operation. If the testing is finished successfully, current node is then replaced with a new expression: we take one of children, we construct a node of \text{EXPR_VALUE} type with \text{"2"} number in it, and then we construct a node of \text{EXPR_OP} type with \text{"*"}.

\text{dbg_print_reduced_expr()} serving solely debugging purposes—it just prints the old and the new (reduced) expressions.

Decompiler is then traverse expression tree recursively in \text{deep-first search} fashion.

\begin{verbatim}
def reduce_step (e):
    if is_expr_op (e)==False:
        return e # expr isn't \text{EXPR_OP}, nothing to reduce (we don't reduce \text{EXPR_SYMBOL}
            and \text{EXPR_VALUE})
    if is_unary_op(get_op(e)):
        # recreate expr with reduced operand:
        return reducers(create_unary_expr (get_op(e), reduce_step (get_op1 (e))))
    else:
        # recreate expr with both reduced operands:
        return reducers(create_binary_expr (get_op(e), reduce_step (get_op1 (e)),
            reduce_step (get_op2 (e))))
...

# same as "return ... (reduce_MUL1 (reduce_ADD1 (reduce_ADD2 (... expr))))"
reducers=compose([
    ...
    reduce_ADD1, ...
    ...])

def reduce (e):
    print "going to reduce " + expr_to_string (e)
    new_expr=reduce_step(e)
    if new_expr==e:
        return new_expr # we are done here, expression can't be reduced further
    else:
        ...
\end{verbatim}
return reduce(new_expr) # reduced expr has been changed, so try to reduce it again

Reduction functions called again and again, as long, as expression changes.

Now we run it:

```python
td.py tests/add1.s
```

```python
going to reduce (arg1 + arg1)
reduction in reduce_ADD1() (arg1 + arg1) -> (arg1 * 2)
going to reduce (arg1 * 2)
result=(arg1 * 2)
```

So far so good, now what if we would try this piece of code?

```python
mov rax, rdi
add rax, rax
add rax, rax
add rax, rax
```

```python
td.py tests/add2.s
```

```python
working out tests/add2.s
going to reduce (((arg1 + arg1) + (arg1 + arg1)) + ((arg1 + arg1) + (arg1 + arg1)))
reduction in reduce_ADD1() (arg1 + arg1) -> (arg1 * 2)
reduction in reduce_ADD1() (arg1 + arg1) -> (arg1 * 2)
reduction in reduce_ADD1() ((arg1 * 2) + (arg1 * 2)) -> ((arg1 * 2) * 2)
reduction in reduce_ADD1() (arg1 + arg1) -> (arg1 * 2)
reduction in reduce_ADD1() (arg1 + arg1) -> (arg1 * 2)
reduction in reduce_ADD1() ((arg1 * 2) + (arg1 * 2)) -> ((arg1 * 2) * 2)
reduction in reduce_ADD1() (((arg1 * 2) * 2) + ((arg1 * 2) * 2)) -> (((arg1 * 2) * 2) * 2)
going to reduce (((arg1 * 2) * 2) + (arg1 + arg1))
result=(((arg1 * 2) * 2) * 2)
```

This is correct, but too verbose.

We would like to rewrite \((X^n)^m\) expression to \(X^{(n*m)}\), where \(n\) and \(m\) are numbers. We can do this by adding another function like `reduce_ADD1()`, but there is much better option: we can make matcher for tree. You can think about it as regular expression matcher, but over trees.

```python
def bind_expr (key):
    return ("EXPR_WILDCARD", key)

def bind_value (key):
    return ("EXPR_WILDCARD_VALUE", key)

def match_EXPR_WILDCARD (expr, pattern):
    return {pattern[1] : expr} # return {key : expr}

def match_EXPR_WILDCARD_VALUE (expr, pattern):
    if get_expr_type (expr)!="EXPR_VALUE":
        return None
    return {pattern[1] : get_val(expr)} # return {key : expr}

def is_commutative (op):
```

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return op in ["+", "*", "&", "|", "~"]

def match_two_ops (op1_expr, op1_pattern, op2_expr, op2_pattern):
    m1=match (op1_expr, op1_pattern)
    m2=match (op2_expr, op2_pattern)
    if m1==None or m2==None:
        return None # one of match for operands returned False, so we do the same
    # join two dicts from both operands:
    rt={}
    rt.update(m1)
    rt.update(m2)
    return rt

def match_EXPR_OP (expr, pattern):
    if get_expr_type(expr)!=get_expr_type(pattern): # be sure, both EXPR_OP.
        return None
    if get_op (expr)!=get_op (pattern): # be sure, ops type are the same.
        return None
    if (is_unary_op(get_op(expr))):
        # match unary expression.
        return match (get_op1 (expr), get_op1 (pattern))
    else:
        # match binary expression.
        # first try match operands as is.
        m=match_two_ops (get_op1 (expr), get_op1 (pattern), get_op2 (expr), get_op2 (pattern))
        if m!=None:
            return m
        # if matching unsuccessful, AND operation is commutative, try also swapped
        # operands.
        if is_commutative (get_op (expr))==False:
            return None
        return match_two_ops (get_op1 (expr), get_op2 (pattern), get_op2 (expr), get_op1 (pattern))

# returns dict in case of success, or None

def match (expr, pattern):
    t=get_expr_type(pattern)
    if t=="EXPR_WILDCARD":
        return match_EXPR_WILDCARD (expr, pattern)
    elif t=="EXPR_WILDCARD_VALUE":
        return match_EXPR_WILDCARD_VALUE (expr, pattern)
    elif t=="EXPR_SYMBOL":
        if expr==pattern:
            return {}
        else:
            return None
    elif t=="EXPR_VALUE":
        if expr==pattern:
            return {}
        else:
            return None
    elif t=="EXPR_OP":
        return match_EXPR_OP (expr, pattern)
    else:
        return None
raise AssertionError

Now how we will use it:

```python
# (X*A)*B -> X*(A*B)
def reduce_MUL1(expr):
    m = match(expr, create_binary_expr("*", create_binary_expr("*", bind_expr("X"), bind_value("A")), bind_value("B")))
    if m is None:
        return expr  # no match
    return dbg_print_reduced_expr("reduce_MUL1", expr, create_binary_expr("*", m["X"],  # new op1
                                                                        create_val_expr(m["A"] * m["B"]))) # new op2
```

We take input expression, and we also construct pattern to be matched. Matcher works recursively over both expressions synchronously. Pattern is also expression, but can use two additional node types: `EXPR_WILDCARD` and `EXPR_WILDCARD_VALUE`. These nodes are supplied with keys (stored as strings). When matcher encounters `EXPR_WILDCARD` in pattern, it just stashes current expression and will return it. If matcher encounters `EXPR_WILDCARD_VALUE`, it does the same, but only in case the current node has `EXPR_VALUE` type.

`bind_expr()` and `bind_value()` are functions which create nodes with the types we have seen.

All this means, `reduce_MUL1()` function will search for the expression in form `(X*A)*B`, where `A` and `B` are numbers. In other cases, matcher will return input expression untouched, so these reducing function can be chained.

Now when `reduce_MUL1()` encounters (sub)expression we are interesting in, it will return dictionary with keys and expressions. Let's add `print m` call somewhere before return and rerun:

```
python td.py tests/add2.s
...
go to reduce (((arg1 + arg1) + (arg1 + arg1)) + ((arg1 + arg1) + (arg1 + arg1)))
reduction in reduce_ADD1() (arg1 + arg1) -> (arg1 * 2)
reduction in reduce_ADD1() (arg1 + arg1) -> (arg1 * 2)
reduction in reduce_ADD1() ((arg1 * 2) + (arg1 * 2)) -> ((arg1 * 2) * 2)
{'A': 2, 'X': ('EXPR_SYMBOL', 'arg1'), 'B': 2}
reduction in reduce_MUL1() ((arg1 * 2) * 2) -> (arg1 * 4)
reduction in reduce_ADD1() (arg1 + arg1) -> (arg1 * 2)
reduction in reduce_ADD1() (arg1 + arg1) -> (arg1 * 2)
reduction in reduce_ADD1() ((arg1 * 2) + (arg1 * 2)) -> ((arg1 * 2) * 2)
{'A': 2, 'X': ('EXPR_SYMBOL', 'arg1'), 'B': 2}
reduction in reduce_MUL1() ((arg1 * 2) * 2) -> (arg1 * 4)
reduction in reduce_ADD1() ((arg1 * 4) + (arg1 * 4)) -> ((arg1 * 4) * 2)
{'A': 4, 'X': ('EXPR_SYMBOL', 'arg1'), 'B': 2}
reduction in reduce_MUL1() ((arg1 * 4) * 2) -> (arg1 * 8)
go to reduce (arg1 * 8)
...
result=(arg1 * 8)
```

The dictionary has keys we supplied plus expressions matcher found. We then can use them to create new expression and return it. Numbers are just summed while forming second operand to "*" operation.

Now a real-world optimization technique—optimizing GCC replaced multiplication by 31 by shifting and subtraction operations:

```
mov rax, rdi
sal rax, 5
sub rax, rdi
```

Without reduction functions, our decompiler will translate this into `((arg1 « 5) - arg1)`. We can replace shifting left by multiplication:
# X<<n -> X*(2^n)
def reduce_SHL1 (expr):
    m=match (expr, create_binary_expr ("<<", bind_expr ("X"), bind_value ("Y")))
    if m==None:
        return expr # no match
    return dbg_print_reduced_expr ("reduce_SHL1", expr, create_binary_expr ("*", m["X"], create_val_expr (1<<m["Y"])))

Now we getting ((arg1 * 32) - arg1). We can add another reduction function:

# (X*n)-X -> X*(n-1)
def reduce_SUB3 (expr):
    m=match (expr, create_binary_expr ("-", create_binary_expr ("*", bind_expr("X1"), bind_value ("N")), bind_expr("X2")))
    if m!=None and match (m["X1"], m["X2"])!=None:
        return dbg_print_reduced_expr ("reduce_SUB3", expr, create_binary_expr ("*", m["X1"], create_val_expr (m["N"]-1)))
    else:
        return expr # no match

Matcher will return two X’s, and we must be assured that they are equal. In fact, in previous versions of this toy decompiler, I did comparison with plain “==”, and it worked. But we can reuse match() function for the same purpose, because it will process commutative operations better. For example, if X1 is “Q+1” and X2 is “1+Q”, expressions are equal, but plain “==” will not work. On the other side, match() function, when encounter “+” operation (or another commutative operation), and it fails with comparison, it will also try swapped operand and will try to compare again.

However, to understand it easier, for a moment, you can imagine there is “==” instead of the second match().

Anyway, here is what we’ve got:

working out tests/mul31_GCC.s
going to reduce ((arg1 << 5) - arg1)
reduction in reduce_SHL1() (arg1 << 5) -> (arg1 * 32)
reduction in reduce_SUB3() ((arg1 * 32) - arg1) -> (arg1 * 31)
going to reduce (arg1 * 31)
...result=(arg1 * 31)

Another optimization technique is often seen in ARM thumb code: AND-ing a value with a value like 0xFFFFFFFF0, is implemented using shifts:

    mov rax, rdi
    shr rax, 4
    shl rax, 4

This code is quite common in ARM thumb code, because it’s a headache to encode 32-bit constants using couple of 16-bit thumb instructions, while single 16-bit instruction can shift by 4 bits left or right.

Also, the expression (x>>4)<<<4 can be jokingly called as “twitching operator”: I’ve heard the “--i++” expression was called like this in Russian-speaking social networks, it was some kind of meme (“operator podergivaniya”).

Anyway, these reduction functions will be used:

# X>>n -> X / (2^n)
... def reduce_SHR2 (expr):
    m=match(expr, create_binary_expr(">>", bind_expr("X"), bind_value("Y")))
    if m==None or m["Y"]>64:
        return expr # no match
return dbg_print_reduced_expr ("reduce_SHR2", expr, create_binary_expr ("/", m["X"], create_val_expr (1<<m["Y"])))

...  

# X<<n -> X*(2^n)  
def reduce_SHL1 (expr):
    m=match (expr, create_binary_expr ("<<", bind_expr ("X"), bind_value ("Y")))
    if m==None:
        return expr # no match

    return dbg_print_reduced_expr ("reduce_SHL1", expr, create_binary_expr ("*", m["X"], create_val_expr (1<<m["Y"])))

...

# FIXME: slow  
# returns True if n=2^x or popcnt(n)=1  
def is_2n(n):
    return bin(n).count("1")==1


# AND operation using DIV/MUL or SHL/SHR  
# (X / (2^n)) * (2^n) -> X&(¬((2^n)-1))  
def reduce_MUL2 (expr):
    m=match (expr, create_binary_expr ("*", create_binary_expr ("/", bind_expr("X"), bind_value("N1")), bind_value("N2")))
    if m==None or m["N1"]!=m["N2"] or is_2n(m["N1"])==False: # short-circuit expression
        return expr # no match

    return dbg_print_reduced_expr("reduce_MUL2", expr, create_binary_expr ("&", m["X"], create_val_expr (¬(m["N1"]-1)&0xfffffffffffffff0)))

Now the result:

working out tests/AND_by_shifts2.s
going to reduce ((arg1 >> 4) << 4)
reduction in reduce_SHR2()  (arg1 >> 4) -> (arg1 / 16)
reduction in reduce_SHL1()  ((arg1 / 16) << 4) -> ((arg1 / 16) * 16)
reduction in reduce_MUL2()  ((arg1 / 16) * 16) -> (arg1 & 0xfffffffffffffff0)
reduction in reduce_MUL2()  ((arg1 / 16) * 16) -> (arg1 & 0xfffffffffffffff0)
...  
result=(arg1 & 0xfffffffffffffff0)

16.4.1 Division using multiplication

Division is often replaced by multiplication for performance reasons.

From school-level arithmetics, we can remember that division by 3 can be replaced by multiplication by \( \frac{1}{3} \). In fact, sometimes compilers do so for floating-point arithmetics, for example, FDIV instruction in x86 code can be replaced by FMUL. At least MSVC 6.0 will replace division by 3 by multiplication by \( \frac{1}{3} \) and sometimes it’s hard to be sure, what operation was in original source code.

But when we operate over integer values and CPU registers, we can’t use fractions. However, we can rework fraction:

\[
result = \frac{x}{3} = x \cdot \frac{1}{3} = x \cdot \frac{1 \cdot \text{MagicNumber}}{3 \cdot \text{MagicNumber}}
\]

Given the fact that division by \( 2^n \) is very fast, we now should find that \( \text{MagicNumber} \), for which the following equation will be true: \( 2^n = 3 \cdot \text{MagicNumber} \).

This code performing division by 10:
Division by $2^{64}$ is somewhat hidden: lower 64-bit of product in RAX is not used (dropped), only higher 64-bit of product (in RDX) is used and then shifted by additional 3 bits.

RDX register is set during processing of MUL/IMUL like this:

```python
def handle_unary_MUL_IMUL (registers, op1):
    op1_expr=register_or_number_in_string_to_expr (registers, op1)
    result=create_binary_expr ("*", registers["rax"], op1_expr)
    registers["rax"] = result
    registers["rdx"] = create_binary_expr (">>", result, create_val_expr(64))
```

In other words, the assembly code we have just seen multiplicates by $0cccccccccccccccdh$, or divides by $0cccccccccccccccdh$. To find divisor we just have to divide numerator by denominator.

```python
# n = magic number
# m = shifting coefficient
# return = 1 / (n / 2^m) = 2^m / n
def get_divisor (n, m):
    return (2**float(m))/float(n)

# (X*n)>>m, where m>=64 -> X/...
def reduce_div_by_MUL (expr):
    m=match (expr, create_binary_expr(">>", create_binary_expr("*", bind_expr("X"), bind_value("N")), bind_value("M")))
    if m==None:
        return expr # no match
    divisor=get_divisor(m["N"], m["M"])
    if math.floor(divisor)==divisor:
        return dbg_print_reduced_expr ("reduce_div_by_MUL", expr, create_binary_expr ("/", m["x"], create_val_expr (int(divisor))))
    else:
        print "reduce_div_by_MUL(): postponing reduction, because divisor=", divisor
        return expr
```

This works, but we have a problem: this rule takes $(arg1 * 0cccccccccccccccd) \gg 64$ expression first and finds divisor to be equal to 1.25. This is correct: result is shifted by 3 bits after (or divided by 8), and $1.25 \cdot 8 = 10$. But our toy decompiler doesn’t support real numbers.

We can solve this problem in the following way: if divisor has fractional part, we postpone reducing, with a hope, that two subsequent right shift operations will be reduced into single one:

```python
# (X*n)>>m, where m>=64 -> X/...
def reduce_div_by_MUL (expr):
    m=match (expr, create_binary_expr(">>", create_binary_expr("*", bind_expr("X"), bind_value("N")), bind_value("M")))
    if m==None:
        return expr # no match
    divisor=get_divisor(m["N"], m["M"])
    if math.floor(divisor)==divisor:
        return dbg_print_reduced_expr ("reduce_div_by_MUL", expr, create_binary_expr ("/", m["x"], create_val_expr (int(divisor))))
    else:
        if math.floor(divisor)==divisor:
            return dbg_print_reduced_expr ("reduce_div_by_MUL", expr, create_binary_expr ("/", m["x"], create_val_expr (int(divisor))))
        else:
            print "reduce_div_by_MUL(): postponing reduction, because divisor=", divisor
            return expr
```

That works:
working out tests / div_by_mult10_unsigned .s
going to reduce ((( arg1 * 0 xcccccccccccccccd ) >> 64) >> 3)
reduce_div_by_MUL (): postponing reduction , because divisor = 1.25
reduction in reduce_SHR1 () ((( arg1 * 0 xcccccccccccccccd ) >> 64) >> 3) -> (( arg1 * 0
xcccccccccccccccd ) >> 67)
going to reduce (( arg1 * 0 xcccccccccccccccd ) >> 67)
reduction in reduce_div_by_MUL () (( arg1 * 0 xcccccccccccccccd ) >> 67) -> (arg1 / 10)
going to reduce (arg1 / 10)
result =( arg1 / 10)
I don’t know if this is best solution. In early version of this decompiler, it processed input expression in two passes:
first pass for everything except division by multiplication, and the second pass for the latter. I don’t know which way is
better. Or maybe we could support real numbers in expressions?
Couple of words about better understanding division by multiplication. Many people miss “hidden” division by 232 or
64
2 , when lower 32-bit part (or 64-bit part) of product is not used (or just dropped). Also, there is misconception that
modulo inverse is used here. This is close, but not the same thing. Extended Euclidean algorithm is usually used to find
magic coeﬀicient, but in fact, this algorithm is rather used to solve the equation. You can solve it using any other method.
Also, needless to mention, the equation is unsolvable for some divisors, because this is diophantine equation (i.e., equation
allowing result to be only integer), since we work on integer CPU registers, after all.

16.5 Obfuscation/deobfuscation
Despite simplicity of our decompiler, we can see how to deobfuscate (or optimize) using several simple tricks.
For example, this piece of code does nothing:
mov
xor
xor
xor
xor

rax ,
rax ,
rax ,
rax ,
rax ,

rdi
12345678 h
0 deadbeefh
12345678 h
0 deadbeefh

We would need these rules to tame it:
# (X^n)^m -> X^(n^m)
def reduce_XOR4 (expr):
m= match(expr ,
create_binary_expr ("^" ,
create_binary_expr ("^" , bind_expr ("X") , bind_value ("N")),
bind_value ("M")))
if m!= None:
return dbg_print_reduced_expr (" reduce_XOR4 ", expr , create_binary_expr ("^" , m["
X"],
create_val_expr (m["N"]^m["M"])))
else:
return expr # no match
...
# X op 0 -> X, where op is ADD , OR , XOR , SUB
def reduce_op_0 (expr):
# try each:
for op in ["+" , "|" , "^" , " -"]:
m=match(expr , create_binary_expr (op , bind_expr ("X") , create_val_expr (0)))
if m!= None:
return dbg_print_reduced_expr (" reduce_op_0 ", expr , m["X"])

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This piece of code can be deobfuscated (or optimized) as well:

```
; toggle last bit
    mov rax, rdi
    mov rbx, rax
    mov rcx, rbx
    mov rsi, rcx
    xor rsi, 12345678h
    xor rsi, 12345679h
    mov rax, rsi
```

I also used aha\(^4\) superoptimizer to find weird piece of code which does nothing.

Aha! is so called superoptimizer, it tries various piece of codes in brute-force manner, in attempt to find shortest possible alternative for some mathematical operation. While sane compiler developers use superoptimizers for this task, I tried it in opposite way, to find oddest pieces of code for some simple operations, including NOP operation. In past, I’ve used it to find weird alternative to XOR operation (4.1).

So here is what aha! can find for NOP:

```
; do nothing (as found by aha)
    mov rax, rdi
    and rax, rax
    or rax, rax
```

# X & X -> X
```python
def reduce_AND3 (expr):
    m=match (expr, create_binary_expr (“&”, bind_expr (“X1”), bind_expr (“X2”)))
    if m!=None and match (m[“X1”], m[“X2”])!=None:
        return dbg_print_reduced_expr (“reduce_AND3”, expr, m[“X1”])
    else:
        return expr # no match
...```

# X | X -> X
```python
def reduce_OR1 (expr):
```
m=match (expr, create_binary_expr ("|", bind_expr ("X1"), bind_expr ("X2")))
if m!=None and match (m["X1"], m["X2"])!=None:
    return dbg_print_reduced_expr("reduce_OR1", expr, m["X1"])
else:
    return expr # no match

working out tests/t11_obf.s
going to reduce ((arg1 & arg1) | (arg1 & arg1))
reduction in reduce_AND3() (arg1 & arg1) -> arg1
reduction in reduce_AND3() (arg1 & arg1) -> arg1
reduction in reduce_OR1() (arg1 | arg1) -> arg1
going to reduce arg1
result=arg1

This is weirder:

; do nothing (as found by aha)

;Found a 5-operation program:
;  neg  r1,rx
;  neg  r2,rx
;  neg  r3,r1
;  or   r4,rx,2
;  and  r5,r4,r3
;  Expr: ((x | 2) & -(-x))

    mov rax, rdi
    neg rax
    neg rax
    or rdi, 2
    and rax, rdi

Rules added (I used “NEG” string to represent sign change and to be different from subtraction operation, which is just minus (“−”)):

# (op(op X)) -> X, where both ops are NEG or NOT
def reduce_double_NEG_or_NOT (expr):
    # try each:
    for op in ["NEG", "∼"]:
        m=match (expr, create_unary_expr (op, create_unary_expr (op, bind_expr("X"))))
        if m!=None:
            return dbg_print_reduced_expr("reduce_double_NEG_or_NOT", expr, m["X"])

    # default:
    return expr # no match

...

# X & (X | ...) -> X
def reduce_AND2 (expr):
    m=match (expr, create_binary_expr ("&", create_binary_expr ("|", bind_expr ("X1"),
        bind_expr ("REST"))), bind_expr ("X2")))
    if m!=None and match (m["X1"], m["X2"])!=None:
        return dbg_print_reduced_expr("reduce_AND2", expr, m["X1"])
    else:
        return expr # no match
going to reduce \((-(-\text{arg1})) \& (\text{arg1} \mid 2))\)
reduction in reduce\_double\_NEG\_or\_NOT() \((-(-\text{arg1})) \rightarrow \text{arg1}\)
reduction in reduce\_AND2() \((\text{arg1} \& (\text{arg1} \mid 2)) \rightarrow \text{arg1}\)
going to reduce \(\text{arg1}\)
result=\text{arg1}

I also forced \textit{aha!} to find piece of code which adds 2 with no addition/subtraction operations allowed:

```plaintext
; \text{arg1}+2, without add/sub allowed, as found by \textit{aha}: 

; \text{Found a 4-operation program:}
; \text{not } r1,rx 
; \text{neg } r2,r1 
; \text{not } r3,r2 
; \text{neg } r4,r3 
; \text{Expr: } -(-(-~(\text{x})))

mov rax, rdi
not rax
neg rax
not rax
neg rax
```

Rule:

# \((- \sim X) \rightarrow X+1\)
def reduce\_NEG\_NOT (expr):
    m=match (expr, create\_unary\_expr ("NEG", create\_unary\_expr ("\sim", bind_expr("X"))))
    if m==None:
        return expr # no match
    return dbg\_print\_reduced\_expr ("reduce\_NEG\_NOT", expr, create\_binary\_expr ("+", m["X "],create\_val\_expr(1)))

working out tests/add\_by\_not\_neg.s
going to reduce \((-(-(-\sim\text{arg1}))))\)
reduction in reduce\_NEG\_NOT() \((-(-\text{arg1})) \rightarrow (\text{arg1} + 1)\)
reduction in reduce\_NEG\_NOT() \((-(-\text{arg1} + 1)) \rightarrow ((\text{arg1} + 1) + 1)\)
reduction in reduce\_ADD3() \(((\text{arg1} + 1) + 1) \rightarrow (\text{arg1} + 2)\)
going to reduce \((\text{arg1} + 2)\)
result=\((\text{arg1} + 2)\)

This is artifact of two's complement system of signed numbers representation. Same can be done for subtraction (just swap NEG and NOT operations).

Now let’s add some fake luggage to Fahrenheit-to-Celsius example:

```plaintext
; \text{celsius} = 5 \times (\text{fahr}-32) \div 9 
; \text{fake luggage:}
mov rbx, 12345h
mov rax, rdi
sub rax, 32
mov rbx, 9
idiv rbx
; \text{fake luggage:}
sub rdx, rax
```
It’s not a problem for our decompiler, because the noise is left in RDX register, and not used at all:

```
working out tests/fahr_to_celsius_obf1.s
line=[mov rbx, 12345h]
rcx=arg4
rsi=arg2
rbx=0x12345
rdx=arg3
rdi=arg1
rax=initial_RAX

line=[mov rax, rdi]
rcx=arg4
rsi=arg2
rbx=0x12345
rdx=arg3
rdi=arg1
rax=arg1

line=[sub rax, 32]
rcx=arg4
rsi=arg2
rbx=0x12345
rdx=arg3
rdi=arg1
rax=(arg1 - 32)

line=[add rbx, rax]
rcx=arg4
rsi=arg2
rbx=(0x12345 + (arg1 - 32))
rdx=arg3
rdi=arg1
rax=(arg1 - 32)

line=[imul rax, 5]
rcx=arg4
rsi=arg2
rbx=(0x12345 + (arg1 - 32))
rdx=arg3
rdi=arg1
rax=((arg1 - 32) * 5)

line=[mov rbx, 9]
rcx=arg4
rsi=arg2
rbx=9
rdx=arg3
rdi=arg1
rax=((arg1 - 32) * 5)

line=[idiv rbx]
rcx=arg4
rsi=arg2
rbx=9
rdx=((arg1 - 32) * 5) % 9
rdi=arg1
```
\[ \text{rax} = \left( (\text{arg1} - 32) \times 5 \right) / 9 \]

line = \text{sub rdx, rax}
rcx = arg4
rsi = arg2
rbx = 9

\[ \text{rdx} = \left( (\text{arg1} - 32) \times 5 \right) \% 9 - \left( (\text{arg1} - 32) \times 5 \right) / 9 \]

rdi = arg1

\[ \text{rax} = \left( (\text{arg1} - 32) \times 5 \right) / 9 \]

\[ \text{going to reduce} \left( (\text{arg1} - 32) \times 5 \right) / 9 \]

result = \left( (\text{arg1} - 32) \times 5 \right) / 9

We can try to pretend we affect the result with the noise:

\[ \text{celsius} = 5 \times (\text{fahr} - 32) / 9 \]

\text{fake luggage:}
\begin{align*}
\text{mov} \quad & \text{rbx}, 12345h \\
\text{mov} \quad & \text{rax}, \text{rdi} \\
\text{sub} \quad & \text{rax}, 32 \\
\text{add} \quad & \text{rbx}, \text{rax} \\
\text{imul} \quad & \text{rax}, 5 \\
\text{mov} \quad & \text{rbx}, 9 \\
\text{idiv} \quad & \text{rbx} \\
\text{sub} \quad & \text{rdx}, \text{rax} \\
\text{mov} \quad & \text{rcx}, \text{rax} \\
\text{or} \quad & \text{rcx}, \text{rdx} \\
\text{and} \quad & \text{rax}, \text{rcx}
\end{align*}

...but in fact, it's all reduced by reduce\_AND2() function we already saw (16.5):

\begin{verbatim}
working out tests/fahr_to_celsius_obf2.s

going to reduce \( (((\text{arg1} - 32) \times 5) / 9) \& (((\text{arg1} - 32) \times 5) / 9) | (((\text{arg1} - 32) \times 5) \% 9) - (((\text{arg1} - 32) \times 5) / 9)) \)

reduction in reduce\_AND2() \( (((\text{arg1} - 32) \times 5) / 9) \& (((\text{arg1} - 32) \times 5) / 9) | (((\text{arg1} - 32) \times 5) \% 9) - (((\text{arg1} - 32) \times 5) / 9)) \) -> \( (((\text{arg1} - 32) \times 5) / 9) \)

result = \( (((\text{arg1} - 32) \times 5) / 9) \)
\end{verbatim}

We can see that deobfuscation is in fact the same thing as optimization used in compilers. We can try this function in GCC:

\begin{verbatim}
int f(int a)
{
  return -(~a);
}
\end{verbatim}

Optimizing GCC 5.4 (x86) generates this:

\begin{verbatim}
f:
  mov  eax, DWORD PTR [esp+4]
  add  eax, 1
  ret
\end{verbatim}

GCC has its own rewriting rules, some of which are, probably, close to what we use here.
16.6 Tests

Despite simplicity of the decompiler, it’s still error-prone. We need to be sure that original expression and reduced one are equivalent to each other.

16.6.1 Evaluating expressions

First of all, we would just evaluate (or run, or execute) expression with random values as arguments, and then compare results.

Evaluator do arithmetical operations when possible, recursively. When any symbol is encountered, its value (randomly generated before) is taken from a table.

```python
un_ops={"NEG":operator.neg,
    "~":operator.invert}
bin_ops={">>":operator.rshift,
    "<<":(lambda x, c: x<<(c&0x3f)), # operator.lshift should be here, but it doesn't handle too big counts
    "&":operator.and_,
    "|":operator.or_,
    "^":operator.xor,
    "+":operator.add,
    "-":operator.sub,
    "*":operator.mul,
    "/":operator.div,
    "%":operator.mod}
def eval_expr(e, symbols):
    t=get_expr_type (e)
    if t=="EXPR_SYMBOL":
        return symbols[get_symbol(e)]
    elif t=="EXPR_VALUE":
        return get_val (e)
    elif t=="EXPR_OP":
        if is_unary_op (get_op (e)):
            return un_ops[get_op(e)](eval_expr(get_op1(e), symbols))
        else:
            return bin_ops[get_op(e)](eval_expr(get_op1(e), symbols), eval_expr(get_op2(e), symbols))
    else:
        raise AssertionError
def do_selftest(old, new):
    for n in range(100):
        symbols={"arg1":random.getrandbits(64),
            "arg2":random.getrandbits(64),
            "arg3":random.getrandbits(64),
            "arg4":random.getrandbits(64)}
        old_result=eval_expr (old, symbols)&0xffffffffffffffff # signed->unsigned
        new_result=eval_expr (new, symbols)&0xffffffffffffffff # signed->unsigned
        if old_result!=new_result:
            print "self-test failed"
            print "initial expression: "+expr_to_string(old)
            print "reduced expression: "+expr_to_string(new)
            print "initial expression result: ", old_result
            print "reduced expression result: ", new_result
            exit(0)
```

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In fact, this is very close to what LISP EVAL function does, or even LISP interpreter. However, not all symbols are set. If the expression is using initial values from RAX or RBX (to which symbols “initial_RAX” and “initial_RBX” are assigned, decompiler will stop with exception, because no random values assigned to these registers, and these symbols are absent in symbols dictionary.

Using this test, I’ve suddenly found a bug here (despite simplicity of all these reduction rules). Well, no-one protected from eye strain. Nevertheless, the test has a serious problem: some bugs can be revealed only if one of arguments is 0, or 1, or -1. Maybe there are even more special cases exists.

Mentioned above aha! superoptimizer tries at least these values as arguments while testing: 1, 0, -1, 0x80000000, 0xFFFFFFFF, 0x80000001, 0x7FFFFFFF, 0x89ABCDEF, -2, 2, -3, 3, -64, 64, -5, -31415.

Still, you cannot be sure.

16.6.2 Using Z3 SMT-solver for testing

So here we will try Z3 SMT-solver. SMT-solver can prove that two expressions are equivalent to each other.

For example, with the help of aha!, I’ve found another weird piece of code, which does nothing:

```plaintext
; do nothing (obfuscation)

;Found a 5-operation program:
;   neg r1,rx
;   neg r2,r1
;   sub r3,r1,3
;   sub r4,r3,r1
;   sub r5,r4,r3

Expr: ((((-(x) - 3) - (-(x))) - (-(x) - 3))

mov rax, rdi
neg rax
mov rbx, rax
; rbx=-x
mov rcx, rbx
sub rcx, 3
; rcx=-x-3
mov rax, rcx
sub rax, rbx
; rax=-(x) - 3 - (x)
sub rax, rcx
```

Using toy decompiler, I’ve found that this piece is reduced to arg1 expression:

```plaintext
working out tests/t5_obf.s going to reduce ((((-(arg1) - 3) - (-(arg1)) - ((-(arg1) - 3))
reduction in reduce_SUB2() ((-arg1) - 3) -> (-(arg1 + 3))
reduction in reduce_SUB5() ((-(arg1 + 3)) - (arg1)) -> ((-(arg1 + 3)) + arg1)
reduction in reduce_SUB2() ((-arg1) - 3) -> (-(arg1 + 3))
reduction in reduce_ADD_SUB() (((-(arg1 + 3)) + arg1) - (-(arg1 + 3))) -> arg1
```

But is it correct? I’ve added a function which can output expression(s) to SMT-LIB-format, it’s as simple as a function which converts expression to string.

And this is SMT-LIB-file for Z3:

```prolog
(assert
  (forall ((arg1 (_ BitVec 64)) (arg2 (_ BitVec 64)) (arg3 (_ BitVec 64)) (arg4 (_ BitVec 64)))
           (= (bvsub (bvsub (bvneg arg1) #x0000000000000003) (bvneg arg1)) (bvsub (bvneg arg1) #x0000000000000003)))
)

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In plain English terms, what we asking it to be sure, that for all four 64-bit arguments, two expressions are equivalent (second is just arg1).

The syntax maybe hard to understand, but in fact, this is very close to LISP, and arithmetical operations are named “bvsub”, “bvadd”, etc, because “bv” stands for bit vector.

While running, Z3 shows “sat”, meaning “satisfiable”. In other words, Z3 couldn’t find counterexample for this expression.

In fact, I can rewrite this expression in the following form: expr1 != expr2, and we would ask Z3 to find at least one set of input arguments, for which expressions are not equal to each other:

```
(declare-const arg1 (_ BitVec 64))
(declare-const arg2 (_ BitVec 64))
(declare-const arg3 (_ BitVec 64))
(declare-const arg4 (_ BitVec 64))

(assert
 (not
  (= (bvsub (bvsub (bvsub (bvneg arg1) #x0000000000000003) (bvneg arg1)) (bvsub (bvneg arg1) #x0000000000000003)) arg1)
)
)
(check-sat)
```

Z3 says “unsat”, meaning, it couldn’t find any such counterexample. In other words, for all possible input arguments, results of these two expressions are always equal to each other.

Nevertheless, Z3 is not omnipotent. It fails to prove equivalence of the code which performs division by multiplication. First of all, I extended it so both results will have size of 128 bit instead of 64:

```
(declare-const x (_ BitVec 64))
(assert
 (forall ((x (_ BitVec 64)))
  (= ((_ zero_extend 64) (bvudiv x (_ bv17 64)))
     (bvlshr (bvmul ((_ zero_extend 64) x) #x0000000000000000f0f0f0f0f0f1) (_ bv68 128)))
)
)
(check-sat)
(get-model)
```

(bv17 is just 64-bit number 17, etc. “bv” stands for “bit vector”, as opposed to integer value.)

Z3 works too long without any answer, and I had to interrupt it.

As Z3 developers mentioned, such expressions are hard for Z3 so far: https://github.com/Z3Prover/z3/issues/514.

Still, division by multiplication can be tested using previously described brute-force check.
16.7 My other implementations of toy decompiler

When I made attempt to write it in C++, of course, node in expression was represented using class. There is also implementation in pure C\(^5\), node is represented using structure.

Matchers in both C++ and C versions doesn’t return any dictionary, but instead, bind_value() functions takes pointer to a variable which will contain value after successful matching. bind_expr() takes pointer to a pointer, which will points to the part of expression, again, in case of success. I took this idea from LLVM.

Here are two pieces of code from LLVM source code with couple of reducing rules:

```c
// (X >> A) << A -> X
Value *X;
if (match(Op0, m_Exact(m_Shr(m_Value(X), m_Specific(Op1)))))
    return X;
```

( lib/Analysis/InstructionSimplify.cpp )

```c
// (A | B) | C and A | (B | C) -> bswap if possible.
// (A >> B) | (C << D) and (A << B) | (B >> C) -> bswap if possible.
if (match(Op0, m_Or(m_Value(), m_Value())) ||
    match(Op1, m_Or(m_Value(), m_Value())) ||
    (match(Op0, m_LogicalShift(m_Value(), m_Value()))) &&
    (match(Op1, m_LogicalShift(m_Value(), m_Value())))) {
    if (Instruction *BSwap = MatchBSwap(I))
        return BSwap;
}
```

( lib/Transforms/InstCombine/InstCombineAndOrXor.cpp )

As you can see, my matcher tries to mimic LLVM. What I call reduction is called folding in LLVM. Both terms are popular.

I have also a blog post about LLVM obfuscator, in which LLVM matcher is mentioned: [https://yurichev.com/blog/llvm/](https://yurichev.com/blog/llvm/).

Python version of toy decompiler uses strings in place where enumerate data type is used in C version (like OP_AND, OP_MUL, etc) and symbols used in Racket version\(^6\) (like 'OP_DIV, etc). This may be seen as inefficient, nevertheless, thanks to strings interning, only address of strings are compared in Python version, not strings as a whole. So strings in Python can be seen as possible replacement for LISP symbols.

16.7.1 Even simpler toy decompiler

Knowledge of LISP makes you understand all these things naturally, without significant effort. But when I had no knowledge of it, but still tried to make a simple toy decompiler, I made it using usual text strings which holded expressions for each registers (and even memory).

So when MOV instruction copies value from one register to another, we just copy string. When arithmetical instruction occurred, we do string concatenation:

```c
std::string registers[TOTAL];
...

// all 3 arguments are strings
switch (ins, op1, op2)
{
    ...
    case ADD:    registers[op1]="(" + registers[op1] + " + " + registers[op2] + ");";
                break;
    ...
    case MUL:    registers[op1]="(" + registers[op1] + " / " + registers[op2] + ");";
                break;
    ...
```


\(^6\)Racket is Scheme (which is, in turn, LISP dialect) dialect. [https://yurichev.com/SAT_SMT_tree/toy_decompiler/files/Racket](https://yurichev.com/SAT_SMT_tree/toy_decompiler/files/Racket)
Now you’ll have long expressions for each register, represented as strings. For reducing them, you can use plain simple regular expression matcher.

For example, for the rule $(X^n)\cdot (X^m) \rightarrow X^{(n+m)}$, you can match (sub)string using the following regular expression:

$((.\cdot)^+\cdot(.\cdot)+ (((.\cdot)^+\cdot(.\cdot)))$ \(^7\). If the string is matched, you’re getting 4 groups (or substrings). You then just compare 1st and 3rd using string comparison function, then you check if the 2nd and 4th are numbers, you convert them to numbers, sum them and you make new string, consisting of 1st group and sum, like this: $\left(\text{" + X + "* + (int(n) + int(m)) + "}\right)$.

It was naïve, clumsy, it was source of great embarrassment, but it worked correctly.

### 16.8 Difference between toy decompiler and commercial-grade one

Perhaps, someone, who currently reading this text, may rush into extending my source code. As an exercise, I would say, that the first step could be support of partial registers: i.e., AL, AX, EAX. This is tricky, but doable.

Another task may be support of FPU\(^8\) x86 instructions (FPU stack modeling isn’t a big deal).

The gap between toy decompiler and a commercial decompiler like Hex-Rays is still enormous. Several tricky problems must be solved, at least these:

- C data types: arrays, structures, pointers, etc. This problem is virtually non-existent for JVM\(^9\) (Java, etc) and .NET decompilers, because type information is present in binary files.
- Basic blocks, C/C++ statements. Mike Van Emmerik in his thesis\(^{10}\) shows how this can be tackled using SSA forms (which are also used heavily in compilers).
- Memory support, including local stack. Keep in mind pointer aliasing problem. Again, decompilers of JVM and .NET files are simpler here.

### 16.9 Further reading

There are several interesting open-source attempts to build decompiler. Both source code and theses are interesting study.

- **decomp** by Jim Reuter\(^{11}\).
- **DCC** by Cristina Cifuentes\(^{12}\).

  It is interesting that this decompiler supports only one type (int). Maybe this is a reason why DCC decompiler produces source code with .B extension? Read more about B typeless language (C predecessor): [https://yurichev.com/blog/typeless/](https://yurichev.com/blog/typeless/).

- **Boomerang** by Mike Van Emmerik, Trent Waddington et al\(^{13}\).


The gradual rewriting I’ve shown here is also available in Mathematica (“Trace” command): [https://reference.wolfram.com/language/ref/Trace.html](https://reference.wolfram.com/language/ref/Trace.html).

I also enjoyed reading “The Elements of Artificial Intelligence” book by Steve Tanimoto\(^{14}\), chapter 3: “Production Systems and Pattern Matching”.

See also: Nuno Lopes – Verifying Optimizations using SMT Solvers\(^{15}\).

---

7This regular expression string hasn’t been properly escaped, for the reason of easier readability and understanding.
8Floating-point unit
9Java Virtual Machine
10[https://yurichev.com/mirrors/vanEmmerik_ssa.pdf](https://yurichev.com/mirrors/vanEmmerik_ssa.pdf)
16.10 The files

Python version and tests: https://yurichev.com/SAT_SMT_tree/toy_decompiler/files.
There are also C and Racket versions, but outdated.
Keep in mind—this decompiler is still at toy level, and it was tested only on tiny test files supplied.
Chapter 17

Symbolic execution

Mathematics for Programmers\(^1\) has short intro to symbolic computation.

17.1 Symbolic execution

17.1.1 Swapping two values using XOR

There is a well-known (but counterintuitive) algorithm for swapping two values in two variables using XOR operation without use of any additional memory/register:

\[
\begin{align*}
X &= X^Y \\
Y &= Y^X \\
X &= X^Y
\end{align*}
\]

How it works? It would be better to construct an expression at each step of execution.

```python
#!/usr/bin/env python
class Expr:
    def __init__(self, s):
        self.s = s

    def __str__(self):
        return self.s

    def __xor__(self, other):
        return Expr("(\( + self.s + "^" + other.s + ")")

def XOR_swap(X, Y):
    X = X^Y
    Y = Y^X
    X = X^Y
    return X, Y

new_X, new_Y = XOR_swap(Expr("X"), Expr("Y"))
print "new_X", new_X
print "new_Y", new_Y
```

It works, because Python is dynamically typed PL, so the function doesn’t care what to operate on, numerical values, or on objects of Expr() class.

Here is result:

\[
\begin{align*}
\text{new}_X &= ((X^Y)^{(Y^X)^Y}) \\
\text{new}_Y &= (Y^{(X^Y)})
\end{align*}
\]

\(^1\)https://yurichev.com/writings/Math-for-programmers.pdf
You can remove double variables in your mind (since XORing by a value twice will result in nothing). At new_X we can drop two X-es and two Y-es, and single Y will left. At new_Y we can drop two Y-es, and single X will left.

17.1.2 Change endianness

What does this code do?

```
mov    eax, ecx
mov    edx, ecx
shl    edx, 16
and    eax, 0000ff00H
or     eax, edx
mov    edx, ecx
and    edx, 00ff0000H
shr    ecx, 16
or     edx, ecx
shl    eax, 8
shr    edx, 8
or     eax, edx
```

In fact, many reverse engineers play shell game a lot, keeping track of what is stored where, at each point of time.

Figure 17.1: Hieronymus Bosch – The Conjuror

Again, we can build equivalent function which can take both numerical variables and Expr() objects. We also extend Expr() class to support many arithmetical and boolean operations. Also, Expr() methods would take both Expr() objects on input and integer values.
#!/usr/bin/env python

class Expr:
    def __init__(self, s):
        self.s = s

    def convert_to_Expr_if_int(self, n):
        if isinstance(n, int):
            return Expr(str(n))
        if isinstance(n, Expr):
            return n
        raise AssertionError # unsupported type

    def __str__(self):
        return self.s

    def __xor__(self, other):
        return Expr("(" + self.s + "^" + self.convert_to_Expr_if_int(other).s + ")")

    def __and__(self, other):
        return Expr("(" + self.s + "&" + self.convert_to_Expr_if_int(other).s + ")")

    def __or__(self, other):
        return Expr("(" + self.s + "|" + self.convert_to_Expr_if_int(other).s + ")")

    def __lshift__(self, other):
        return Expr("(" + self.s + "<<" + self.convert_to_Expr_if_int(other).s + ")")

    def __rshift__(self, other):
        return Expr("(" + self.s + ">>" + self.convert_to_Expr_if_int(other).s + ")")

    # change endianness
    ecx = Expr("initial_ECX")  # 1st argument
    eax = ecx  # mov eax, ecx
    edx = ecx  # mov edx, ecx
    edx = edx << 16  # shl edx, 16
    eax = eax & 0xff00  # and eax, 0000ff00H
    eax = eax | edx  # or eax, edx
    edx = ecx  # mov edx, ecx
    edx = edx & 0x00ff0000  # and edx, 0000ff000H
    ecx = ecx >> 16  # shr ecx, 16
    edx = edx | ecx  # or edx, ecx
    eax = eax << 8  # shl eax, 8
    edx = edx >> 8  # shr edx, 8
    eax = eax | edx  # or eax, edx

print eax

I run it:

(((initial_ECX&65280)|(initial_ECX<<16)<<8)|(((initial_ECX&16711680)|(initial_ECX>>16))>>8))

Now this is something more readable, however, a bit LISPy at first sight. In fact, this is a function which change endianness in 32-bit word.

By the way, my Toy Decompiler can do this job as well, but operates on AST instead of plain strings: 16.
17.1.3 Fast Fourier transform

I've found one of the smallest possible FFT implementations on Reddit:

```python
#!/usr/bin/env python
from cmath import exp, pi

def FFT(X):
    n = len(X)
    w = exp(-2*pi*1j/n)
    if n > 1:
        X = FFT(X[:n/2]) + FFT(X[n/2:1])
        for k in xrange(n/2):
            xk = X[k]
            X[k] = xk + w**k*X[k+n/2]
            X[k+n/2] = xk - w**k*X[k+n/2]
    return X

print FFT([1,2,3,4,5,6,7,8])
```

Just interesting, what value has each element on output?

```python
#!/usr/bin/env python
from cmath import exp, pi

class Expr:
    def __init__(self, s):
        self.s = s

    def convert_to_Expr_if_int(self, n):
        if isinstance(n, int):
            return Expr(str(n))
        if isinstance(n, Expr):
            return n
        raise AssertionError # unsupported type

    def __str__(self):
        return self.s

    def __add__(self, other):
        return Expr("+" + self.s + "+" + self.convert_to_Expr_if_int(other).s + ")")

    def __sub__(self, other):
        return Expr("-" + self.s + "+" + self.convert_to_Expr_if_int(other).s + ")")

    def __mul__(self, other):
        return Expr("*" + self.s + "+" + self.convert_to_Expr_if_int(other).s + ")")

    def __pow__(self, other):
        return Expr("**" + self.s + "+" + self.convert_to_Expr_if_int(other).s + ")")

    def FFT(X):
        n = len(X)
        # cast complex value to string, and then to Expr
        w = Expr(str(exp(-2*pi*1j/n)))
        if n > 1:
            X = FFT(X[:n/2]) + FFT(X[n/2:1])
            for k in xrange(n/2):
                xk = X[k]
```
\[ X[k] = x_k + w**k \cdot X[k+n/2] \]
\[ X[k+n/2] = x_k - w**k \cdot X[k+n/2] \]

return X

input=[Expr("input_%d" % i) for i in range(8)]
output=FFT(input)
for i in range(len(output)):
  print i, ":", output[i]

FFT() function left almost intact, the only thing I added: complex value is converted into string and then Expr() object is constructed.

0 : (((input_0+(((-1-1.22464679915e-16j)**0)*input_4))+(((6.1232399574e-17-1j)**0)*((input_1+(((-1-1.22464679915e-16j)**0)*input_5))+(((6.1232399574e-17-1j)**0)*((input_3+(((-1-1.22464679915e-16j)**0)*input_7)))))

1 : (((input_0-(((-1-1.22464679915e-16j)**0)*input_4))+(((6.1232399574e-17-1j)**1)*((input_2-(((-1-1.22464679915e-16j)**0)*input_6)))+(((0.70106781187-0.70106781187j)**1)*((input_1-(((-1-1.22464679915e-16j)**0)*input_5))+(((6.1232399574e-17-1j)**1)*((input_3-(((-1-1.22464679915e-16j)**0)*input_7)))))))

2 : (((input_0+(((input_4-(((-1-1.22464679915e-16j)**0)*input_2))+(((0.70106781187-0.70106781187j)**0)*((input_5-(((-1-1.22464679915e-16j)**0)*input_6))+(((6.1232399574e-17-1j)**0)*((input_7+(((-1-1.22464679915e-16j)**0)*input_1)))))

3 : (((input_0-(((input_4-(((-1-1.22464679915e-16j)**0)*input_2))+(((0.70106781187-0.70106781187j)**1)*((input_5-(((-1-1.22464679915e-16j)**0)*input_6))+(((6.1232399574e-17-1j)**1)*((input_7+(((-1-1.22464679915e-16j)**0)*input_1)))))

4 : (((input_0+(((-1-1.22464679915e-16j)**0)*input_4))+(((6.1232399574e-17-1j)**0)*((input_2+(((-1-1.22464679915e-16j)**0)*input_6)))+(((0.70106781187-0.70106781187j)**0)*((input_1+(((-1-1.22464679915e-16j)**0)*input_5))+(((6.1232399574e-17-1j)**0)*((input_3+(((-1-1.22464679915e-16j)**0)*input_7))))))

5 : (((input_0-(((input_4-(((-1-1.22464679915e-16j)**0)*input_2))+(((0.70106781187-0.70106781187j)**1)*((input_5-(((-1-1.22464679915e-16j)**0)*input_6))+(((6.1232399574e-17-1j)**1)*((input_1+(((-1-1.22464679915e-16j)**0)*input_7)))))

6 : (((input_0+(((input_4-(((-1-1.22464679915e-16j)**0)*input_2))+(((0.70106781187-0.70106781187j)**0)*((input_5-(((-1-1.22464679915e-16j)**0)*input_6))+(((6.1232399574e-17-1j)**0)*((input_7+(((-1-1.22464679915e-16j)**0)*input_1)))))

7 : (((input_0-(((input_4-(((-1-1.22464679915e-16j)**0)*input_2))+(((0.70106781187-0.70106781187j)**1)*((input_5-(((-1-1.22464679915e-16j)**0)*input_6))+(((6.1232399574e-17-1j)**1)*((input_7+(((-1-1.22464679915e-16j)**0)*input_1)))))

We can see subexpressions in form like \( x^0 \) and \( x^1 \). We can eliminate them, since \( x^0 = 1 \) and \( x^1 = x \). Also, we can reduce subexpressions like \( x \cdot 1 \) to just \( x \).

def __mul__(self, other):
  op1=self.s
  op2=self.convert_to_Expr_if_int(other).s
  if op1=="1":
    return Expr(op2)
  if op2=="1":
    return Expr(op1)
  return Expr("(" + op1 + "*" + op2 + ")")
def __pow__(self, other):
    op2 = self.convert_to_Expr_if_int(other).s
    if op2 == "0":
        return Expr("1")
    if op2 == "1":
        return Expr(self.s)
    return Expr("(" + self.s + "**" + op2 + ")")

0 : (((input_0+input_4)+(input_2+input_6))+((input_1+input_5)+(input_3+input_7)))
1 : (((input_0-input_4)+((6.1232399574e-17-1j)*(input_2-input_6)))
   +((0.707106781187-0.707106781187j)*((input_1-input_5)+((6.1232399574e-17-1j)*
   (input_3-input_7))))
2 : (((input_0+input_4)-(input_2+input_6))+(((0.707106781187-0.707106781187j)**2)*((
   input_1+input_5)-(input_3+input_7))))
3 : (((input_0-input_4)-((6.1232399574e-17-1j)*(input_2-input_6)))
   +(((0.707106781187-0.707106781187j)**3)*((input_1-input_5)+((6.1232399574e-17-1j)*(
   input_3-input_7))))
4 : (((input_0+input_4)+(input_2+input_6))-((input_1+input_5)+(input_3+input_7)))
5 : (((input_0-input_4)+((6.1232399574e-17-1j)*(input_2-input_6)))
   -((0.707106781187-0.707106781187j)*((input_1-input_5)+((6.1232399574e-17-1j)*
   (input_3-input_7))))
6 : (((input_0+input_4)-(input_2+input_6))-((0.707106781187-0.707106781187j)**2)*((
   input_1+input_5)-(input_3+input_7))))
7 : (((input_0-input_4)-((6.1232399574e-17-1j)*(input_2-input_6)))
   -(((0.707106781187-0.707106781187j)**3)*((input_1-input_5)+((6.1232399574e-17-1j)*(
   input_3-input_7))))

17.1.4 Cyclic redundancy check
I've always been wondering, which input bit affects which bit in the final CRC32 value.
hackersdelight.org/crc.pdf ) we know that CRC is shifting register with taps.
We will track each bit rather than byte or word, which is highly inefficient, but serves our purpose better:
def crc32(buf):
    state=[Expr("init_%d" % i) for i in range(32)]
    for byte in buf:
        for n in range(8):
            bit=byte[n]
            to_taps=bit^state[31]
            state[31]=state[30]
            state[30]=state[29]
            state[29]=state[28]
            state[28]=state[27]
            state[27]=state[26]
            state[26]=state[25]^to_taps
            state[24]=state[23]
            state[23]=state[22]^to_taps
            state[22]=state[21]
            state[21]=state[20]
            state[20]=state[19]
            state[19]=state[18]
            state[18]=state[17]
            state[17]=state[16]
            state[1]=state[0]^to_taps
            state[0]=to_taps

        for i in range(32):
            print "state %d=%s" % (i, state[31-i])

buf=[[Expr("in_%d_%d" % (byte, bit)) for bit in range(8)] for byte in range(BYTES)]
crc32(buf)

Here are expressions for each CRC32 bit for 1-byte buffer:

state 0=(1^(in_0_0^1))
state 1=((1^(in_0_0^1))^(in_0_3^1))
state 2=(((1^(in_0_0^1))^(in_0_1^1))^(in_0_4^1))
state 3=(((1^(in_0_1^1))^(in_0_2^1))^(in_0_6^(1^(in_0_0^1))))
state 4=(((1^(in_0_2^1))^(in_0_3^1))^(in_0_6^(1^(in_0_0^1))))
state 5=(((1^(in_0_3^1))^(in_0_4^1))^(in_0_7^(1^(in_0_1^1))))
state 6=((1^(in_0_4^1))^(in_0_5^1))
state 7=((1^(in_0_5^1))^(in_0_6^(1^(in_0_0^1))))
state 8={((1^(in_0_0^1))(in_0_6^1)(1^(in_0_0^1)))(in_0_7^1)(in_0_1^1))}
state 9={(1^(in_0_1^1))(in_0_7^1)(in_0_1^1))}
state 10={(1^(in_0_2^1))}
state 11={(in_0_3^1))
state 12={(1^(in_0_0^1))(in_0_4^1))
state 13={((1^(in_0_0^1))(in_0_1^1))(in_0_5^1))}
state 14={((1^(in_0_0^1))(in_0_2^1))(in_0_3^1))(in_0_4^1))}
state 15={((1^(in_0_1^1))(in_0_3^1))(in_0_4^1))}
state 16={((1^(in_0_0^1))(in_0_3^1))(in_0_4^1))}
state 17={((1^(in_0_0^1))(in_1^1))(in_0_1^1))(in_0_4^1))}
state 18={((1^(in_0_0^1))(in_0_1^1))(in_0_2^1))(in_0_3^1))}
state 19={((1^(in_0_0^1))(in_0_1^1))(in_0_3^1))(in_0_4^1))}
state 20={((1^(in_0_0^1))(in_0_1^1))(in_0_2^1))(in_0_4^1))}
state 21={((1^(in_0_0^1))(in_0_1^1))(in_0_2^1))(in_0_3^1))}
state 22={((1^(in_0_0^1))(in_0_1^1))(in_0_2^1))(in_0_4^1))}
state 23={((1^(in_0_0^1))(in_0_1^1))(in_0_3^1))(in_0_5^1))}
state 24={((1^(in_0_0^1))(in_0_1^1))(in_0_2^1))(in_0_5^1))}
state 25={((1^(in_0_0^1))(in_0_1^1))(in_0_3^1))(in_0_7^1))}
state 26={((1^(in_0_0^1))(in_0_1^1))(in_0_3^1))(in_0_6^1))}
state 27={((in_0_1^1))(in_0_2^1))(in_0_3^1))}
state 28={((in_0_1^1))(in_0_3^1))(in_0_4^1))}
state 29={((in_0_1^1))(in_0_4^1))(in_0_5^1))}
state 30={((in_0_1^1))(in_0_5^1))(in_0_6^1))}
state 31={((in_0_1^1))(in_0_6^1))}

For larger buffer, expressions gets increasing exponentially. This is 0th bit of the final state for 4-byte buffer:

state 0={((((((((((((((((((((((((in_0_0^1)^2(in_0_1^1))(in_0_2^1))(in_0_3^1))(in_0_4^1))(in_0_5^1)))(in_0_6^1)))(in_0_7^1)))(in_0_1^1)))(in_0_3^1)))(in_0_4^1)))(in_0_5^1)))(in_0_7^1)))(in_0_1^1)))(in_0_3^1))}

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Expression for the 0th bit of the final state for 8-byte buffer has length of ≈ 350KiB, which is, of course, can be reduced significantly (because this expression is basically XOR tree), but you can feel the weight of it.

Now we can process this expressions somehow to get a smaller picture on what is affecting what. Let’s say, if we can find “in_2_3” substring in expression, this means that 3rd bit of 2nd byte of input affects this expression. But even more than that: since this is XOR tree (i.e., expression consisting only of XOR operations), if some input variable is occurring twice, it’s annihilated, since $x \oplus x = 0$. More than that: if a variable occurred even number of times (2, 4, 8, etc), it’s annihilated, but left if it’s occurred odd number of times (1, 3, 5, etc).

```python
for i in range(32):
    #print "state %d=%s" % (i, state[31-i])
    sys.stdout.write ("state %02d: " % i)
    for byte in range(BYTES):
        for bit in range(8):
            s="in_%d_%d" % (byte, bit)
            if str(state[31-i]).count(s) & 1:
                sys.stdout.write ("*")
            else:
                sys.stdout.write ("\n")
```

(https://yurichev.com/SAT_SMT_tree/symbolic/4_CRC/2.py)

Now this how each bit of 1-byte input buffer affects each bit of the final CRC32 state:

| state | 00 | 01 | 02 | 03 | 04 | 05 | 06 | 07 | 08 | 09 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 |
|-------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 00    | *  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 01    | *  | *  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 02    | ** | *  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 03    | ** | *  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 04    | * **| *  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 05    | * ***| *  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 06    |    | ** |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 07    |    | *  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 08    |    | *  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 09    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 10    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 11    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 12    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 13    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 14    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 15    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 16    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 17    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 18    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 19    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 20    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 21    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 22    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 23    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 24    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 25    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 26    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
| 27    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
17.1.5 Linear congruential generator

This is popular PRNG from OpenWatcom CRT\(^2\) library: [https://github.com/open-watcom/open-watcom-v2/blob/d468b609ba6c8f3d6e6dad80dd2485e3256e35261/bld/clib/math/c/rand.c](https://github.com/open-watcom/open-watcom-v2/blob/d468b609ba6c8f3d6e6dad80dd2485e3256e35261/bld/clib/math/c/rand.c).

What expression it generates on each step?

```python
#!/usr/bin/env python
class Expr:
    def __init__(self, s):
        self.s = s

    def convert_to_Expr_if_int(self, n):
        if isinstance(n, int):
            return Expr(str(n))
        if isinstance(n, Expr):
            return n
```

\(^2\)C runtime library
raise AssertionError # unsupported type

def __str__(self):
    return self.s

def __xor__(self, other):
    return Expr("" + self.s + "~ + self.convert_to_Expr_if_int(other).s + ")"

def __mul__(self, other):
    return Expr("" + self.s + "+ + self.convert_to_Expr_if_int(other).s + ")"

def __add__(self, other):
    return Expr("" + self.s + "+ + self.convert_to_Expr_if_int(other).s + ")"

def __and__(self, other):
    return Expr("" + self.s + "& + self.convert_to_Expr_if_int(other).s + ")"

def __rshift__(self, other):
    return Expr("" + self.s + ">> + self.convert_to_Expr_if_int(other).s + ")"

seed = Expr("initial_seed")

def rand():
    global seed
    seed = seed * 1103515245 + 12345
    return (seed >> 16) & 0x7fff

for i in range(10):
    print i, ":", rand()

0 : (((((initial_seed*1103515245)+12345)>>16)&32767)
1 : (((((initial_seed*1103515245)+12345)*1103515245)+12345)>>16)&32767)
2 : (((((initial_seed*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)>>16)&32767)
3 : (((((initial_seed*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)>>16)&32767)
4 : (((((initial_seed*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)>>16)&32767)
5 : (((((initial_seed*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)>>16)&32767)
6 : (((((initial_seed*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)>>16)&32767)
7 : (((((initial_seed*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)>>16)&32767)
8 : (((((initial_seed*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)>>16)&32767)
9 : (((((initial_seed*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)*1103515245)+12345)>>16)&32767)

Now if we once got several values from this PRNG, like 4583, 16304, 14440, 32315, 28670, 12568..., how would we recover the initial seed? The problem in fact is solving a system of equations:

```
((((initial_seed*1103515245)+12345)>>16)&32767)==4583
```

437
As it turns out, Z3 can solve this system correctly using only two equations:

```python
#!/usr/bin/env python
from z3 import *
s=Solver()
x=BitVec("x",32)
a=1103515245
c=12345
s.add(((x*a)+c)>>16)&32767==4583)
s.add(((x*a)+c)*a+c)>>16)&32767==16304)
#s.add((((((x*a)+c)*a)+c)>>16)&32767==14440)
#s.add((((((x*a)+c)*a)+c)*a)+c)>>16)&32767==32315)
s.check()
print s.model()
```

```python
[x = 11223344]
```

(Though, it takes ≈ 20 seconds on my ancient Intel Atom netbook.)

### 17.1.6 Path constraint

How to get weekday from UNIX timestamp?

```python
#!/usr/bin/env python

input=...
SECS_DAY=24*60*60
dayno = input / SECS_DAY
wday = (dayno + 4) % 7
if wday==5:
    print "Thanks God, it's Friday!"
```

Let’s say, we should find a way to run the block with print() call in it. What input value should be?

First, let’s build expression of `wday` variable:

```python
#!/usr/bin/env python
class Expr:
    def __init__(self,s):
        self.s=s

    def convert_to_Expr_if_int(self, n):
        if isinstance(n, int):
            return Expr(str(n))
        if isinstance(n, Expr):
            return n
        raise AssertionError # unsupported type

    def __str__(self):
        return self.s
```
```python
def __div__(self, other):
    return Expr("(" + self.s + "/" + self.convert_to_Expr_if_int(other).s + ")")

def __mod__(self, other):
    return Expr("(" + self.s + "/" + self.convert_to_Expr_if_int(other).s + ")")

def __add__(self, other):
    return Expr("(" + self.s + "+" + self.convert_to_Expr_if_int(other).s + ")")

input = Expr("input")
SECS_DAY = 24*60*60
dayno = input / SECS_DAY
wday = (dayno + 4) % 7
print wday
if wday==5:
    print "Thanks God, it's Friday!"
```

(((input/86400)+4)%7)

In order to execute the block, we should solve this equation: \((\frac{input}{86400} + 4) \equiv 5 \mod 7\).

So far, this is easy task for Z3:

```bash
#!/usr/bin/env python
from z3 import *
s=Solver()
x=Int("x")
s.add(((x/86400)+4)%7==5)
s.check()
print s.model()
```

```
x = 86438
```

This is indeed correct UNIX timestamp for Friday:

```bash
% date --date='@86438'
Fri Jan  2 03:00:38 MSK 1970
```

Though the date back in year 1970, but it’s still correct!

This is also called “path constraint”, i.e., what constraint must be satisfied to execute specific block? Several tools has “path” in their names, like “pathgrind”, Symbolic PathFinder, CodeSurfer Path Inspector, etc.

Like the shell game, this task is also often encounters in practice. You can see that something dangerous can be executed inside some basic block and you’re trying to deduce, what input values can cause execution of it. It may be buffer overflow, etc. Such input values are sometimes also called “inputs of death”.

Many crackmes are solved in this way, all you need is find a path into block which prints “key is correct” or something like that.

We can extend this tiny example:

```python
input...
SECS_DAY = 24*60*60
dayno = input / SECS_DAY
wday = (dayno + 4) % 7
print wday
if wday==5:
```
print "Thanks God, it's Friday!"
else:
    print "Got to wait a little"

Now we have two blocks: for the first we should solve this equation: \(((\text{input} + 4) \equiv 5 \mod 7\). But for the second we should solve inverted equation: \(((\text{input} + 4) \not\equiv 5 \mod 7\). By solving these equations, we will find two paths into both blocks.

KLEE (or similar tool) tries to find path to each [basic] block and produces “ideal” unit test. Hence, KLEE can find a path into the block which crashes everything, or reporting about correctness of the input key/license, etc. Surprisingly, KLEE can find backdoors in the very same manner.

KLEE is also called “KLEE Symbolic Virtual Machine” – by that its creators mean that the KLEE is VM³ which executes a code symbolically rather than numerically (like usual CPU).

Let’s extend our tiny example again. We would like to find Friday 13th. To make things simpler, we can limit ourselves to year 1970. Let’s get all 12 13th days of year 1970:

| % date +"%j" --date="13 Jan 1970" |
| % date +"%j" --date="13 Feb 1970" |
| % date +"%j" --date="13 Mar 1970" |
| % date +"%j" --date="13 Apr 1970" |
| % date +"%j" --date="13 May 1970" |
| % date +"%j" --date="13 Jun 1970" |
| % date +"%j" --date="13 Jul 1970" |
| % date +"%j" --date="13 Aug 1970" |
| % date +"%j" --date="13 Sep 1970" |
| % date +"%j" --date="13 Oct 1970" |
| % date +"%j" --date="13 Nov 1970" |
| % date +"%j" --date="13 Dec 1970" |

The script checking if the current date is Friday 13th:

```python
input=...
SECS_DAY=24*60*60
dayno = input / SECS_DAY
wday = (dayno + 4) % 7
print wday
if wday==5:
    print "Thanks God, it's Friday!"
if dayno in [13,44,72,103,133,164,194,225,256,286,317,347]:
    print "Friday 13th"
```

To get the second "print" executed, we must satisfy two constraints:

```
#!/usr/bin/env python
from z3 import *
```

³Virtual Machine
s=Solver()
x=Int("x")
dayno=Int("dayno")
s.add(dayno==x/86400)

# 1st constraint:
s.add((dayno+4)%7==5)  # must be Friday

# 2nd constraint:
s.add(Or(dayno==13-1,dayno==44-1,dayno==72-1,dayno==103-1,dayno==133-1,dayno==164-1,
        dayno==194-1,dayno==225-1,dayno==256-1,dayno==286-1,dayno==317-1,dayno==347-1))

s.check()
print s.model() 

Easy task for Z3 as well:

% python Z3_solve2.py
[dayno = 316, x = 27302400]
% date --date='@27302400'
Fri Nov 13 03:00:00 MSK 1970

This is an UNIX date for which both constructs are satisfied: 13th November 1970, Friday.

17.1.7 Division by zero

If division by zero is unwrapped by sanitizing check, and exception isn’t caught, it can crash process.

Let’s calculate simple expression \( \frac{x}{2y+4}-12 \). We can add a warning into \_\_div\_\_ method:

#!/usr/bin/env python
class Expr:
    def \_\_init\_\_(self,s):
        self.s=s

    def convert_to_Expr_if_int(self, n):
        if isinstance(n, int):
            return Expr(str(n))
        if isinstance(n, Expr):
            return n
        raise AssertionError # unsupported type

    def \_\_str\_\_(self):
        return self.s

    def \_\_mul\_\_(self, other):
        return Expr("(" + self.s + "*" + self.convert_to_Expr_if_int(other).s + ")")

    def \_\_div\_\_(self, other):
        op2=self.convert_to_Expr_if_int(other).s
        print "warning: division by zero if "+op2+"=0"
        return Expr("(" + self.s + "/" + op2 + ")")

    def \_\_add\_\_(self, other):
        return Expr("(" + self.s + "+" + self.convert_to_Expr_if_int(other).s + ")")
def __sub__(self, other):
    return Expr("(" + self.s + "-" + self.convert_to_Expr_if_int(other).s + ")")

x
--------------
2y + 4z - 12

def f(x, y, z):
    return x/(y*2 + z*4 - 12)

print f(Expr("x"), Expr("y"), Expr("z"))

...so it will report about dangerous states and conditions:

```
warning: division by zero if (((y*2)+(z*4))-12)==0
(x/(((y*2)+(z*4))-12))
```

This equation is easy to solve, let's try Wolfram Mathematica this time:

```
In[4]:= FindInstance[{(y*2 + z*4) - 12 == 0}, {y, z}, Integers]
Out[4]= {{y -> 0, z -> 3}}
```

These values for $y$ and $z$ can also be called “inputs of death”.

17.1.8 Merge sort

How merge sort works? I have copypasted Python code from rosettacode.com almost intact:

```
#!/usr/bin/env python

class Expr:
    def __init__(self, s, i):
        self.s = s
        self.i = i

    def __str__(self):
        # return both symbolic and integer:
        return self.s + "+" + str(self.i) + ""

    def __le__(self, other):
        # compare only integer parts:
        return self.i <= other.i

# copypasted from http://rosettacode.org/wiki/Sorting_algorithms/Merge_sort

def merge(left, right):
    result = []
    left_idx, right_idx = 0, 0
    while left_idx < len(left) and right_idx < len(right):
        # change the direction of this comparison to change the direction of the sort
        if left[left_idx] <= right[right_idx]:
            result.append(left[left_idx])
            left_idx += 1
        else:
            result.append(right[right_idx])
            right_idx += 1
```
if left_idx < len(left):
    result.extend(left[left_idx:]),
if right_idx < len(right):
    result.extend(right[right_idx:]),
return result

def tabs(t):
    return "\t"*t

def merge_sort(m, indent=0):
    print tabs(indent)+"merge_sort() begin. input:
for i in m:
    print tabs(indent)+str(i)

if len(m) <= 1:
    print tabs(indent)+"merge_sort() end. returning single element"
    return m

middle = len(m) // 2
left = m[:middle]
right = m[middle:]

left = merge_sort(left, indent+1)
right = merge_sort(right, indent+1)
rt=list(merge(left, right))
print tabs(indent)+"merge_sort() end. returning:
for i in rt:
    print tabs(indent)+str(i)
return rt

# input buffer has both symbolic and numerical values:
input=[Expr("input1",22), Expr("input2",7), Expr("input3",2), Expr("input4",1), Expr("input5",8), Expr("input6",4)]
merge_sort(input)

But here is a function which compares elements. Obviously, it wouldn’t work correctly without it.
So we can track both expression for each element and numerical value. Both will be printed finally. But whenever
values are to be compared, only numerical parts will be used.

Result:
17.1.9 Extending Expr class

This is somewhat senseless, nevertheless, it’s easy task to extend my Expr class to support AST instead of plain strings. It’s also possible to add folding steps (like I demonstrated in Toy Decompiler: 16). Maybe someone will want to do this as an exercise. By the way, the toy decompiler can be used as simple symbolic engine as well, just feed all the instructions to it and it will track contents of each register.

17.1.10 Conclusion

For the sake of demonstration, I made things as simple as possible. But reality is always harsh and inconvenient, so all this shouldn’t be taken as a silver bullet.

The files used in this part: https://yurichev.com/SAT_SMT_tree/symbolic.
17.2 Further reading

- Robert W. Floyd — Assigning meaning to programs ⁴.
- James C. King — Symbolic Execution and Program Testing ⁵.
- History of symbolic execution (as well as SAT/SMT solving, fuzzing, and taint data tracking) ⁶.

17.3 Tools


17.4 Examples

- Breaking Kryptonite's obfuscation: a static analysis approach relying on symbolic execution ⁷.
- Sean Heelan – Anatomy of a Symbolic Emulator⁸

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⁴https://classes.soe.ucsc.edu/cmssl90/Papers/AssigningMeanings1967.pdf
⁵https://yurichev.com/mirrors/king76symbolicexecution.pdf
⁶https://github.com/enzet/symbolic-execution
⁷https://doar-e.github.io/blog/2013/09/16/breaking-kryptonite-obfuscation-with-symbolic-execution/
Chapter 18

KLEE

18.1 Installation

KLEE building from source is tricky. Easiest way to use KLEE is to install docker\(^1\) and then to run KLEE docker image\(^2\). The path where KLEE files residing can look like `/var/lib/docker/aufs/mnt/(lots of hexadecimal digits)/home/klee`.

18.2 Unit test: HTML/CSS color

The most popular ways to represent HTML/CSS color is by English name (e.g., “red”) and by 6-digit hexadecimal number (e.g., “#0077CC”). There is third, less popular way: if each byte in hexadecimal number has two doubling digits, it can be abbreviated, thus, “#0077CC” can be written just as “#07C”.

Let’s write a function to convert 3 color components into name (if possible, first priority), 3-digit hexadecimal form (if possible, second priority), or as 6-digit hexadecimal form (as a last resort).

```c
#include <string.h>
#include <stdio.h>
#include <stdint.h>

void HTML_color(uint8_t R, uint8_t G, uint8_t B, char* out)
{
    if (R==0xFF && G==0 && B==0)
    {
        strcpy (out, "red");
        return;
    };

    if (R==0x0 && G==0xFF && B==0)
    {
        strcpy (out, "green");
        return;
    };

    if (R==0 && G==0 && B==0xFF)
    {
        strcpy (out, "blue");
        return;
    };

    // abbreviated hexadecimal
```

\(^1\)https://docs.docker.com/engine/installation/linux/ubuntu-linux/
\(^2\)http://klee.github.io/docker/
if (R>>4==(R&0xF) && G>>4==(G&0xF) && B>>4==(B&0xF))
{
    sprintf (out, "#%X%X%X", R&0xF, G&0xF, B&0xF);
    return;
};

// last resort
sprintf (out, "#%02X%02X%02X", R, G, B);

int main()
{
    uint8_t R, G, B;
    klee_make_symbolic (&R, sizeof R, "R");
    klee_make_symbolic (&G, sizeof R, "G");
    klee_make_symbolic (&B, sizeof R, "B");

    char tmp[16];
    HTML_color(R, G, B, tmp);
};

There are 5 possible paths in function, and let’s see, if KLEE could find them all? It’s indeed so:

% clang -emit-llvm -c -g color.c
% klee color.bc
KLEE: output directory is "/home/klee/klee-out-134"
KLEE: WARNING: undefined reference to function: sprintf
KLEE: WARNING: undefined reference to function: strcpy
KLEE: WARNING ONCE: calling external: strcpy(51867584, 51598960)
KLEE: ERROR: /home/klee/color.c:33: external call with symbolic argument: sprintf
KLEE: NOTE: now ignoring this error at this location
KLEE: ERROR: /home/klee/color.c:28: external call with symbolic argument: sprintf
KLEE: NOTE: now ignoring this error at this location

KLEE: done: total instructions = 479
KLEE: done: completed paths = 19
KLEE: done: generated tests = 5

We can ignore calls to strcpy() and sprintf(), because we are not really interesting in state of out variable.
So there are exactly 5 paths:

% ls klee-last
assembly.ll  run.stats  test000003.ktest  test000005.ktest
info          test000001.ktest  test000003.pc  test000005.pc
messages.txt  test000002.ktest  test000004.ktest  warnings.txt
run.istats    test000003.exec.err  test000005.exec.err

1st set of input variables will result in “red” string:

% ktest-tool --write-ints klee-last/test000001.ktest
ktest file : 'klee-last/test000001.ktest'
args : ['color.bc']
num objects: 3
object 0: name: b'R'
object 0: size: 1
object 0: data: b'\xff'
object 1: name: b'G'
2nd set of input variables will result in "green" string:

```bash
% ktest-tool --write-ints klee-last/test000002.ktest
ktest file : 'klee-last/test000002.ktest'
args : ['color.bc']
num objects: 3
object 0: name: b'R'
object 0: size: 1
object 0: data: b'\x00'
object 1: name: b'G'
object 1: size: 1
object 1: data: b'\xff'
object 2: name: b'B'
object 2: size: 1
object 2: data: b'\x00'
```

3rd set of input variables will result in "#010000" string:

```bash
% ktest-tool --write-ints klee-last/test000003.ktest
ktest file : 'klee-last/test000003.ktest'
args : ['color.bc']
num objects: 3
object 0: name: b'R'
object 0: size: 1
object 0: data: b'\x01'
object 1: name: b'G'
object 1: size: 1
object 1: data: b'\x00'
object 2: name: b'B'
object 2: size: 1
object 2: data: b'\x00'
```

4th set of input variables will result in "blue" string:

```bash
% ktest-tool --write-ints klee-last/test000004.ktest
ktest file : 'klee-last/test000004.ktest'
args : ['color.bc']
num objects: 3
object 0: name: b'R'
object 0: size: 1
object 0: data: b'\x00'
object 1: name: b'G'
object 1: size: 1
object 1: data: b'\x00'
object 2: name: b'B'
object 2: size: 1
object 2: data: b'\xff'
```

5th set of input variables will result in "#F01" string:

```bash
% ktest-tool --write-ints klee-last/test000005.ktest
ktest file : 'klee-last/test000005.ktest'
args : ['color.bc']
num objects: 3
```
These 5 sets of input variables can form a unit test for our function.

### 18.3 Unit test: `strcmp()` function

The standard `strcmp()` function from C library can return 0, -1 or 1, depending on comparison result. Here is my own implementation of `strcmp()`:

```c
int my_strcmp(const char *s1, const char *s2)
{
    int ret = 0;

    while (1)
    {
        ret = *(unsigned char *) s1 - *(unsigned char *) s2;
        if (ret!=0)
            break;
        if ((*s1==0) || (*s2)==0)
            break;
        s1++;
        s2++;
    }

    if (ret < 0)
    {
        return -1;
    } else if (ret > 0)
    {
        return 1;
    }

    return 0;
}
```

```c
text`
```
Let's find out, if KLEE is capable of finding all three paths? I intentionally made things simpler for KLEE by limiting input arrays to two 2 bytes or to 1 character + terminal zero byte.

The first two errors are about `klee_assume()`. These are input values on which `klee_assume()` calls are stuck. We can ignore them, or take a peek out of curiosity:

Three rest files are the input values for each path inside of my implementation of `strcmp()`:

```c
my_strcmp (input1, input2);
}
```
3rd is about first argument ("b") is lesser than the second ("c"). 4th is opposite ("c" and "a"). 5th is when they are equal ("a" and "a").

Using these 3 test cases, we've got full coverage of our implementation of strcmp().

18.4 UNIX date/time

UNIX date/time\footnote{https://en.wikipedia.org/wiki/Unix_time} is a number of seconds that have elapsed since 1-Jan-1970 00:00 UTC. C/C++ gmtime() function is used to decode this value into human-readable date/time.

Here is a piece of code I’ve copypasted from some ancient version of Minix OS (http://www.cise.ufl.edu/~cop4600/cgi-bin/lxr/http/source.cgi/lib/ansi/gmtime.c) and reworked slightly:

```c
#include <stdint.h>
#include <time.h>
#include <assert.h>

/*
 * copypasted and reworked from
 * http://www.cise.ufl.edu/~cop4600/cgi-bin/lxr/http/source.cgi/lib/ansi/loc_time.h
 * http://www.cise.ufl.edu/~cop4600/cgi-bin/lxr/http/source.cgi/lib/ansi/misc.c
 * http://www.cise.ufl.edu/~cop4600/cgi-bin/lxr/http/source.cgi/lib/ansi/gmtime.c
 */

#define YEAR0 1900
#define EPOCH_YR 1970
#define SECS_DAY (24L * 60L * 60L)
#define YEARSIZE(year) (LEAPYEAR(year) ? 366 : 365)

const int _ytab[2][12] =
{
```
const char *days[] =
{
    "Sunday", "Monday", "Tuesday", "Wednesday",
    "Thursday", "Friday", "Saturday"
};

const char *months[] =
{
    "January", "February", "March",
    "April", "May", "June",
    "July", "August", "September",
    "October", "November", "December"
};

#define LEAPYEAR(year) (!(year) % 4) && (((year) % 100) || !((year) % 400))

void decode_UNIX_time(const time_t time)
{
    unsigned int dayclock, dayno;
    int year = EPOCH_YR;

dayclock = (unsigned long)time % SECS_DAY;
dayno = (unsigned long)time / SECS_DAY;

    int seconds = dayclock % 60;
    int minutes = (dayclock % 3600) / 60;
    int hour = dayclock / 3600;
    int wday = (dayno + 4) % 7;
    while (dayno >= YEARSIZE(year))
    {
        dayno -= YEARSIZE(year);
        year++;
    }

    year = year - YEAR0;

    int month = 0;

    while (dayno >= _ytab[LEAPYEAR(year)][month])
    {
        dayno -= _ytab[LEAPYEAR(year)][month];
        month++;
    }

    char *s;
    switch (month)
    {
    case 0: s="January"; break;
    case 1: s="February"; break;
    case 2: s="March"; break;
    case 3: s="April"; break;
    case 4: s="May"; break;
    case 5: s="June"; break;
    }
case 6: s="July"; break;
case 7: s="August"; break;
case 8: s="September"; break;
case 9: s="October"; break;
case 10: s="November"; break;
case 11: s="December"; break;
default:
    assert(0);
};
printf ("%04d-%s-%02d %02d:%02d:%02d\n", YEAR0+year, s, dayno+1, hour, minutes, seconds);
printf ("week day: %s\n", _days[wday]);
}

int main()
{
    uint32_t time;
    klee_make_symbolic(&time, sizeof time, "time");
    decode_UNIX_time(time);
    return 0;
}

Let's try it:

% clang -emit-llvm -c -g klee_time1.c
...% klee klee_time1.bc
KLEE: output directory is "/home/klee/klee-out-107"
KLEE: WARNING: undefined reference to function: printf
KLEE: ERROR: /home/klee/klee_time1.c:86: external call with symbolic argument: printf
KLEE: NOTE: now ignoring this error at this location
KLEE: ERROR: /home/klee/klee_time1.c:83: ASSERTION FAIL: 0
KLEE: NOTE: now ignoring this error at this location

KLEE: done: total instructions = 101579
KLEE: done: completed paths = 1635
KLEE: done: generated tests = 2

Wow, assert() at line 83 has been triggered, why? Let's see a value of UNIX time which triggers it:

% ls klee-last | grep err
test000001.exec.err
test000002.assert.err

% ktest-tool --write-ints klee-last/test000002.ktest
ktest file : 'klee-last/test000002.ktest'
args : ['klee_time1.bc']
num objects: 1
object 0: name: b'time'
object 0: size: 4
object 0: data: 978278400

Let's decode this value using UNIX date utility:
After my investigation, I’ve found that `month` variable can hold incorrect value of 12 (while 11 is maximal, for December), because `LEAPYEAR()` macro should receive year number as 2000, not as 100. So I’ve introduced a bug during rewriting this function, and KLEE found it!

Just interesting, what would be if I’ll replace `switch()` to array of strings, like it usually happens in concise C/C++ code?

```c
... 
const char * _months[] =
{
    "January", "February", "March",
    "April", "May", "June",
    "July", "August", "September",
    "October", "November", "December"
};
...

while (dayno >= _ytab[LEAPYEAR(year)][month])
{
    dayno -= _ytab[LEAPYEAR(year)][month];
    month++;
}
char * s = _months[month];

printf("%04d- %s- %02d: %02d: %02d\n", YEAR0+year, s, dayno+1, hour, minutes,
       seconds);
printf("week day: %s\n", _days[wday]);
...
```

KLEE detects attempt to read beyond array boundaries:

```bash
% klee klee_time2.bc
KLEE: output directory is "/home/klee/klee-out-108"
KLEE: WARNING: undefined reference to function: printf
KLEE: ERROR: /home/klee/klee_time2.c:69: external call with symbolic argument: printf
KLEE: NOTE: now ignoring this error at this location
KLEE: ERROR: /home/klee/klee_time2.c:67: memory error: out of bound pointer
KLEE: NOTE: now ignoring this error at this location

KLEE: done: total instructions = 101716
KLEE: done: completed paths = 1635
KLEE: done: generated tests = 2
```

This is the same UNIX time value we’ve already seen:

```bash
% ls klee-last | grep err
test000001.exec.err
test000002.ptr.err

% ktest-tool --write-ints klee-last/test000002.ktest
ktest file : 'klee-last/test000002.ktest'
args : ['klee_time2.bc']
```
So, if this piece of code can be triggered on remote computer, with this input value (input of death), it’s possible to crash the process (with some luck, though).

OK, now I’m fixing a bug by moving year subtracting expression to line 43, and let’s find, what UNIX time value corresponds to some fancy date like 2022-February-2?

```c
#include <stdint.h>
#include <time.h>
#include <assert.h>

#define YEAR0 1900
#define EPOCH_YR 1970
#define SECS_DAY (24L * 60L * 60L)
#define YEARSIZE(year) (LEAPYEAR(year) ? 366 : 365)

const int _ytab[2][12] =
{ 31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31 },
{ 31, 29, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31 };

#define LEAPYEAR(year) (!(year) % 4) && (((year) % 100) || !((year) % 400))

void decode_UNIX_time(const time_t time)
{
    unsigned int dayclock, dayno;
    int year = EPOCH_YR;

    dayclock = (unsigned long)time % SECS_DAY;
    dayno = (unsigned long)time / SECS_DAY;

    int seconds = dayclock % 60;
    int minutes = (dayclock % 3600) / 60;
    int hour = dayclock / 3600;
    int wday = (dayno + 4) % 7;
    while (dayno >= YEARSIZE(year))
    {
        dayno -= YEARSIZE(year);
        year++;
    }

    int month = 0;
    while (dayno >= _ytab[LEAPYEAR(year)][month])
    {
        dayno -= _ytab[LEAPYEAR(year)][month];
        month++;
    }
    year = year - YEAR0;

    if (YEAR0+year==2022 && month==1 && dayno+1==22)
        klee_assert(0);
}
```
int main()
{
    uint32_t time;
    klee_make_symbolic(&time, sizeof time, "time");
    decode_UNIX_time(time);
    return 0;
}

% clang -emit-llvm -c -g klee_time3.c
...
% klee klee_time3.bc
KLEE: output directory is "/home/klee/klee-out-109"
KLEE: WARNING: undefined reference to function: klee_assert
KLEE: WARNING ONCE: calling external: klee_assert(0)
KLEE: NOTE: now ignoring this error at this location
KLEE: done: total instructions = 101087
KLEE: done: completed paths = 1635
KLEE: done: generated tests = 1635
% ls klee-last | grep err
test000587.external.err
% ktest-tool --write-ints klee-last/test000587.ktest
ktest file : 'klee-last/test000587.ktest'
args : ['klee_time3.bc']
num objects: 1
object 0: name: b'time'
object 0: size: 4
object 0: data: 1645488640
% date -u --date='@1645488640'
Tue Feb 22 00:10:40 UTC 2022

Success, but hours/minutes/seconds are seems random—they are random indeed, because, KLEE satisfied all constraints we’ve put, nothing else. We didn’t ask it to set hours/minutes/seconds to zeroes.

Let’s add constraints to hours/minutes/seconds as well:

... 
if (YEAR0+year==2022 && month==1 && dayno+1==22 && hour==22 && minutes==22 && seconds==22)
    klee_assert(0);
...

Let’s run it and check ...

% ktest-tool --write-ints klee-last/test000597.ktest
ktest file : 'klee-last/test000597.ktest'
args : ['klee_time3.bc']
num objects: 1
object 0: name: b'time'
Now that is precise.

Yes, of course, C/C++ libraries has function(s) to encode human-readable date into UNIX time value, but what we’ve got here is KLEE working antipode of decoding function, inverse function in a way.

18.5 Inverse function for base64 decoder

It’s piece of cake for KLEE to reconstruct input base64 string given just base64 decoder code without corresponding encoder code. I’ve copypasted this piece of code from [http://www.opensource.apple.com/source/QuickTimeStreamingServer/QuickTimeStreamingServer-452/CommonUtilitiesLib/base64.c](http://www.opensource.apple.com/source/QuickTimeStreamingServer/QuickTimeStreamingServer-452/CommonUtilitiesLib/base64.c).

We add constraints (lines 84, 85) so that output buffer must have byte values from 0 to 15. We also tell to KLEE that the Base64decode() function must return 16 (i.e., size of output buffer in bytes, line 82).

```c
#include <string.h>
#include <stdint.h>
#include <stdbool.h>

static const unsigned char pr2six[256] =
{
    /* ASCII table */
    64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64,
    64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64,
    64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 62, 64, 64, 64,
    52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 64, 64, 64, 64, 64,
    64, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14,
    15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 64, 64, 64, 64,
    64, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40,
    41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 64, 64, 64, 64,
    64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64,
    64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64,
    64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64,
    64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64,
    64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64,
    64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64,
};

int Base64decode(char *bufplain, const char *bufcoded)
{
    int nbytesdecoded;
    register const unsigned char *bufin;
    register unsigned char *bufout;
    register int nprbytes;

    bufin = (const unsigned char *) bufcoded;
    while (pr2six[*(bufin++)] <= 63);
    nprbytes = (bufin - (const unsigned char *) bufcoded) - 1;
    nbytesdecoded = ((nprbytes + 3) / 4) * 3;
```

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bufout = (unsigned char *) bufplain;
bufin = (const unsigned char *) bufcoded;

while (nprbytes > 4) {
    *(bufout++) =
        (unsigned char) (pr2six[*bufin] << 2 | pr2six[bufin[1]] >> 4);
    *(bufout++) =
        (unsigned char) (pr2six[bufin[1]] << 4 | pr2six[bufin[2]] >> 2);
    *(bufout++) =
        (unsigned char) (pr2six[bufin[2]] << 6 | pr2six[bufin[3]]);
    bufin += 4;
    nprbytes -= 4;
}

/* Note: (nprbytes == 1) would be an error, so just ignore that case */
if (nprbytes > 1) {
    *(bufout++) =
        (unsigned char) (pr2six[*bufin] << 2 | pr2six[bufin[1]] >> 4);
}
if (nprbytes > 2) {
    *(bufout++) =
        (unsigned char) (pr2six[bufin[1]] << 4 | pr2six[bufin[2]] >> 2);
}
if (nprbytes > 3) {
    *(bufout++) =
        (unsigned char) (pr2six[bufin[2]] << 6 | pr2six[bufin[3]]);
}
*(bufout++) = '\0';
nbytesdecoded -= (4 - nprbytes) & 3;
return nbytesdecoded;
}

int main()
{
    char input[32];
    uint8_t output[16+1];
    klee_make_symbolic(input, sizeof input, "input");
    klee_assume(input[31]==0);
    klee_assume (Base64decode(output, input)==16);
    for (int i=0; i<16; i++)
        klee_assume (output[i]==i);
    klee_assert(0);
    return 0;
}
KLEE: output directory is "/home/klee/klee-out-99"
KLEE: WARNING: undefined reference to function: klee_assert
KLEE: ERROR: /home/klee/klee_base64.c:99: invalid klee_assume call (provably false)
KLEE: NOTE: now ignoring this error at this location
KLEE: WARNING ONCE: calling external: klee_assert(0)
KLEE: ERROR: /home/klee/klee_base64.c:104: failed external call: klee_assert
KLEE: NOTE: now ignoring this error at this location
KLEE: ERROR: /home/klee/klee_base64.c:85: memory error: out of bound pointer
KLEE: NOTE: now ignoring this error at this location
KLEE: ERROR: /home/klee/klee_base64.c:81: memory error: out of bound pointer
KLEE: NOTE: now ignoring this error at this location
KLEE: ERROR: /home/klee/klee_base64.c:65: memory error: out of bound pointer
KLEE: NOTE: now ignoring this error at this location

... We're interesting in the second error, where \texttt{klee\_assert()} has been triggered:

\begin{verbatim}
% ls klee-last | grep err
test000001.user.err
test000002.external.err
test000003.ptr.err
test000004.ptr.err
test000005.ptr.err

% ktest-tool --write-ints klee-last/test000002.ktest
test file : 'klee-last/test000002.ktest'
args : ['klee_base64.bc']
num objects: 1
object 0: name: b'input'
object 0: size: 32
object 0: data: b'AAECAwQFBgcICQoLDA0OD4\00\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\ffffff0'
\end{verbatim}

This is indeed a real base64 string, terminated with the zero byte, just as it’s requested by C/C++ standards. The final zero byte at 31th byte (starting at zeroth byte) is our deed: so that KLEE would report lesser number of errors.

The base64 string is indeed correct:

\begin{verbatim}
% echo AAECAwQFBgcICQoLDA0OD4 | base64 -d | hexdump -C
base64: invalid input
00000000 00 01 02 03 04 05 06 07 08 09 0a 0b 0c 0d 0e 0f | ...............|
00000010
\end{verbatim}

base64 decoder Linux utility I’ve just run blaming for “invalid input”—it means the input string is not properly padded.

Now let’s pad it manually, and decoder utility will no complain anymore:

\begin{verbatim}
% echo AAECAwQFBgcICQoLDA0OD4= | base64 -d | hexdump -C
00000000 00 01 02 03 04 05 06 07 08 09 0a 0b 0c 0d 0e 0f | ...............|
00000010
\end{verbatim}

The reason our generated base64 string is not padded is because base64 decoders are usually discards padding symbols (“=”) at the end. In other words, they are not require them, so is the case of our decoder. Hence, padding symbols are left unnoticed to KLEE.

So we again made antipode or \textit{inverse function} of base64 decoder.

18.6 \textbf{LZSS decompressor}

I’ve googled for a very simple \textit{LZSS} decompressor and landed at this page: \url{http://www.opensource.apple.com/source/boot/boot-132/i386/boot2/lzss.c}. 

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Let's pretend, we're looking at unknown compressing algorithm with no compressor available. Will it be possible to reconstruct a compressed piece of data so that decompressor would generate data we need?

Here is my first experiment:

```c
#include <string.h>
#include <stdint.h>
#include <stdbool.h>

#define N 4096 /* size of ring buffer - must be power of 2 */
#define N 32 /* size of ring buffer - must be power of 2 */
#define F 18 /* upper limit for match_length */
#define THRESHOLD 2 /* encode string into position and length
  if match_length is greater than this */
#define NIL N /* index for root of binary search trees */

int
decompress_lzss(uint8_t *dst, uint8_t *src, uint32_t srclen)
{
  /* ring buffer of size N, with extra F-1 bytes to aid string comparison */
  uint8_t *dststart = dst;
  uint8_t *srcend = src + srclen;
  int i, j, k, r, c;
  unsigned int flags;
  uint8_t text_buf[N + F - 1];
  dst = dststart;
  srcend = src + srclen;
  for (i = 0; i < N - F; i++)
    text_buf[i] = ' ';
  r = N - F;
  flags = 0;
  for (; ; ) {
    if (((flags >>= 1) & 0x100) == 0) {
      if (src < srcend) c = *src++; else break;
      flags = c | 0xFF00; /* uses higher byte cleverly */
    } /* to count eight */
    if (flags & 1) {
      if (src < srcend) c = *src++; else break;
      *dst++ = c;
      text_buf[r++] = c;
      r &= (N - 1);
    } else {
      if (src < srcend) i = *src++; else break;
      if (src < srcend) j = *src++; else break;
      i |= ((j & 0xFF) << 4);
      j = (j & 0x0F) + THRESHOLD;
      for (k = 0; k <= j; k++) {
        c = text_buf[(i + k) & (N - 1)];
        *dst++ = c;
        text_buf[r++] = c;
        r &= (N - 1);
      }
    }
  }
}
```
return dst - dststart;
}

int main()
{
#define COMPRESSED_LEN 15
    uint8_t input[COMPRESSED_LEN];
    uint8_t plain[24];
    uint32_t size=COMPRESSED_LEN;

    klee_make_symbolic(input, sizeof input, "input");

decompress_lzss(plain, input, size);

    Buffalo_buffalo_Buffalo_buffalo_buffalo_buffalo_buffalo_buffalo_buffalo
    for (int i=0; i<23; i++)
        klee_assume (plain[i]=="Buffalo buffalo Buffalo"[i]);

    klee_assert(0);
    return 0;
}

What I did is changing size of ring buffer from 4096 to 32, because if bigger, KLEE consumes all RAM it can. But I've found that KLEE can live with that small buffer. I've also decreased COMPRESSED_LEN gradually to check, whether KLEE would find compressed piece of data, and it did:

% clang -emit-llvm -c -g klee_lzss.c
...

% time klee klee_lzss.bc
KLEE: output directory is "/home/klee/klee-out-7"
KLEE: WARNING: undefined reference to function: klee_assert
KLEE: ERROR: /home/klee/klee_lzss.c:122: invalid klee_assume call (provably false)
KLEE: NOTE: now ignoring this error at this location
KLEE: ERROR: /home/klee/klee_lzss.c:47: memory error: out of bound pointer
KLEE: NOTE: now ignoring this error at this location
KLEE: ERROR: /home/klee/klee_lzss.c:47: memory error: out of bound pointer
KLEE: NOTE: now ignoring this error at this location
KLEE: WARNING ONCE: calling external: klee_assert(0)
KLEE: NOTE: now ignoring this error at this location

KLEE: done: total instructions = 41417919
KLEE: done: completed paths = 437820
KLEE: done: generated tests = 4

real 13m0.215s
user 11m57.517s
sys 1m2.187s

% ls klee-last | grep err
  test000001.user.err
  test000002.ptr.err

\[^4\]Random-access memory
KLEE consumed ≈ 1GB of RAM and worked for ≈ 15 minutes (on my Intel Core i3-3110M 2.4GHz notebook), but here it is, a 15 bytes which, if decompressed by our copy-pasted algorithm, will result in desired text!

During my experimentation, I’ve found that KLEE can do even more cooler thing, to find out size of compressed piece of data:

```c
int main()
{
    uint8_t input[24];
    uint8_t plain[24];
    uint32_t size;

    klee_make_symbolic(input, sizeof input, "input");
    klee_make_symbolic(&size, sizeof size, "size");

    decompress_lzss(plain, input, size);

    for (int i=0; i<23; i++)
        klee_assume (plain[i]=="Buffalo buffalo Buffalo"[i]);

    klee_assert(0);

    return 0;
}
```

…but then KLEE works much slower, consumes much more RAM and I had success only with even smaller pieces of desired text.

So how LZSS works? Without peeking into Wikipedia, we can say that: if LZSS compressor observes some data it already had, it replaces the data with a link to some place in past with size. If it observes something yet unseen, it puts data as is. This is theory. This is indeed what we’ve got. Desired text is three “Buffalo” words, the first and the last are equivalent, but the second is almost equivalent, differing with first by one character.

That’s what we see:

```
\xffBuffalo \x01b\x0f\x03\r\x05
```

Here is some control byte (0xff), “Buffalo” word is placed as is, then another control byte (0x01), then we see beginning of the second word (“b”) and more control bytes, perhaps, links to the beginning of the buffer. These are command to decompressor, like, in plain English, “copy data from the buffer we’ve already done, from that place to that place”, etc.

Interesting, is it possible to meddle into this piece of compressed data? Out of whim, can we force KLEE to find a compressed data, where not just “b” character has been placed as is, but also the second character of the word, i.e., “bu”? I’ve modified main() function by adding `klee_assume`: now the 11th byte of input (compressed) data (right after “b” byte) must have “u”. I has no luck with 15 byte of compressed data, so I increased it to 16 bytes:

```c
#define COMPRESSED_LEN 16

int main()
{
    uint8_t input[COMPRESSED_LEN];
    uint8_t plain[24];
    uint32_t size=COMPRESSED_LEN;
```
klee_make_symbolic(input, sizeof input, "input");
klee_assume(input[11]=='u');
decompress_lzss(plain, input, size);
for (int i=0; i<23; i++)
    klee_assume (plain[i]=="Buffalo buffalo Buffalo"[i]);
klee_assert(0);
return 0;

...and voilà: KLEE found a compressed piece of data which satisfied our whimsical constraint:

Both pieces of compressed data, if seeded into our copypasted function, produce “Buffalo buffalo Buffalo” text string. Please note, I still have no access to LZSS compressor code, and I didn’t get into LZSS decompressor details yet. Unfortunately, things are not that cool: KLEE is very slow and I had success only with small pieces of text, and also ring buffer size had to be decreased significantly (original LZSS decompressor with ring buffer of 4096 bytes cannot decompress correctly what we found).

Nevertheless, it’s very impressive, taking into account the fact that we’re not getting into internals of this specific LZSS decompressor. Once more time, we’ve created antipode of decompressor, or inverse function.

Also, as it seems, KLEE isn’t very good so far with decompression algorithms (but who’s good then?). I’ve also tried various JPEG/PNG/GIF decoders (which, of course, has decompressors), starting with simplest possible, and KLEE had
18.7 `strtodx()` from RetroBSD

Just found this function in RetroBSD: https://github.com/RetroBSD/retrobsd/blob/master/src/libc/stdlib/strtod.c. It converts a string into floating point number for given radix.

```c
#include <stdio.h>

// my own version, only for radix 10:
int isdigitx (char c, int radix)
{
    if (c>='0' && c<='9')
        return 1;
    return 0;
}

double strtodx (char *string, char **endPtr, int radix)
{
    int sign = 0, expSign = 0, fracSz, fracOff, i;
    double fraction, dblExp, *powTab;
    register char *p;
    register char c;

    /* Exponent read from "EX" field. */
    int exp = 0;
```
/* Exponent that derives from the fractional part. Under normal circumstances, it is the negative of the number of digits in F. However, if I is very long, the last digits of I get dropped (otherwise a long I with a large negative exponent could cause an unnecessary overflow on I alone). In this case, fracExp is incremented one for each dropped digit. */
int fracExp = 0;

/* Number of digits in mantissa. */
int mantSize;

/* Number of mantissa digits BEFORE decimal point. */
int decPt;

/* Temporarily holds location of exponent in string. */
char *pExp;

/* Largest possible base 10 exponent. Any exponent larger than this will already produce underflow or overflow, so there's no need to worry about additional digits. */
static int maxExponent = 307;

/* Table giving binary powers of 10. Entry is $10^{2^i}$. Used to convert decimal exponents into floating-point numbers. */
static double powersOf10[] = {
    1e1, 1e2, 1e4, 1e8, 1e16, 1e32, //1e64, 1e128, 1e256,
};
static double powersOf2[] = {
    2, 4, 16, 256, 65536, 4.294967296e9, 1.844674073709551616e19,
    //3.4028236692093846346e38, 1.1579208923731619542e77,
    1.3407807929942597099e154,
};
static double powersOf8[] = {
    8, 64, 4096, 2.81474976710656e14, 7.9228162514264337593e28,
    //6.2771017353866807638e57, 3.940200619639479212e115,
    1.5525180923007089351e231,
};
static double powersOf16[] = {
    16, 256, 65536, 4.294967296e9, 1.844674073709551616e19,
    //3.4028236692093846346e38, 1.1579208923731619542e77,
    1.3407807929942597099e154,
};

/* Strip off leading blanks and check for a sign. */
p = string;
while (*p==' ' || *p=='	')
    ++p;
if (*p == '-') {
    sign = 1;
    ++p;
} else if (*p == '+')
    ++p;
*/
* Count the number of digits in the mantissa (including the decimal
* point), and also locate the decimal point.
*/
decPt = -1;
for (mantSize=0; ; ++mantSize) {
c = *p;
    if (!isdigitx (c, radix)) {
        if (c != '.' || decPt >= 0)
            break;
        decPt = mantSize;
    }
    ++p;
}

/*
* Now suck up the digits in the mantissa. Use two integers to
* collect 9 digits each (this is faster than using floating-point).
* If the mantissa has more than 18 digits, ignore the extras, since
* they can't affect the value anyway.
*/
pExp = p;
p -= mantSize;
if (decPt < 0)
    decPt = mantSize;
else
    --mantSize; /* One of the digits was the point. */

switch (radix) {
    default:
    case 10: fracSz = 9; fracOff = 1000000000; powTab = powersOf10; break;
    case 2: fracSz = 30; fracOff = 1073741824; powTab = powersOf2; break;
    case 8: fracSz = 10; fracOff = 1073741824; powTab = powersOf8; break;
    case 16: fracSz = 7; fracOff = 268435456; powTab = powersOf16; break;
}
if (mantSize > 2 * fracSz)
    mantSize = 2 * fracSz;
fracExp = decPt - mantSize;
if (mantSize == 0) {
    fraction = 0.0;
p = string;
    goto done;
} else {
    int frac1, frac2;

    for (frac1=0; mantSize>fracSz; --mantSize) {
        c = *p++;
        if (c == '.')
            c = *p++;
        frac1 = frac1 * radix + (c - '0');
    }

    for (frac2=0; mantSize>0; --mantSize) {
        c = *p++;
        if (c == '.')
            c = *p++;
        frac2 = frac2 * radix + (c - '0');
}

done:
fraction = (double) fracOff * frac1 + frac2;
*/

/* Skim off the exponent. */
p = pExp;
if (*p=='E' || *p=='e' || *p=='S' || *p=='s' || *p=='F' || *p=='f' ||
   *p=='D' || *p=='d' || *p=='L' || *p=='l') {
   ++p;
   if (*p == '-') { 
      expSign = 1;
      ++p;
   } else if (*p == '+')
   ++p;
   while (isdigitx (*p, radix))
      exp = exp * radix + (*p++ - '0');
} if (expSign)
   exp = fracExp - exp;
else
   exp = fracExp + exp;

/*
 * Generate a floating-point number that represents the exponent.
 * Do this by processing the exponent one bit at a time to combine
 * many powers of 2 of 10. Then combine the exponent with the
 * fraction.
 */
if (exp < 0) {
   expSign = 1;
   exp = -exp;
} else
   expSign = 0;
if (exp > maxExponent)
   exp = maxExponent;
dblExp = 1.0;
for (i=0; exp; exp>>=1, ++i)
   if (exp & 01)
      dblExp *= powTab[i];
if (expSign)
   fraction /= dblExp;
else
   fraction *= dblExp;

done:
   if (endPtr)
      *endPtr = p;
return sign ? -fraction : fraction;
}

#define BUFSIZE 10
int main()
{
   char buf[BUFSIZE];
klee_make_symbolic (buf, sizeof buf, "buf");
klee_assume(buf[9]==0);
strtodx (buf, NULL, 10);

Interestingly, KLEE cannot handle floating-point arithmetic, but nevertheless, found something:

```
KLEE: ERROR: /home/klee/klee_test.c:202: memory error: out of bound pointer
```

As it seems, string "-.0E-66" makes out of bounds array access (read) at line 202. While further investigation, I’ve found that `powersOf10[]` array is too short: 6th element (started at 0th) has been accessed. And here we see part of array commented (line 79)! Probably someone’s mistake?

### 18.8 Unit testing: simple expression evaluator (calculator)

I has been looking for simple expression evaluator (calculator in other words) which takes expression like “2+2” on input and gives answer. I’ve found one at [http://stackoverflow.com/a/13895198](http://stackoverflow.com/a/13895198). Unfortunately, it has no bugs, so I’ve introduced one: a token buffer (`buf[]` at line 31) is smaller than input buffer (`input[]` at line 19).

```c
#include <string.h>
#include <stdio.h>
#include <stdlib.h>
#include <stdint.h>
#include <stdbool.h>

char input[128];
int input_idx=0;  
char my_getchar()
{
    char rt=input[input_idx];
    input_idx++;
```
return rt;

// The token buffer. We never check for overflow! Don't so in production code.
// it's deliberately smaller than input[] so KLEE could find buffer overflow
char buf[64];
int n = 0;

// The current character.
int ch;

// The look-ahead token. This is the 1 in LL(1).
enum { ADD_OP, MUL_OP, LEFT_PAREN, RIGHT_PAREN, NOT_OP, NUMBER, END_INPUT } look_ahead;

// Forward declarations.
void init(void);
void advance(void);
int expr(void);
void error(char *msg);

// Parse expressions, one per line.
int main(void)
{
    // take input expression from input[]
    // input[0]=0;
    // strcpy(input, "2+2");
    klee_make_symbolic(input, sizeof input, "input");
    input[127]=0;

    init();
    while (1)
    {
        int val = expr();
        printf("%d\n", val);

        if (look_ahead != END_INPUT)
            error("junk after expression");
        advance(); // past end of input mark
    }
    return 0;
}

// Just die on any error.
void error(char *msg)
{
    fprintf(stderr, "Error: %s. Exiting.\n", msg);
    exit(1);
}

// Buffer the current character and read a new one.
void read()
{
    buf[n++] = ch;
    buf[n] = '\0'; // Terminate the string.
    ch = my_getchar();
}
// Ignore the current character.
void ignore()
{
        ch = my_getchar();
}

// Reset the token buffer.
void reset()
{
        n = 0;
        buf[0] = '\0';
}

// The scanner. A tiny deterministic finite automaton.
int scan()
{
        reset();

        START:
        // first character is digit?
        if (isdigit (ch))
                goto DIGITS;

        switch (ch)
        {
                case ' ': case '	': case '\r':
                        ignore();
                        goto START;

                case '-': case '+': case '^':
                        read();
                        return ADD_OP;

                case '~':
                        read();
                        return NOT_OP;

                case '*': case '/': case '%':
                        read();
                        return MUL_OP;

                case '(':
                        read();
                        return LEFT_PAREN;

                case ')':
                        read();
                        return RIGHT_PAREN;

                case 0:
                case '\n':
                        ch = ' '; // delayed ignore()
                        return END_INPUT;

                default:
                        printf ("bad character: 0x%x\n", ch);
                        exit(0);
        }
}
DIGITS:
    if (isdigit (ch))
        {  
            read();
            goto DIGITS;
        }
    else
        return NUMBER;
}

// To advance is just to replace the look-ahead.
void advance()
{
    look_ahead = scan();
}

// Clear the token buffer and read the first look-ahead.
void init()
{
    reset();
    ignore(); // junk current character
    advance();
}

int get_number(char *buf)
{
    char *endptr;
    int rt=strtoul (buf, &endptr, 10);
    // is the whole buffer has been processed?
    if (strlen(buf)!=endptr-buf)
    {
        fprintf (stderr, "invalid number: %s\n", buf);
        exit(0);
    }
    return rt;
};

int unsigned_factor()
{
    int rtn = 0;
    switch (look_ahead)
    {
        case NUMBER:
            rtn=get_number(buf);
            advance();
            break;

        case LEFT_PAREN:
            advance();
            rtn = expr();
            if (look_ahead != RIGHT_PAREN) error("missing ");
            advance();
            break;


default:
    printf("unexpected token: %d\n", lookahead);
    exit(0);
}
return rtn;
}

int factor()
{
    int rtn = 0;
    // If there is a leading minus...
    if (lookahead == ADD_OP && buf[0] == ' - ')
    {
        advance();
        rtn = -unsigned_factor();
    } else
    {
        rtn = unsigned_factor();
    }
    return rtn;
}

int term()
{
    int rtn = factor();
    while (lookahead == MUL_OP)
    {
        switch(buf[0])
        {
        case '*':
            advance();
            rtn *= factor();
            break;
        case '/':
            advance();
            rtn /= factor();
            break;
        case '%':
            advance();
            rtn %= factor();
            break;
        }
    }
    return rtn;
}

int expr()
{
    int rtn = term();
    while (lookahead == ADD_OP)
    {
        switch(buf[0])
        {
        case '+':
            advance();
            rtn += term();
            break;
        case '-':
            advance();
            rtn -= term();
            break;
        case ' - ':
            advance();
            rtn -= unsigned_factor();
            break;
        }
    }
    return rtn;
}
```c
break;

case '-':
    advance();
    rtn -= term();
    break;
}
}
return rtn;
```

KLEE found buffer overflow with little effort (65 zero digits + one tabulation symbol):

```
% ktest-tool --write-ints klee-last/test000468.ktest
ktest file : 'klee-last/test000468.ktest'
args : ['calc.bc']
num objects: 1
object 0: name: b'input'
object 0: size: 128
object 0: data: b'0\t0000000000000000000000000000000000000000000000000000000000000000'  
Hard to say, how tabulation symbol (\t) got into input[] array, but KLEE achieved what has been desired: buffer overflow.

KLEE also found two expression strings which leads to division error ("0/0" and "0%0"):

```
% ktest-tool --write-ints klee-last/test000326.ktest
ktest file : 'klee-last/test000326.ktest'
args : ['calc.bc']
num objects: 1
object 0: name: b'input'
object 0: size: 128
object 0: data: b'0/0\x00\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff'  
% ktest-tool --write-ints klee-last/test000557.ktest
ktest file : 'klee-last/test000557.ktest'
args : ['calc.bc']
num objects: 1
object 0: name: b'input'
object 0: size: 128
object 0: data: b'0%0\x00\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff\xff'  
```

Maybe this is not impressive result, nevertheless, it’s yet another reminder that division and remainder operations must be wrapped somehow in production code to avoid possible crash.

18.9 More examples

https://feliam.wordpress.com/2010/10/07/the-symbolic-maze/

18.10 Exercise

Here is my crackme/keygenme, which may be tricky, but easy to solve using KLEE: http://challenges.re/74/.
Chapter 19

(Amateur) cryptography

19.1 Professional cryptography

Let’s back to the method we previously used (17.1) to construct expressions using running Python function.

We can try to build expression for the output of XXTEA encryption algorithm:

```python
#!/usr/bin/env python
class Expr:
    def __init__(self, s):
        self.s = s

    def __str__(self):
        return self.s

    def convert_to_Expr_if_int(self, n):
        if isinstance(n, int):
            return Expr(str(n))
        if isinstance(n, Expr):
            return n
        raise AssertionError # unsupported type

    def __xor__(self, other):
        return Expr('" + self.s + "^" + self.convert_to_Expr_if_int(other).s + ")")

    def __mul__(self, other):
        return Expr('" + self.s + "*" + self.convert_to_Expr_if_int(other).s + ")")

    def __add__(self, other):
        return Expr('" + self.s + "+" + self.convert_to_Expr_if_int(other).s + ")")

    def __and__(self, other):
        return Expr('" + self.s + "&" + self.convert_to_Expr_if_int(other).s + ")")

    def __lshift__(self, other):
        return Expr('" + self.s + "<<" + self.convert_to_Expr_if_int(other).s + ")")

    def __rshift__(self, other):
        return Expr('" + self.s + ">>" + self.convert_to_Expr_if_int(other).s + ")")

    def __getitem__(self, d):
        return Expr('" + self.s + "] + d.s + "]")
```

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# reworked from:

# Pure Python (2.x) implementation of the XXTEA cipher
# (c) 2009. Ivan Voras <ivoras@gmail.com>
# Released under the BSD License.

def raw_xxtea(v, n, k):
    def MX():
        return (((z>>5)^(y<<2)) + ((y>>3)^(z<<4))^(sum^y) + (k[Expr(str(p)) & 3]^e)^z)

    y = v[0]
    sum = Expr("0")
    DELTA = 0x9e3779b9
    # Encoding only
    z = v[n-1]

    # number of rounds:
    # q = 6 + 52 / n
    q=1

    while q > 0:
        q -= 1
        sum = sum + DELTA
        e = (sum >> 2) & 3
        p = 0
        while p < n - 1:
            y = v[p+1]
            z = v[p] = v[p] + MX()
            p += 1
            y = v[0]
            z = v[n-1] = v[n-1] + MX()
        return 0

    v=[Expr("input1"), Expr("input2"), Expr("input3"), Expr("input4")]
    k=Expr("key")

    raw_xxtea(v, 4, k)

    for i in range(4):
        print i, ":", v[i]
        #print len(str(v[0]))+len(str(v[1]))+len(str(v[2]))+len(str(v[3]))

    A key is choosen according to input data, and, obviously, we can’t know it during symbolic execution, so we leave expression like k[...].

    Now results for just one round, for each of 4 outputs:

    0 : (input1+(((input4)>>5)((input2)<<2))+((input2)>>3)^(input4<<4))(((0+2654435769)>>input2)+((key[((0&3)(((0+2654435769)>>2)&3))]^input4)))))

    1 : (input2+(((input1+(((input4)>>5)((input2)<<2))+((input2)>>3)^(input4<<4)) (((0+2654435769)>>input2)+((key[((0&3)(((0+2654435769)>>2)&3))]^input4))))>>5)^((input3<<2))+(input3>>3) (((input4)>>5)((input2)<<2))+((input2)>>3)^(input4<<4))(((0+2654435769)^input2)+((key[((0&3)(((0+2654435769)>>2)&3))]^input4)))<<4))(((0+2654435769)^input3)+((key[((1&3)])
2 : (input3+(((input1+(((input4>>5)^(input2<<2))+((input2>>3)^(input4<<4)))^(((0+2654435769)^input2))+(key[((0&3)~((0+2654435769)>>2)&3)])^input4)))))))))

3 : (input4+(((input3+(((input1+(((input4>>5)^(input2<<2))+((input2>>3)^(input4<<4)))^(((0+2654435769)^input2))+(key[((0&3)~((0+2654435769)>>2)&3)])^input4)))))))))

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+((((( input2 +((((( input1 +
(((( input4 > >5) ^( input2 < <2))+(( input2 > >3) ^( input4 < <4))) ^(((0+2654435769) ^ input2 )+(( key
[((0&3) ^(((0+2654435769) >>
2) &3))])^ input4 )))) >>5)^( input3 < <2))+(( input3 > >3) ^(( input1 +(((( input4 > >5) ^( input2 < <2))
+(( input2 >>3) ^( input4 <<
4))) ^(((0+2654435769) ^ input2 )+(( key [((0&3) ^(((0+2654435769) >>2)&3))])^ input4 )))) <<4)))
^(((0+2654435769) ^ input3 )+
(( key [((1&3) ^(((0+2654435769) >>2)&3))]) ^( input1 +(((( input4 > >5) ^( input2 < <2))+(( input2 > >3)
^( input4 <<4))) ^(((0+
2654435769) ^ input2 )+(( key [((0&3) ^(((0+2654435769) >>2)&3))])^ input4 )))))))) >>5)^( input4
<<2))+(( input4 > >3) ^((
input2 +((((( input1 +(((( input4 > >5) ^( input2 < <2))+(( input2 > >3) ^( input4 < <4)))
^(((0+2654435769) ^ input2 )+(( key [((0&3) ^
(((0+2654435769) >>2)&3))])^ input4 )))) >>5)^( input3 < <2))+(( input3 > >3) ^(( input1 +(((( input4
>>5)^( input2 < <2))+((
input2 >>3)^( input4 < <4))) ^(((0+2654435769) ^ input2 )+(( key [((0&3) ^(((0+2654435769) >>2)&3))
])^ input4 )))) <<4))) ^(((0+
2654435769) ^ input3 )+(( key [((1&3) ^(((0+2654435769) >>2)&3))]) ^( input1 +(((( input4 > >5) ^(
input2 <<2))+(( input2 > >3)^
(input4 <<4))) ^(((0+2654435769) ^ input2 )+(( key [((0&3) ^(((0+2654435769) >>2)&3))])^ input4 )))
))))) <<4))) ^(((0+
2654435769) ^ input4 )+(( key [((2&3) ^(((0+2654435769) >>2)&3))]) ^( input2 +((((( input1 +((((
input4 >>5)^( input2 < <2))+
(( input2 > >3) ^( input4 < <4))) ^(((0+2654435769) ^ input2 )+(( key [((0&3) ^(((0+2654435769) >>2)&3)
)])^ input4 )))) >>5)^
(input3 <<2))+(( input3 > >3) ^(( input1 +(((( input4 > >5) ^( input2 < <2))+(( input2 > >3) ^( input4 < <4))
) ^(((0+2654435769) ^
input2 )+(( key [((0&3) ^(((0+2654435769) >>2)&3))])^ input4 )))) <<4))) ^(((0+2654435769) ^ input3
)+(( key [((1&3) ^(((0+
2654435769) >>2)&3))]) ^( input1 +(((( input4 > >5) ^( input2 < <2))+(( input2 > >3) ^( input4 < <4)))
^(((0+2654435769) ^ input2 )+
(( key [((0&3) ^(((0+2654435769) >>2)&3))])^ input4 ))))))))))))))))
Somehow, size of expression for each subsequent output is bigger. I hope I haven’t been mistaken? And this is just
for 1 round. For 2 rounds, size of all 4 expression is ≈ 970KB. For 3 rounds, this is ≈ 115M B. For 4 rounds, I have not
enough RAM on my computer. Expressions exploding exponentially. And there are 19 rounds. You can weigh it.
Perhaps, you can simplify these expressions: there are a lot of excessive parenthesis, but I’m highly pessimistic,
cryptoalgorithms constructed in such a way to not have any spare operations.
In order to crack it, you can use these expressions as system of equation and try to solve it using SMT-solver. This is
called “algebraic attack”.
In other words, theoretically, you can build a system of equation like this: M D5(x) = 12341234..., but expressions are
so huge so it’s impossible to solve them. Yes, cryptographers are fully aware of this and one of the goals of the successful
cipher is to make expressions as big as possible, using resonable time and size of algorithm.
Nevertheless, you can find numerous papers about breaking these cryptosystems with reduced number of rounds: when
expression isn’t exploded yet, sometimes it’s possible. This cannot be applied in practice, but such an experience has some
interesting theoretical uses.

19.1.1 Attempts to break “serious” crypto
CryptoMiniSat itself exist to support XOR operation, which is ubiquitous in cryptography.
• Bitcoin mining with CBMC and SAT solver: http://jheusser.github.io/2013/02/03/satcoin.html, https:
• Alexander Semenov, attempts to break A5/1, etc. (Russian presentation)
• Vegard Nossum - SAT-based preimage attacks on SHA-1
• Algebraic Attacks on the Crypto-1 Stream Cipher in MiFare Classic and Oyster Cards
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19.2 Amateur cryptography

This is what you can find in serial numbers, license keys, executable file packers, CTF\textsuperscript{1}, malware, etc. Sometimes even ransomware (but rarely nowadays, in 2017).

Amateur cryptography is often can be broken using SMT solver, or even KLEE.

Amateur cryptography is usually based not on theory, but on visual complexity: if its creator getting results which are seems chaotic enough, often, one stops to improve it further. This is security based not even on obscurity, but on a chaotic mess. This is also sometimes called “The Fallacy of Complex Manipulation” (see RFC4086).

Devising your own cryptoalgorithm is a very tricky thing to do. This can be compared to devising your own PRNG. Even famous Donald Knuth in 1959 constructed one, and it was visually very complex, but, as it turns out in practice, it has very short cycle of length 3178. [See also: The Art of Computer Programming vol.II page 4, (1997).]

The very first problem is that making an algorithm which can generate very long expressions is tricky thing itself. Common mistake is to use operations like XOR and rotations/permuations, which can’t help much. Even worse: some people think that XORing a value several times can be better, like: \((x \oplus 1234) \oplus 5678\). Obviously, these two XOR operations (or more precisely, any number of it) can be reduced to a single one. Same story about applied operations like addition and subtraction—they all also can be reduced to single one.

Real cryptoalgorithms, like IDEA, can use several operations from different groups, like XOR, addition and multiplication. Applying them all in specific order will make resulting expression irreducible.

When I prepared this article, I tried to make an example of such amateur hash function:

```c
// copypasted from http://blog.regehr.org/archives/1063
uint32_t rotl32b (uint32_t x, uint32_t n)
{
    assert (n<32);
    if (!n) return x;
    return (x<<n) | (x>>(32-n));
}

uint32_t rotr32b (uint32_t x, uint32_t n)
{
    assert (n<32);
    if (!n) return x;
    return (x>>(n)) | (x<<(32-n));
}

void megahash (uint32_t buf[4])
{
    for (int i=0; i<4; i++)
    {
        uint32_t t0=buf[0]^0x12345678^buf[1];
        uint32_t t1=buf[1]^0xabcd01^buf[2];
        uint32_t t2=buf[2]^0x123456789^buf[3];
        uint32_t t3=buf[3]^0xabcdef0^buf[0];

        buf[0]=rotl32b(t0, 1);
        buf[1]=rotr32b(t1, 2);
        buf[2]=rotl32b(t2, 3);
    }

```

\textsuperscript{1}Capture the Flag
buf[3]=rotr32b(t3, 4);
};

int main()
{
    uint32_t buf[4];
    klee_make_symbolic(buf, sizeof buf);
    megahash (buf);
    if (buf[0]==0x18f71ce6 // or whatever
        && buf[1]==0xf37c2fc9
        && buf[2]==0x1cfe96fe
        && buf[3]==0x8c02c75e)
        klee_assert(0);
};

KLEE can break it with little effort. Functions of such complexity is common in shareware, which checks license keys, etc.

Here is how we can make its work harder by making rotations dependent of inputs, and this makes number of possible inputs much, much bigger:

void megahash (uint32_t buf[4])
{
    for (int i=0; i<16; i++)
    {
        uint32_t t0=buf[0]^0x12345678^buf[1];
        uint32_t t1=buf[1]^0xabcdef01^buf[2];
        uint32_t t2=buf[2]^0x23456789^buf[3];
        uint32_t t3=buf[3]^0x0abcdef0^buf[0];

        buf[0]=rotl32b(t0, t1&0x1F);
        buf[1]=rotr32b(t1, t2&0x1F);
        buf[2]=rotl32b(t2, t3&0x1F);
        buf[3]=rotr32b(t3, t0&0x1F);
    }
};

Addition (or modular addition, as cryptographers say) can make things even harder:

void megahash (uint32_t buf[4])
{
    for (int i=0; i<4; i++)
    {
        uint32_t t0=buf[0]^0x12345678^buf[1];
        uint32_t t1=buf[1]^0xabcdef01^buf[2];
        uint32_t t2=buf[2]^0x23456789^buf[3];
        uint32_t t3=buf[3]^0x0abcdef0^buf[0];

        buf[0]=rotl32b(t0, t2&0x1F)+t1;
        buf[1]=rotr32b(t1, t3&0x1F)+t2;
        buf[2]=rotl32b(t2, t1&0x1F)+t3;
        buf[3]=rotr32b(t3, t2&0x1F)+t4;
    }
};

Heavy operations for SAT/SMT are shifts/rotates by a variable, division, remainder. Easy operations: shifts/rotates by constant, bit twiddling.

As an exercise, you can try to make a block cipher which KLEE wouldn’t break. This is quite sobering experience.
Another significant property of the serious cryptography is: “The two inputs differ by only a single bit, but approximately half the bits are different in the digests.” [Alan A. A. Donovan, Brian W. Kernighan — The Go Programming Language]. This is also known as the avalanche effect in cryptography.

Another easy way to test your algorithm: encrypt numbers starting at 0 and feed the resulting ciphertext to diehard tests² (like in Counter/CTR encryption mode). These tests are designed to check PRNGs. In other words, these tests shouldn’t find any regularities in a list of random numbers, as well as in a ciphertext.

Summary: if you deal with amateur cryptography, you can always give KLEE and SMT solver a try. Even more: sometimes you have only decryption function, and if algorithm is simple enough, KLEE or SMT solver can reverse things back.

If a SAT/SMT solver can find a key faster than brute-force, this is usually a very bad symptom.

One amusing thing to mention: if you try to implement amateur cryptoalgorithm in Verilog/VHDL language to run it on FPGA³, maybe in brute-force way, you can find that EDA⁴ tools can optimize many things during synthesis (this is the word they use for “compilation”) and can leave cryptoalgorithm much smaller/simpler than it was. Even if you try to implement DES⁵ algorithm in bare metal with a fixed key, Altera Quartus can optimize first round of it and make it smaller than others.

19.2.1 Bugs

Another prominent feature of amateur cryptography is bugs. Bugs here often left uncaught because output of encrypting function visually looked “good enough” or “obfuscated enough”, so a developer stopped to work on it.

This is especially feature of hash functions, because when you work on block cipher, you have to do two functions (encryption/decryption), while hashing function is single.

Weirdest ever amateur encryption algorithm I once saw, encrypted only odd bytes of input block, while even bytes left untouched, so the input plain text has been partially seen in the resulting encrypted block. It was encryption routine used in license key validation. Hard to believe someone did this on purpose. Most likely, it was just an unnoticed bug.

19.2.2 XOR ciphers

Simplest possible amateur cryptography is just application of XOR operation using some kind of table. Maybe even simpler. This is a real algorithm I once saw:

```
for (i=0; i<size; i++)
    buf[i]=buf[i]^(31*(i+1));
```

This is not even encryption, rather concealing or hiding.

Some other examples of simple XOR-cipher cryptoanalysis are present in the “Reverse Engineering for Beginners”⁶ book.

19.2.3 Other features

Table(s) There are often table(s) with pseudorandom data, which is/are used chaotically.

Checksumming End-users can have proclivity of changing license codes, serial numbers, etc., with a hope this could affect behaviour of software. So there is often some kind of checksum: starting at simple summing and CRC. This is close to MAC⁷ in real cryptography.

Entropy level Maybe (much) lower, despite the fact that data looks random.

19.2.4 Examples of amateur cryptography

- A popular FLEXlm license manager was based on a simple amateur cryptoalgorithm (before they switched to ECC⁸), which can be cracked easily.

- Pegasus Mail Password Decoder: http://phrack.org/issues/52/3.html - a very typical example.

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³Field-programmable gate array
⁴Electronic design automation
⁵Data Encryption Standard
⁶http://beginners.re
⁷Message authentication code
⁸Elliptic curve cryptography
You can find a lot of blog posts about breaking CTF-level crypto using Z3, etc. Here is one of them: [http://doar-e.github.io/blog/2015/08/18/keygenning-with-klee/](http://doar-e.github.io/blog/2015/08/18/keygenning-with-klee/).


Dmitry Sklyarov’s book ”The art of protecting and breaking information” (2004, in Russian) contains many examples of amateur cryptography and misused cryptographical algorithms as well.

### 19.2.5 Examples of breaking it using SAT/SMT solvers

- Reversing the petya ransomware with constraint solvers (Z3) \(^9\).
- Dcoder – kao’s “Toy Project” and Algebraic Cryptanalysis \(^10\).

### 19.3 Case study: simple hash function

(This piece of text was initially added to my “Reverse Engineering for Beginners” book ([beginners.re](http://mirror.csclub.uwaterloo.ca/csclub/mtrberzi-sat-smt-slides.pdf)) at March 2014) \(^11\).

Here is one-way hash function, that converted a 64-bit value to another and we need to try to reverse its flow back.

#### 19.3.1 Manual decompiling

Here its assembly language listing in IDA:

```
sub_401510 proc near
    ; ECX = input
    mov rdx, 5D7E0D1F2E0F1F84h
    mov rax, rcx ; input
    imul rax, rdx
    mov rdx, 388D76AEE8CB1500h
    mov ecx, eax
    and ecx, 0Fh
    ror rax, cl
    xor rax, rdx
    mov rdx, 0D2E9EE7E83C4285Bh
    mov ecx, eax
    and ecx, 0Fh
    rol rax, cl
    lea r8, [rax+rdx]
    mov rdx, 8888888888888889h
    mov rax, r8
    mul rdx
    shr rdx, 5
    mov rax, rdx
    lea rcx, [r8+rdx*4]
    shl rax, 6
    sub rcx, rax
    mov rax, r8
    rol rax, cl
    ; EAX = output
    ret
sub_401510 endp
```

\(^9\) [https://0xec.blogspot.com/2016/04/reversing-petya-ransomware-with.html](https://0xec.blogspot.com/2016/04/reversing-petya-ransomware-with.html)

\(^10\) [https://yurichev.com/mirrors/SAT_SMT_crypto/solution.pdf](https://yurichev.com/mirrors/SAT_SMT_crypto/solution.pdf)

\(^11\) This example was also used by Murphy Berzish in his lecture about SAT and SMT: [http://mirror.csclub.uwaterloo.ca/csclub/mstrberzi-sat-smt-slides.pdf](http://mirror.csclub.uwaterloo.ca/csclub/mstrberzi-sat-smt-slides.pdf), [http://mirror.csclub.uwaterloo.ca/csclub/mstrberzi-sat-smt.mp4](http://mirror.csclub.uwaterloo.ca/csclub/mstrberzi-sat-smt.mp4)

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The example was compiled by GCC, so the first argument is passed in ECX.

If you don’t have Hex-Rays, or if you distrust to it, you can try to reverse this code manually. One method is to represent the CPU registers as local C variables and replace each instruction by a one-line equivalent expression, like:

```c
uint64_t f(uint64_t input)
{
    uint64_t rax, rbx, rcx, rdx, r8;
    ecx=input;
    rdx=0x5D7E0D1F2E0F1F84;
    rax=rcx;
    rax*=rdx;
    rdx=0x388D76AEE8CB1500;
    rax=_lrotr(rax, rax&0xF); // rotate right
    rax^=rdx;
    rdx=0xD2E9EE7E83C4285B;
    rax=_lrotl(rax, rax&0xF); // rotate left
    r8=rax+rdx;
    rdx=0x8888888888888889;
    rax=r8;
    rax*=rdx;
    rdx=rdx>>5;
    rax=rdx;
    rcx=r8+rdx*4;
    rax=rax<<6;
    rcx=rcx-rax;
    rax=r8;
    rax=_lrotl(rax, rcx&0xFF); // rotate left
    return rax;
}
```

If you are careful enough, this code can be compiled and will even work in the same way as the original.

Then, we are going to rewrite it gradually, keeping in mind all registers usage. Attention and focus is very important here—any tiny typo may ruin all your work!

Here is the first step:

```c
uint64_t f(uint64_t input)
{
    uint64_t rax, rbx, rcx, rdx, r8;
    ecx=input;
    rdx=0x5D7E0D1F2E0F1F84;
    rax=rcx;
    rax*=rdx;
    rdx=0x388D76AEE8CB1500;
    rax=_lrotr(rax, rax&0xF); // rotate right
    rax^=rdx;
    rdx=0xD2E9EE7E83C4285B;
    rax=_lrotl(rax, rax&0xF); // rotate left
    r8=rax+rdx;
    rdx=0x8888888888888889;
    rax=r8;
    rax*=rdx;
    rdx=rdx>>5;
    rax=rdx;
    rcx=r8+rdx*4;
    rax=rax<<6;
    rcx=rcx-rax;
    rax=r8;
    rax=_lrotl (rax, rcx&0xFF); // rotate left
    return rax;
}
```
Next step:

```c
uint64_t f(uint64_t input)
{
    uint64_t rax, rbx, rcx, rdx, r8;
    ecx=input;
    rdx=0x5D7E0D1F2E0F1F84;
    rax=rcx;
    rax*=rdx;
    rdx=0x388D76AEE8CB1500;
    rax=_lrotr(rax, rax&0xF); // rotate right
    rax=rdx;
    rdx=0xD2E9EE7E83C4285B;
    rax=_lrotl(rax, rax&0xF); // rotate left
    r8=rax+rdx;
    rdx=0x8888888888888889;
    rax=r8;
    rax*=rdx;
    // RDX here is a high part of multiplication result
    rdx=rdx>>5;
    // RDX here is division result!
    rax=rdx;
    rcx=(r8+rdx*4)-(rax<<6);
    rax=r8
    rax=_lrotl (rax, rcx&0xFF); // rotate left
    return rax;
};
```

We can spot the division using multiplication. Indeed, let's calculate the divider in Wolfram Mathematica:

Listing 19.1: Wolfram Mathematica

```mathematica
In[1]:=N[2^(64 + 5)/16^^8888888888888889]
Out[1]:=60.
```

We get this:

```c
uint64_t f(uint64_t input)
{
    uint64_t rax, rbx, rcx, rdx, r8;
    ecx=input;
    rcx=r8+rdx*4;
    rax=rax<<6;
    rcx=rcx-rax;
    rax=r8
    rax=_lrotl (rax, rcx&0xFF); // rotate left
    return rax;
};
```
rax*=rdx;
rdx=0x388D76AEE8CB1500;
rax=_lrotr(rax, rax&0xF); // rotate right
rax=rdx;
rdx=0xD2E9EE7E83C4285B;
rax=_lrotl(rax, rax&0xF); // rotate left
r8=rax+rdx;
rax=rdx=r8/60;
rcx=(r8+rax*4)-(rax*64);
rax=r8
rax=_lrotl (rax, rcx&0xFF); // rotate left
return rax;
}

One more step:

uint64_t f(uint64_t input)
{
    uint64_t rax, rbx, rcx, rdx, r8;
    rax=input;
    rax*=0x5D7E0D1F2E0F1F84;
    rax=_lrotr(rax, rax&0xF); // rotate right
    rax=0x388D76AEE8CB1500;
    rax=_lrotl(rax, rax&0xF); // rotate left
    r8=rax+0xD2E9EE7E83C4285B;
    rcx=r8-(r8/60)*60;
    rax=r8
    rax=_lrotl (rax, rcx&0xFF); // rotate left
    return rax;
}

By simple reducing, we finally see that it’s calculating the remainder, not the quotient:

uint64_t f(uint64_t input)
{
    uint64_t rax, rbx, rcx, rdx, r8;
    rax=input;
    rax*=0x5D7E0D1F2E0F1F84;
    rax=_lrotr(rax, rax&0xF); // rotate right
    rax=0x388D76AEE8CB1500;
    rax=_lrotl(rax, rax&0xF); // rotate left
    r8=rax+0xD2E9EE7E83C4285B;

    return _lrotl (r8, r8 % 60); // rotate left
}

We end up with this fancy formatted source-code:

```c
#include <stdio.h>
#include <stdint.h>
#include <stdbool.h>
#include <string.h>
#include <intrin.h>
```
#define C1 0x5D7E0D1F2E0F1F84
#define C2 0x388D76AEE8CB1500
#define C3 0xD2E9EE7E83C4285B

uint64_t hash(uint64_t v)
{
    v*=C1;
    v=_lrotr(v, v&0xF); // rotate right
    v=C2;
    v=_lrotl(v, v&0xF); // rotate left
    v+=C3;
    v=_lrotl(v, v % 60); // rotate left
    return v;
};

int main()
{
    printf ("%llu\n", hash(...));
};

Since we are not cryptoanalysts we can’t find an easy way to generate the input value for some specific output value. The rotate instruction’s coefficients look frightening—it’s a warranty that the function is not bijective, it is rather surjective, it has collisions, or, speaking more simply, many inputs may be possible for one output.

Brute-force is not solution because values are 64-bit ones, that’s beyond reality.

19.3.2 Now let’s use the Z3

Still, without any special cryptographic knowledge, we may try to break this algorithm using Z3.

Here is the Python source code:

```python
#!/usr/bin/env python
from z3 import *
C1=0x5D7E0D1F2E0F1F84
C2=0x388D76AEE8CB1500
C3=0xD2E9EE7E83C4285B
inp, i1, i2, i3, i4, i5, i6, outp = BitVecs('inp i1 i2 i3 i4 i5 i6 outp', 64)
s = Solver()
s.add(i1==inp*C1)
s.add(i2==RotateRight (i1, i1 & 0xF))
s.add(i3==i2 ^ C2)
s.add(i4==RotateLeft(i3, i3 & 0xF))
s.add(i5==i4 + C3)
s.add(outp==RotateLeft (i5, URem(i5, 60)))
s.add(outp==10816636949158156260)
print s.check()
m=s.model()
print m
print (" inp=0x%" % m[inp].as_long())
print ("outp=0x%" % m[outp].as_long())
```

This is going to be our first solver.
We see the variable definitions on line 7. These are just 64-bit variables. \( i_1 \ldots i_6 \) are intermediate variables, representing the values in the registers between instruction executions.

Then we add the so-called constraints on lines 10..15. The last constraint at 17 is the most important one: we are going to try to find an input value for which our algorithm will produce 108166369158156260.

\( \text{RotateRight}, \text{RotateLeft}, \text{URem} \)—are functions from the Z3 Python API, not related to Python language.

Then we run it:

```python
...> python.exe 1.py
sat
[i1 = 3959740824832824396,
 i3 = 8957124831728646493,
 i5 = 10816636949158156260,
 inp = 13641239246085852981,
 outp = 10816636949158156260,
 i4 = 14065440378185297801,
 i2 = 4954926323707358301]
inp=0x12EE577B63E80B73
outp=0x961C69FF0AEFD7E4
```

“sat” mean “satisfiable”, i.e., the solver was able to find at least one solution. The solution is printed in the square brackets. The last two lines are the input/output pair in hexadecimal form. Yes, indeed, if we run our function with 0x12EE577B63E80B73 as input, the algorithm will produce the value we were looking for.

But, as we noticed before, the function we work with is not bijective, so there may be other correct input values. The Z3 is not capable of producing more than one result, but let’s hack our example slightly, by adding line 21, which implies “look for any other results than this”:

```python
#!/usr/bin/env python
from z3 import *
C1=0x5D7E0D1F2E0F1F84
C2=0x388D76AE8CB1500
C3=0x2E9E7E83C4285B
inp, i1, i2, i3, i4, i5, i6, outp = BitVecs('inp i1 i2 i3 i4 i5 i6 outp', 64)
s = Solver()
s.add(i1==inp*C1)
s.add(i2==RotateRight (i1, i1 & 0xF))
s.add(i3==i2 ^ C2)
s.add(i4==RotateLeft(i3, i3 & 0xF))
s.add(i5==i4 + C3)
s.add(outp==RotateLeft (i5, URem(i5, 60)))
s.add(outp==10816636949158156260)
s.add(inp!=0x12EE577B63E80B73)
print s.check()
m=s.model()
print m
print (" inp=0x%" % m[inp].as_long())
print ("outp=0x%" % m[outp].as_long())
```

Indeed, it finds another correct result:

```python
...> python.exe 2.py
sat
[i1 = 3959740824832824396,
```
This can be automated. Each found result can be added as a constraint and then the next result will be searched for. Here is a slightly more sophisticated example:

```python
#!/usr/bin/env python
from z3 import *

C1 = 0x5D7E0D1F2E0F1F84
C2 = 0x388D76ACE8CB1500
C3 = 0xD2E9EE7E83C4285B

inp, i1, i2, i3, i4, i5, i6, outp = BitVecs('inp i1 i2 i3 i4 i5 i6 outp', 64)

s = Solver()

s.add(i1 == inp * C1)

s.add(i2 == RotateRight(i1, i1 & 0xF))

s.add(i3 == i2 ^ C2)

s.add(i4 == RotateLeft(i3, i3 & 0xF))

s.add(i5 == i4 + C3)

s.add(outp == RotateLeft(i5, URem(i5, 60)))

s.add(outp == 10816636949158156260)

# Copypasted from http://stackoverflow.com/questions/11867611/z3py-checking-all-solutions-for-equation
result = []

while True:
    if s.check() == sat:
        m = s.model()
        print m[inp]
        result.append(m)

        # Create a new constraint the blocks the current model
        block = []
        for d in m:
            # d is a declaration
            if d.arity() > 0:
                raise Z3Exception("uninterpreted functions are not supported")
            # create a constant from declaration
            c = d()
            if is_array(c) or c.sort().kind() == Z3_UNINTERPRETED_SORT:
                raise Z3Exception("arrays and uninterpreted sorts are not supported")

                block.append(c != m[d])
            s.add(Or(block))
        else:
            print "results total=", len(result)
            break

We got:
```

```

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So there are 16 correct input values for 0x92EE577B63E80B73 as a result.
The second is 1234567890—it is indeed the value which was used by me originally while preparing this example.

Let's also try to research our algorithm a bit more. Acting on a sadistic whim, let's find if there are any possible input/output pairs in which the lower 32-bit parts are equal to each other?

Let's remove the `outp` constraint and add another, at line 17:

```python
#!/usr/bin/env python
from z3 import *
C1=0x5D7E0D1F2E0F1F84
C2=0x388D76AEE8CB1500
C3=0xD2E9E7E83C4285B
inp, i1, i2, i3, i4, i5, i6, outp = BitVecs('inp i1 i2 i3 i4 i5 i6 outp', 64)
s = Solver()
s.add(i1==inp*C1)
s.add(i2==RotateRight (i1, i1 & 0xF))
s.add(i3==i2 ^ C2)
s.add(i4==RotateLeft(i3, i3 & 0xF))
s.add(i5==i4 + C3)
s.add(outp==RotateLeft (i5, URem(i5, 60)))
s.add(outp & 0xFFFFFFFF == inp & 0xFFFFFFFF)
print s.check()
m=s.model()
print m
print ("inp=0x\%X" % m[inp].as_long())
print ("outp=0x\%X" % m[outp].as_long())
```

It is indeed so:

```python
sat
[i1 = 14869545517796235860, 
i3 = 8388171335828825253, 
i5 = 6918262285561543945, 
inp = 1370377541658871093, 
outp = 14543180351754208565, 
```
Let's be more sadistic and add another constraint: last 16 bits must be 0x1234:

```python
#!/usr/bin/env python
from z3 import *
C1=0x5D7E0D1F2E0F1F84
C2=0x388D76AEE8CB1500
C3=0xD2E9EE7E83C4285B
inp, i1, i2, i3, i4, i5, i6, outp = BitVecs('inp i1 i2 i3 i4 i5 i6 outp', 64)
s = Solver()
s.add(i1==inp*C1)
s.add(i2==RotateRight (i1, i1 & 0xF))
s.add(i3==i2 ^ C2)
s.add(i4==RotateLeft(i3, i3 & 0xF))
s.add(i5==i4 + C3)
s.add(outp==RotateLeft (i5, URem(i5, 60)))
s.add(outp & 0xFFFFFFFF == inp & 0xFFFFFFFF)
s.add(outp & 0xFFFF == 0x1234)
print s.check()
m=s.model()
print m
```

Oh yes, this possible as well:

```python
sat
[i1 = 2834222860503985872,
i3 = 229468077667141152,
i5 = 1749262142135382127,
inp = 461881484695179828,
outp = 419247225543463476,
i4 = 229468077667141152,
i2 = 2834222860503985872]
inp=0x668EEC35F961234
outp=0x5D177215F961234
```

Z3 works very fast and it implies that the algorithm is weak, it is not cryptographic at all (like the most of the amateur cryptography).

19.4 Swapping encryption and decryption procedures

By the way, this is quite important property of symmetric cryptography: encryption and decryption procedures can be swapped without loss of security:

```python
# copypasted from https://pypi.org/project/pycrypto/ and reworked:
from Crypto.Cipher import AES
```
obj = AES.new('This is a key123', AES.MODE_CBC, 'This is an IV456')
message = "Hello, world!!!!" # message's size must be multiple of 16

# decrypt "Hello, world" message:
rubbish = obj.decrypt(message)

print rubbish # rubbish printed

# encrypt it back:

obj2 = AES.new('This is a key123', AES.MODE_CBC, 'This is an IV456')
plaintext_again = obj2.encrypt(rubbish)

print plaintext_again # "Hello, world" printed

The author saw this in shareware checking license keys, etc, when a key is encrypted (yes) to get information from it. Perhaps, their key generator decrypted data when putting it to key file. Hopefully, they swapped functions just by mistake, but it worked, so they left it all as is.
Chapter 20

First-Order Logic

20.1 Exercise 56 from TAOCP “7.1.1 Boolean Basics”, solving it using Z3

Page 41 from fasc0b.ps or http://www.cs.utsa.edu/~wagner/knuth/fasc0b.pdf.

56. [20] The satisfiability problem for a Boolean function \( f(x_1, x_2, \ldots, x_n) \) can be stated formally as the question of whether or not the quantified formula

\[
\exists x_1 \exists x_2 \ldots \exists x_n f(x_1, x_2, \ldots, x_n)
\]

is true; here ‘\( \exists x \alpha \)’ means, “there exists a Boolean value \( x \) such that \( \alpha \) holds.”

A much more general evaluation problem arises when we replace one or more of the existential quantifiers \( \exists x_j \) by the universal quantifier \( \forall x_j \), where ‘\( \forall x \alpha \)’ means, “for all Boolean values \( x \), \( \alpha \) holds.”

Which of the eight quantified formulas \( \exists x \exists y \exists z f(x, y, z) \), \( \exists x \exists y \forall z f(x, y, z) \), \( \forall x \forall y \forall z f(x, y, z) \) are true when \( f(x, y, z) = (x \forall y) \land (\exists \forall z) \land (y \forall z) \)?

For exists/forall/forall:

```
(assert
 (exists ((x Bool)) (forall ((y Bool)) (forall ((z Bool))
  (and
   (or x y)
   (or (not x) z)
   (or y (not z))
  )))
)
)

(check-sat)
```

All the rest: https://yurichev.com/SAT_SMT_tree/FOL/TAOCP_7_1_1_exercise_56.

Results:

```
z3  -smt2 KnuthAAA.smt
z3  -smt2 KnuthAAE.smt
z3  -smt2 KnuthAEA.smt
z3  -smt2 KnuthEAA.smt
z3  -smt2 KnuthEAE.smt
z3  -smt2 KnuthEEE.smt
z3  -smt2 KnuthEAE.smt
z3  -smt2 KnuthEAA.smt
z3  -smt2 KnuthEEE.smt
```

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20.2 Exercise 9 from TAOCP “7.1.1 Boolean Basics”, solving it using Z3

Page 34 from fasc0b.ps or http://www.cs.utsa.edu/~wagner/knuth/fasc0b.pdf.

9. [16] True or false? (a) \( (x \oplus y) \lor z = (x \lor z) \oplus (y \lor z) \); (b) \( (w \oplus x \oplus y) \lor z = (w \lor z) \oplus (x \lor z) \oplus (y \lor z) \); (c) \( (x \oplus y) \lor (y \oplus z) = (x \oplus z) \lor (y \oplus z) \).

Figure 20.2: Page 34

For (a):

```lisp
(assert
  (forall ((x Bool) (y Bool) (z Bool))
    (= (or (xor x y) z) (xor (or z) (or y z))))
  )
(check-sat)
```

For (b):

```lisp
(assert
  (forall ((x Bool) (y Bool) (z Bool) (w Bool))
    (= (or (xor w x y) z) (xor (or w z) (or x z) (or y z))))
  )
(check-sat)
```

For (c):

```lisp
(assert
  (forall ((x Bool) (y Bool) (z Bool))
    (= (or (xor x y) (xor y z)) (or (xor x z) (xor y z)))
  )
(check-sat)
```

Results:

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% z3 -smt2 Knuth_a.smt
unsat
% z3 -smt2 Knuth_b.smt
sat
% z3 -smt2 Knuth_c.smt
sat
Chapter 21

Cellular automata

21.1 Conway’s “Game of Life”

21.1.1 Reversing back the state of “Game of Life”

How could we reverse back a known state of GoL? This can be solved by brute-force, but this is extremely slow and inefficient.

Let’s try to use SAT solver.

First, we need to define a function which will tell, if the new cell will be created/born, preserved/stay or died. Quick refresher: cell is born if it has 3 neighbours, it stays alive if it has 2 or 3 neighbours, it dies in any other case.

This is how I can define a function reflecting state of a new cell in the next state:

```python
if center==true:
    return popcnt2(neighbours) || popcnt3(neighbours)
if center==false
    return popcnt3(neighbours)
```

We can get rid of “if” construction:

```python
result=(center==true && (popcnt2(neighbours) || popcnt3(neighbours))) || (center==false && popcnt3(neighbours))
```

...where “center” is state of a center cell, “neighbours” are 8 neighbouring cells, popcnt2 is a function which returns True if it has exactly 2 bits on input, popcnt3 is the same, but for 3 bits (just like these were used in my “Minesweeper” example (3.9.2)).

Using Wolfram Mathematica, I first create all helper functions and truth table for the function, which returns true, if a cell must be present in the next state, or false if not:

```wolfram
In[1]:= popcount[n_Integer]:=IntegerDigits[n,2] // Total
In[2]:= popcount2[n_Integer]:=Equal[popcount[n],2]
In[3]:= popcount3[n_Integer]:=Equal[popcount[n],3]
In[4]:= newcell[center_Integer,neighbours_Integer]:=(center==1 && (popcount2[neighbours] || popcount3[neighbours])) || (center==0 && popcount3[neighbours])
In[13]:= NewCellIsTrue=Flatten[Table[{center},PadLeft[IntegerDigits[neighbours],2],8]] -> Boole[newcell[center, neighbours]],{neighbours,0,255},{center,0,1}]
```

Out[13]= {{0,0,0,0,0,0,0,0,0}->0, {1,0,0,0,0,0,0,0,0}->0, {0,0,0,0,0,0,0,0,1}->0, {0,0,0,0,0,0,0,0,0}
Now we can create a CNF-expression out of truth table:

```
In[14]:= BooleanConvert[BooleanFunction[NewCellIsTrue, {center, a, b, c, d, e, f, g, h}], "CNF"]
Out[14]= (!a || !b || !c || !d && (!a || !b || !c || !e) || (!a || !b || !c || !f) && (!a || !b || !c || !g) && (!a || !b || !c || !h) &&

(!a || !b || !d || !e) && (!a || !b || !d || !f) && (!a || !b || !d || !g) && (!a || !b || !d || !h) && (!a || !b || !e || !f) &&

(!a || !b || !e || !g) && (!a || !b || !e || !h) && (!a || !b || !f || !g) && (!a || !b || !f || !h) && (!a || !b || !g || !h) &&

(!a || !c || !d || !e) && (!a || !c || !d || !f) && (!a || !c || !d || !g) && (!a || !c || !d || !h) && (!a || !c || !e || !f) &&

(!a || !c || !e || !g) && (!a || !c || !e || !h) && (!a || !c || !f || !g) && (!a || !c || !f || !h) && (!a || !c || !g || !h)
```

Also, we need a second function, inverted one, which will return true if the cell must be absent in the next state, or false otherwise:

```
In[15]:= NewCellIsFalse = Flatten[Table[Join[{center}, PadLeft[IntegerDigits[neighbours, 2], 8]] -> Boole[Not[newcell[center, neighbours]]], {neighbours, 0, 255}, {center, 0, 1}]]
Out[15]= {{0, 0, 0, 0, 0, 0, 0, 0, 0, 0} -> 1,

{1, 0, 0, 0, 0, 0, 0, 0, 1} -> 1,

{0, 0, 0, 0, 0, 0, 0, 1, 0} -> 1,

{1, 0, 0, 0, 0, 0, 0, 1, 1} -> 1,

{0, 0, 0, 0, 0, 0, 1, 0} -> 0,

{0, 0, 0, 0, 0, 0, 1, 1} -> 0,

{1, 0, 0, 0, 0, 0, 1, 0} -> 0,

{1, 0, 0, 0, 0, 0, 1, 1} -> 1,

...
```

Using the very same way as in my “Minesweeper” example (3.9.2), I can convert CNF expression to list of clauses:

```
def mathematica_to_CNF(s:str, d:Dict[str, str]) -> List[List[str]]:
    for k in d.keys():
        s = s.replace(k, d[k])
        s = s.replace("!", "-").replace("||", " ").replace("(", ").replace(")", " ")
    lst = s.split(" ")
    rt = []
    for l in lst:
        rt.append(l.split(" "))
    return rt
```
And again, as in “Minesweeper”, there is an invisible border, to make processing simpler. SAT variables are also numbered as in previous example:

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</table>

Also, there is a visible border, always fixed to False, to make things simpler.

Now the working source code. Whenever we encounter "*" in final_state[], we add clauses generated by cell_is_true() function, or cell_is_false() if otherwise. When we get a solution, it is negated and added to the list of clauses, so when minisat is executed next time, it will skip solution which was already printed.

```python
...%def cell_is_false (center, a):
   s="(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
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   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   "(!a||b||c||d||e||f||g||h)&&(!a||b||c||d||e||f||g
||h)"
   ...%501```
def cell_is_true(center, a):
    s="(!a||!b||!c||d)&&(!a||!b||!c||e)&&(!a||!b||!c||!f)&&(!a||!b||!c||!g)&&(!a||!b||!c||!h) \
    "(!a||!b||!d||!e)&&(!a||!b||!d||!f)&&(!a||!b||!d||!g)&&(!a||!b||!d||!h) \
    "(!a||!b||!e||!f)||(!a||!b||!e||!g)&&(!a||!b||!e||!h) \
    "(!a||!b||!g||!h)&&(!a||!b||!g||!h)||(!a||!b||!g||!h) \
    "(!b||!c||!center||!d||!e||!f||!g||!h)\&\&(!b||!c||!center||!d||!e||!f||!g||!h)\&\&(!b||!c||!center||!d||!e||!f||!g||!h) \
    "(!b||!c||!center||!d||!e||!f||!g||!h)\&\&(!b||!c||!center||!d||!e||!f||!g||!h)\&\&(!b||!c||!center||!d||!e||!f||!g||!h) \
    "(!b||!c||!center||!d||!e||!f||!g||!h)\&\&(!b||!c||!center||!d||!e||!f||!g||!h)\&\&(!b||!c||!center||!d||!e||!f||!g||!h) \
    "(!b||!c||!center||!d||!e||!f||!g||!h)\&\&(!b||!c||!center||!d||!e||!f||!g||!h)\&\&(!b||!c||!center||!d||!e||!f||!g||!h) \
    "(!b||!c||!center||d||!e||!f||!g||!h)\&\&(!b||!c||!center||d||!e||!f||!g||!h)\&\&(!b||!c||!center||d||!e||!f||!g||!h) \
    "(!b||!c||!center||d||!e||!f||!g||!h)\&\&(!b||!c||!center||d||!e||!f||!g||!h)\&\&(!b||!c||!center||d||!e||!f||!g||!h) 
return mathematica_to_CNF(s, center, a)
return mathematica_to_CNF(s, center, a)

(https://yurichev.com/SAT_SMT_tree/CA/GoL/GoL_SAT_utils.py)

#!/usr/bin/python3

import os
from GoL_SAT_utils import *

final_state=[
    " * ",
    "* * ",
    " * "]

H=len(final_state) # HEIGHT
W=len(final_state[0]) # WIDTH

print("HEIGHT=", H, "WIDTH=", W)

s=SAT_lib.SAT_lib(maxsat=False)
VAR_FALSE=s.const_false
grid=[[s.create_var() for w in range(W)] for h in range(H)]

def try_again():
    # rules for the main part of grid
    for r in range(H):
        for c in range(W):
            if final_state[r][c]=="*":
                s.add_clauses(cell_is_true(coords_to_var(grid, VAR_FALSE, r, c, H, W),
                                 get_neighbours(grid, VAR_FALSE, r, c, H, W))

            else:
                s.add_clauses(cell_is_false(coords_to_var(grid, VAR_FALSE, r, c, H, W),
                                 get_neighbours(grid, VAR_FALSE, r, c, H, W)))

    # cells behind visible grid must always be false:
    for c in range(-1, W+1):

503
for r in [-1,H]:
    s.add_clauses (cell_is_false(coords_to_var(grid, VAR_FALSE, r, c, H, W),
    get_neighbours(grid, VAR_FALSE, r, c, H, W)))
for c in [-1,W]:
    for r in range(-1, H+1):
        s.add_clauses (cell_is_false(coords_to_var(grid, VAR_FALSE, r, c, H, W),
    get_neighbours(grid, VAR_FALSE, r, c, H, W)))
assert s.solve()

tmp=SAT_solution_to_grid(grid, VAR_FALSE, s.solution, H, W)
print_grid(tmp)
write_RLE(tmp)
return tmp

while True:
    try_again()
    if s.fetch_next_solution()==False:
        break
    print (""")

( https://yurichev.com/SAT_SMT_tree/CA/GoL/reverse1.py )

Here is the result:

<table>
<thead>
<tr>
<th>HEIGHT= 3 WIDTH= 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>.*</td>
</tr>
<tr>
<td>*<em>.</em></td>
</tr>
<tr>
<td>.**</td>
</tr>
<tr>
<td>1.rle written</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>**</td>
</tr>
<tr>
<td>.**</td>
</tr>
<tr>
<td>2.rle written</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>**</td>
</tr>
<tr>
<td>.**</td>
</tr>
<tr>
<td>3.rle written</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>**</td>
</tr>
<tr>
<td>.**</td>
</tr>
<tr>
<td>4.rle written</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>**</td>
</tr>
<tr>
<td>.**</td>
</tr>
<tr>
<td>5.rle written</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>**</td>
</tr>
<tr>
<td>.**</td>
</tr>
<tr>
<td>6.rle written</td>
</tr>
<tr>
<td>unsat!</td>
</tr>
</tbody>
</table>
The first result is the same as initial state. Indeed: this is “still life”, i.e., state which will never change, and it is correct solution. The last solution is also valid.

Now the problem: 2nd, 3rd, 4th and 5th solutions are equivalent to each other, they just mirrored or rotated. In fact, this is reflectional\(^1\) (like in mirror) and rotational\(^2\) symmetries. We can solve this easily: we will take each solution, reflect and rotate it and add them negated to the list of clauses, so minisat will skip them during its work:

```python
while True:
    solution=try_again()
    if solution==None:
        break
    s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(solution, grid, VAR_FALSE, H, W)))
    s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(my_utils.reflect_vertically(solution), grid, VAR_FALSE, H, W)))
    s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(my_utils.reflect_horizontally(solution), grid, VAR_FALSE, H, W)))
    # is this square?
    if W==H:
        s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(my_utils.rotate_rect_array(solution,1), grid, VAR_FALSE, H, W)))
        s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(my_utils.rotate_rect_array(solution,2), grid, VAR_FALSE, H, W)))
        s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(my_utils.rotate_rect_array(solution,3), grid, VAR_FALSE, H, W)))
```

(https://yurichev.com/SAT_SMT_tree/CA/GoL/reverse2.py)

Functions `reflect_vertically()`, `reflect_horizontally` and `rotate_squarearray()` are simple array manipulation routines.

Now we get just 3 solutions:

```
HEIGHT= 3 WIDTH= 3
.*.
**
.*.
1.rle written

.*
.*

2.rle written

.*
.*
.*
3.rle written
unsat!
```

This one has only one single ancestor:

\(^1\)https://en.wikipedia.org/wiki/Reflection_symmetry
\(^2\)https://en.wikipedia.org/wiki/Rotational_symmetry
This is oscillator, of course.
How many states can lead to such picture?

28, these are few:

1. rle written

2. rle written

3. rle written
Now the biggest, “space invader”:

```python
final_state=[
    " ",
    " * * ",
    " * * ",
    " ******** ",
    " ** *** ** ",
    " *********** ",
    " * ******* * ",
    " * * * *
    " ** ** 
    " 
]
```

**HEIGHT= 10 WIDTH= 13**

1.rle written

```
*.*.*.*.*
******...
*******...
******...
******...
*.*.*.*.*
******...
******...
******...
*.*.*.*.*
******...
******...
******...
******...
```

2.rle written

```
*.*.*.*.*
******...
*******...
******...
******...
*.*.*.*.*
******...
******...
******...
*.*.*.*.*
******...
******...
******...
******...
```

3.rle written

...
I don't know how many possible states can lead to “space invader”, perhaps, too many. Had to stop it. And it slows down during execution, because number of clauses is increasing (because of negating solutions addition).

All solutions are also exported to RLE files, which can be opened by Golly\textsuperscript{3}.

### 21.1.2 Finding “still lives”

“Still life” in terms of GoL is a state which doesn’t change at all.

... using SAT-solver

First, using previous definitions, we will define a truth table of function, which will return \textit{true}, if the center cell of the next state is the same as it has been in the previous state, i.e., hasn’t been changed:

```math
\text{In}[17]:= \text{stillife} = \text{Flatten}[
\text{Table}[\text{Join}[\{\text{center}\}, \text{PadLeft}[\text{IntegerDigits}[\text{neighbours}, 2], 8]] \to
\text{Boole}[\text{Boole}[\text{newcell}[\text{center}, \text{neighbours}]] == \text{center}],
\{\text{neighbours}, 0, 255\}, \{\text{center}, 0, 1\}]
\text{Out}[17]= \{\{0, 0, 0, 0, 0, 0, 0, 0\} \to 1,
\{1, 0, 0, 0, 0, 0, 0, 0\} \to 0,
\{0, 0, 0, 0, 0, 0, 0, 1\} \to 1,
\{1, 0, 0, 0, 0, 0, 0, 1\} \to 0,
\}
```

... using SaT-solver

```math
\text{In}[18]:= \text{BooleanConvert}[\text{BooleanFunction}[\text{stillife}, \{\text{center}, a, b, c, d, e, f, g, h\}, \text{"CNF"}]]
\text{Out}[18]= (!a \lor !b \lor !c \lor !d) \land (!a \lor !b \lor !c \lor !e) \land (!a \lor !b \lor !c \lor !f) \land
(!a \lor !b \lor !c \lor !g) \land (!a \lor !b \lor !c \lor !h) \land (!a \lor !b \lor !c \lor !d \lor !e \lor !f \lor !g \lor !h)
```

```python
#!/usr/bin/python3

import os, SAT_lib, GoL_SAT_utils, SL_common, my_utils

W=3 # WIDTH
H=3 # HEIGHT

s=SAT_lib.SAT_lib()
VAR_FALSE=s.const_false
grid=[[s.create_var() for w in range(W)] for h in range(H)]

def try_again ():
    # rules for the main part of grid
    for r in range(H):
        for c in range(W):
            s.add_clauses (SL_common.gen_SL(GoL_SAT_utils.coords_to_var(grid, VAR_FALSE, r, c, H, W),
                                            GoL_SAT_utils.get_neighbours(grid, VAR_FALSE, r, c, H, W)))

    # cells behind visible grid must always be false:
    for c in range(-1, W+1):

3http://golly.sourceforge.net/
for r in [-1, H]:
    s.add_clauses (GoL_SAT_utils.cell_is_false(GoL_SAT_utils.coords_to_var(grid, VAR_FALSE, r, c, H, W),
                  GoL_SAT_utils.get_neighbours(grid, VAR_FALSE, r, c, H, W))

for c in [-1, W]:
    for r in range(-1, H+1):
        s.add_clauses (GoL_SAT_utils.cell_is_false(GoL_SAT_utils.coords_to_var(grid, VAR_FALSE, r, c, H, W),
                  GoL_SAT_utils.get_neighbours(grid, VAR_FALSE, r, c, H, W)))

if s.solve()==False:
    return None

s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(solution, grid, VAR_FALSE, H, W)))

s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(my_utils.reflect_vertically(solution), grid, VAR_FALSE, H, W)))

s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(my_utils.reflect_horizontally(solution), grid, VAR_FALSE, H, W)))

# is this square?
if W==H:
    s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(my_utils.rotate_rect_array(solution,1), grid, VAR_FALSE, H, W)))
    s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(my_utils.rotate_rect_array(solution,2), grid, VAR_FALSE, H, W)))
    s.add_clause(SAT_lib.negate_clause(GoL_SAT_utils.grid_to_clause(my_utils.rotate_rect_array(solution,3), grid, VAR_FALSE, H, W)))

print ("")

( https://yurichev.com/SAT_SMT_tree/CA/GoL/SL1.py )
What we’ve got for 2 · 2?

.. ..
1.rle written
**
**
2.rle written
unsat!

Both solutions are correct: empty square will progress into empty square (no cells are born). 2 · 2 box is also known “still life”.
What about 3 · 3 square?
Here is a problem: we see familiar $2 \cdot 2$ box, but shifted. This is indeed correct solution, but we don’t interested in it, because it has been already seen.

What we can do is add another condition. We can force minisat to find solutions with no empty rows and columns. This is easy. These are SAT variables for $5 \cdot 5$ square:

```
1 2 3 4 5
6 7 8 9 10
11 12 13 14 15
16 17 18 19 20
21 22 23 24 25
```

Each clause is “OR” clause, so all we have to do is to add 5 clauses:

```
1 OR 2 OR 3 OR 4 OR 5
6 OR 7 OR 8 OR 9 OR 10
...
```

That means that each row must have at least one True value somewhere. We can also do this for each column as well.

```
# each row must contain at least one cell!
for r in range(H):
    clauses.append(" \".join([coords_to_var(r, c, H, W) for c in range(W)])\")

# each column must contain at least one cell!
for c in range(W):
    clauses.append(" \".join([coords_to_var(r, c, H, W) for r in range(H)])\")
```
Now we can see that 3 · 3 square has 3 possible “still lives”:

```
.*
*.*
**.
```

1. rle written

```
.*
*.*
*.*
```

2. rle written

```
**
*.*
**.
```

3. rle written

unsat!

4 · 4 has 7:

```
...**
....*
***.*
***...
```

1. rle written

```
...**
....*
..*.*
***.*
***...
```

2. rle written

```
...**
....*
.*.*
**.*
***...
```

3. rle written

```
...*
.*.*
***.
**..```

4. rle written

```
**.
*...*
**.*
***..
```

5. rle written

```
*.*
.*.*
***..
```

6. rle written
When I try large squares, like $20 \times 20$, funny things happen. First of all, minisat finds solutions not very pleasing aesthetically, but still correct, like:

```
..**..**..**..**..**
**..**..**..**..**..**
*........................
*........................
**..**..**..**..**..**
*........................
*........................
*........................
*........................
*........................
*........................
*........................
*........................
*........................
**..**..**..**..**..**
*........................
*........................
*........................
*........................
*........................
*........................
*........................
*........................
*........................
*........................
*........................
```

Indeed: all rows and columns has at least one *True* value.

Then minisat begins to add smaller “still lives” into the whole picture:
In other words, result is a square consisting of smaller “still lives”. It then altering these parts slightly, shifting back and forth. Is it cheating? Anyway, it does it in a strict accordance to rules we defined.

However, when I switch to Parallel Lingeling, things are slightly different:

```
..**.**......*.*.*..
...*.*.....***.**.*.
...*..*...*.......*.
....*.*..*.*......**
...**.*.*..*...**.*.
..*...*.***.....*.*.
...*.*.*......*..*..
****.*..*....*.**...
*....**.*....*.*....
```

2.rle written

It’s still correct.

Anyway, we may want a denser picture. We can add a rule: in all 5-cell chunks there must be at least one True cell. To achieve this, we just split the whole square by 5-cell chunks and add clause for each:

```
# make result denser:
lst=[]
for r in range(H):
  for c in range(W):
    lst.append(GoL_SAT_utils.coords_to_var(grid, VAR_FALSE, r, c, H, W))
# divide them all by chunks and add to clauses:
CHUNK_LEN=3
for c in my_utils.partition(lst,int(len(lst)/CHUNK_LEN)):
  s.add_clause(c)
```

(https://yurichev.com/SAT_SMT_tree/CA/GoL/SL3.py)

This is indeed denser:

```
..**.**.......
...*.....***.*.
...*....***.*.
....*...***.*.
....*...***.*.
....*...***.*.
....*...***.*.
....*...***.*.
```

*.*.*.*.*.

*.*.*.*.*.

*.*.*.*.*.

*.*.*.*.*.

*.*.*.*.*.

*.*.*.*.*.

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Let's try more dense, one mandatory true cell per each 4-cell chunk:
1. rle written

...and even more: one cell per each 3-cell chunk:

2. rle written

...
This is most dense. Unfortunately, it’s impossible to construct “still life” with one mandatory true cell per each 2-cell chunk.

... using MaxSAT-solver: getting maximum density still life

Using Open-WBO MaxSAT solver, it can find a $13 \cdot 13$ still life for 11 minutes on my ancient Intel Xeon E31220 @ 3.10GHz.

cells: 90
density: 0.532544
**.**.*.**.**
**.*.***.*.**
....*.....*
****.**.*.***
*...*.*.*...*
.*.*..*.**.*.
**.**.*..*.**
.*.*..**.*.*.
*..*.*...*..*
****.****.***
.........*...
**.**.**.*.**
**.**.**.**.*

(Open-WBO has been switched to the fastest algorithm for the task, "LinearSU" (1st one).
I just maximize all cells, adding them as soft clauses:

... for r in range(H):
    for c in range(W):
        s.add_soft_clause(GoL_SAT_utils.coords_to_var(grid, VAR_FALSE, r, c, H, W), 1)
... 

(https://yurichev.com/SAT_SMT_tree/CA/GoL/SL_MaxSAT.py)
See also:

- CSPLIB Problem 032: Maximum density still life
- Maximum Density Still Life

Further work: count solutions, eliminating symmetrical.

### 21.1.3 The source code

Source code and Wolfram Mathematica notebook: [https://yurichev.com/SAT_SMT_tree/CA/GoL](https://yurichev.com/SAT_SMT_tree/CA/GoL).

### 21.1.4 Further reading

Marijn Heule is known to be working on applying SAT solvers to GoL: [http://conwaylife.com/wiki/Marijn_Heule](http://conwaylife.com/wiki/Marijn_Heule).


### 21.2 One-dimensional cellular automata and Z3 SMT-solver

Remember John Conway’s Game of Life? It’s a two-dimensional CA. This one is one-dimensional.


Can we find *oscillators* (repeating states) and *gliders* (repeating and shifted states)?

N.B.: state is *wrapped*: the leftmost invisible cell is a rightmost one and vice versa.

The source code:

```python
from z3 import *

WIDTH=15

def _try (RULE, STATES, WRAPPED, SHIFTED):
    rules=[]
    for i in range(8):
        if ((RULE>>i)&1)==1:
            rules.append(True)
        else:
            rules.append(False)
    rules=rules[::-1]
    #print "rules=" , rules

def f(a, b, c):
    return If(And(a==True, b==True, c==True), rules[7],
              If(And(a==True, b==True, c==False), rules[6],
                  If(And(a==True, b==False, c==True), rules[5],
                      If(And(a==True, b==False, c==False), rules[4],
                          If(And(a==False, b==True, c==True), rules[3],
                              If(And(a==False, b==True, c==False), rules[2],
                                  If(And(a==False, b==False, c==True), rules[1],
                                      If(And(a==False, b==False, c==False), rules[0], False))))))))

S=[[Bool("%d_%d" % (s, i)) for i in range(WIDTH)] for s in range(STATES)]

s=Solver()

if WRAPPED==False:
    for st in range(STATES):
        s.add(S[st][0]==False)
        s.add(S[st][WIDTH-1]==False)
```

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if WRAPPED==False:
    for st in range(1, STATES):
        for i in range(1, WIDTH-1):
            s.add(S[st][i] == f(S[st-1][i-1], S[st-1][i], S[st-1][i+1]))
else:
    for st in range(1, STATES):
        for i in range(WIDTH):
            s.add(S[st][i] == f(S[st-1][(i-1) % WIDTH], S[st-1][i], S[st-1][(i+1) % WIDTH]))

def is_empty(st):
    t=[]
    for i in range(WIDTH):
        t.append(S[st][i]==False)
    return And(*t)

def is_full(st):
    t=[]
    for i in range(WIDTH):
        t.append(S[st][i]==True)
    return And(*t)

def non_equal_states(st1, st2):
    t=[]
    for i in range(WIDTH):
        t.append(S[st1][i] != S[st2][i])
    return Or(*t)

#s.add(non_equal_states(0, 1))

for st in range(STATES):
    s.add(is_empty(st)==False)
    s.add(is_full(st)==False)

    # first and last states are equal to each other:
    if WRAPPED==False:
        for i in range(1, WIDTH-1):
            if SHIFTED==0:
                s.add(S[0][i]==S[STATES-1][i])
            if SHIFTED==1:
                s.add(S[0][i]==S[STATES-1][i-1])
            if SHIFTED==2:
                s.add(S[0][i]==S[STATES-1][i+1])
        else:
            for i in range(WIDTH):
                if SHIFTED==0:
                    s.add(S[0][i]==S[STATES-1][i % WIDTH])
                if SHIFTED==1:
                    s.add(S[0][i]==S[STATES-1][(i-1) % WIDTH])
                if SHIFTED==2:
                    s.add(S[0][i]==S[STATES-1][(i+1) % WIDTH])

if s.check()==unsat:
    return

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#print "unsat"
#exit(0)

m=s.model()

print "RULE=%d STATES=%d, WRAPPED=%s, SHIFTED=%d" % (RULE, STATES, str(WRAPPED), SHIFTED)
for st in range(STATES):
t=""
    for i in range(WIDTH):
        if str(m[S[st][i]])=="False":
            t+="."
        else:
            t+="*
    print t

for RULE in range(0, 256):
    for STATES in range(2, 10):
        if True:
            #for WRAPPED in [False, True]:
                WRAPPED=True
                for SHIFTED in [0,1,2]:
                    _try (RULE, STATES, WRAPPED, SHIFTED)

Some oscillators and gliders are nice:

RULE=26 STATES=7, WRAPPED=True, SHIFTED=1
.*....*....*.*
...***....*....
..****....*....
.*....*....*....
...***....*....
..****....*....
.*....*....*....

RULE=29 STATES=3, WRAPPED=True, SHIFTED=1
..*..*..*..*..*
.*..*..*..*..*
..*..*..*..*..*

RULE=30 STATES=4, WRAPPED=True, SHIFTED=1
**....*....*...*
.*..*..*..*....
...***....*....
*....*....*....

RULE=38 STATES=4, WRAPPED=True, SHIFTED=0
*.*.*.*.*.*.*
*.*.*.*.*.*.*
*.*.*.*.*.*.*
*.*.*.*.*.*.*

RULE=40 STATES=5, WRAPPED=True, SHIFTED=1
*....*....*....
.*.....*....*....
.*.....*....*....
...*.....*....*....
...*.....*....*....
...*.....*....*....
RULE=41 STATES=5, WRAPPED=True, SHIFTED=1
...*....*....*...
...*....*....*....
*....*....*....
**...**...**...
..*....*....*..

RULE=42 STATES=3, WRAPPED=True, SHIFTED=2
*...*....*....*
**...**...**...
.*...*....*....

RULE=42 STATES=5, WRAPPED=True, SHIFTED=2
..*....*....*....
**...**...**...
.*...*....*....

RULE=43 STATES=7, WRAPPED=True, SHIFTED=0
**...**...**...
*....*....*....
..*....*....*....
**...**...**...
.*...*....*....

RULE=44 STATES=3, WRAPPED=True, SHIFTED=1
**...**...**...
*....*....*....
..*....*....*....

RULE=44 STATES=5, WRAPPED=True, SHIFTED=1
*....*....*....
**...**...**...
..*....*....*....

RULE=45 STATES=3, WRAPPED=True, SHIFTED=1
*....*....*....
**...**...**...
..*....*....*....

RULE=60 STATES=4, WRAPPED=True, SHIFTED=1
*....*....*....
**...**...**...
..*....*....*....

RULE=60 STATES=5, WRAPPED=True, SHIFTED=2
*....*....*....
**...**...**...
..*....*....*....
RULE=101 STATES=3, WRAPPED=True, SHIFTED=2
*...*...*...
*...*...*...
.*...*...*...

RULE=102 STATES=4, WRAPPED=True, SHIFTED=2
***....****...
........****...
........****...
........****...

RULE=102 STATES=6, WRAPPED=True, SHIFTED=0
***.*...***...
..****.*...***
....****.*...***
.....****.*...***

RULE=105 STATES=4, WRAPPED=True, SHIFTED=0
.*...**...**...
*...*...*...*...
*...*...*...*...
*...*...*...*...

RULE=105 STATES=6, WRAPPED=True, SHIFTED=0
.*...***...***...
*...*...*...*...
*...*...*...*...
*...*...*...*...

RULE=105 STATES=7, WRAPPED=True, SHIFTED=0
*...*...*...*...
*...*...*...*...
*...*...*...*...
*...*...*...*...

RULE=106 STATES=3, WRAPPED=True, SHIFTED=1
***....****...
........****...
........****...

RULE=106 STATES=6, WRAPPED=True, SHIFTED=0
***....****...
........****...
........****...
........****...
........****...

522
RULE=122 STATES=7, WRAPPED=True, SHIFTED=0

*****.**.**.*.*
....*.**.**.*.*
*..**.**.**.*.*
*****.**.**.*.*
....*.**.**.*.*
*..**.**.**.*.*
*****.**.**.*.*

RULE=124 STATES=4, WRAPPED=True, SHIFTED=0

..**.*****....*
***.**....*..**
...**.*..*****.
..**.*****....*
***.**....*..**
...**.*..*****.
..**.*****....*

RULE=124 STATES=7, WRAPPED=True, SHIFTED=0

*****.***.*.*
....*.***.*.*
*..**.***.*.*
*****.***.*.*
....*.***.*.*
*..**.***.*.*
*****.***.*.*

RULE=128 STATES=3, WRAPPED=True, SHIFTED=0

...*...*....***
.*...*...**....
...*...*....***

RULE=129 STATES=3, WRAPPED=True, SHIFTED=0

..*....**....*.
*...**....**...
..*....**....*.

RULE=134 STATES=4, WRAPPED=True, SHIFTED=1

...*....*....*.
**...**...**...
..*.*..*.*..*.*
..*....*....*..

RULE=135 STATES=4, WRAPPED=True, SHIFTED=1

..**...**...**.
*..*.*..*.*..*.
....*....*....*
**...**...**..

RULE=137 STATES=4, WRAPPED=True, SHIFTED=0

*....*.*....*
****..*..*..*.
..**.*..*..*..
*....*.*....*
SHIFTED=0 means oscillator, SHIFTED=1 means glider slipping left, SHIFTED=2 — slipping right.
Chapter 22

Everything else

22.1 Ménage problem

In combinatorial mathematics, the ménage problem or problème des ménages[1] asks for the number of different ways in which it is possible to seat a set of male-female couples at a dining table so that men and women alternate and nobody sits next to his or her partner. This problem was formulated in 1891 by Édouard Lucas and independently, a few years earlier, by Peter Guthrie Tait in connection with knot theory.[2] For a number of couples equal to 3, 4, 5, ... the number of seating arrangements is 12, 96, 3120, 115200, 5836320, 382072320, 31488549120, ... (sequence A059375 in the OEIS).

We can count it using Z3, but also get actual men/women allocations:

```python
from z3 import *

COUPLES=3

# a pair each men and women related to:
men=[Int('men_%d' % i) for i in range(COUPLES)]
women=[Int('women_%d' % i) for i in range(COUPLES)]

# men and women are placed around table like this:
# m m m  
# w w w  

# i.e., women[0] is placed between men[0] and men[1]  
# the last women[COUPLES-1] is between men[COUPLES-1] and men[0] (wrapping)

s=Solver()
s.add(Distinct(men))
s.add(Distinct(women))

[s.add(And(men[i]>=0, men[i]<COUPLES)) for i in range (COUPLES)]
[s.add(And(women[i]>=0, women[i]<COUPLES)) for i in range (COUPLES)]

# a pair, each woman belong to, cannot be the same as men's located at left and right. 
# "% COUPLES" is wrapping, so that the last woman is between the last man and the first man.
```
for i in range(COUPLES):
    s.add(And(women[i]!=men[i], women[i]!=men[(i+1) % COUPLES]))

def print_model(m):
    print "men",
    for i in range(COUPLES):
        print m[men[i]],
    print 
    print "women",
    for i in range(COUPLES):
        print m[women[i]],
    print 
    print ""

results=[]

# enumerate all possible solutions:
while True:
    if s.check() == sat:
        m = s.model()
        print_model(m)
        results.append(m)
        block = []
        for d in m:
            c=d()
            block.append(c != m[d])
        s.add(Or(block))
    else:
        print "results total=", len(results)
        print "however, according to https://oeis.org/A059375 :", len(results)*2
        break

(https://yurichev.com/SAT_SMT_tree/other/menage/menage.py)
For 3 couples:

    men  0 2 1
    women 1 0 2

    men  1 2 0
    women 0 1 2

    men  0 1 2
    women 2 0 1

    men  2 1 0
    women 0 2 1

    men  2 0 1
    women 1 2 0

    men  1 0 2
    women 2 1 0

results total= 6
however, according to https://oeis.org/A059375 : 12
We are getting “half” of results because men and women can be then swapped (their sex swapped (or reassigned)) and you’ve got another 6 results. $6+6=12$ in total. This is kind of symmetry.

For 4 couples:

```
... 
men 3 0 2 1  
women 1 3 0 2  
men 3 0 1 2  
women 2 3 0 1  
men 1 0 2 3  
women 3 1 0 2  
men 2 0 1 3  
women 3 2 0 1  
results total= 48  
however, according to https://oeis.org/A059375 : 96
```

For 5 couples:

```
... 
men 0 4 1 2 3  
women 1 3 0 4 2  
men 0 3 1 2 4  
women 1 4 0 3 2  
men 0 3 1 2 4  
women 1 0 4 3 2  
men 4 3 1 0 2  
women 0 2 4 1 3  
results total= 1560  
however, according to https://oeis.org/A059375 : 3120
```

### 22.2 Dependency graphs and topological sorting

Topological sorting is an operation many programmers well familiar with: this is what “make” tool do when it find an order of items to process. Items not dependent of anything can be processed first. The most dependent items at the end.

Dependency graph is a graph and topological sorting is such a “contortion” of the a graph, when you can see an order of items.

For example, let’s create a sample graph in Wolfram Mathematica:

```
In[1]:= g = Graph[{7 -> 1, 7 -> 0, 5 -> 1, 3 -> 0, 3 -> 4, 1 -> 2, 1 -> 6, 1 -> 4, 0 -> 6}, VertexLabels -> "Name"]
```
Each arrow shows that an item is needed by an item arrow pointing to, i.e., if “a -> b”, then item “a” must be first processed, because “b” needs it, or “b” depends on “a”.

How Mathematica would “sort” the dependency graph?

```
In[] := TopologicalSort[g]
Out[] = {7, 3, 0, 5, 1, 4, 6, 2}
```

So you’re going to process item 7, then 3, 0, and 2 at the very end.

The algorithm in the Wikipedia article is probably used in the “make” and whatever IDE you use for building your code.

Also, many UNIX platforms had separate “tsort” utility: https://en.wikipedia.org/wiki/Tsort.

How would “tsort” sort the graph? I’m making the text file with input data:

```
7 1
7 0
5 1
3 0
3 4
1 2
1 6
1 4
0 6
```

And run tsort:

```
% tsort tst
3
5
7
0
1
4
6
2
```

Now I’ll use Z3 SMT-solver for topological sort, which is overkill, but quite spectacular: all we need to do is to add constraint for each edge (or “connection”) in graph, if “a -> b”, then “a” must be less then “b”, where each variable reflects ordering.

```
from MK85 import *

TOTAL=8
```
s = MK85()

order = [s.BitVec("%d" % i), 4] for i in range(TOTAL)]

s.add(s.Distinct(order))

for i in range(TOTAL):
    s.add(And(order[i] >= 0, order[i] < TOTAL))

s.add(order[5] < order[1])
s.add(order[3] < order[4])
s.add(order[3] < order[0])
s.add(order[7] < order[0])
s.add(order[7] < order[1])
s.add(order[1] < order[2])
s.add(order[1] < order[4])
s.add(order[1] < order[6])
s.add(order[0] < order[6])

print s.check()

m = s.model()

order_to_print = [None] * (TOTAL)
for i in range(TOTAL):
    order_to_print[m[str(i)]] = i

print order_to_print

Almost the same result, but also correct:

True
[5, 7, 1, 3, 0, 4, 6, 2]


Yet another demonstration of topological sort: “less than” relation would indicate, who is whose boss, and who is whose “yes man”. The resulting order after sorting is then represent how good each position in social hierarchy is. See also: https://en.wikipedia.org/wiki/Pecking_order.

Another demonstration: when you write a textbook, you first put a material not dependent on anything else. At the end, you put the most advanced material, depending on everything placed before.

Another application: in spreadsheet (3.14), you can reorder all cells in such a way, so that the queue will be started with cells not dependent on anything, etc. And then evaluate cells according to that order.

### 22.3 Package manager and Z3

Here is simplified example. We have libA, libB, libC and libD, available in various versions (and flavors). We’re going to install programA and programB, which use these libraries.

```python
#!/usr/bin/env python

from z3 import *

s = Optimize()
```
libA=Int('libA')
# libA's version is 1..5 or 999 (which means library will not be installed):
s.add(Or(And(libA==1, libA<=5),libA==999))

libB=Int('libB')
# libB's version is 1, 4, 5 or 999:
s.add(Or(libB==1, libB==4, libB==5, libB==999))

libC=Int('libC')
# libC's version is 10, 11, 14 or 999:
s.add(Or(libC==10, libC==11, libC==14, libC==999))

# libC is dependent on libA
# libC v10 is dependent on libA v1..3, but not newer
# libC v11 requires at least libA v3
# libC v14 requires at least libA v5
s.add(If(libC==10, And(libA==1, libA<=3),True))
s.add(If(libC==11, libA>=3,True))
s.add(If(libC==14, libA>=5,True))

libD=Int('libD')
# libD's version is 1..10
s.add(Or(And(libD==1, libD<=10),libD==999))

programA=Int('programA')
# programA came as v1 or v2:
s.add(Or(programA==1, programA==2))

# programA is dependent on libA, libB and libC
# programA v1 requires libA v2 (only this version), libB v4 or v5, libC v10:
s.add(If(programA==1, And(libA==2, Or(libB==4, libB==5), libC==10),True))
# programA v2 requires these libraries: libA v3, libB v5, libC v11
s.add(If(programA==2, And(libA==3, libB==5, libC==11),True))

programB=Int('programB')
# programB came as v7 or v8:
s.add(Or(programB==7, programB==8))

# programB v7 requires libA at least v2 and libC at least v10:
s.add(If(programB==7, And(libA>=2, libC>=10), True))
# programB v8 requires libA at least v6 and libC at least v11:
s.add(If(programB==8, And(libA>=6, libC>=11), True))

s.add(programA==1)
s.add(programB==7) # change this to 8 to make it unsat

# we want latest libraries' versions.
# if the library is not required, its version is "pulled up" to 999,
# and 999 means the library is not needed to be installed
s.maximize(Sum(libA,libB,libC,libD))

print s.check()
print s.model()

( The source code: https://yurichev.com/SAT_SMT_tree/other/dep/dependency.py )
The output:
sat
999 means that there is no need to install libD, it’s not required by other packages.

Change version of ProgramB to v8 and it will says “unsat”, meaning, there is a conflict: ProgramA requires libA v2, but ProgramB v8 eventually requires newer libA.

Still, there is a work to do: “unsat” message is somewhat useless to end user, some information about conflicting items should be printed.

Here is my another optimization problem example: 14.1.


Some readers may ask, how to order libraries/programs/packages to be installed? This is simpler problem, which is often solved by topological sorting. The algorithm reorders graph in such a way so that vertices not depended on anything will be on the top of queue. Next, there will be vertices dependent on vertices from the previous layer. And so on.

*make* UNIX utility does this while constructing order of items to be processed. Even more: older *make* utilities offloaded the job to the external utility *tsort*, which is included in POSIX standard\(^1\).

### 22.4 Knight’s tour

```python
from z3 import *
import pprint, math

SIZE=8
closed=False
closed=True

# find King's tour instead of Knight's. just for demonstration
#king_tour=True
king_tour=False

def coord_to_idx(r, c):
    if r<0 or c<0:
        return None
    if r==SIZE or c==SIZE:
        return None
    return r*SIZE+c

""

knight's movements:

. x . x . . .
 x . . . x . .
 . . O . . . .
 x . . . x . .
 . X . x . . .
 . . . . . . .
 . . . . . . .
 . . . . . . .
. . . . . . .

\(^1\)https://pubs.opengroup.org/onlinepubs/9699919799/utilities/tsort.html
```
```
G={}

if king_tour:
    # King's tour
    for r in range(SIZE):
        for c in range(SIZE):
            _from=coord_to_idx(r, c)
            _to=[]
            _to.append(coord_to_idx(r-1, c-1))
            _to.append(coord_to_idx(r-1, c+0))
            _to.append(coord_to_idx(r-1, c+1))
            _to.append(coord_to_idx(r-0, c-1))
            _to.append(coord_to_idx(r-0, c+1))
            _to.append(coord_to_idx(r+1, c-1))
            _to.append(coord_to_idx(r+1, c+0))
            _to.append(coord_to_idx(r+1, c+1))
            # remove "None" elements (moves beyond physical board):
            _to=filter(lambda x: x!=None, _to)
            G[_from]=_to
else:
    # Knight's tour
    for r in range(SIZE):
        for c in range(SIZE):
            _from=coord_to_idx(r, c)
            _to=[]
            _to.append(coord_to_idx(r-2, c-1))
            _to.append(coord_to_idx(r-2, c+1))
            _to.append(coord_to_idx(r-1, c-2))
            _to.append(coord_to_idx(r-1, c+2))
            _to.append(coord_to_idx(r+1, c-2))
            _to.append(coord_to_idx(r+1, c+2))
            _to.append(coord_to_idx(r+2, c-1))
            _to.append(coord_to_idx(r+2, c+1))
            # remove "None" elements (moves beyond physical board):
            _to=filter(lambda x: x!=None, _to)
            G[_from]=_to

pp = pprint.PrettyPrinter(indent=4)
pp.pprint(G)

s=Solver()

L=len(G)
# we use one-hot (or unitary) variables, thus we eliminate the need of using adding + remainder
# as in https://github.com/Z3Prover/z3/blob/master/examples/python/hamiltonian/hamiltonian.py
V=[BitVec('V_%d' % i, L) for i in range(L)]

# on closed tour, we may omit this constraint, SAT/SMT solver got to know this is one-hot/unitary variable!
if closed==False:
    # without: faster on closed tours
    for v in range(L):
        or_list=[]
        for i in range(L):
```
or_list.append(V[v]==2**i)
s.add(Or(*or_list))

s.add(Distinct(V))

# first cell:
s.add(V[0]==BitVecVal(1, L))

# can create expression like:
# If(selector=c1, val[0],
# If(selector=c2, val[1],
# If(selector=c3, val[2],
# If(selector=c4, val[3], val[4])))
def MUX(selector, selectors, vals):
    assert len(selectors)+1 == len(vals)
    l=len(vals)
    t=vals[0]
    for i in range(l-1):
        t=If(selector==selectors[i], vals[i+1], t)
    return t

for i in range(L):
    if closed==False and i==0:
        continue
    or_list=[]
    for j in G[i]:
        or_list.append(RotateLeft(V[j], 1))
    sel=Int('sel%d' % i)
    # no idea why, but using multiplexer is faster than chain of Or's as in
    e=MUX(sel, range(len(or_list)-1), or_list)
    
    #at this point e can look like:
    54 If(sel54 == 2,
        RotateLeft(V_60, 1),
    If(sel54 == 1,
        RotateLeft(V_44, 1),
    If(sel54 == 0,
        RotateLeft(V_39, 1),
        RotateLeft(V_37, 1))))
    
    selector is not used at all
    
    #print i, e
    s.add(V[i]==e)

if s.check()==unsat:
    print "unsat"
    exit(0)

m=s.model()
#print m

print ""
for r in range(SIZE):
    for c in range(SIZE):
Can find a closed knight's tour on 8*8 chess board for 150s on Intel Quad-Core Xeon E3-1220 3.10GHz:

```
0 57 44 41 2 39 12 29
43 46 1 58 11 30 23 38
56 63 42 45 40 3 28 13
47 8 59 10 31 24 37 22
43 46 1 58 11 30 23 38
56 63 42 45 40 3 28 13
47 8 59 10 31 24 37 22
7 48 9 32 17 34 21 36
```

However, this is WAY slower than C implementation on Rosetta Code: https://rosettacode.org/wiki/Knight%27s_tour#C ... which uses Warnsdorf's rule: https://en.wikipedia.org/wiki/Knight%27s_tour#Warnsdorff's_algorithm.

Another program for Z3 for finding Hamiltonian cycle: https://github.com/Z3Prover/z3/blob/master/examples/python/hamiltonian/hamiltonian.py. (Clever trick of using remainder.)

### 22.5 Stable marriage problem

See also in Wikipedia and Rosetta code.

Layman's explanation in Russian: https://lenta.ru/articles/2012/10/15/nobel/

One interesting use of it:

The Internet infrastructure company Akamai, cofounded by Tom Leighton, also uses a variation of the Mating Ritual to assign web traffic to its servers.

In the early days, Akamai used other combinatorial optimization algorithms that got to be too slow as the number of servers (over 65,000 in 2010) and requests (over 800 billion per day) increased. Akamai switched to a Ritual-like approach, since a Ritual is fast and can be run in a distributed manner. In this case, web requests correspond to women and web servers correspond to men. The web requests have preferences based on latency and packet loss, and the web servers have preferences based on cost of bandwidth and co-location.

( Eric Lehman, F Thomson Leighton, Albert R Meyer - Mathematics for Computer Science )

My solution is much less efficient, because much simpler/better algorithm exists (Gale/Shapley algorithm), but I did it to demonstrate the essence of the problem plus as a yet another SMT-solvers and Z3 demonstration.

See comments:

```
#!/usr/bin/env python

from z3 import *

SIZE=10

# names and preferences has been copypasted from https://rosettacode.org/wiki/Stable_marriage_problem

# males:
abe, bob, col, dan, ed, fred, gav, hal, ian, jon = 0,1,2,3,4,5,6,7,8,9
MenStr=["abe", "bob", "col", "dan", "ed", "fred", "gav", "hal", "ian", "jon"]

# females:
abi, bea, cath, dee, eve, fay, gay, hope, ivy, jan = 0,1,2,3,4,5,6,7,8,9
```
WomenStr=["abi", "bea", "cath", "dee", "eve", "fay", "gay", "hope", "ivy", "jan"]

# men's preferences. better is at left (at first):
ManPreference={}
ManPreference[abe]=[abi, eve, cath, ivy, jan, dee, fay, bea, hope, gay]
ManPreference[bo][c][l]=[cath, hope, abi, dee, eve, fay, bea, jan, ivy, gay]
ManPreference[co][l][h][o][p][e][r][e][a][b][i][d][e][e][e][f][y][b][e][a][f][y][i][v][y][g][a][y][c][a][h][j][a][n]
ManPreference[dan][i][v][y][f][a][y][d][e][e][g][a][y][h][o][p][e][r][e][a][b][i][d][e][e][e][f][y][b][e][a][f][y][i][v][y][g][a][y][c][a][h][j][a][n]
ManPreference[ed][a][j][i][n][d][e][e][d][e][e][f][y][a][b][i][d][e][e][e][f][y][b][e][a][f][y][i][v][y][g][a][y][c][a][h][j][a][n]
ManPreference[fred]=bea, abi, dee, gay, eve, ivy, cath, jan, hope, gay
ManPreference[gav][g]=gay, eve, ivy, bea, cath, abi, dee, hope, jan, fay
ManPreference[hall][a][b][i][e][d][e][e][e][f][y][g][a][y][c][a][h][j][a][n]
ManPreference[ian][a][b][i][e][d][e][e][e][f][y][g][a][y][c][a][h][j][a][n]
ManPreference[jon][a][b][i][e][d][e][e][e][f][y][g][a][y][c][a][h][j][a][n]

# women's preferences:
WomanPreference={}
WomanPreference[abi]=bob, fred, jon, gay, ian, abe, dan, ed, col, hal
WomanPreference[bea]=bob, abe, col, fred, gav, dan, ian, ed, jon, hal
WomanPreference[cath]=fred, bob, ed, gav, hal, col, ian, abe, dan, jon
WomanPreference[dee]=fred, jon, col, abe, ian, hal, gav, dan, bob, ed
WomanPreference[eve]=jon, hal, fred, dan, abe, gav, col, ed, ian, bob
WomanPreference[fay]=bob, abe, ed, ian, jon, dan, fred, gav, col, hal
WomanPreference[gay]=jon, gav, hal, fred, bob, abe, col, ed, dan, ian
WomanPreference[hope]=[gav, jon, bob, abe, ian, dan, hal, ed, col, fred]
WomanPreference[ivy]=ian, col, hal, gav, fred, bob, abe, ed, jon, dan
WomanPreference[jan]=ed, hal, gav, abe, bob, jon, col, ian, fred, dan

s=Solver()

ManChoice=[Int('ManChoice_%d' % i) for i in range(SIZE)]
WomanChoice=[Int('WomanChoice_%d' % i) for i in range(SIZE)]

# all values in ManChoice[]/WomanChoice[] are in 0..9 range:
for i in range(SIZE):
    s.add(And(ManChoice[i]>=0, ManChoice[i]<=9))
    s.add(And(WomanChoice[i]>=0, WomanChoice[i]<=9))
s.add(Distinct(ManChoice))

# "inverted index", make sure all men and women are "connected" to each other, i.e.,
# form pairs.
#FIXME: only work for SIZE=10
for i in range(SIZE):
    s.add(WomanChoice[i]==
        If(ManChoice[0]==i, 0,
        If(ManChoice[1]==i, 1,
        If(ManChoice[2]==i, 2,
        If(ManChoice[3]==i, 3,
        If(ManChoice[4]==i, 4,
        If(ManChoice[5]==i, 5,
        If(ManChoice[6]==i, 6,
        If(ManChoice[7]==i, 7,
        If(ManChoice[8]==i, 8,
        If(ManChoice[9]==i, 9, -1))))))))))

# this is like ManChoice[] value, but "inverted index". it reflects wife's rating in man
ManChoiceInOwnRating = [Int('ManChoiceInOwnRating_%d' % i) for i in range(SIZE)]
# same for all women:
WomanChoiceInOwnRating = [Int('WomanChoiceInOwnRating_%d' % i) for i in range(SIZE)]

# set values in "inverted" indices according to values in ManPrefer[]/WomenPrefer[].
# FIXME: only work for SIZE=10
for m in range(SIZE):
    s.add (ManChoiceInOwnRating[m] ==
        If (ManChoice[m] == ManPrefer[m][0], 0,
            If (ManChoice[m] == ManPrefer[m][1], 1,
                If (ManChoice[m] == ManPrefer[m][2], 2,
                    If (ManChoice[m] == ManPrefer[m][3], 3,
                        If (ManChoice[m] == ManPrefer[m][4], 4,
                            If (ManChoice[m] == ManPrefer[m][5], 5,
                                If (ManChoice[m] == ManPrefer[m][6], 6,
                                    If (ManChoice[m] == ManPrefer[m][7], 7,
                                        If (ManChoice[m] == ManPrefer[m][8], 8,
                                            If (ManChoice[m] == ManPrefer[m][9], 9, -1))))))))))

for w in range(SIZE):
    s.add (WomanChoiceInOwnRating[w] ==
        If (WomanChoice[w] == WomanPrefer[w][0], 0,
            If (WomanChoice[w] == WomanPrefer[w][1], 1,
                If (WomanChoice[w] == WomanPrefer[w][2], 2,
                    If (WomanChoice[w] == WomanPrefer[w][3], 3,
                        If (WomanChoice[w] == WomanPrefer[w][4], 4,
                            If (WomanChoice[w] == WomanPrefer[w][5], 5,
                                If (WomanChoice[w] == WomanPrefer[w][6], 6,
                                    If (WomanChoice[w] == WomanPrefer[w][7], 7,
                                        If (WomanChoice[w] == WomanPrefer[w][8], 8,
                                            If (WomanChoice[w] == WomanPrefer[w][9], 9, -1))))))))))

# the last part is the essence of this script:

# this is 2D bool array. "true" if a (married or already connected) man would prefer
# another women over his wife.
ManWouldPrefer = [[Bool('ManWouldPrefer_%d_%d' % (m, w)) for w in range(SIZE)] for m in range(SIZE)]
# same for all women:
WomanWouldPrefer = [[Bool('WomanWouldPrefer_%d_%d' % (w, m)) for m in range(SIZE)] for w in range(SIZE)]

# set "true" in ManWouldPrefer[][] table for all women who are better than the wife a
# man currently has.
# all others can be "false"
# if the man married best women, all entries would be "false"
for m in range(SIZE):
    for w in range(SIZE):
        s.add (ManWouldPrefer[m][w] == (ManPrefer[m].index(w) < ManChoiceInOwnRating[m]))

# do the same for WomanWouldPrefer[][]:
for w in range(SIZE):
    for m in range(SIZE):
        s.add (WomanWouldPrefer[w][m] == (WomanPrefer[w].index(m) <
WomanChoiceInOwnRating[w])

# this is the most important constraint.
# enumerate all possible man/woman pairs
# no pair can exist with both "true" in "mirrored" entries of ManWouldPrefer[[]]/WomanWouldPrefer[[]].
# we block this by the following constraint: Not(And(x,y)): all x/y values are allowed,
# except if both are set to 1/true:
for m in range(SIZE):
    for w in range(SIZE):
        s.add(Not(And(ManWouldPrefer[m][w], WomanWouldPrefer[w][m])))

print s.check()
mdl=s.model()

print ""

print "ManChoice:"
for m in range(SIZE):
    w=mdl[ManChoice[m]].as_long()
    print MenStr[m], "<->", WomenStr[w]

print ""

print "WomanChoice:"
for w in range(SIZE):
    m=mdl[ManChoice[w]].as_long()
    print MenStr[w], "<->", WomenStr[m]

( The source code: https://yurichev.com/SAT_SMT_tree/other/stable_marriage/stable.py )

Result is seems to be correct:

sat

ManChoice:
abe <-> ivy
bob <-> cath
col <-> dee
dan <-> fay
ed <-> jan
fred <-> bea
gav <-> gay
hal <-> eve
ian <-> hope
jon <-> abi

WomanChoice:
abi <-> jon
bea <-> fred
cath <-> bob
deep <-> col
eve <-> hal
fay <-> dan
gay <-> gav
hope <-> ian
ivy <-> abe
jan <-> ed

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This is what we did in plain English language. “Connect men and women somehow, we don’t care how. But no pair must exist of those who prefer each other (simultaneously) over their current spouses”. Gale/Shapley algorithm uses “steps” to “stabilize” marriage. There are no “steps”, all pairs are married couples already.

Another important thing to notice: only one solution must exist.

... 
results=[]
# enumerate all possible solutions:
while True:
    if s.check() == sat:
        m = s.model()
        #print m
        results.append(m)
        block = []
        for d in m:
            c=d()
            block.append(c != m[d])
        s.add(Or(block))
    else:
        print "results total=" , len(results)
        break
...

( The source code: https://yurichev.com/SAT_SMT_tree/other/stable_marriage/stable2.py )
That reports only 1 model available, which is correct indeed.
Wojciech Niedbala fixed chained If’s, but I left the old version, so readers can see a difference...

... 
def _if_x(x, ind, i):
    if i == len(x) - 1:
        return If(x[i] == ind, i, -1)
    return If(x[i] == ind, i, _if_x(x, ind, i+1))

def _if_xy(x, y, i):
    if i == len(y) - 1:
        return If(x == y[i], i, -1)
    return If(x == y[i], i, _if_xy(x, y, i+1))
...
    s.add(WomanChoice[i]== _if_x(ManChoice, i, 0))
...
    s.add (ManChoiceInOwnRating[m]== _if_xy(ManChoice[m], ManPrefer[m], 0))

( The source code: https://yurichev.com/SAT_SMT_tree/other/stable_marriage/stable_fixed.py )

22.6 Tiling puzzle (SMT)

This is classic problem: given 12 polyomino titles, cover mutilated chessboard with them (it has 60 squares with no central 4 squares).

The problem is covered at least in Donald E. Knuth - Dancing Links, and this Z3 solution has been inspired by it.
Another thing I’ve added: graph coloring (9). You see, my script gives correct solutions, but somewhat unpleasant visually. So I used colored pseudographics. There are 12 tiles, it’s not a problem to assign 12 colors to them. But there is another popular SAT problem: graph coloring.

Given a graph, assign a color to each vertex/node, so that colors wouldn’t be equal in adjacent nodes. The problem can be solved easily in SMT: assign variable to each vertex. If two vertices are connected, add a constraint: \( \text{vertex}_1\_\text{color} \neq \text{vertex}_2\_\text{color} \). As simple as that. In my case, each polynomio is vertex and if polyomino is adjacent to another polyomino, an edge/link is added between vertices. So I did, and output is now colored.

But this is planar graph (i.e., a graph which is, if represented in two-dimensional space has no intersected edges/links). And here is a famous four color theorem can be used. The solution of tiled polynomios is in fact like planar graph, or, a map, like a world map. Theorem states that any planar graph (or map) can be colored only 4 colors.

This is true, even more, several tilings can be colors with only 3 colors:
Now the classic: 12 pentominoes and "mutilated" chessboard, several solutions:
Figure 22.3
22.7 Tiling puzzle (SAT)

This is the same problem, rewritten to be used with SAT solver.

The source code: https://yurichev.com/SAT_SMT_tree/other/tiling/SAT/tiling.py.

Further reading: https://en.wikipedia.org/wiki/Exact_cover#Pentomino_tiling.
22.8 Kangaroo: Optimizing production of a cardboard toy using SAT-solver

This is a do-it-yourself toy kangaroo I once bought, made of cardboard parts:
All parts came in 3 plates:

(a) A guide

(b) 3 cardboards

Now the question: can we put all the parts needed on smaller plates? To save some cardboard material?
I digitized all parts using usual notebook:

I don’t know a real size of a square in notebook, probably, 5mm. I would call it one [square] unit.

Then I took the same piece of Python code I used before: 22.7.

It was easy: there are just (a big) pack of boolean variables and AMO1/ALO1 constraints, or, as I called them before, POPCNT1.

Thanks to parallelized Plingeling SAT-solver, I could find a solution for a 43*34 [units] plate in 10 minutes\(^2\):

\(^2\)4 threads on Intel Xeon CPU E3-1270 v3 @ 3.50GHz
Figure 22.8: All parts on a single 43*34 plate

Probably this is smallest plate possible. When I try smaller dimensions, SAT solver stuck. But if you wish, you can decrease dimensions and run it again and again...

Now the question: the toy factory wants to ship all parts in several (smaller) plates. Like, 3 of them. Because one plate is impractical for shipping, handling, etc.

To put all parts on 3 plates, I can just add 2 borders between them:

```plaintext
board=["**"*BOARD_SMALL_WIDTH + " " + "**"*BOARD_SMALL_WIDTH + " " + "**"*BOARD_SMALL_WIDTH]
*BOARD_SMALL_HEIGHT
```

Smallest (3) plates I found: 19*27 [units]:

Figure 22.9: All parts on a three 19*27 plates
This is slightly better than what was produced by the toy factory (20*30 [units], as measured by my notebook).
Now you can see there are two $2 \cdot 1$ notches at each *plate*:

![Figure 22.10: Note notches: upper left and bottom left](image)

I don’t know why they been cut. Probably for easier stacking at factory? Who knows. Anyway, I think I can add this constraint to my solver. These are 3 initial plates:

```plaintext
board = ["******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** ", 
         "******************** ******************** ******************** 
]
```
Keep in mind, how coarse my units are (5mm). You can digitize better if you use a millimeter paper, but such a problem would be more hard for SAT solver, of course.

What I also did: this problem required huge AMO1/ALO1 constraints (several thousands boolean variables). Naive quadratic encoding can’t manage this, also, CNF instances growing greatly.

I used commander encoding this time. For example, you need to add AMO1/ALO1 constraint to 100 variables. Divide them by 10 parts. Add naive/quadratic AMO1/ALO1 for each of these 10 parts. Add OR for each parts. Then you get 10 OR result. Each OR result is a commander, like, a commander of a squad. Join them together with quadratic AMO1/ALO1 constraint again.

I do this recursively, so it looks like a multi-tiered tree of commanders. Also, changing these constants (5 and 10) influences SAT solver’s performance significantly, probably, tuning is required for each type of task...

(The constants defines breadth and depth of a tree.)

```python
# naive/pairwise/quadratic encoding
def AtMost1_pairwise(self, lst:List[str]):
    for pair in itertools.combinations(lst, r=2):
        self.add_clause([self.neg(pair[0]), self.neg(pair[1])])

# "commander" (?) encoding
def AtMost1_commander(self, lst:List[str]) -> str:
    parts=my_utils.partition(lst, 5)
    c=[]
    for part in parts:
        if len(part)<10:
            self.AtMost1_pairwise(part)

3https://en.wikipedia.org/wiki/Graph_paper
```

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```python
c.append(self.OR_list(part))
else:
c.append(self.AtMost1_commander(part))
self.AtMost1_pairwise(c)
return self.OR_list(c)

def AtMost1(self, lst:List[str]):
    if len(lst)<=10:
        self.AtMost1_pairwise(lst)
    else:
        self.AtMost1_commander(lst)

# previously named POPCNT1
# make one-hot (AKA unitary) variable
def make_one_hot(self, lst:List[str]):
    self.AtMost1(lst)
    self.OR_always(lst)
```

(https://yurichev.com/SAT_SMT_tree/libs/SAT_lib.py)
The files: https://yurichev.com/SAT_SMT_tree/other/tiling/kangaroo.

### 22.9 Hilbert’s 10th problem, Fermat’s last theorem and SMT solvers

Hilbert’s 10th problem states that you cannot devise an algorithm which can solve any diophantine equation over integers. However, it’s important to understand, that this is possible over fixed-size bitvectors.

Fermat’s last theorem states that there are no integer solution(s) for \(a^n + b^n = c^n\), for \(n \geq 3\).

Let’s prove it for \(n=3\) and for \(a\) in 0..255 range:

```python
from z3 import *

# for a 8-bit bitvec, to prevent overflow during multiplication/addition, 25 bits must be allocated
# because log2(256)*3 = 8*3 = 24
# and a sum of two 24-bit bitvectors can be 25-bit
a,b,c = BitVecs ('a b c', 25)

s = Solver()

# only 8-bit values are allowed in these 3 bitvectors:
s.add((a&0xffff00)==0)
s.add((b&0xffff00)==0)
s.add((c&0xffff00)==0)

# non-zero values:
s.add(a!=0)
s.add(b!=0)
s.add(c!=0)

# \(a^3 + b^3 = c^3\)
s.add(a*a*a + b*b*b == c*c*c)

print s.check()
```

Z3 gives "unsat" meaning, it couldn’t find any \(a/b/c\). However, this is possible to check even using brute-force search.

If to replace "BitVecs" by "Ints", Z3 would give "unknown":

```python
from z3 import *
```
In short: anything is decidable (you can build an algorithm which can solve equation or not) under fixed-size bitvectors. Given enough computational power, you can solve such equations for big bit-vectors. But this is not possible for integers or bit-vectors of any size.

Another interesting reading about this by Leonardo de Moura: https://stackoverflow.com/questions/13898175/how-does-z3-handle-non-linear-integer-arithmetic.

22.10 Job Shop Scheduling/Problem

You have number of machines and number of jobs. Each jobs consists of tasks, each task is to be processed on a machine, in specific order.

Probably, this can be a restaurant, each dish is a job. However, a dish is to be cooked in a multi-stage process, and each stage/task require specific kitchen appliance and/or chef. Each appliance/chef at each moment can be busy with only one single task.

The problem is to schedule all jobs/tasks so that they will finish as soon as possible.


22.10.1 My first version

def main():
    
    from z3 import *
    import itertools
    
    jobs = []
    
    # from https://developers.google.com/optimization/scheduling/job_shop
    jobs.append([(0, 3), (1, 2), (2, 2)])
    jobs.append([(0, 2), (2, 1), (1, 4)])
    jobs.append([(1, 4), (2, 3)])
    
    orcpug_clp_sect048.htm
    jobs.append([(2, 44), (3, 5), (5, 58), (4, 97), (0, 9), (7, 84), (8, 77),
                 (9, 96), (1, 58), (6, 89)])
    jobs.append([(4, 15), (7, 31), (1, 87), (8, 57), (0, 77), (3, 85), (2, 81),
                 (5, 39), (9, 73), (6, 21)])
    jobs.append([(9, 82), (6, 22), (4, 10), (3, 70), (1, 49), (0, 40), (8, 34),
                 (2, 48), (7, 80), (5, 71)])

    s = Solver()
    for i in jobs:
        for j in i:
            s.add(j[1] == 1)
        s.add(j[0][0] == 0)
        s.add(j[0][1][0] == 0)
        s.add(j[0][1][1] == 0)

    print(s.check())
machines=10
makespan=842

# two intervals must not overlap with each other:
def must_not_overlap(s, i1, i2):
    (i1_begin, i1_end)=i1
    (i2_begin, i2_end)=i2
    s.add(Or(i2_begin>=i1_end, i2_begin<i1_begin))
    s.add(Or(i2_end>=i1_end, i2_end<=i1_begin))
    (i1_begin, i1_end)=i2
    (i2_begin, i2_end)=i1
    s.add(Or(i2_begin>=i1_end, i2_begin<i1_begin))
    s.add(Or(i2_end>i1_end, i2_end<=i1_begin))

def all_items_in_list_must_not_overlap_each_other(s, lst):
    # enumerate all pairs using Python itertools:
    for pair in itertools.combinations(lst, r=2):
        must_not_overlap(s, (pair[0][1], pair[0][2]), (pair[1][1], pair[1][2]))

s=Solver()

# this is placeholder for tasks, to be indexed by machine number:
tasks_for_machines=[[] for i in range(machines)]

# this is placeholder for jobs, to be indexed by job number:
jobs_array=[]

for job in range(len(jobs)):
    prev_task_end=None
    jobs_array_tmp=[]
    for t in jobs[job]:
        machine=t[0]
        duration=t[1]
        # declare Z3 variables:
        begin=Int('j_%d_task_%d%d_begin' % (job, machine, duration))
        end=Int('j_%d_task_%d%d_end' % (job, machine, duration))
        # add variables...
        if (begin,end) not in tasks_for_machines[machine]:
            tasks_for_machines[machine].append((job,begin,end))
            if (begin,end) not in jobs_array_tmp:
                jobs_array_tmp.append((job,begin,end))
# each task must start at time \( \geq 0 \)
\$s.add(\text{begin} \geq 0)\$
# end time is fixed with begin time:
\$s.add(\text{end} = \text{begin} + \text{duration})\$
# no task must end after makespan:
\$s.add(\text{end} \leq \text{makespan})\$
# no task must begin before the end of the last task:
\$
\text{if prev_task_end} != \text{None}:
\quad s.add(\text{begin} \geq \text{prev_task_end})
\quad \text{prev_task_end} = \text{end}
\$
\$jobs_array.append(jobs_array_tmp)\$

# all tasks on each machine must not overlap each other:
for tasks_for_machine in tasks_for_machines:
    all_items_in_list_must_not_overlap_each_other\(s, \text{tasks_for_machine}\)

# all tasks in each job must not overlap each other:
for jobs_array_tmp in jobs_array:
    all_items_in_list_must_not_overlap_each_other\(s, \text{jobs_array_tmp}\)

if s.check() == \text{unsat}:
    print "\text{unsat}"
    exit(0)
\$m = s.model()\$

\$\text{text_result} = []\$

# construct Gantt chart:
for machine in range(machines):
    \$\text{st} = [\text{None for i in range(makespan)}]\$
    for task in tasks_for_machines[machine]:
        \$\text{job} = \text{task}[0] \$
        begin = m[\text{task}[1]].as_long()
        end = m[\text{task}[2]].as_long()
        \$
        \# fill text string with this job number:
        \$for i in range(\text{begin, end}):
        \quad \text{st}[i] = \text{job}
        \$
        \$\text{ss} = "\$
        for i, t in enumerate(st):
            \$\text{ss} = \text{ss} + (\".\" \text{if t} == \text{None else str(st[i])})\$
        \$
        \$\text{text_result}.append(\text{ss})\$

    \# we need this juggling to rotate Gantt chart...

print "\text{machines} : ",
for m in range(len(text_result)):
    print m,
print "\$
print "--------"

for time_unit in range(len(text_result[0])):
    \$\text{t} = \%3d \ : \ % (time_unit), \$
    for m in range(len(text_result)):
        print text_result[m][time_unit],
print ""

( Syntax-highlighted version: https://yurichev.com/SAT_SMT_tree/other/job_shop/job.py )
The solution for the 3*3 (3 jobs and 3 machines) problem:

```
machines : 0 1 2
----------
t= 0 : 1 . .
t= 1 : 1 2 .
t= 2 : 0 2 .
t= 3 : 0 2 .
t= 4 : 0 2 1
t= 5 : . 0 2
t= 6 : . 0 2
t= 7 : . 1 2
t= 8 : . 1 0
t= 9 : . 1 0
t= 10 : . 1 .
```

It takes 20s on my venerable Intel Xeon E31220 3.10GHz to solve 10*10 (10 jobs and 10 machines) problem from sas.com: [https://yurichev.com/SAT_SMT_tree/other/job_shop/r2.txt](https://yurichev.com/SAT_SMT_tree/other/job_shop/r2.txt).

Further work: makespan can be decreased gradually, or maybe binary search can be used...

### 22.10.2 MaxSMT version by Chad Brewbaker

```python
from z3 import *
import itertools

jobs=[]

# from https://developers.google.com/optimization/scheduling/job_shop
jobs.append([(0, 3), (1, 2), (2, 2)])
jobs.append([(0, 2), (2, 1), (1, 4)])
jobs.append([(1, 4), (2, 3)])

machines=3
makespan=11

jobs.append([(2, 44), (3, 5), (5, 58), (4, 97), (0, 9), (7, 84), (8, 77), (9, 96), (1, 58), (6, 89)])
jobs.append([(4, 15), (7, 31), (1, 87), (8, 57), (0, 77), (3, 85), (2, 81), (5, 39), (9, 73), (6, 21)])
jobs.append([(9, 82), (6, 22), (4, 10), (3, 70), (1, 49), (0, 40), (8, 34), (2, 48), (7, 80), (5, 71)])
jobs.append([(1, 91), (2, 17), (7, 62), (5, 75), (8, 47), (4, 11), (3, 7), (6, 72), (9, 35), (0, 55)])
jobs.append([(6, 71), (1, 90), (3, 75), (0, 64), (2, 94), (8, 15), (4, 12), (7, 67), (9, 20), (5, 50)])
jobs.append([(7, 70), (5, 93), (8, 17), (2, 29), (4, 58), (6, 93), (3, 68), (1, 57), (9, 7), (0, 52)])
jobs.append([(6, 87), (1, 63), (4, 26), (5, 6), (2, 82), (3, 27), (7, 56), (8, 48), (9, 36), (0, 95)])
jobs.append([(0, 36), (5, 15), (8, 11), (9, 78), (3, 76), (6, 84), (4, 30), (7, 76), (2, 36), (1, 8)])
jobs.append([(5, 88), (2, 81), (3, 13), (6, 82), (4, 54), (7, 13), (8, 29), (9, 40), (1, 78), (0, 75)])
```
jobs.append([(9, 88), (4, 54), (6, 64), (7, 32), (0, 52), (2, 6), (8, 54), (5, 82), (3, 6), (1, 26)])

machines=10
#makespan=842
#"

makespan = Int('makespan')

# two intervals must not overlap with each other:
def must_not_overlap(s, i1, i2):
    (i1_begin, i1_end) = i1
    (i2_begin, i2_end) = i2
    s.add(Or(i2_begin>=i1_end, i1_begin>=i2_end))

def all_items_in_list_must_not_overlap_each_other(s, lst):
    # enumerate all pairs using Python itertools:
    for pair in itertools.combinations(lst, r=2):
        must_not_overlap(s, (pair[0][1], pair[0][2]), (pair[1][1], pair[1][2]))

s = Optimize()
s.add(makespan>0)

# this is placeholder for tasks, to be indexed by machine number:
tasks_for_machines=[[[] for i in range(machines)]

# this is placeholder for jobs, to be indexed by job number:
jobs_array=[]

for job in range(len(jobs)):
    prev_task_end=None
    jobs_array_tmp=[]
    for t in jobs[job]:
        machine=t[0]
        duration=t[1]
        # declare Z3 variables:
        begin=Int('j_%d_task_%d_%d_begin' % (job, machine, duration))
        end=Int('j_%d_task_%d_%d_end' % (job, machine, duration))
        # add variables...
        if (begin,end) not in tasks_for_machines[machine]:
            tasks_for_machines[machine].append(((job,begin,end))
        if (begin,end) not in jobs_array_tmp:
            jobs_array_tmp.append(((job,begin,end))
        # each task must start at time >= 0
        s.add(begin>=0)
        # end time is fixed with begin time:
        s.add(end==begin+duration)
        # no task must end after makespan:
        s.add(end<=makespan)
        # no task must begin before the end of the last task:
        if prev_task_end!=None:
            s.add(begin>=prev_task_end)
        prev_task_end=end
    jobs_array.append(jobs_array_tmp)

# all tasks on each machine must not overlap each other:
for tasks_for_machine in tasks_for_machines:
    all_items_in_list_must_not_overlap_each_other(s, tasks_for_machine)
# all tasks in each job must not overlap each other:
for jobs_array_tmp in jobs_array:
    all_items_in_list_must_not_overlap_each_other(s, jobs_array_tmp)

h = s.minimize(makespan)

if s.check()==unsat:
    print "unsat"
    exit(0)

s.lower(h)
m=s.model()

text_result=[]

# construct Gantt chart:
ms_long = m[makespan].as_long()
for machine in range(machines):
    st=[None for i in range(ms_long)]
    for task in tasks_for_machines[machine]:
        job=task[0]
        begin=m[task[1]].as_long()
        end=m[task[2]].as_long()
        # fill text string with this job number:
        for i in range(begin,end):
            st[i]=job

ss=""
for i,t in enumerate(st):
    ss=ss+("." if t==None else str(st[i]))
text_result.append(ss)

# we need this juggling to rotate Gantt chart...
print "machines ",
for m in range(len(text_result)):
    print m,
print ""
print "----------"

for time_unit in range(len(text_result[0])):
    print "t=%d : " % (time_unit),
    for m in range(len(text_result)):
        print text_result[m][time_unit],
    print 

( Syntax-highlighted version: https://yurichev.com/SAT_SMT_tree/other/job_shop/job_fix.py )
Works faster.
Chapter 23

Toy-level solvers

... which has been written by me and serves as a demonstration and playground.

23.1 Simplest SAT solver in ~120 lines

This is simplest possible backtracking SAT solver written in Python (not a DPLL\textsuperscript{1} one). It uses the same backtracking algorithm you can find in many simple Sudoku and 8 queens solvers. It works significantly slower, but due to its extreme simplicity, it can also count solutions. For example, it can count all solutions of 8 queens problem (8.4).

Also, there are 70 solutions for POPCNT4 function \textsuperscript{2} (the function is true if any 4 of its input 8 variables are true):

\begin{verbatim}
SAT 
-1 -2 -3 -4 5 6 7 8 0
SAT 
-1 -2 -3 4 -5 6 7 8 0
SAT 
-1 -2 -3 4 5 -6 7 8 0
SAT 
-1 -2 -3 4 5 6 -7 8 0
... 
SAT 
1 2 3 -4 -5 6 -7 -8 0
SAT 
1 2 3 -4 5 -6 -7 -8 0
SAT 
1 2 3 4 -5 -6 -7 -8 0
UNSAT
solutions= 70
\end{verbatim}

It was also tested on my SAT-based Minesweeper cracker (3.9.2), and finishes in reasonable time (though, slower than MiniSat by a factor of ~10).

On bigger CNF instances, it gets stuck, though.

The source code:

```python
#!/usr/bin/env python

count_solutions=True
#count_solutions=False

import sys
```

\textsuperscript{1}Davis–Putnam–Logemann–Loveland

\textsuperscript{2}https://yurichev.com/SAT_SMT_tree/solvers/backtrack/POPCNT4.cnf
def read_text_file (fname):
    with open(fname) as f:
        content = f.readlines()
    return [x.strip() for x in content]

def read_DIMACS (fname):
    content = read_text_file (fname)

    header = content[0].split(" ")

    assert header[0] == "p" and header[1] == "cnf"
    variables_total, clauses_total = int(header[2]), int(header[3])

    # array idx = number (of line) of clause
    # val = list of terms
    # term can be negative signed integer
    clauses = []
    for c in content[1:]:
        if c.startswith("c "):
            continue
        clause = []
        for var_s in c.split(" "):
            var = int(var_s)
            if var != 0:
                clause.append(var)
        clauses.append(clause)

    # this is variables index.
    # for each variable, it has list of clauses, where this variable is used.
    # key = variable
    # val = list of numbers of clause
    variables_idx = {}
    for i in range(len(clauses)):
        for term in clauses[i]:
            variables_idx.setdefault(abs(term), []).append(i)

    return clauses, variables_idx

def eval_clause (terms, values):
    try:
        # we search for at least one True
        for t in terms:
            # variable is non-negated:
            if t > 0 and values[t - 1] == True:
                return True
            # variable is negated:
            if t < 0 and values[(-t) - 1] == False:
                return True
        # all terms enumerated at this point
        return False
    except IndexError:
        # values[] has not enough values
        # None means "maybe"
        return None
def chk_vals(clauses, variables_idx, vals):
    # check only clauses which affected by the last (new/changed) value, ignore the rest
    # because since we already got here, all other values are correct, so no need to recheck them
    idx_of_last_var = len(vals)
    # variable can be absent in index, because no clause uses it:
    if idx_of_last_var not in variables_idx:
        return True
    # enumerate clauses which has this variable:
    for clause_n in variables_idx[idx_of_last_var]:
        clause = clauses[clause_n]
        # if any clause evaluated to False, stop checking, new value is incorrect:
        if eval_clause(clause, vals) == False:
            return False
    # all clauses evaluated to True or None ("maybe")
    return True

def print_vals(vals):
    # enumerate all vals[]
    # prepend "-" if vals[i] is False (i.e., negated).
    print "".join(["-" ""[vals[i]] + str(i+1) + " " for i in range(len(vals))]) + "0"

classes, variables_idx = read_DIMACS(sys.argv[1])

solutions = 0

def backtrack(vals):
    global solutions

    if len(vals) == len(variables_idx):
        # we reached end - all values are correct
        print "SAT"
        print_vals(vals)
        if count_solutions:
            solutions += 1
        # go back, if we need more solutions:
        return
    else:
        exit(10)  # as in MiniSat
    return

for next in [False, True]:
    # add new value:
    new_vals = vals + [next]
    if chk_vals(clauses, variables_idx, new_vals):
        # new value is correct, try add another one:
        backtrack(new_vals)
    else:
        # new value (False) is not correct, now try True (variable flip):
        continue

    # try to find all values:
    backtrack([])
    print "UNSAT"
    if count_solutions:
        print "solutions =", solutions
    exit(20)  # as in MiniSat
As you can see, all it does is enumerate all possible solutions, but prunes search tree as early as possible. This is backtracking.

The files: [https://yurichev.com/SAT_SMT_tree/solvers/backtrack](https://yurichev.com/SAT_SMT_tree/solvers/backtrack).

Some comments: [https://www.reddit.com/r/compsci/comments/6jn3th/simplest_sat Solver in 120 lines/](https://www.reddit.com/r/compsci/comments/6jn3th/simplest_sat Solver in 120 lines/).

### 23.2 SAT solvers with watched literals/lists

These are couple of my remakes of Donald Knuth's SAT0W SAT solver[^3], CWEB file on his website which is very basic and only serves as a demonstration of watch lists. Read more about it in TAOCP 7.2.2.2[^4] (algorithm B, pp. 30-31).

In short:

- **Variable** is what you see in DIMACS CNF file. A number. Can be positive or negative. 1234 and -1234 are the same variable.

- **Literal** is a variable + sign. 1234 and -1234 are different literals. Clause consists of literals. CNF instance consists of clauses.

- We need to find an assignment for all SAT variables so that all clauses in CNF would be satisfied.

- We don’t need to take into account all variables in each clause. Only one literal in each clause must be true to satisfy the whole CNF instance.

- A literal of our importance in each clause is called ”watched literal” or ”watchee”. Each watchee is connected to a literal in a database, forming a ”watch list”. Default watchee is a first literal of a clause.

- ”Assignment” is a goal of a SAT solver: a list of false/true variables. ”Partial assignment” is an assignment of several variables, not all. During solving, each watchee is either connected to a literal in a partial assignment, or to (yet) unassigned literal.

- When we switch a variable from false to true (or back) in a partial assignment, a watch list connected to a false literal is to be ”disemboweled”: all clauses in watch list are to be reconnected to other literals, either under partial assignment, or ”shoved” into yet unassigned literals (in other words, postponed to future). Reconnecting involves finding another watchee to be picked from a literals in a clause. If you can’t find another watchee, either switch to another alternative for this variable (false/true) or backtrack.

- Several books and articles says that in this scheme, all clauses are always satisfied, this is like invariant. This is not correct. All clauses connected to watch lists under partial assignment during solving are satisfied, so far. While we can’t say this about other clauses connected to watch lists behind partial assignment: they are to be processed in future.

- Essentially, the whole process of SAT solving in this tiny SAT solver is moving clauses from one watch list to another.

**The Python source code, 175 SLOC**

It can solve many tiny SAT instances[^5] such as queens on a 10*10 chess board, etc.

Now my second solver in C/C++, which works almost like Donald Knuth’s SAT0W. My goal was to remake it precisely, so that I can be sure I understood everything well. To be run fast, there is no recursion.

540 SLOC of C/C++

Also, as we may notice, clauses are not to be added to a watch list or removed. They are rather moved. Also, order of clauses in watch list is not important at all. Hence, by moving a clause from one WL to another, we can add it to the front of destination WL.

My solution is single-linked lists, but with no pointers, rather indices are stored (and -1 is a terminating value). Like Donald Knuth’s SAT0W, my solver can even factorize small 8-bit numbers.

Needless to say, an order of variables influences the process drastically. Hence, my solver can behave differently if it reads DIMACS CNF file or Knuth-style SAT file (where variable names are used instead of numbers). However, finding a best order of variables is a problem comparable to SAL solving itself.

[^3]: [https://yurichev.com/SAT_SMT_tree/solvers/SAT_WL/sat0w.pdf](https://yurichev.com/SAT_SMT_tree/solvers/SAT_WL/sat0w.pdf)
[^4]: fasc6a.pdf
[^5]: [https://yurichev.com/SAT_SMT_tree/solvers/SAT_WL/examples](https://yurichev.com/SAT_SMT_tree/solvers/SAT_WL/examples)
23.3 MK85 toy-level SMT-solver

Thanks to PicoSAT SAT solver, its performance on small and simple bitvector examples is comparable to Z3. In many cases, it’s used instead of Z3, whenever you see from MK85 import * in Python file.

23.3.1 Simple adder in SAT/SMT

Let’s solve the following equation $a + b = 4 \equiv 2^4$ on the 4-bit CPU (hence, modulo $2^4$):

```plaintext
(declare-fun a () (_ BitVec 4))
(declare-fun b () (_ BitVec 4))
(assert (= (bvadd a b) #x4))
; find a, b:
(get-all-models)
```

There are 16 possible solutions (easy to check even by hand):

```plaintext
(model
  (define-fun a () (_ BitVec 4) (_ bv0 4)) ; 0x0
  (define-fun b () (_ BitVec 4) (_ bv4 4)) ; 0x4
)
(model
  (define-fun a () (_ BitVec 4) (_ bv12 4)) ; 0xc
  (define-fun b () (_ BitVec 4) (_ bv8 4)) ; 0x8
)
...
(model
  (define-fun a () (_ BitVec 4) (_ bv9 4)) ; 0x9
  (define-fun b () (_ BitVec 4) (_ bv11 4)) ; 0xb
)
Model count: 16
```

How I implemented this in my toy-level SMT solver?

First, we need an electronic adder, like it’s implemented in digital circuits. Take a look at a full adder: 3.6.

And this is how full adders gathered together to form a simple 4-bit carry-ripple adder: 3.8.


I’m implementing full-adder like this:

```c
void add_Tseitin_XOR(int v1, int v2, int v3)
{
    add_comment("%s %d=%d^%d", __FUNCTION__, v3, v1, v2);
    add_clause3 (-v1, -v2, -v3);
    add_clause3 (v1, v2, -v3);
    add_clause3 (v1, -v2, v3);
    add_clause3 (-v1, v2, v3);
};

void add_Tseitin_OR2(int v1, int v2, int var_out)
{
    add_comment("%s %d=%d|%d", __FUNCTION__, var_out, v1, v2);
    add_clause("%d %d -%d", v1, v2, var_out);
    add_clause2(-v1, var_out);
    add_clause2(-v2, var_out);
};
```
void add_Tseitin_AND(int a, int b, int out)
{
    add_comment("%s %d=%d&%d", __FUNCTION__, out, a, b);
    add_clause3 (-a, -b, out);
    add_clause2 (a, -out);
    add_clause2 (b, -out);
};

void add_FA(int a, int b, int cin, int s, int cout)
{
    add_comment("%s inputs=%d, %d, cin=%d, s=%d, cout=%d", __FUNCTION__, a, b, cin, s, cout);
    // allocate 3 "joint" variables:
    int XOR1_out=next_var_no++;
    int AND1_out=next_var_no++;
    int AND2_out=next_var_no++;
    // add gates and connect them.
    // order doesn't matter, BTW:
    add_Tseitin_XOR(a, b, XOR1_out);
    add_Tseitin_XOR(XOR1_out, cin, s);
    add_Tseitin_AND(XOR1_out, cin, AND1_out);
    add_Tseitin_AND(a, b, AND2_out);
    add_Tseitin_OR2(AND1_out, AND2_out, cout);
};

( https://yurichev.com/MK85/ )

Then I connect logic gates to make full-adder.
Then I connect full-adders to create a n-bit adder:

void generate_adder(struct variable* a, struct variable* b, struct variable *carry_in,
    // inputs
    struct variable** sum, struct variable** carry_out) // outputs
{
    ...

    *sum=create_internal_variable("adder_sum", TY_BITVEC, a->width);
    int carry=carry_in->var_no;

    // the first full-adder could be half-adder, but we make things simple here
    for (int i=0; i<a->width; i++)
    {
        *carry_out=create_internal_variable("adder_carry", TY_BOOL, 1);
        add_FA(a->var_no+i, b->var_no+i, carry, (*sum)->var_no+i, (*carry_out)->var_no);
        // newly created carry_out is a carry_in for the next full-adder:
        carry=(*carry_out)->var_no;
    }
};

( https://yurichev.com/MK85/ )
Let's take a look on output CNF file:

p cnf 40 114
'clock always false
-1 0
c always true
2 0
c generate_adder
c add_FA inputs=3, 7, cin=1, s=11, cout=15
c add_Tseitin_XOR 16=3^7
-3 -7 -16 0
3 7 -16 0
3 -7 16 0
-3 7 16 0
c add_Tseitin_XOR 11=16^1
-16 -1 -11 0
16 1 -11 0
16 -1 11 0
-16 1 11 0
c add_Tseitin_AND 17=16&1
-16 -1 17 0
16 -17 0
1 -17 0
c add_Tseitin_AND 18=3&7
-3 -7 18 0
3 -18 0
7 -18 0
c add_Tseitin_OR2 15=17|18
17 18 -15 0
-17 15 0
-18 15 0
c add_FA inputs=4, 8, cin=15, s=12, cout=19
c add_Tseitin_XOR 20=4^8
-4 -8 -20 0
4 8 -20 0
4 -8 20 0
-4 8 20 0
c add_Tseitin_XOR 12=20^15
-20 -15 -12 0
20 15 -12 0
20 -15 12 0
-20 15 12 0
c add_Tseitin_AND 21=20&15
-20 -15 21 0
20 -21 0
15 -21 0
c add_Tseitin_AND 22=4&8
-4 -8 22 0
4 -22 0
8 -22 0
c add_Tseitin_OR2 19=21|22
21 22 -19 0
-21 19 0
-22 19 0
c add_FA inputs=5, 9, cin=19, s=13, cout=23
c add_Tseitin_XOR 24=5^9
-5 -9 -24 0
5 9 -24 0
5 -9 24 0
-5 9 24 0
c add_Tseitin_XOR 13=24^19
-24 -19 -13 0
c add_Tseitin_AND 25=24&19
-24 -19 25 0
24 -25 0
19 -25 0

c add_Tseitin_AND 26=5&9
-5 -9 26 0
5 -26 0
9 -26 0

c add_Tseitin_OR2 23=25|26
25 26 -23 0
-25 23 0
-26 23 0

c add_FA inputs=6, 10, cin=23, s=14, cout=27

c add_Tseitin_XOR 28=6^10
-6 -10 -28 0
6 10 -28 0
6 -10 28 0
-6 10 28 0

c add_Tseitin_XOR 14=28^23
-28 -23 -14 0
28 23 -14 0
28 -23 14 0
-28 23 14 0

c add_Tseitin_AND 29=28&23
-28 -23 29 0
28 -29 0
23 -29 0

c add_Tseitin_AND 30=6&10
-6 -10 30 0
6 -30 0
10 -30 0

c add_Tseitin_OR2 27=29|30
29 30 -27 0
-29 27 0
-30 27 0

c generate_const(val=4, width=4). var_no=[31..34]
-31 0
-32 0
33 0
-34 0

c generate_EQ for two bitvectors, v1=[11...14], v2=[31...34]
c generate_BVXOR v1=[11...14] v2=[31...34]
c add_Tseitin_XOR 35=11^31
-11 -31 -35 0
11 -35 0
11 -31 35 0
-11 31 35 0

c add_Tseitin_XOR 36=12^32
-12 -32 -36 0
12 -36 0
12 -32 36 0
-12 32 36 0

c add_Tseitin_XOR 37=13^33
-13 -33 -37 0
13 33 -37 0
13 -33 37 0
-13 33 37 0
c add_Tseitin_XOR 38=14^34
-14 -34 -38 0
14 34 -38 0
14 -34 38 0
-14 34 38 0
c generate_OR_list(var=35, width=4) var out=39
c add_Tseitin_OR_list(var=35, width=4, var_out=39)
35 36 37 38 -39 0
-35 39 0
-36 39 0
-37 39 0
-38 39 0
c generate_NOT id=internal!8 var=39, out id=internal!9 out var=40
-40 -39 0
40 39 0
c create_assert() id=internal!9 var=40
40 0
Filter out comments:
c always false
c always true
c generate_adder
c add_FA inputs=3, 7, cin=1, s=11, cout=15
c add_Tseitin_XOR 16=3^7
c add_Tseitin_XOR 11=16^1
c add_Tseitin_AND 17=16&1
c add_Tseitin_AND 18=3&7
c add_Tseitin_OR2 15=17|18
c add_FA inputs=4, 8, cin=15, s=12, cout=19
c add_Tseitin_XOR 20=4^8
c add_Tseitin_XOR 12=20^15
c add_Tseitin_AND 21=20&15
c add_Tseitin_AND 22=4&8
c add_Tseitin_OR2 19=21|22
c add_FA inputs=5, 9, cin=19, s=13, cout=23
c add_Tseitin_XOR 24=5^9
c add_Tseitin_XOR 13=24^19
c add_Tseitin_AND 25=24&19
c add_Tseitin_AND 26=5&9
c add_Tseitin_OR2 23=25|26
c add_FA inputs=6, 10, cin=23, s=14, cout=27
c add_Tseitin_XOR 28=6^10
c add_Tseitin_XOR 14=28^23
c add_Tseitin_AND 29=28&23
c add_Tseitin_AND 30=6&10
c add_Tseitin_OR2 27=29|30
c generate_const(val=4, width=4). var_no=[31..34]
c generate_EQ for two bitvectors, v1=[11...14], v2=[31...34]
c generate_BVXOR v1=[11...14] v2=[31...34]
c add_Tseitin_XOR 35=11^31
c add_Tseitin_XOR 36=12^32
c add_Tseitin_XOR 37=13^33
c add_Tseitin_XOR 38=14^34
c generate_OR_list(var=35, width=4) var out=39
I make these functions add variable numbers to comments. And you can see how all the signals are routed inside each full-adder.

\|\text{generate\_EQ()}|\ function makes two bitvectors equal by XOR-ing two bitvectors. Resulting bitvector is then OR-ed, and result must be zero.

Again, this SAT instance is small enough to be handled by my simple SAT backtracking solver:

\[
\begin{align*}
\text{SAT} & \quad -1 \ 2 \ -3 \ -4 \ -5 \ -6 \ -7 \ -8 \ 9 \ -10 \ -11 \ -12 \ -13 \ -14 \ -15 \ -16 \ -17 \ -18 \ -19 \ -20 \ -21 \ -22 \ -23 \ 24 \ -25 \\
& \quad -26 \ -27 \ -28 \ -29 \ -30 \ -31 \ -32 \ -33 \ -34 \ -35 \ -36 \\
& \quad -37 \ -38 \ -39 \ 40 \ 0 \\
\text{SAT} & \quad -1 \ 2 \ -3 \ -4 \ -5 \ 6 \ -7 \ -8 \ 9 \ 10 \ -11 \ -12 \ 13 \ -14 \ -15 \ -16 \ -17 \ -18 \ -19 \ -20 \ -21 \ -22 \ -23 \ -24 \ -25 \ -26 \\
& \quad 27 \ -28 \ -29 \ 30 \ -31 \ -32 \ -33 \ -34 \ -35 \ -36 \ -37 \\
& \quad -38 \ -39 \ 40 \ 0 \\
\text{SAT} & \quad -1 \ 2 \ 3 \ 4 \ 5 \ -6 \ -7 \ -8 \ 9 \ 10 \ -11 \ -12 \ 13 \ -14 \ 15 \ -16 \ -17 \ 18 \ 19 \ 20 \ -21 \ -22 \ 23 \ -24 \ -25 \ 26 \ 27 \ 28 \ 29 \\
& \quad -30 \ -31 \ -32 \ 33 \ -34 \ -35 \ -36 \ -37 \ -38 \ -39 \ 40 \ 0 \\
\text{SAT} & \quad -1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ -8 \ 9 \ -10 \ -11 \ -12 \ 13 \ -14 \ 15 \ -16 \ -17 \ 18 \ 19 \ 20 \ -21 \ -22 \ 23 \ -24 \ -25 \ 26 \ 27 \ 28 \ 29 \\
& \quad -30 \ -31 \ -32 \ 33 \ -34 \ -35 \ -36 \ -37 \ -38 \ -39 \ 40 \ 0 \\
\text{UNSAT} & \quad \text{solutions} = 16
\end{align*}
\]

### 23.3.2 Combinatorial optimization

This is minimize/maximize commands in SMT-LIB. See simple example on GCD: 14.4.1.

It was surprisingly easy to add support of it to MK85. First, we take MaxSAT/WBO solver Open-WBO\(^6\). It supports both hard and soft clauses. Hard are clauses which are \textit{must} be satisfied. Soft are \textit{should} be satisfied, but they are also weighted. The task of MaxSAT solver is to find such an assignment for variables, so the sum of weights of soft clauses would be \textit{maximized}.

This is GCD example rewritten to SMT-LIB format:

```smt
; checked with Z3 and MK85
; must be 21
; see also: https://www.wolframalpha.com/input/?i=GCD[861,3969,840]

(declare-fun x () (_ BitVec 16))
(declare-fun y () (_ BitVec 16))
(declare-fun z () (_ BitVec 16))
(declare-fun GCD () (_ BitVec 16))

(assert (= (bvmul ((_ zero_extend 16) x) ((_ zero_extend 16) GCD)) (_ bv861 32)))
(assert (= (bvmul ((_ zero_extend 16) y) ((_ zero_extend 16) GCD)) (_ bv3969 32)))
(assert (= (bvmul ((_ zero_extend 16) z) ((_ zero_extend 16) GCD)) (_ bv840 32)))

(maximize GCD)

(check-sat)
```

\(^6\)http://sat.inesc-id.pt/open-wbo/
We are going to find such an assignment, for which GCD variable will be as big as possible (that would not break hard constraints, of course).

Whenever my MK85 encounters minimize/maximize command, the following function is called:

```c
void create_min_max (struct expr* e, bool min_max)
{
    ...
    struct SMT_var* v=generate(e);
    // if "minimize", negate input value:
    if (min_max==false)
        v=generate_BVNEG(v);
    assert (v->type==TY_BITVEC);
    add_comment ("%s(min_max=%d) id=%s var=%d", __FUNCTION__, min_max, v->id, v->SAT_var);
    // maximize always. if we need to minimize, $v$ is negated at this point:
    for (int i=0; i<v->width; i++)
        add_soft_clause1(/* weight */ 1<<i, v->SAT_var+i);
    ...
};
```

(https://yurichev.com/MK85/)
Lowest bit of variable to maximize receives weight 1. Second bit receives weight 2. Then 4, 8, 16, etc. Hence, MaxSAT solver, in order to maximize weights of soft clauses, would maximize the binary variable as well!

What is in the WCNF (weighted CNF) file for the GCD example?

```wcnf
  c create_min_max(min_max=1) id=GCD var=51
  1 51 0
  2 52 0
  4 53 0
  8 54 0
  16 55 0
  32 56 0
  64 57 0
  128 58 0
  256 59 0
  512 60 0
  1024 61 0
  2048 62 0
  4096 63 0
  8192 64 0
  16384 65 0
```

571
Weights from 1 to 32768 to be assigned to specific bits of GCD variable.

Minimization works just as the same, but the input value is negated.


More optimization examples from my blog, mostly using z3: Making smallest possible test suite using Z3: 14.1, Coin flipping problem: ??, Cracking simple XOR cipher with Z3: ??.

23.3.3 Making (almost) barrel shifter in my toy-level SMT solver

...so the functions $bvshl$ and $bvlshr$ (logical shift right) would be supported.

We will simulate barrel shifter, a device which can shift a value by several bits in one cycle.

![Figure 23.1: A nice illustration of barrel shifter](https://en.wikipedia.org/wiki/Barrel_shifter)

See also: [https://en.wikipedia.org/wiki/Barrel_shifter](https://en.wikipedia.org/wiki/Barrel_shifter)

So we have a pack of multiplexers. A tier of them for each bit in \( cnt \) variable (number of bits to shift).

First, I define functions which do \textit{rewiring} rather than shifting, it’s just another name. Part of input is \textit{connected} to output bits, other bits are fixed to zero:

```c
// "cnt" is not a SMT variable!
struct SMT_var* generate_shift_left(struct SMT_var* X, unsigned int cnt)
{
    int w=X->width;

    struct SMT_var* rt=create_internal_variable("shifted_left", TY_BITVEC, w);
```
It can be said, the \( |cnt| \) variable would be set during SAT instance creation, but it cannot be changed during solving. Now let’s create a real shifter. Now for 8-bit left shifter, I’m generating the following (long) expression:

\[
X = \text{ITE}(cnt \& 1, X \ll 1, X) \\
X = \text{ITE}((cnt \gg 1) \& 1, X \ll 2, X) \\
X = \text{ITE}((cnt \gg 2) \& 1, X \ll 4, X)
\]

I.e., if a specific bit is set in \( |cnt| \), shift \( X \) by that number of bits, or do nothing otherwise. \( \text{ITE}() \) is a if-then-else gate, works for bitvectors as well.

Glueing all this together:

```c
// direction=false for shift left 
// direction=true for shift right
struct SMT_var* generate_shifter (struct SMT_var* X, struct SMT_var* cnt, bool direction)
{
    int w=X->width;
    struct SMT_var* in=X;
    struct SMT_var* out;
    struct SMT_var* tmp;
    // bit vector must have width=2^x, i.e., 8, 16, 32, 64, etc
    assert (popcount64c (w)==1);
    int bits_in_selector=mylog2(w);
    for (int i=0; i<bits_in_selector; i++)
    {
        if (direction==false)
            tmp=generate_shift_left(in, 1<<i);
        else
            tmp=generate_shift_right(in, 1<<i);
        out=create_internal_variable("tmp", TY_BITVEC, w);
        add_Tseitin_ITE_BV (cnt->SAT_var+i, tmp->SAT_var, in->SAT_var, out->SAT_var, w);
        in=out;
    }
    // if any bit is set in high part of "cnt" variable, result is 0 
    // i.e., if a 8-bit bitvector is shifted by cnt>8, give a zero
    struct SMT_var *disable_shifter=create_internal_variable("...", TY_BOOL, 1);
}
```
add_Tseitin_OR_list(cnt->SAT_var, bits_in_selector, disable_shifter->SAT_var);

return generate_ITE(disable_shifter, generate_const(0, w), in);

struct SMT_var* generate_BVSHL (struct SMT_var* X, struct SMT_var* cnt)
{
    return generate_shifter (X, cnt, false);
};

Now the puzzle. \( a\gg b \) must be equal to 0x12345678, while several bits in \( a \) must be reset, like \( (a\&0xf1110100)==0 \). Find \( a, b \):

```
(declare-fun a () (_ BitVec 32))
(declare-fun b () (_ BitVec 32))

(assert (= (bvand a #xf1110100) #x00000000))

(assert (= (bvshl a b) #x12345678))

(check-sat)
(get-model)
```

The solution:

```
sat
(model
    (define-fun a () (_ BitVec 32) (_ bv38177487 32)) ; 0x2468acf
    (define-fun b () (_ BitVec 32) (_ bv3 32)) ; 0x3
)
```

**A poor man’s MaxSMT**

First, what is incremental SAT? When a SAT-solver is *warmed up*, and you want only to alter list of clauses slightly by adding one, why to reset it? In my toy SMT solver, I use incremental SAT for model counting, or getting all models: see the `picosat_get_all_models()` function.

Also, many SAT solvers supports *assumptions*. That is you supply a list of clauses + temporary clauses that will be dropped each time.

Now a digression: this is my own binary search implementation:

```
/*

This is yet another explanation of binary search.
However, it works only on array sizes = 2^n

You know, like bank robbers in movies rotating a wheel on a safe (I don't know how it's called correctly)
and find all digits consecutively.
This is like brute-force.

We do here the same: we try 0/1 for each bit of index value.
We start at 1, and if the array value at this index is too large, we clear the bit to 0
and proceed to the next (lower) bit.

The array here has 32 numbers. The array index has 5 bits ( \( \log_2(32)=5 \) ).
And you can clearly see that one need only 5 steps to find a value in an array of sorted numbers.
*/
```
The result:

==========================================
testing idx=0x10 or 16
bit 4 is incorrect, it's 0, so we clear it
testing idx=0x8 or 8
bit 3 is correct, it's 1
testing idx=0x4 or 12
bit 2 is correct, it's 1
testing idx=0x2 or 14
bit 1 is incorrect, it's 0, so we clear it
testing idx=0x0 or 13
found, idx=0x13 or 13
==========================================
*/

#include <stdlib.h>
#include <stdio.h>

// array of sorted random numbers:
int array[32]=
{
    335, 481, 668, 1169, 1288, 1437, 1485, 1523,
    1839, 2058, 2537, 2585, 2698, 2722, 3245, 3675,
    4067, 4139, 4356, 4599, 5578, 6334, 6751, 7244,
    7845, 8220, 8272, 8296, 8297, 8358, 9138, 9650
};

void binsearch (int val_to_find)
{
    int idx=0;

    for (int bit=4; ; bit--)
    {
        // set the bit:
        idx|=1<<bit;

        printf ("testing idx=0x%x or %d\n", idx, idx);

        if (array[idx]==val_to_find)
        {
            printf ("found, idx=0x%x or %d\n", idx, idx);
            exit(0);
        }

        // array[idx] is too small?
        if (array[idx]<val_to_find)
        {
            // do nothing, the current bit correct, proceed to the next bit
            printf ("bit %d is correct, it's 1\n", bit);
        }

        // array[idx] is too big?
        if (array[idx]>val_to_find)
        {
// clear the current bit, because it's incorrect
idx&=~(1<<bit);
printf("bit %d is incorrect, it's 0, so we clear it\n", bit);
}
}
int main()
{
  binsearch(2722);
}

You wouldn't use it, but I wrote it because it's fits so nicely on SAT.

To solve an optimization problem, you want to find some optimum variable, that is minimized or maximized. Like in my implementation of binary search, I can try bit by bit, but these are added as assumptions.

// poor man's MaxSMT
// if we minimize, first try false, then true
// if we maximize, do contrariwise

bool run_poor_mans_MaxSMT(struct ctx* ctx)
{
    if (verbose>0)
        printf("%s() begin\n", __FUNCTION__);
    assert(ctx->maxsat==true);
    struct PicoSAT *p=picosat_init();
    add_clauses_to_picosat(ctx, p);
    if (ctx->write_CNF_file)
    {
        write_CNF(ctx, "tmp.cnf");
        printf("CNF file written to tmp.cnf\n");
    }
    int array[ctx->min_max_var->width];
    int res;
    // do this starting at the MSB moving towards the LSB:
    for (int idx=ctx->min_max_var->width-1; idx>=0; idx--)
    {
        // try the first false/true
        array[idx]=ctx->min_or_max==false ? 0 : 1;
        if (verbose>0)
            printf("idx=%d trying %s\n", idx, ctx->min_or_max==false ? "false" : "true");
        picosat_assume(p, (ctx->min_or_max==false ? -1 : 1) * (ctx->min_max_var->SAT_var+idx));
        res=picosat_sat(p,-1);
        if (res==10)
        {
            if (verbose>0)
                printf("got SAT\n");
            if (idx!=0)
            {
                // add a newly discovered correct bit as a clause
                // but do this only if this is not the last bit
                // if the bit is the last/lowest (idx==0), we want to prevent PicoSAT to be switched out of SAT state
            }
        }
    }
}
// (PicoSAT do this after picosat_add() call)
// because we are fetching result sooner afterwards
picosat_add (p, (ctx->min_or_max==false ? -1 : 1) * (ctx
    ->min_max_var->SAT_var+idx));
picosat_add (p, 0);
}
// proceed to the next bit:
continue;
);
if (verbose>0)
    printf ("got UNSAT\n");
assert (res==20); // must be in UNSAT state

// try the second false/true
array[idx]=ctx->min_or_max==false ? 1 : 0;
if (verbose>0)
    printf ("idx=%d trying %s\n", idx, ctx->min_or_max==false ? "true" : "false");
picosat_assume (p, (ctx->min_or_max==false ? 1 : -1) * (ctx->min_max_var
    ->SAT_var+idx));

res=picosat_sat (p,-1);
if (res==10)
{
    if (verbose>0)
        printf ("got SAT\n");
    if (idx!=0)
    {
        picosat_add (p, (ctx->min_or_max==false ? 1 : -1) * (ctx
            ->min_max_var->SAT_var+idx));
picosat_add (p, 0);
    }
}
else if (res==20)
{
    if (verbose>0)
    {
        printf ("got UNSAT\n");
        printf ("%s() begin -> false\n", __FUNCTION__);
    }
    // UNSAT for both false and true for this bit at idx, return
    UNSAT for this instance:
    return false;
}
else
{
    assert(0);
};
// must have a solution at this point
fill_variables_from_picosat(ctx, p);
// construct a value from array[]:
ctx->min_max_var->val=SAT_solution_to_value(array, ctx->min_max_var->width);
if (verbose>0)
    printf ("%s() begin -> true, val=%llu\n", __FUNCTION__, ctx->min_max_var
        ->val);
return true;
For the popsicle problem (10.1):

```plaintext
idx=15 trying true
got UNSAT
idx=15 trying false
got SAT
idx=14 trying true
got UNSAT
idx=14 trying false
got SAT
idx=13 trying true
got UNSAT
idx=13 trying false
got SAT
idx=12 trying true
got UNSAT
idx=12 trying false
got SAT
idx=11 trying true
got UNSAT
idx=11 trying false
got SAT
idx=10 trying true
got UNSAT
idx=10 trying false
got SAT
idx=9 trying true
got UNSAT
idx=9 trying false
got SAT
idx=8 trying true
got UNSAT
idx=8 trying false
got SAT
idx=7 trying true
got UNSAT
idx=7 trying false
got SAT
idx=6 trying true
got UNSAT
idx=6 trying false
got SAT
idx=5 trying true
got UNSAT
idx=5 trying false
got SAT
idx=4 trying true
got UNSAT
idx=4 trying false
got SAT
idx=3 trying true
got SAT
idx=2 trying true
got SAT
idx=1 trying true
```

578
got UNSAT
idx=1 trying false
got SAT
idx=0 trying true
got SAT
...
run_poor_mans_MaxSMT() begin -> true, val=13

It works slower than if using Open-WBO, but sometimes even faster than Z3!
This is as well: 14.9.
And this is close to LEXSAT, see exercise and solution from the *TAOCP*, section 7.2.2.2:

► 109. [20] Explain how to find the lexicographically smallest solution \(x_1 \ldots x_n\) to a satisfiability problem, using a SAT solver repeatedly. (See Fig. 37(a).)

Figure 23.2: The exercise

109. **F1.** [Initialize.] Find one solution \(y_1 \ldots y_n\), or terminate if the problem is unsatisfiable. Then set \(y_{n+1} \leftarrow 1\) and \(d \leftarrow 0\).

**F2.** [Advance \(d\).] Set \(d\) to the smallest \(j > d\) such that \(y_j = 1\).

**F3.** [Done?] If \(d > n\), terminate with \(y_1 \ldots y_n\) as the answer.

**F4.** [Try for smaller.] Try to find a solution with additional unit clauses to force \(x_j = y_j\) for \(1 \leq j < d\) and \(x_d = 0\). If successful, set \(y_1 \ldots y_n \leftarrow x_1 \ldots x_n\).

Return to F2.

Even better is to incorporate a similar procedure into the solver itself; see exercise 275.

Figure 23.3: The solution
Chapter 24

Glossary (SAT)

• clause - disjunction of one or more literals. For example: var1 OR -var2 OR var3 ... - at least one literal must be satisfied in each clause.

• CNF (conjunctive normal form) formula, conjunction of one or more clauses. Is a list of clauses, all of which must be satisfied.

• literal/term - can be variable and -variable, these are different literals.

• pure literal - present only as x or -x. Can be eliminated at start.

• unit clause - clause with only one literal.


\(^1\)http://archive.dimacs.rutgers.edu/Challenges/
Chapter 25

Further reading

• Julien Vanegue, Sean Heelan, Rolf Rolles – SMT Solvers for Software Security 1
• Armin Biere, Marijn Heule, Hans van Maaren, Toby Walsh – Handbook of Satisfiability (2009)
• Rui Reis – Practical Symbolic Execution and SATisfiability Module Theories (SMT) 101 2.
• Daniel Kroening and Ofer Strichman – Decision Procedures – An Algorithmic Point of View 3.
• Henry Warren – Hacker’s Delight. Some people say these branchless tricks and hacks were only relevant for old RISC CPUs, so you don’t need to read it. Nevertheless, these hacks and understanding them helps immensely to get into boolean algebra and all the mathematics.

Z3-specific:

• Z3 API in Python 4
• Z3 Strategies 5
• Nikolaj Bjørner, Leonardo de Moura, Lev Nachmanson, Christoph Wintersteiger – Programming Z3 7.
• Questions tagged [z3] on Stack Overflow 8.

SAT-specific:

• Donald Knuth – TAOCP 7.2.2.2. Satisfiability 10. Since the Postscript file is freely available at Donald Knuth’s website, I converted it to PDF and put it to my website: Download here.
• Armin Biere – Using High Performance SAT and QBF Solvers11.

https://en.wikipedia.org/wiki/Tseytin_transformation

2http://deniable.org/reversing/symbolic-execution
3http://www.decision-procedures.org
4http://www.cs.tau.ac.il/~msagiv/courses/asv/z3py/guide-examples.htm
5http://www.cs.tau.ac.il/~msagiv/courses/asv/z3py/strategies-examples.htm
6http://www.cse.chalmers.se/~laurako/links/ADuctSlides/L10.html
7http://theory.stanford.edu/~nikolaj/programmingz3.html
8https://stackoverflow.com/questions/tagged/z3
9http://minisat.se/downloads/MiniSat+.pdf
10http://www-cs-faculty.stanford.edu/~knuth/fasc6a.ps.gz
12http://fmv.jku.at/biere/talks/Biere-AB08-talk.pdf
• Martin Finke – Equisatisfiable SAT Encodings of Arithmetical Operations 13.


SMT-specific:


• SMT-COMP mailing list: https://cs.nyu.edu/mailman/listinfo/smt-comp.


Also recommended by Armin Biere:

• Stuart Russell and Peter Norvig – Artificial Intelligence: A Modern Approach

• Helmut Veith, Edmund M. Clarke, Thomas A. Henzinger – Handbook of Model Checking

• Christos Papadimitriou – Computational Complexity (1994)

13http://www.martin-finke.de/documents/Masterarbeit_bitblast_Finke.pdf
Chapter 26

Some applications

- All sorts of theorem provers, including (but not limited to) Isabelle\(^1\), HOL...
- Dafny (Microsoft Research)\(^2\), uses Z3.
- KLEE\(^3\) (uses STP).
- CBMC – Bounded Model Checker for C and C++ programs \(^4\).
- Frama-C – a static analyzer, inspects programs without executing them \(^7\).
- VCC: A Verifier for Concurrent C \(^8\) – a competitor to Frama-C from Microsoft Research. Seems to be stalled.
- Boogie: An Intermediate Verification Language \(^9\) (Microsoft Research). Used as a bridge between VCC and Z3.
- Spec# (Microsoft Research)
- Why3 – a platform for deductive program verification, used in Frama-C\(^10\).
- RISC-V Formal Verification Framework\(^11\)
- Yosys – a framework for Verilog RTL synthesis\(^12\).
- IVy – a tool for specifying, modeling, implementing and verifying protocols\(^13\). Uses Z3.
- Cryptol\(^14\): a language for cryptoalgorithms specification and proving it’s correctness. Uses Z3.
- SPARK – a formally defined computer programming language based on the Ada. It can use Alt-Ergo, Z3, CVC4, etc.
- LiquidHaskell\(^15\).

---

\(^1\)\http://isabelle.in.tum.de/
\(^2\)\https://en.wikipedia.org/wiki/Dafny
\(^3\)\https://klee.github.io/
\(^4\)\http://www.cprover.org/cbmc/
\(^7\)\http://frama-c.com/
\(^8\)\https://www.microsoft.com/en-us/research/project/vcc-a-verifier-for-concurrent-c/
\(^10\)\http://why3.lri.fr/
\(^11\)\https://github.com/SymbioticEDA/riscv-formal
\(^12\)\http://www.clifford.at/yosys/
\(^13\)\http://microsoft.github.io/ivy/
\(^14\)\http://cryptol.net, \https://github.com/GaloisInc/cryptol
\(^15\)\https://ucsd-progsys.github.io/liquidhaskell-blog/
• Google’s Operations Research tools has SAT/MaxSAT solver as an engine \[^{16}\].

• Musketeer – A static analysis approach to automatic [memory] fence insertion \[^{17}\].

• Averest is a framework for the specification, verification, and implementation of reactive systems \[^{18}\].

• OpenJML – a program verification tool for Java programs that allows you to check the specifications of programs annotated in the Java Modeling Language \[^{19}\]. See also: ESC/Java.

• Souper: A Synthesizing Superoptimizer \[^{20}\].

• See the list of "Use cases" \[^{21}\] where the STP solver is used.

### 26.1 Compiler’s optimization verification

Your compiler optimized something out, but you’re unsure if your optimization rules are correct, because there are lots of them. You can prove the original expression and the optimized are equal to each other.

This is what I did for my toy decompiler: \[^{16.6.2}\].

“Alive” project:

Nuno P. Lopes, David Menendez, Santosh Nagarakatte, John Regehr – Practical Verification of Peephole Optimizations with Alive \[^{22}\].

Another paper: Provably Correct Peephole Optimizations with Alive \[^{23}\].

At github: [https://github.com/nunoplopes/alive](https://github.com/nunoplopes/alive).  
Nuno Lopes – Verifying Optimizations using SMT Solvers \[^{24}\].

[^16]: [https://github.com/google/or-tools/tree/v7.0/ortools/sat](https://github.com/google/or-tools/tree/v7.0/ortools/sat)
[^21]: [https://github.com/stp/stp/blob/master/docs/index.rst#use-cases](https://github.com/stp/stp/blob/master/docs/index.rst#use-cases)
Chapter 27

Acronyms used

GCD  Greatest Common Divisor ................................................................. 6

LCM  Least Common Multiple ................................................................. 6

CNF  Conjunctive normal form ............................................................... 11

DNF  Disjunctive normal form ............................................................... 18

DSL  Domain-specific language ............................................................. 15

CPRNG  Cryptographically Secure Pseudorandom Number Generator ...... 59

SMT  Satisfiability modulo theories ....................................................... 3

SAT  Boolean satisfiability problem ....................................................... 3

LCG  Linear congruential generator ...................................................... 4

PL  Programming Language ................................................................. 14

OOP  Object-oriented programming .................................................... 399

SSA  Static single assignment form ..................................................... 271

CPU  Central processing unit .............................................................. 372

FPU  Floating-point unit ..................................................................... 424

PRNG  Pseudorandom number generator .......................................... 20

CRT  C runtime library ..................................................................... 436
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<td>AKA</td>
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